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## Photon Upconversion Through Tb<sup>3+</sup>-Mediated Interfacial Energy Transfer

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Substantial attention has been given to photon upconversion of lanthanide-doped nanoparticles in recent years due to their important potential applications in solid-state lasing, optical data storage, display, photovoltaics, and biological imaging.[1] Photon upconversion via lanthanide ions is a nonlinear optical phenomenon, characterized by photon emission at short wavelengths when irradiated at long wavelengths.<sup>[2]</sup> For these nanoparticles, upconverted emission bands spanning from ultraviolet to near-infrared spectral regions are readily accessible using infrared laser excitations.[3] Notably, the photon upconversion mechanisms involved are mainly attributed to energy transfer upconversion and excited-state absorption, [2a] as dominated in Yb<sup>3+</sup>/Er<sup>3+</sup>-coupled systems.<sup>[2c]</sup> Recently, photon upconversion from a collection of lanthanide ions (e.g., Eu<sup>3+</sup>), which are insensitive to the infrared excitation sources, has been realized by a core-shell structure design in combination with energy migration, [4a,b] or through a dimerized sensitizer (e.g., Yb<sup>3+</sup>-Mn<sup>2+</sup>).<sup>[4c]</sup> However, such photon upconversion process needs the assistance of energy migratory Gd3+ sublattices or requires transition metal-coupled sensitizers, making it highly dependent on host materials. The five-photon upconversion process through the Yb<sup>3+</sup>/Tm<sup>3+</sup> couple, by which the excitation energy needs to be transferred to the migrator, also poses a fundamental limitation because it requires a relatively high pump power density for an efficient energy management.

In this work, we report photon upconversion in core–shell nanocrystals through  $Tb^{3+}$ -mediated interfacial energy transfer, which occurs directly from the donor  $Tb^{3+}$  in the core structure to the activator in the shell layer (**Figure 1a**). In our design, the conventional gadolinium host lattice is not required. We choose  $Tb^{3+}$  as the energy donor to couple with  $Yb^{3+}$  because intense two-photon upconversion emission of  $Tb^{3+}$  from  ${}^5D_4 \rightarrow {}^7F_J$  (J=0–6) transitions can be realized under 980 nm excitation as a result of cooperative sensitization upconversion

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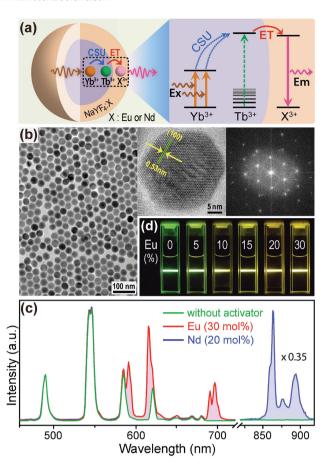


(Figures S1–S5, Supporting Information).<sup>[5]</sup> We use Eu<sup>3+</sup> as the emitter because it is known as a suitable energy acceptor to yield visible emission in Tb<sup>3+</sup>/Eu<sup>3+</sup> codoped systems (Figure S6, Supporting Information).<sup>[6]</sup> Optically inactive hexagonal phase NaYF<sub>4</sub> is used as the shell layer by virtue of its advantage in providing a host matrix with low-phonon-energy for lanthanide doping as well as its ease in chemical synthesis.<sup>[7]</sup> A core–shell architecture is employed to spatially separate the energy donor and emitter lanthanide ions in the core and shell region, respectively,<sup>[8]</sup> thereby enabling to minimize nonradiative decays due to energy transfer (Figure S6a, Supporting Information).<sup>[4,6]</sup>

In a typical experiment, we first prepared hexagonal phase NaYbF<sub>4</sub>:Tb (30 mol%) core nanocrystals, followed by shell coating with NaYF<sub>4</sub>:Eu (30 mol%) via a coprecipitation method (Figure 1b and Figure S7, Supporting Information). Under 980 nm excitation, the resulting NaYbF4:Tb@NaYF4:Eu nanoparticles exhibited emissions at 590, 615, and 696 nm, corresponding to  ${}^5D_0 \rightarrow {}^7F_1$ ,  ${}^5D_0 \rightarrow {}^7F_2$ , and  ${}^5D_0 \rightarrow {}^7F_4$  optical transition of Eu<sup>3+</sup>, respectively (Figure 1c). The decay curve of Tb<sup>3+</sup> at its <sup>5</sup>D<sub>4</sub> state, measured by monitoring the emission at 546 nm, also showed a decrease in lifetime after Eu<sup>3+</sup> doping in the shell layer (Figure S8, Supporting Information). In contrast, in the absence of Tb3+ in core the emission intensity of Eu3+ was markedly reduced (Figure S9a, Supporting Information), indicating the dominant pathway of interfacial energy transfer from Tb<sup>3+</sup> to Eu<sup>3+</sup> (Figure S10, Supporting Information). Importantly, the Eu3+ emission obtained in this way is much higher than a direct activation of Eu3+ through Yb3+ (Figure S9b, Supporting Information). With increasing Eu<sup>3+</sup> content in the shell, the emission color can be tuned from green to yellow (Figure 1d and Figure S11, Supporting Information). Such Tb<sup>3+</sup>-mediated upconversion for Nd<sup>3+</sup> activator was also observed in NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Nd core-shell nanoparticles, as evident by characteristic Nd3+ emission recorded at 864 nm (due to  ${}^4F_{3/2} \rightarrow {}^4I_{9/2}$  transition) under 980 nm excitation (Figure 1c and Figure S12, Supporting Information). [3g] In contrast to Gd3+-based counterpart, [4a,b] the present Tb3+ system shows a much lower dependence on excitation power benefiting from the two-photon upconversion process.[5] It also holds advantage in obtaining stable yellow-color or intense near-infrared emission, especially at low pump powers (Figure S13, Supporting Information).

For a given energy transfer between a couple of lanthanide ions, it depends sensitively on the donor–acceptor separation. [9] For example, the energy transfer rate is proportional to  $r^{-6}$  (where r is the average donor–acceptor separation) within the dipole–dipole interaction. [9a] We can predict that the energy transfer from Tb<sup>3+</sup> to Eu<sup>3+</sup> at the core/shell interface should be spatially confined in a narrow region. This means that, for

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**Figure 1.** a) Proposed upconversion mechanism through  $Tb^{3+}$ -mediated interfacial energy transfer in NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>:X (X: Eu or Nd) coreshell structures. The infrared excitation energy is initially absorbed by  $Yb^{3+}$  and then transferred to  $Tb^{3+}$  by cooperative sensitization upconversion (CSU), followed by energy transfer (ET) to  $X^{3+}$  doped in the shell layer. b) Representative TEM image (left), high-resolution TEM image (middle), and corresponding Fourier transform diffraction pattern (right) of the as-synthesized NaYbF<sub>4</sub>:Tb(30 mol%) @NaYF<sub>4</sub>:Eu(30 mol%) nanoparticles. c) Upconversion emission spectra of NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub> and NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>:X (X: Eu or Nd) nanoparticles under 980 nm excitation. Note that the emission spectra are normalized to  $Tb^{3+}$  546 nm emission. d) Emission photographs of NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Eu<sub>x</sub> (x=0–30 mol%) nanocrystals.

a sizeable shell layer, the Eu3+ ions away from the interfacial region may not be activated by the Tb3+ in the core, as illustrated in Figure 2a (Scheme A). In our work, the shell thickness is typically fixed at around 4.5 nm, thick enough to prohibit an efficient energy transfer across the shell layer.[4b] To validate our hypothesis, we synthesized trilayer nanoparticles of NaYbF4:Tb@NaYF4:Eu@NaYF4 and NaYbF4:Tb@  $NaYF_4@NaYF_4$ :Eu with different interlayer thickness d (d = 0, 0.6, 1.5, and 4.5 nm) as shown in Figure 2a (Schemes B and C) and Figure 2b. It was found that the emission intensity of  $Eu^{3+}$  increases with d for sample B (Figure 2c), and an emission profile similar to common NaYbF4:Tb@NaYF4:Eu nanoparticles was obtained when d reaches 1.5 nm. In contrast, sample C shows a dramatic decrease in emission intensity of  $Eu^{3+}$  with d (Figure 2d), indicating that the interfacial energy transfer is easily prohibited by growing an optically inert NaYF<sub>4</sub>

interlayer. These results confirmed that the Tb³+-mediated upconversion occurs spatially in a narrow core/shell interfacial region. More importantly, it can be concluded that the emission of Eu³+ in NaYbF₄:Tb@NaYF₄:Eu nanoparticles mainly originates from Eu³+ ions located within the 1.5 nm thick shell. When the NaYF₄ outer shell as shown in Scheme B was not adopted, we did observe a notable quenching in Eu³+ emission (Figure S14, Supporting Information). This result implies that the 4.5 nm thick shell layer of common NaYbF₄:Tb@NaYF₄:Eu nanoparticles can protect the Eu³+ ions contributing to photon upconversion.

Apart from the long lifetime of  $Tb^{3+}$  at its  ${}^5D_4$  state (2.75 ms), its decay curves show single exponential (Figure S8, Supporting Information), indicating that all Tb3+ ions may have an equal probability of access to exciting the Eu<sup>3+</sup> ions. Considering this effect and the weak concentration quenching effect (e.g., up to 30 mol%, Figure S4, Supporting Information), the energy migration through Tb<sup>3+</sup> lattice at the <sup>5</sup>D<sub>4</sub> state could help facilitate the upconversion process. To confirm the occurrence of energy migration among Tb<sup>3+</sup> lattice, we designed a core-shell nanoparticle with Tb³+-constituted shell host (i.e., NaYbF₄:Tb@ NaTbF<sub>4</sub>:Eu). The spectral power results (Figure 3a) show a slight increase in Eu<sup>3+</sup> emission as compared to the common NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Eu nanoparticle (Figure S15, Supporting Information). This result indicates that the excitation energy transferred to the Tb<sup>3+</sup> ions in the shell layer is able to migrate over a relatively long distance. As a result, additional Eu<sup>3+</sup> ions located farther away from the interface can be activated. It should be noted that only the shell host matrix (NaTbF4:Eu or NaYF<sub>4</sub>:Eu) is changed during this process, and the effect of energy migration among Eu<sup>3+</sup> ions can be excluded because of its identical concentration in the two shells (Figure S16, Supporting Information).[6d]

To further study the energy migration among Tb<sup>3+</sup> ions, we designed NaYbF4:Tb@NaYF4:Tb@NaYF4:Eu core-shellshell nanocrystals with Tb3+ doped in the 4.5 nm thick intermediate shell layer (Figure S17, Supporting Information). These nanoparticles exhibited characteristic emission of Eu<sup>3+</sup> (Figure 3b and Figure S17b, Supporting Information), clearly confirming the occurrence of energy migration through Tb<sup>3+</sup> ions at both the core/innershell interface and within the Tb<sup>3+</sup>doped inner shell layer (Figure 3c). By comparison, no Eu<sup>3+</sup> emission is recorded when an optically inert NaYF<sub>4</sub> inner shell layer is used (Figure 3b). Critically, from Figure 3b we observed a notable enhancement of Eu<sup>3+</sup> emission in NaYbF<sub>4</sub>:Tb@ NaTbF<sub>4</sub>@NaYF<sub>4</sub>:Eu structure as opposed to NaYbF<sub>4</sub>:Tb@ NaYF4:Eu and NaYbF4:Tb@NaTbF4:Eu structures. A high density of Tb3+ at the interfacial region could greatly reduce the donor-acceptor separation and thereby remarkably improve the energy transfer, [9] as evident by the enhanced Eu<sup>3+</sup> emission with increasing the Tb3+ content in the inner shell layer (Figure 3d and Figure S17b, Supporting Information). Taken together, these results indicate that, in addition to Gd<sup>3+</sup>, Tb<sup>3+</sup> can act as an excellent energy migrator in promoting photon upconversion.

It is worth noting that the use of NaYbF<sub>4</sub>:Tb as the core for the synthesis of NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Eu core–shell nanoparticles is due to the intense emission nature of Tb<sup>3+</sup> in response to 980 nm excitation. However, in a sample with lower Yb<sup>3+</sup>



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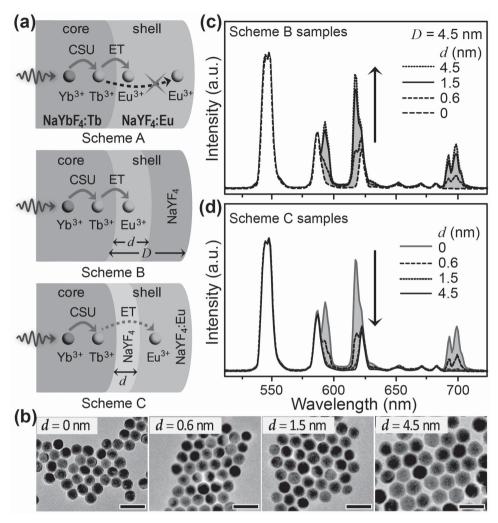


Figure 2. a) Mechanistic investigations of interfacial energy transfer from  $Tb^{3+}$  to  $NaYF_4$ :Eu (30 mol%) single-shell layer (Scheme A), and to  $NaYF_4$ :Eu (30 mol%)- $NaYF_4$  (Scheme B) or  $NaYF_4$ -Eu (30 mol%) (Scheme C) double-shell layer. b) TEM images of the  $NaYbF_4$ :Tb core samples coated with different inner layer thickness of  $NaYF_4$ :Eu (d=0,0.6,1.5,4.5 nm). The scale bars are 50 nm. c) Upconversion emission spectra of  $NaYbF_4$ :Tb@  $NaYF_4$ :Eu @NaYF4 nanoparticles with different thickness of  $NaYF_4$ :Eu inner shell layer (d:0-4.5 nm). The total shell thickness (D) of  $NaYF_4$ :Eu and  $NaYF_4$  layers is kept at 4.5 nm for all samples. When d reaches 4.5 nm, we obtained the  $NaYbF_4$ :Tb@  $NaYF_4$ :Eu core-shell sample. d) Upconversion emission spectra obtained from  $NaYbF_4$ :Tb@  $NaYF_4$ @  $NaYF_4$ :Eu nanoparticles with an optically inert  $NaYF_4$  interlayer (d:0-4.5 nm). Note that the emission spectra in (c) and (d) are normalized to  $Tb^{3+}$  546 nm emission.

content in the core, for example, NaYF<sub>4</sub>:Yb/Tb(20/30 mol%), intense Eu<sup>3+</sup> emission was also observed upon coating of the NaYF<sub>4</sub>:Eu shell layer (Figure S18, Supporting Information). This result suggests that the Tb<sup>3+</sup>-mediated upconversion depends less on the choice of the host matrix, showing potential to be applicable to other nanocrystal systems.

As an added benefit, our work shows that it is feasible to activate  $Tb^{3+}$  ions by energy migration through use of  $Gd^{3+}$  ions in a core–shell structure design. The combination of  $Tb^{3+}$  and  $Gd^{3+}$  ions in a nanocrystal may offer an intriguing route toward dual-migrator systems. As a proof of principle, we designed and synthesized a core–shell–shell nanoparticle sample in form of  $NaGdF_4$ : $Yb/Tm@NaGdF_4$ : $Tb@NaYF_4$ :Eu containing both  $Gd^{3+}$  and  $Tb^{3+}$  migrators in the inner shell layer (Figure 4a,b), in which intense  $Eu^{3+}$  emission was observed on 980 nm excitation (Figure 4c). To confirm the pathway of energy migration,

we further synthesized a control sample with a thin layer of NaTbF<sub>4</sub> between the inner NaGdF<sub>4</sub>:Tb and outer NaYF<sub>4</sub>:Eu shell layers. In this sample, Eu<sup>3+</sup> emission was observed and the lifetime of Tb<sup>3+</sup> at its  $^5D_4$  state decreased after addition of Eu<sup>3+</sup> in the outer NaYF<sub>4</sub> layer (Figure 4c and Figure S19, Supporting Information). When Gd<sup>3+</sup> ions in the core and innershell layers were replaced with optically inert Y<sup>3+</sup> ions (i.e., NaYF<sub>4</sub>:Yb/Tm@NaYF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Eu), we did not observe any emission of Eu<sup>3+</sup> or Tb<sup>3+</sup> (Figure S19b, Supporting Information). These results confirm the role of dual-migratory Gd<sup>3+</sup>/Tb<sup>3+</sup> system for activation of Eu<sup>3+</sup> ions (Figure 4d).

In conclusion, we have demonstrated a new type of upconversion process in core–shell structure nanocrystals by taking advantage of Tb<sup>3+</sup>-mediated interfacial energy transfer. The cooperative sensitization occurring in Yb<sup>3+</sup>/Tb<sup>3+</sup> codoped systems is shown to be an efficient approach to activating



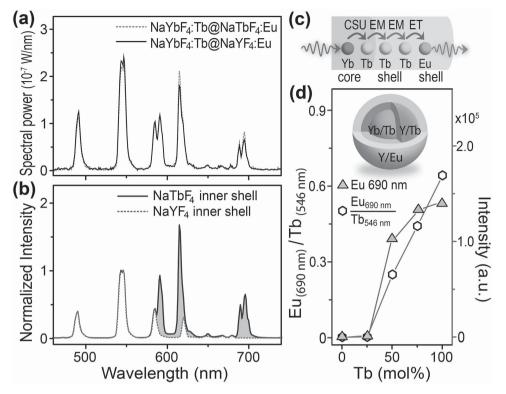


Figure 3. a) Spectral power distribution of upconversion luminescence in NaYbF4:Tb@NaTbF4:Eu and NaYbF4:Tb@NaYF4:Eu nanoparticles on 980 nm excitation (46 W cm<sup>-2</sup>). Note that the shell thickness of the two core-shell samples is identical (4.5 nm). b) Upconversion luminescence spectra in NaYbF<sub>4</sub>:Tb@NaTbF<sub>4</sub>@NaYF<sub>4</sub>:Eu and NaYbF<sub>4</sub>:Tb@NaYF<sub>4</sub>@NaYF<sub>4</sub>:Eu nanocrystals. The emission spectra were normalized to the Tb<sup>3+</sup> emission at 546 nm for comparison. c) Proposed energy migration through Tb<sup>3+</sup> ions in a core–shell–shell structure. d) Luminescence intensity ratio of Eu<sup>3+</sup> emission at 696 nm ( $^5D_0 \rightarrow ^7F_4$  transition) to Tb<sup>3+</sup> emission at 546 nm ( $^5D_4 \rightarrow ^7F_5$  transition) and absolute intensity at 696 nm recorded for NaYbF<sub>4</sub>:Tb@ NaYF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Eu nanoparticles with varied Tb<sup>3+</sup> content in the inner shell (0, 25, 50, 75, 100 mol%).

Tb3+ ions on 980 nm excitation. Importantly, our results indicate that photon upconversion can be enhanced by energy migration via Tb3+ lattice, a fascinating optical phenomenon comparable to conventional Gd<sup>3+</sup>-based nanoparticle systems.

More importantly, the observation of energy migration through a dual-migrator (Gd<sup>3+</sup>/Tb<sup>3+</sup>) system may provide a new direction for research into better control of energy transfer at the nanometer length scale.

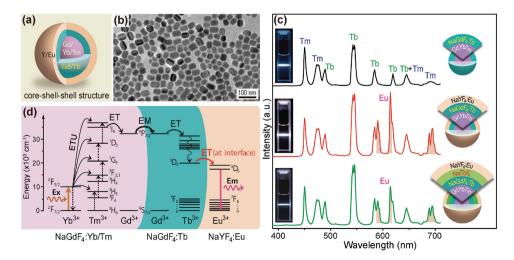


Figure 4. Energy transfer upconversion in core-shell nanocrystals through a dual-migrator (Gd3+ and Tb3+) system. a) Schematic design and b) TEM image of NaGdF<sub>4</sub>:Yb/Tm@NaGdF<sub>4</sub>:Tb@NaYF<sub>4</sub>:Eu nanocrystals. c) Emission spectra of NaGdF<sub>4</sub>:Yb/Tm@NaGdF<sub>4</sub>:Tb, NaGdF<sub>4</sub>:Yb/Tm@NaGdF<sub>4</sub>:Tb@ NaYF<sub>4</sub>:Eu and NaGdF<sub>4</sub>:Yb/Tm@NaGdF<sub>4</sub>:Tb@NaTbF<sub>4</sub>@NaYF<sub>4</sub>:Eu under 980 nm excitation. Insets show the corresponding emission photographs. d) Proposed energy transfer processes responsible for the activation of Eu<sup>3+</sup> through Gd<sup>3+</sup> and Tb<sup>3+</sup> mediated energy migration.



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## **Experimental Section**

The experimental details on the preparation of core, core-shell, and multishell nanocrystal samples, their morphology characterization, spectroscopy, lifetime, quantum yield, and spectral power measurements are provided in the Supporting Information.

## **Supporting Information**

Supporting Information is available from the Wiley Online Library or from the author.

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