

Bibliographic and organizational remarks

Tin Lok Wong

8 January, 2019

Either mathematics is incompletable in this sense, that its evident axioms can never be comprised in a finite rule, that is to say, the human mind (even within the realm of pure mathematics) infinitely surpasses the powers of any finite machine,
or else there exist absolutely unsolvable diophantine problems of the type specified.

Gödel (1951), Some basic theorems on the foundations of mathematics and their implications [9, page 310]

While general attributions were given in the text, precise references were omitted because of assessment arrangements. So we provide the references separately here. In addition, we include a few organizational remarks which may help the reader navigate between the lectures.

Our model of computation from Lecture 1 is non-standard. It is designed to match with the formulas in the language of arithmetic as demonstrated in Lecture 2. This connection between computation and arithmetic is well known. All appeals to the Church–Turing Thesis in this module can be replaced by explicit constructions of programs as in Lecture 10.

Our presentation of the Incompleteness Theorems originates from that in the Hájek–Pudlák book [12, Section III.2]. Two additional references are Kaye [18, Chapter 2] and Smoryński [40, Sections 2–4]. We include a symbol for exponentiation in the language to avoid having to define it for the Second Incompleteness Theorem; it is not needed for the First Incompleteness Theorem. For the sake of consistency (in the usual sense of the word), we made $\mathcal{L}_A(\text{exp})$ our object language throughout, except in Lectures 13–16. Our arithmetization is similar to that in Wilkie–Paris [47, Section 4]. For Lecture 11, probably one can find better examples to illustrate the formalization of syntactic manipulations in $\mathbf{I}\Delta_0(\text{exp})$.

The ugly proof system introduced in Lecture 6 has no advantage at all, except that it happens to be what one needs to carry out the proof of the Completeness Theorem presented in Lecture 7. It is probably more appropriate to make Remark 22.7 already in Lecture 7.

The citations in Lecture 13 are incorporated into the bibliography below. Presburger’s article on the decidability of arithmetic with addition is translated in Stansifer [43]. For Skolem’s decidability result, see Smoryński [42, Section III.5]. The decidability results for \mathbb{R} and \mathbb{C} appeared in Tarski [44], although the mathematics involved was probably discovered already in the 1930s.

Abraham Robinson’s work on the preservation theorem and model completeness can be found in almost every model theory text; see, for example, Hodges [14, Lemma 3.2.9]. This work is, however, not actually needed to show the recursiveness of $\text{Th}(\mathbb{N}, 0, 1, +, <)$, as the reader can see from our proof in Lecture 16. Our proof of the quantifier-elimination theorem for Presburger Arithmetic originates from that in Rautenberg’s book [35, Section 5.6] and in Stansifer’s translation [43] of the original Presburger paper. More information can be found in Smoryński [42, Section 3.4] and Makowsky [25]. Smoryński’s article [41] contains his application of Skolem’s quantifier-elimination algorithm to Sylvester’s theorem.

Our presentation of Goodstein’s Theorem at the end of Lecture 17 follows that in Rathjen [34, Section 2]. The proof of the Cut-Elimination Theorem for \mathbf{LK} in Lecture 19 is taken from Buss [4,

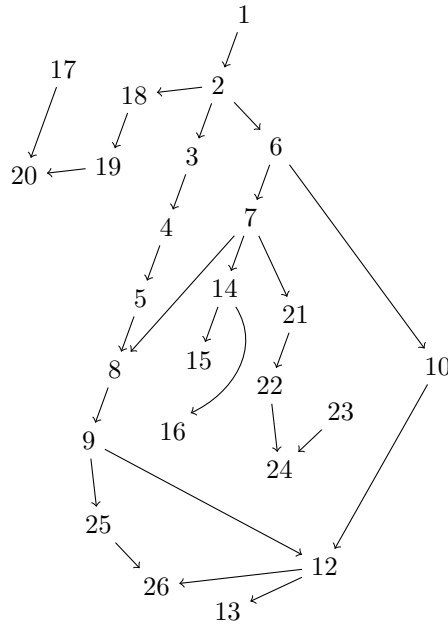


Figure 1: Major dependencies between lectures

Section 2.4]. Our proof of the consistency of **PA** in Lecture 20 is based on Rathjen [33], Hetzl [13], and Towsner [45]. The Cut-Elimination Theorem for **LK** is mathematically not needed for our consistency proof of **PA**. So it would be more economical to spend Lecture 19 on the analogous result for **Nat** instead.

The usual pairing function comes from Cantor [5, page 257]. Elementary end extensions of arbitrary models of PA were first constructed in Mac Dowell–Specker [24]; see Hodges [14, Sections 6.1 and 6.2] and Enayat–Mohsenipour [8, Theorem 1.2] for more references, including the contribution by Keisler. The connections between induction, regularity and elementary end extensions come from Paris–Kirby [29].

Indicators were introduced by Kirby–Paris [20]. Proposition 22.1 can be viewed as a variant of Theorem 4.3 in Parikh [27]. Theorem 22.8 can be found in Kaye’s book [18, Section 14.1]; see also Kirby’s thesis [19, paragraph 5.4]. Note that the provability part of Theorem 22.8 is the only place where the Mac Dowell–Specker Theorem is used. So if one is only interested in unprovability, then one can omit Lecture 21 altogether.

Ramsey’s Theorems have developed into a theory on their own in combinatorics [11]. The PA version of the Infinite Ramsey Theorem can be proved using the Mac Dowell–Specker Theorem; see Kossak–Schmerl [22, Theorem 2.2.16].

The equivalence between PH and the 1-consistency of PA was already observed in the original Paris–Harrington paper [28, Theorem 3.1]. The streamlined proofs presented in Lecture 24 come from Bovykin [2, Theorem 1]; cf. Kaye [18, Section 14.3]. The equivalence between Goodstein’s theorem and the 1-consistency of PA can be found in the paper by Kirby and Paris [21, Theorem 4].

The Finite Incompleteness Theorem in Lecture 25 comes from Pudlák [31, Theorem 3.1]; see also Buss’s article [3, Section 2.1]. In particular, a proof of the numeral version of the Diagonal Lemma with polynomial length bounds on page 124 can be found in Buss’s article [3, proof of Theorem 4]. The connection with complexity theory was observed already in the Pudlák paper [31, Proposition 6.2]. For Hrubeš’s theorem in Lecture 26, see Pudlák [32, Theorem 3.7].

In the examination, Questions 2 and 3 are presumably folklore; see Lindström [23, page 24] and Shavrukov–Visser [39, Section 2], for example. Question 4 was inspired by Theorem 1 in Jeroslow [17]. Question 5 originates from Makowsky’s notes [25, page 25], in which it is used to demonstrate the existence of a Presburger formula that is not equivalent over Pres to any quantifier-free \mathcal{L}_{DOG} formula.

Towards the end of these lectures, a preprint by Chow [6] came to my attention. It does not

only have the same (sub)title as my lectures, but also a large overlap in the choice of materials.

Bibliography

- [1] Jon Barwise, H. Jerome Keisler, Kenneth Kunen, Yiannis N. Moschovakis, and Anne S. Troelstra, editors. *Handbook of Mathematical Logic*, volume 90 of *Studies in Logic and the Foundations of Mathematics*. North-Holland Publishing Company, Amsterdam, 1977.
- [2] Andrey Bovykin. Brief introduction to unprovability. In S. Barry Cooper, Herman Geuvers, Anand Pillay, and Jouko Väänänen, editors, *Logic Colloquium 2006*, volume 32 of *Lecture Notes in Logic*, pages 38–64, Cambridge, 2009. Association for Symbolic Logic, Cambridge University Press.
- [3] Samuel R. Buss. On Gödel’s theorems on lengths of proofs I: number of lines and speedup for arithmetics. *The Journal of Symbolic Logic*, 59(3):737–756, September 1994.
- [4] Samuel R. Buss. An introduction to proof theory. In Samuel R. Buss, editor, *Handbook of Proof Theory*, volume 137 of *Studies in Logic and the Foundations of Mathematics*, chapter I, pages 1–78. Elsevier, Amsterdam, 1998.
- [5] Georg Cantor. Ein Beitrag zur Mannigfaltigkeitslehre. *Journal für die reine und angewandte Mathematik*, 84:242–258, 1877.
- [6] Timothy Y. Chow. The consistency of arithmetic. To appear in the *Mathematical Intelligencer*, 2018.
- [7] William Craig. On axiomatizability within a system. *The Journal of Symbolic Logic*, 18(1):30–32, March 1953. Referred to as Craig (1953) in Lecture 13.
- [8] Ali Enayat and Shahram Mohsenipour. Model theory of the regularity and reflection schemes. *Archive for Mathematical Logic*, 47(5):447–464, 2008.
- [9] Solomon Feferman, John W. Dawson, Jr., Warren Goldfarb, Charles Parsons, and Robert M. Solovay, editors. *Kurt Gödel Collected Works*, volume III — Unpublished essays and lectures. Oxford University Press, New York, 1995. Prepared under the auspices of the Association of Symbolic Logic.
- [10] Kurt Gödel. Über formal unentscheidbare Sätze der Principia mathematica und verwandter Systeme I. *Monatshefte für Mathematik und Physik*, 38:173–198, 1931. Referred to as Gödel (1931) in Lecture 13.
- [11] Ronald L. Graham, Bruce L. Rothschild, and Joel H. Spencer. *Ramsey Theory*. Wiley-Interscience series in discrete mathematics and optimization. John Wiley & Sons, New York, second edition, 1990.
- [12] Petr Hájek and Pavel Pudlák. *Metamathematics of First-Order Arithmetic*. Perspectives in Mathematical Logic. Springer-Verlag, Berlin, 1993.
- [13] Stefan Hetzl. Proof theory of induction. Lectures at the International Summer School for Proof Theory in First-Order Logic in Funchal, Madeira. Slides available at http://www.dmg.tuwien.ac.at/hetzl/teaching/slides_induction.pdf, last access on 17 November, 2018, August 2017.
- [14] Wilfrid Hodges. *Building Models by Games*. Dover Publications, Inc., Mineola, New York, 2006. Unabridged republication of the work originally published as volume 2 in the *London Mathematical Society Student Texts* series by Cambridge University Press, Cambridge, in 1985.
- [15] Emil Jeřábek. Sequence coding without induction. *Mathematical Logic Quarterly*, 58(3):244–248, May 2012. Referred to as Jeřábek (2012) in Lecture 13.

- [16] Emil Jeřábek. Recursive functions and existentially closed structures. [arxiv:1710.09864](https://arxiv.org/abs/1710.09864) [math.LO]. Referred to as Jeřábek (≥ 2018) in Lecture 13, October 2017.
- [17] Robert Gerald Jeroslow. Redundancies in the Hilbert–Bernays derivability conditions for Gödel’s second incompleteness theorem. *The Journal of Symbolic Logic*, 38(3):359–367, September 1973. Referred to as Jeroslow (1973) in Lecture 13.
- [18] Richard Kaye. *Models of Peano Arithmetic*, volume 15 of *Oxford Logic Guides*. Clarendon Press, Oxford, 1991.
- [19] Laurence A. S. Kirby. *Initial segments of models of arithmetic*. PhD thesis, Manchester University, July 1977.
- [20] Laurence A. S. Kirby and Jeff B. Paris. Initial segments of models of Peano’s axioms. In Alistair Lachlan, Marian Srebrny, and Andrzej Zarach, editors, *Set Theory and Hierarchy Theory V*, volume 619 of *Lecture Notes in Mathematics*, pages 211–226, Berlin, 1977. Springer-Verlag.
- [21] Laurence A. S. Kirby and Jeff B. Paris. Accessible independence results for Peano arithmetic. *Bulletin of the London Mathematical Society*, 14(4):285–293, July 1982.
- [22] Roman Kossak and James H. Schmerl. *The Structure of Models of Peano Arithmetic*, volume 50 of *Oxford Logic Guides*. Clarendon Press, Oxford, 2006.
- [23] Per Lindström. *Aspects of Incompleteness*, volume 10 of *Lecture Notes in Logic*. Springer-Verlag, Berlin, 1997.
- [24] Robert Mac Dowell and Ernst Specker. Modelle der Arithmetik. In *Infinitistic Methods*, pages 257–263, Oxford, 1961. Pergamon Press.
- [25] Johann A. Makowsky. Introduction to quantifier elimination. Lecture 2 for the course ‘P versus NP over Various Structures’ at the 26th European Summer School in Logic, Language and Information (ESSLLI 2014) in Tübingen, Germany. Slides available at <http://www.cs.technion.ac.il/~janos/COURSES/ESSLLI-2014/E-qe.pdf>, last access on 17 November, 2018, August 2014.
- [26] Andrzej Mostowski, Raphael M. Robinson, and Alfred Tarski. Undecidability and essential undecidability in arithmetic. In *Undecidable Theories*, volume 13 of *Studies in Logic and the Foundations of Mathematics*, chapter II, pages 37–74. North-Holland Publishing Company, Amsterdam, 1953. Referred to as Mostowski–R. Robinson–Tarski (1953) in Lecture 13.
- [27] Rohit Parikh. Existence and feasibility in arithmetic. *The Journal of Symbolic Logic*, 36(3):494–508, September 1971. Referred to as Parikh (1971) in Lecture 13.
- [28] Jeff B. Paris and Leo Harrington. A mathematical incompleteness in Peano arithmetic. In Barwise et al. [1], chapter D.8, pages 1133–1142.
- [29] Jeff B. Paris and Laurence A. S. Kirby. Σ_n -collection schemas in arithmetic. In Angus Macintyre, Leszek Pacholski, and Jeff B. Paris, editors, *Logic Colloquium ’77*, volume 96 of *Studies in Logic and the Foundations of Mathematics*, pages 199–209, Amsterdam, 1978. North-Holland Publishing Company.
- [30] Pavel Pudlák. Cuts, consistency statements and interpretations. *The Journal of Symbolic Logic*, 50(2):423–441, June 1985. Referred to as Pudlák (1985) in Lecture 13.
- [31] Pavel Pudlák. On the length of proofs of finitistic consistency statements in first order theories. In Jeff B. Paris, Alex J. Wilkie, and George M. Wilmers, editors, *Logic Colloquium ’84*, volume 120 of *Studies in Logic and the Foundations of Mathematics*, pages 165–196, Amsterdam, 1986. North-Holland Publishing Company.
- [32] Pavel Pudlák. Incompleteness in the finite domain. *The Bulletin of Symbolic Logic*, 23(4):405–441, December 2017.

- [33] Michael Rathjen. The art of ordinal analysis. In Marta Sanz-Solé, Javier Soria, Juan Luis Varona, and Joan Verdera, editors, *Proceedings of the International Congress of Mathematicians: Madrid, August 22–30, 2006*, volume II: Invited Lectures, pages 45–69, Zürich, 2006. European Mathematical Society.
- [34] Michael Rathjen. Goodstein’s theorem revisited. In Reinhard Kahle and Michael Rathjen, editors, *Gentzen’s Centenary*, pages 229–242, Cham, 2015. Springer-Verlag.
- [35] Wolfgang Rautenberg. *A Concise Introduction to Mathematical Logic*. Universitext. Springer-Verlag, New York, 2006.
- [36] Julia Robinson. Definability and decision problems in arithmetic. *The Journal of Symbolic Logic*, 14(2):98–114, June 1949. Referred to as J. Robinson (1949) in Lecture 13.
- [37] Raphael M. Robinson. An essentially undecidable axiom system. In Lawrence M. Graves, Paul A. Smith, Einar Hille, and Oscar Zariski, editors, *Proceedings of the International Congress of Mathematicians (Cambridge, Massachusetts, 1950)*, volume 1, pages 729–730, Providence, RI, 1952. American Mathematical Society. Referred to as R. Robinson (1950) in Lecture 13.
- [38] Barkley Rosser. Extensions of some theorems of Gödel and Church. *The Journal of Symbolic Logic*, 1(3):87–91, September 1936. Referred to as Rosser (1936) in Lecture 13.
- [39] Vladimir Yurievich Shavrukov and Albert Visser. Uniform density in Lindenbaum algebras. *Notre Dame Journal of Formal Logic*, 55(4):569–582, 2014.
- [40] Craig Smoryński. The Incompleteness Theorems. In Barwise et al. [1], chapter D.1, pages 821–865.
- [41] Craig Smoryński. Skolem’s solution to a problem of Frobenius. *The Mathematical Intelligencer*, 3(3):123–132, September 1981.
- [42] Craig Smoryński. *Logical Number Theory I: An Introduction*. Universitext. Springer-Verlag, Berlin, 1991.
- [43] Ryan Stansifer. Presburger’s article on integer arithmetic: Remarks and translation. Technical Report TR84-639, Cornell University, Computer Science Department, September 1984. Available at <https://hdl.handle.net/1813/6478>.
- [44] Alfred Tarski. A decision method for elementary algebra and geometry. Technical Report R-109, Project RAND, August 1948. Prepared for publication by J.C.C. McKinsey.
- [45] Henry Towsner. Proof theory notes. Available at <https://www.sas.upenn.edu/~htowsner/proofoftheory/>, last access on 17 November, 2018, 2013.
- [46] Albert Visser. Pairs, sets and sequences in first-order theories. *Archive for Mathematical Logic*, 47(4):299–326, August 2008. Referred to as Visser (2008) in Lecture 13.
- [47] Alex J. Wilkie and Jeff B. Paris. On the scheme of induction for bounded arithmetic formulas. *Annals of Pure and Applied Logic*, 35:261–302, 1987.
- [48] Celia Wrathall. Rudimentary predicates and relative computation. *SIAM Journal on Computing*, 7(2):194–209, May 1978. Referred to as Wrathall (1978) in Lecture 13.

Acknowledgements

I would like to thank Ziyuan Gao, Frank Stephan and Yue Yang for helpful discussions, support, and encouragement. I would also like to thank the students in my class for their participation, contribution, and feedback. Many of their questions and remarks are reflected in these notes.