# COMPUTING GROUND STATES OF SPIN-1 BOSE–EINSTEIN CONDENSATES BY THE NORMALIZED GRADIENT FLOW\*

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Abstract. In this paper, we propose an efficient and accurate numerical method for computing the ground state of spin-1 Bose–Einstein condensates (BECs) by using the normalized gradient flow or imaginary time method. The key idea is to find a third projection or normalization condition based on the relation between the chemical potentials so that the three projection parameters used in the projection step of the normalized gradient flow are uniquely determined by this condition as well as the other two physical conditions given by the conservation of total mass and total magnetization. This allows us to successfully extend the most popular and powerful normalized gradient flow or imaginary time method for computing the ground state of a single-component BEC to compute the ground state of spin-1 BECs. An efficient and accurate discretization scheme, the backward-forward Euler sine-pseudospectral method, is proposed to discretize the normalized gradient flow. Extensive numerical results on ground states of spin-1 BECs with ferromagnetic/antiferromagnetic interaction and harmonic/optical lattice potential in one/three dimensions are reported to demonstrate the efficiency of our new numerical method.

Key words. spin-1 Bose–Einstein condensate, coupled Gross–Pitaevskii equations, ground state, normalized gradient flow, backward-forward Euler sine-pseudospectral method

AMS subject classifications. 35Q55, 65T99, 65Z05, 65N12, 65N35, 81-08

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1. Introduction. Research in low temperature dilute atomic quantum gases remains active for more than ten years after the experimental realizations of Bose–Einstein condensation (BEC) in alkali atomic gases in 1995 [2, 12, 19]. Extensive the-oretical and experimental studies have been carried out to investigate various novel phenomena of the condensates. In earlier BEC experiments, the atoms were confined in a magnetic trap [2, 12, 19], in which the spin degrees of freedom are frozen. The particles are described by a scalar model, and the wave function of the particles is governed by the Gross–Pitaevskii equation (GPE) within the mean-field approximation [18, 21, 26]. In recent years, the experimental achievement of spin-1 and spin-2 condensates [11, 20, 24, 29, 31] offers new regimes to study various quantum phenomena that are generally absent in a single-component condensate. The spinor condensate is achieved experimentally when an optical trap, instead of a magnetic trap, is used to provide equal confinement for all hyperfine states.

The theoretical studies of the spinor condensate have been carried out in several papers since the achievement of it in experiments [22, 23, 25, 30]. In contrast to a single-component condensate, a spin-F ( $F \in \mathbb{N}$ ) condensate is described by a generalized coupled GPE, which consists of 2F+1 equations, each governing one of the 2F+1hyperfine states ( $m_F = -F, -F+1, \ldots, F-1, F$ ) within the mean-field approximation. For a spin-1 condensate, at a temperature much lower than the critical temperature  $T_c$ , the three-component wave functions  $\Psi(\mathbf{x}, t) = (\psi_1(\mathbf{x}, t), \psi_0(\mathbf{x}, t), \psi_{-1}(\mathbf{x}, t)^T)$ 

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are well described by the following coupled GPEs [30, 32, 33, 34, 17]:

$$i\hbar \partial_t \psi_1(\mathbf{x}, t) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{x}) + (c_0 + c_2) \left( |\psi_1|^2 + |\psi_0|^2 \right) + (c_0 - c_2) |\psi_{-1}|^2 \right] \psi_1$$
(1.1) 
$$+ c_2 \,\bar{\psi}_{-1} \,\psi_0^2,$$

$$i\hbar \partial_t \psi_0(\mathbf{x}, t) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{x}) + (c_0 + c_2) \left( |\psi_1|^2 + |\psi_{-1}|^2 \right) + c_0 |\psi_0|^2 \right] \psi_0$$
(1.2)
$$+ 2c_2 \psi_{-1} \psi_0 \psi_1.$$

$$i\hbar \partial_t \psi_{-1}(\mathbf{x}, t) = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{x}) + (c_0 + c_2) \left( |\psi_{-1}|^2 + |\psi_0|^2 \right) + (c_0 - c_2) |\psi_1|^2 \right] \psi_{-1}$$
(1.3)  $+ c_2 \psi_0^2 \bar{\psi}_1.$ 

Here  $\mathbf{x} = (x, y, z)^T$  is the Cartesian coordinate vector, t is the time,  $\hbar$  is the Planck constant, m is the atomic mass, and  $V(\mathbf{x})$  is the external trapping potential. When a harmonic trap potential is considered,

(1.4) 
$$V(\mathbf{x}) = \frac{m}{2}(\omega_x^2 x^2 + \omega_y^2 y^2 + \omega_z^2 z^2),$$

with  $\omega_x$ ,  $\omega_y$ , and  $\omega_z$  being the trap frequencies in the x-, y-, and z-direction, respectively.  $\bar{f}$  and  $\operatorname{Re}(f)$  denote the conjugate and real part of the function f, respectively. There are two atomic collision terms  $c_0 = \frac{4\pi\hbar^2}{3m}(a_0 + 2a_2)$  and  $c_2 = \frac{4\pi\hbar^2}{3m}(a_2 - a_0)$ expressed in terms of the s-wave scattering lengths  $a_0$  and  $a_2$  for a scattering channel of total hyperfine spin 0 (antiparallel spin collision) and spin 2 (parallel spin collision), respectively. The usual mean-field interaction  $c_0$  is positive for repulsive interaction and negative for attractive interaction. The spin-exchange interaction  $c_2$  is positive for antiferromagnetic interaction and negative for ferromagnetic interaction. The wave function is normalized according to

(1.5) 
$$\|\Psi\|^2 := \int_{\mathbb{R}^3} |\Psi(\mathbf{x},t)|^2 d\mathbf{x} = \int_{\mathbb{R}^3} \sum_{l=-1}^1 |\psi_l(\mathbf{x},t)|^2 d\mathbf{x} := \sum_{l=-1}^1 \|\psi_l\|^2 = N,$$

where N is the total number of particles in the condensate.

By introducing the dimensionless variables:  $t \to t/\omega_m$ , with  $\omega_m = \min\{\omega_x, \omega_y, \omega_z\}$ ,  $\mathbf{x} \to \mathbf{x} \ a_s$ , with  $a_s = \sqrt{\frac{\hbar}{m\omega_m}}$ , and  $\psi_l \to \sqrt{N}\psi_l/a_s^{3/2}$  (l = -1, 0, 1), we get the dimensionless coupled GPEs from (1.1)–(1.3) as [33, 35, 10]:

$$i\partial_t \psi_1(\mathbf{x}, t) = \left[ -\frac{1}{2} \nabla^2 + V(\mathbf{x}) + (\beta_n + \beta_s) \left( |\psi_1|^2 + |\psi_0|^2 \right) + (\beta_n - \beta_s) |\psi_{-1}|^2 \right] \psi_1$$

(1.6) 
$$+ \beta_s \bar{\psi}_{-1} \psi_0^2,$$

$$i\partial_t \psi_0(\mathbf{x}, t) = \left[ -\frac{1}{2} \nabla^2 + V(\mathbf{x}) + (\beta_n + \beta_s) \left( |\psi_1|^2 + |\psi_{-1}|^2 \right) + \beta_n |\psi_0|^2 \right] \psi_0$$

(1.7) 
$$+2\beta_s \psi_{-1} \psi_0 \psi_1,$$

$$i\partial_t \psi_{-1}(\mathbf{x}, t) = \left[ -\frac{1}{2} \nabla^2 + V(\mathbf{x}) + (\beta_n + \beta_s) \left( |\psi_{-1}|^2 + |\psi_0|^2 \right) + (\beta_n - \beta_s) |\psi_1|^2 \right] \psi_{-1}$$
(1.8) 
$$+ \beta_s \psi_s^2 \bar{\psi}_1.$$

where  $\beta_n = \frac{N c_0}{a_s^3 \hbar \omega_m} = \frac{4\pi N(a_0 + 2a_2)}{3a_s}$ ,  $\beta_s = \frac{N c_2}{a_s^3 \hbar \omega_m} = \frac{4\pi N(a_2 - a_0)}{3a_s}$ , and  $V(\mathbf{x}) = \frac{1}{2}(\gamma_x^2 x^2 + \gamma_y^2 y^2 + \gamma_z^2 z^2)$ , with  $\gamma_x = \frac{\omega_x}{\omega_m}$ ,  $\gamma_y = \frac{\omega_y}{\omega_m}$ , and  $\gamma_z = \frac{\omega_z}{\omega_m}$ . Similar to those in a single-com-

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ponent BEC [27, 9, 3, 7], in a disk-shaped condensation, i.e.,  $\omega_x \approx \omega_y$  and  $\omega_z \gg \omega_x$ ( $\iff \gamma_x = 1, \gamma_y \approx 1$ , and  $\gamma_z \gg 1$ , with  $\omega_m = \omega_x$ ), the three-dimensional (3D) coupled GPEs (1.6)–(1.8) can be reduced to a 2D coupled GPE; and in a cigar-shaped condensation, i.e.,  $\omega_y \gg \omega_x$  and  $\omega_z \gg \omega_x$  ( $\iff \gamma_x = 1, \gamma_y \gg 1$ , and  $\gamma_z \gg 1$ , with  $\omega_m = \omega_x$ ), the 3D coupled GPE (1.6)–(1.8) can be reduced to a 1D coupled GPE. Thus here we consider the dimensionless coupled GPEs in *d*-dimensions (d = 1, 2, 3):

$$i\partial_{t}\psi_{1}(\mathbf{x},t) = \begin{bmatrix} -\frac{1}{2}\nabla^{2} + V(\mathbf{x}) + (\beta_{n} + \beta_{s})\left(|\psi_{1}|^{2} + |\psi_{0}|^{2}\right) + (\beta_{n} - \beta_{s})|\psi_{-1}|^{2} \end{bmatrix}\psi_{1}$$

$$(1.9) + \beta_{s}\,\bar{\psi}_{-1}\,\psi_{0}^{2},$$

$$i\partial_{t}\psi_{0}(\mathbf{x},t) = \begin{bmatrix} -\frac{1}{2}\nabla^{2} + V(\mathbf{x}) + (\beta_{n} + \beta_{s})\left(|\psi_{1}|^{2} + |\psi_{-1}|^{2}\right) + \beta_{n}|\psi_{0}|^{2} \end{bmatrix}\psi_{0}$$

$$(1.10) + 2\beta_{s}\,\psi_{-1}\,\bar{\psi}_{0}\,\psi_{1},$$

$$i\partial_{t}\psi_{-1}(\mathbf{x},t) = \begin{bmatrix} -\frac{1}{2}\nabla^{2} + V(\mathbf{x}) + (\beta_{n} + \beta_{s})\left(|\psi_{-1}|^{2} + |\psi_{0}|^{2}\right) + (\beta_{n} - \beta_{s})|\psi_{1}|^{2} \end{bmatrix}\psi_{-1}$$

$$(1.11) + \beta_{s}\,\psi_{0}^{2}\,\bar{\psi}_{1}.$$

In the equations above,  $V(\mathbf{x})$  is a real-valued potential whose shape is determined by the type of system under investigation, and  $\beta_n \propto N$  and  $\beta_s \propto N$  correspond to the dimensionless mean-field (spin-independent) and spin-exchange interaction, respectively. Three important invariants of (1.9)–(1.11) are the mass (or normalization) of the wave function

(1.12)

$$N(\Psi(\cdot,t)) := \|\Psi(\cdot,t)\|^2 := \int_{\mathbb{R}^d} \sum_{l=-1}^1 |\psi_l(\mathbf{x},t)|^2 \, d\mathbf{x} \equiv N(\Psi(\cdot,0)) = 1, \qquad t \ge 0,$$

the magnetization (with  $-1 \le M \le 1$ )

(1.13) 
$$M(\Psi(\cdot,t)) := \int_{\mathbb{R}^d} \left[ |\psi_1(\mathbf{x},t)|^2 - |\psi_{-1}(\mathbf{x},t)|^2 \right] d\mathbf{x} \equiv M(\Psi(\cdot,0)) = M,$$

and the energy per particle

$$E(\Psi(\cdot,t)) = \int_{\mathbb{R}^d} \left\{ \sum_{l=-1}^1 \left( \frac{1}{2} |\nabla \psi_l|^2 + V(\mathbf{x}) |\psi_l|^2 \right) + (\beta_n - \beta_s) |\psi_1|^2 |\psi_{-1}|^2 + \frac{\beta_n}{2} |\psi_0|^4 + \frac{\beta_n + \beta_s}{2} \left[ |\psi_1|^4 + |\psi_{-1}|^4 + 2|\psi_0|^2 \left( |\psi_1|^2 + |\psi_{-1}|^2 \right) \right] + \beta_s \left( \bar{\psi}_{-1} \psi_0^2 \bar{\psi}_1 + \psi_{-1} \bar{\psi}_0^2 \psi_1 \right) \right\} d\mathbf{x} \equiv E(\Psi(\cdot,0)), \quad t \ge 0.$$

A fundamental problem in studying BEC is to find the condensate stationary states  $\Phi(\mathbf{x})$ , in particular the ground state which is the lowest energy stationary state. In other words, the ground state  $\Phi_g(\mathbf{x})$  is obtained from the minimization of the energy functional subject to the conservation of total mass and magnetization:

Find  $(\Phi_g \in S)$  such that

(1.15) 
$$E_g := E\left(\Phi_g\right) = \min_{\Phi \in S} E\left(\Phi\right),$$

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where the nonconvex set S is defined as

(1.16)  

$$S = \left\{ \Phi = (\phi_1, \phi_0, \phi_{-1})^T \mid \|\Phi\| = 1, \ \int_{\mathbb{R}^d} \left[ |\phi_1(\mathbf{x})|^2 - |\phi_{-1}(\mathbf{x})|^2 \right] = M, \ E(\Phi) < \infty \right\}.$$

This is a nonconvex minimization problem. When  $\beta_n \geq 0$ ,  $\beta_n \geq |\beta_s|$ , and  $\lim_{|\mathbf{x}|\to\infty} V(\mathbf{x}) = \infty$ , the existence of a minimizer of the nonconvex minimization problem (1.15) follows from the standard theory [28]. For understanding the uniqueness question, note that  $E(\alpha \cdot \Phi_g) = E(\Phi_g)$  for all  $\alpha = (e^{i\theta_1}, e^{i\theta_0}, e^{i\theta_{-1}})^T$ , with  $\theta_1 + \theta_{-1} = 2\theta_0$ . Thus additional constraints have to be introduced to show the uniqueness.

As derived in [10], by defining the Lagrangian

(1.17)  

$$\mathcal{L}(\Phi,\mu,\lambda) := E(\Phi) - \mu \left( \|\phi_1\|^2 + \|\phi_0\|^2 + \|\phi_{-1}\|^2 - 1 \right) - \lambda \left( \|\phi_1\|^2 - \|\phi_{-1}\|^2 - M \right),$$

we get the Euler-Lagrange equations associated to the minimization problem (1.15):

$$(\mu + \lambda) \phi_1(\mathbf{x}) = \left[ -\frac{1}{2} \nabla^2 + V(\mathbf{x}) + (\beta_n + \beta_s) \left( |\phi_1|^2 + |\phi_0|^2 \right) + (\beta_n - \beta_s) |\phi_{-1}|^2 \right] \phi_1$$
(1.18) 
$$+ \beta_s \, \bar{\phi}_{-1} \, \phi_0^2 := H_1 \, \phi_1,$$

$$\mu \phi_0(\mathbf{x}) = \left[ -\frac{1}{2} \nabla^2 + V(\mathbf{x}) + (\beta_n + \beta_s) \left( |\phi_1|^2 + |\phi_{-1}|^2 \right) + \beta_n |\phi_0|^2 \right] \phi_0$$

(1.19)  $+2\beta_s \phi_{-1} \bar{\phi}_0 \phi_1 := H_0 \phi_0,$ 

$$(\mu - \lambda) \phi_{-1}(\mathbf{x}) = \left[ -\frac{1}{2} \nabla^2 + V(\mathbf{x}) + (\beta_n + \beta_s) \left( |\phi_{-1}|^2 + |\phi_0|^2 \right) + (\beta_n - \beta_s) |\phi_1|^2 \right] \phi_{-1}$$

$$(1.20) \qquad \qquad + \beta_s \phi_0^2 \,\bar{\phi}_1 := H_{-1} \phi_{-1}.$$

Here  $\mu$  and  $\lambda$  are the Lagrange multipliers (or chemical potentials) of the coupled GPEs (1.9)–(1.11). In addition, (1.18)–(1.20) is also a nonlinear eigenvalue problem with two constraints

(1.21) 
$$\|\Phi\|^2 := \int_{\mathbb{R}^d} |\Phi(\mathbf{x})|^2 d\mathbf{x} = \int_{\mathbb{R}^d} \sum_{l=-1}^1 |\phi_l(\mathbf{x})|^2 d\mathbf{x} := \sum_{l=-1}^1 \|\phi_l\|^2 = 1,$$

(1.22) 
$$\|\phi_1\|^2 - \|\phi_{-1}\|^2 := \int_{\mathbb{R}^d} \left[ |\phi_1(\mathbf{x})|^2 - |\phi_{-1}(\mathbf{x})|^2 \right] d\mathbf{x} = M.$$

In fact, the nonlinear eigenvalue problem (1.18)–(1.20) can also be obtained from the coupled GPEs (1.9)–(1.11) by plugging in  $\psi_l(\mathbf{x}, t) = e^{-i\mu_l t} \phi_l(\mathbf{x})$  (l = 1, 0, -1), with

(1.23) 
$$\mu_1 = \mu + \lambda, \quad \mu_0 = \mu, \quad \mu_{-1} = \mu - \lambda \iff \mu_1 + \mu_{-1} = 2\mu_0.$$

Thus it is also called time-independent coupled GPEs. In the literature, any eigenfunction  $\Phi$  of the nonlinear eigenvalue problem (1.18)–(1.20) under constraints (1.21) and (1.22) whose energy is larger than the energy of the ground state is called an excited state of the coupled GPEs (1.9)–(1.11).

Different numerical methods were proposed in the literature for computing the ground state of a BEC [18, 16, 1, 6, 3, 5, 9, 10, 13, 15, 14, 4]. Among them, a widely

used numerical method is the imaginary time method followed by an appropriate discretization scheme [16, 6, 3] to evolve the resulting gradient flow equation under normalization of the wave function, which is mathematically justified by using the normalized gradient flow [6, 3]. However, it is not obvious that this most popular and powerful normalized gradient flow (or imaginary time method) could be directly extended to compute the ground state of a spin-1 BEC. The reason is that we have only two normalization conditions (i.e., the two constraints: conservation of total mass and magnetization) which are insufficient to determine the three projection constants for the three components of the wave function used in the normalization step. In the literature, the imaginary time method is still applied to compute the ground state of a spin-1 BEC through the introduction of a random variable to choose the three projection parameters in the projection step [33, 35]. Of course, this is not a determinate and efficient way to compute the ground state of a spin-1 BEC due to the choice of the random variable. Recently, Bao and Wang [10] have proposed a continuous normalized gradient flow (CNGF) for computing the ground state of a spin-1 BEC. The CNGF is discretized by the Crank–Nicolson finite difference method with a proper and very special way to deal with the nonlinear terms, and thus the discretization scheme can be proved to be mass- and magnetization-conservative and energy-diminishing in the discretized level [10]. However, at each time step, a fully nonlinear system must be solved which is a little tedious from a computational point of view since the CNGF is an integral-differential equation (see details in (A.1)-(A.9)) which involves implicitly the Lagrange multipliers in the normalized gradient flow evolution [10]. The aim of this paper is to introduce a third normalization condition based on the relation between the chemical potentials of a spin-1 BEC, in addition to the two existing normalization conditions given by the conservation of the total mass and magnetization. Thus we can completely determine the three projection constants used in the normalization step for the normalized gradient flow. This allows us to develop the most popular and powerful normalized gradient flow or imaginary time method to compute the ground state of a spin-1 BEC.

The paper is organized as follows. In section 2, the normalized gradient flow is constructed by introducing the third projection or normalization condition for computing the ground state of a spin-1 BEC. In section 3, the backward-forward Euler sine-pseudospectral method (BESP) is presented to discretize the normalized gradient flow. In section 4, ground states of a spin-1 BEC are reported with ferromagnetic/antiferromagnetic interaction and a harmonic/optical lattice potential in one/three dimensions, respectively. Finally, some conclusions are drawn in section 5.

2. The normalized gradient flow. In this section, we will construct the normalized gradient flow for computing the ground state of a spin-1 BEC by introducing the third normalization condition.

Various algorithms for computing the minimizer of the nonconvex minimization problem (1.15) have been studied in the literature. For instance, a CNGF and its discretization that preserve the total mass- and magnetization-conservation and energydiminishing properties were presented in [10]. Perhaps one of the most popular and efficient techniques for dealing with the normalization constraints in (1.16) is through the following construction: choose a time step  $k = \Delta t > 0$ , and denote time steps as  $t_n = n k$  for  $n = 0, 1, 2, \ldots$ . To adapt an efficient algorithm for the solution of the usual gradient flow to the minimization problem under constraints, it is natural to consider the following splitting (or projection) scheme, which was widely used in the literature for computing the ground state of BECs:

$$\partial_{t}\phi_{1}(\mathbf{x},t) = \begin{bmatrix} \frac{1}{2}\nabla^{2} - V(\mathbf{x}) - (\beta_{n} + \beta_{s}) \left(|\phi_{1}|^{2} + |\phi_{0}|^{2}\right) - (\beta_{n} - \beta_{s})|\phi_{-1}|^{2} \end{bmatrix} \phi_{1}$$

$$(2.1) \qquad -\beta_{s} \,\bar{\phi}_{-1} \,\phi_{0}^{2},$$

$$\partial_{t}\phi_{0}(\mathbf{x},t) = \begin{bmatrix} \frac{1}{2}\nabla^{2} - V(\mathbf{x}) - (\beta_{n} + \beta_{s}) \left(|\phi_{1}|^{2} + |\phi_{-1}|^{2}\right) - \beta_{n}|\phi_{0}|^{2} \end{bmatrix} \phi_{0}$$

$$(2.2) \qquad -2\beta_{s} \,\phi_{-1} \,\bar{\phi}_{0} \,\phi_{1}, \qquad \mathbf{x} \in \mathbb{R}^{d}, \quad t_{n-1} \leq t < t_{n}, \qquad n \geq 1,$$

$$\partial_{t}\phi_{-1}(\mathbf{x},t) = \begin{bmatrix} \frac{1}{2}\nabla^{2} - V(\mathbf{x}) - (\beta_{n} + \beta_{s}) \left(|\phi_{-1}|^{2} + |\phi_{0}|^{2}\right) - (\beta_{n} - \beta_{s})|\phi_{1}|^{2} \end{bmatrix} \phi_{-1}$$

$$(2.3) \qquad -\beta_{s} \,\phi_{0}^{2} \,\bar{\phi}_{1},$$

followed by a projection step as

(2.4) 
$$\phi_1(\mathbf{x}, t_n) := \phi_1(\mathbf{x}, t_n^+) = \sigma_1^n \ \phi_1(\mathbf{x}, t_n^-),$$

(2.5)  $\phi_0(\mathbf{x}, t_n) := \phi_0(\mathbf{x}, t_n^+) = \sigma_0^n \ \phi_0(\mathbf{x}, t_n^-), \qquad \mathbf{x} \in \mathbb{R}^d, \qquad n \ge 1,$ 

(2.6) 
$$\phi_{-1}(\mathbf{x}, t_n) := \phi_{-1}(\mathbf{x}, t_n^+) = \sigma_{-1}^n \phi_{-1}(\mathbf{x}, t_n^-),$$

where  $\phi_l(\mathbf{x}, t_n^{\pm}) = \lim_{t \to t_n^{\pm}} \phi_l(\mathbf{x}, t)$  (l = -1, 0, 1) and  $\sigma_l^n$  (l = -1, 0, 1) are projection constants, and they are chosen such that

(2.7) 
$$\|\Phi(\cdot,t_n)\|^2 = \sum_{l=-1}^{1} \|\phi_l(\cdot,t_n)\|^2 = 1, \qquad \|\phi_1(\cdot,t_n)\|^2 - \|\phi_{-1}(\cdot,t_n)\|^2 = M.$$

In fact, the gradient flow (2.1)-(2.3) can be viewed as applying the steepest descent method to the energy functional  $E(\Phi)$  in (1.14) without constraints, and (2.4)-(2.6) project the solution back to the unit sphere S in order to satisfy the constraints in (1.16). In addition, (2.1)-(2.3) can also be obtained from the coupled GPEs (1.9)-(1.11) by the change of variable  $t \rightarrow -i t$ , which is why the algorithm is usually called the imaginary time method in the literature [16, 6, 3].

By plugging (2.4)–(2.6) into (2.7), we obtain

(2.8) 
$$\sum_{l=-1}^{1} \left(\sigma_{l}^{n}\right)^{2} \|\phi_{l}(\cdot, t_{n}^{-})\|^{2} = 1,$$

(2.9) 
$$(\sigma_1^n)^2 \|\phi_1(\cdot, t_n^-)\|^2 - (\sigma_{-1}^n)^2 \|\phi_{-1}(\cdot, t_n^-)\|^2 = M.$$

There are three unknowns and only two equations in the above nonlinear system, so the solution is undetermined! In order to determine the projection constants  $\sigma_l^n$  (l = -1, 0, 1), we need to find an additional equation. Based on the relation between the chemical potentials in (1.23) and the continuous normalized gradient flow proposed in [10] for computing the ground state of a spin-1 BEC (see details in Appendix A) we propose to use the following equation as the third normalization condition:

(2.10) 
$$\sigma_1^n \sigma_{-1}^n = (\sigma_0^n)^2.$$

By solving the nonlinear system (2.8), (2.9), and (2.10) (see details in Appendix B), we get explicitly the projection constants

$$\sigma_0^n = \frac{\sqrt{1 - M^2}}{\left[ \|\phi_0(\cdot, t_n^-)\|^2 + \sqrt{4(1 - M^2)} \|\phi_1(\cdot, t_n^-)\|^2 \|\phi_{-1}(\cdot, t_n^-)\|^2 + M^2 \|\phi_0(\cdot, t_n^-)\|^4 \right]^{1/2}}$$

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(2.12)

$$\sigma_1^n = \frac{\sqrt{1 + M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2}}{\sqrt{2} \|\phi_1(\cdot, t_n^-)\|}, \qquad \sigma_{-1}^n = \frac{\sqrt{1 - M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2}}{\sqrt{2} \|\phi_{-1}(\cdot, t_n^-)\|}.$$

From the numerical point of view, the gradient flow (2.1)-(2.3) can be solved via traditional techniques, and the normalization of the gradient flow is simply achieved by a projection at the end of each time step.

3. Backward-forward Euler sine-pseudospectral method. In this section, we will present the BESP to discretize the normalized gradient flow (2.1)-(2.3), (2.4)-(2.6), and (2.11)-(2.12).

Due to the trapping potential  $V(\mathbf{x})$  given by (1.4), the solution  $\Phi(\mathbf{x}, t)$  decays to zero exponentially fast when  $|\mathbf{x}| \to \infty$ . Thus in practical computation, we truncate the problem into a bounded computational domain  $\Omega_{\mathbf{x}}$  (chosen as an interval (a, b) in 1D, a rectangle  $(a, b) \times (c, d)$  in 2D, and a box  $(a, b) \times (c, d) \times (e, f)$  in 3D, with |a|, |c|,|e|, b, d, and f sufficiently large) with homogeneous Dirichlet boundary conditions.

For simplicity of notation we introduce the method for the case of one spatial dimension (d = 1) defined over the interval (a, b) with homogeneous Dirichlet boundary conditions. Generalization to higher dimensions is straightforward for tensor product grids, and the results remain valid without modifications. For d = 1, we choose the spatial mesh size  $h = \Delta x > 0$ , with h = (b - a)/L for L an even positive integer, and let the grid points be

$$x_j := a + j h, \qquad j = 0, 1, \dots, L.$$

Let  $\Phi_j^n = (\phi_{1,j}^n, \phi_{0,j}^n, \phi_{-1,j}^n)^T$  be the approximation of  $\Phi(x_j, t_n) = (\phi_1(x_j, t_n), \phi_0(x_j, t_n), \phi_{-1}(x_j, t_n))^T$  and  $\Phi^n$  be the solution vector with component  $\Phi_j^n$ . In the discretization, we use the sine-pseudospectral method for spatial derivatives and the backward-forward Euler scheme for linear/nonlinear terms in time discretization. The gradient flow (2.1)–(2.3) is discretized, for j = 1, 2, ..., L - 1 and  $n \ge 1$ , as

(3.1) 
$$\frac{\phi_{1,j}^* - \phi_{1,j}^{n-1}}{\Delta t} = \frac{1}{2} D_{xx}^s \phi_1^* |_{x=x_j} - \alpha_1 \phi_{1,j}^* + G_{1,j}^{n-1},$$

(3.2) 
$$\frac{\phi_{0,j}^* - \phi_{0,j}^{n-1}}{\Delta t} = \frac{1}{2} D_{xx}^* \phi_0^*|_{x=x_j} - \alpha_0 \phi_{0,j}^* + G_{0,j}^{n-1},$$

(3.3) 
$$\frac{\phi_{-1,j}^* - \phi_{-1,j}^{n-1}}{\Delta t} = \frac{1}{2} D_{xx}^* \phi_{-1}^* |_{x=x_j} - \alpha_{-1} \phi_{-1,j}^* + G_{-1,j}^{n-1}$$

where

$$\begin{split} G_{1,j}^{n-1} &= \left[ \alpha_1 - V(x_j) - -(\beta_n + \beta_s) \left( |\phi_{1,j}^{n-1}|^2 + |\phi_{0,j}^{n-1}|^2 \right) - (\beta_n - \beta_s) |\phi_{-1,j}^{n-1}|^2 \right] \phi_{1,j}^{n-1} \\ (3.4) &\quad -\beta_s \, \bar{\phi}_{-1,j}^{n-1} \left( \phi_{0,j}^{n-1} \right)^2 , \\ G_{0,j}^{n-1} &= \left[ \alpha_0 - V(x_j) - (\beta_n + \beta_s) \left( |\phi_{1,j}^{n-1}|^2 + |\phi_{-1,j}^{n-1}|^2 \right) - \beta_n |\phi_{0,j}^{n-1}|^2 \right] \phi_{0,j}^{n-1} \\ (3.5) &\quad -2\beta_s \, \phi_{-1,j}^{n-1} \, \bar{\phi}_{0,j}^{n-1} \, \phi_{1,j}^{n-1} , \\ G_{-1,j}^{n-1} &= \left[ \alpha_{-1} - V(x_j) - (\beta_n + \beta_s) \left( |\phi_{-1,j}^{n-1}|^2 + |\phi_{0,j}^{n-1}|^2 \right) - (\beta_n - \beta_s) |\phi_{1,j}^{n-1}|^2 \right] \phi_{-1,j}^{n-1} \\ (3.6) &\quad -\beta_s \, \left( \phi_{0,j}^{n-1} \right)^2 \, \bar{\phi}_{1,j}^{n-1} . \end{split}$$

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Here  $D_{xx}^s$ , a pseudospectral differential operator approximation of  $\partial_{xx}$ , is defined as

$$D_{xx}^{s}U|_{x=x_{j}} = -\sum_{m=1}^{L-1} \mu_{m}^{2}(\hat{U})_{m} \sin(\mu_{m}(x_{j}-a)), \qquad j=1,2,\ldots,L-1,$$

where  $(\hat{U})_m$  (m = 1, 2, ..., L - 1), the sine transform coefficients of the vector  $U = (U_0, U_1, ..., U_L)^T$  satisfying  $U_0 = U_L = 0$ , are defined as

$$\mu_m = \frac{\pi m}{b-a}, \qquad (\hat{U})_m = \frac{2}{L} \sum_{j=1}^{L-1} U_j \sin(\mu_m(x_j - a)), \quad m = 1, 2, \dots, L-1,$$

and  $\alpha_l$  (l = -1, 0, 1) are the stabilization parameters which are chosen in the "optimal" form (such that the time step can be chosen as large as possible) as [5]

(3.7) 
$$\alpha_1 = \frac{1}{2} \left( b_1^{\max} + b_1^{\min} \right), \quad \alpha_0 = \frac{1}{2} \left( b_0^{\max} + b_0^{\min} \right), \quad \alpha_{-1} = \frac{1}{2} \left( b_{-1}^{\max} + b_{-1}^{\min} \right),$$

with

$$\begin{split} b_1^{\max} &= \max_{1 \leq j \leq L-1} \left[ V(x_j) + (\beta_n + \beta_s) \left( |\phi_{1,j}^{n-1}|^2 + |\phi_{0,j}^{n-1}|^2 \right) + (\beta_n - \beta_s) |\phi_{-1,j}^{n-1}|^2 \right], \\ b_1^{\min} &= \min_{1 \leq j \leq L-1} \left[ V(x_j) + (\beta_n + \beta_s) \left( |\phi_{1,j}^{n-1}|^2 + |\phi_{0,j}^{n-1}|^2 \right) + (\beta_n - \beta_s) |\phi_{-1,j}^{n-1}|^2 \right], \\ b_0^{\max} &= \max_{1 \leq j \leq L-1} \left[ V(x_j) + (\beta_n + \beta_s) \left( |\phi_{1,j}^{n-1}|^2 + |\phi_{-1,j}^{n-1}|^2 \right) + \beta_n |\phi_{0,j}^{n-1}|^2 \right], \\ b_0^{\min} &= \min_{1 \leq j \leq L-1} \left[ V(x_j) + (\beta_n + \beta_s) \left( |\phi_{1,j}^{n-1}|^2 + |\phi_{-1,j}^{n-1}|^2 \right) + \beta_n |\phi_{0,j}^{n-1}|^2 \right], \\ b_{-1}^{\max} &= \max_{1 \leq j \leq L-1} \left[ V(x_j) + (\beta_n + \beta_s) \left( |\phi_{-1,j}^{n-1}|^2 + |\phi_{0,j}^{n-1}|^2 \right) + (\beta_n - \beta_s) |\phi_{1,j}^{n-1}|^2 \right], \\ b_{-1}^{\min} &= \min_{1 \leq j \leq L-1} \left[ V(x_j) + (\beta_n + \beta_s) \left( |\phi_{-1,j}^{n-1}|^2 + |\phi_{0,j}^{n-1}|^2 \right) + (\beta_n - \beta_s) |\phi_{1,j}^{n-1}|^2 \right]. \end{split}$$

The homogeneous Dirichlet boundary conditions are discretized as

(3.8) 
$$\phi_{1,0}^* = \phi_{1,L}^* = \phi_{0,0}^* = \phi_{0,L}^* = \phi_{-1,0}^* = \phi_{-1,L}^* = 0.$$

The projection step (2.4)–(2.6) is discretized, for  $0\leq j\leq L$  and  $n\geq 1,$  as

(3.9) 
$$\phi_{1,j}^n = \sigma_1^n \phi_{1,j}^*, \quad \phi_{0,j}^n = \sigma_0^n \phi_{0,j}^*, \quad \phi_{-1,j}^n = \sigma_{-1}^n \phi_{-1,j}^*,$$

where

(3.10) 
$$\sigma_0^n = \frac{\sqrt{1 - M^2}}{\left[\|\phi_0^*\|^2 + \sqrt{4(1 - M^2)}\|\phi_1^*\|^2 \|\phi_{-1}^*\|^2 + M^2 \|\phi_0^*\|^4\right]^{1/2}}$$

(3.11) 
$$\sigma_1^n = \frac{\sqrt{1 + M - \alpha_0^2 \|\phi_0^*\|^2}}{\sqrt{2} \|\phi_1^*\|}, \qquad \sigma_{-1}^n = \frac{\sqrt{1 - M - \alpha_0^2 \|\phi_0^*\|^2}}{\sqrt{2} \|\phi_{-1}^*\|},$$

with

$$\|\phi_1^*\|^2 = h \sum_{j=1}^{L-1} |\phi_{1,j}^*|^2, \qquad \|\phi_0^*\|^2 = h \sum_{j=1}^{L-1} |\phi_{0,j}^*|^2, \qquad \|\phi_{-1}^*\|^2 = h \sum_{j=1}^{L-1} |\phi_{-1,j}^*|^2.$$

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The initial data (A.10) are discretized as

$$\phi_{l,j}^0 = \phi_l(x_j, 0), \qquad j = 0, 1, 2, \dots, L, \qquad l = -1, 0, 1.$$

The linear system (3.1)–(3.3) can be solved very efficiently by using the fast sine transform. In fact, by taking the discrete sine transform at both sides, we get

$$(3.12) \quad \frac{1}{\Delta t} \left[ (\hat{\phi}_1^*)_m - (\hat{\phi}_1^{n-1})_m \right] = - \left[ \frac{1}{2} \mu_m^2 + \alpha_1 \right] (\hat{\phi}_1^*)_m + (\hat{G}_1^{n-1})_m,$$

$$(3.13) \quad \frac{1}{\Delta t} \left[ (\hat{\phi}_0^*)_m - (\hat{\phi}_0^{n-1})_m \right] = - \left[ \frac{1}{2} \mu_m^2 + \alpha_0 \right] (\hat{\phi}_0^*)_m + (\hat{G}_0^{n-1})_m, \quad 1 \le m < L,$$

$$(3.14) \quad \frac{1}{\Delta t} \left[ (\hat{\phi}_0^*)_m - (\hat{\phi}_0^{n-1})_m \right] = - \left[ \frac{1}{2} \mu_m^2 + \alpha_0 \right] (\hat{\phi}_0^*)_m + (\hat{G}_0^{n-1})_m, \quad 1 \le m < L,$$

$$(3.14) \quad \frac{1}{\Delta t} \left[ (\hat{\phi}_{-1}^*)_m - (\hat{\phi}_{-1}^{n-1})_m \right] = - \left[ \frac{1}{2} \mu_m^2 + \alpha_{-1} \right] (\hat{\phi}_{-1}^*)_m + (\hat{G}_{-1}^{n-1})_m$$

By solving the above system in the phase space, we obtain

(3.15) 
$$(\hat{\phi}_1^*)_m = \frac{1}{1 + \Delta t \left[\alpha_1 + \mu_m^2/2\right]} \left[ (\hat{\phi}_1^{n-1})_m + (\hat{G}_1^{n-1})_m \right]$$

$$(3.16) \quad (\hat{\phi}_0^*)_m = \frac{1}{1 + \Delta t \left[\alpha_0 + \mu_m^2/2\right]} \left[ (\hat{\phi}_0^{n-1})_m + (\hat{G}_0^{n-1})_m \right], \quad 1 \le m < L,$$

(3.17) 
$$(\hat{\phi}_{-1}^*)_m = \frac{1}{1 + \Delta t \left[\alpha_{-1} + \mu_m^2/2\right]} \left[ (\hat{\phi}_{-1}^{n-1})_m + (\hat{G}_{-1}^{n-1})_m \right].$$

Remark 3.1. The gradient flow (2.1)–(2.3) can also be discretized by using the backward Euler finite difference method proposed in [6] or the backward Euler sinepseudospectral method proposed in [5] for computing the ground state of a onecomponent BEC.

4. Numerical results. In this section, we first show that the ground states computed by our new numerical method are independent of the choice of the initial data in (A.10) and verify numerically the energy-diminishing property of the method. Finally, we apply the method to compute the ground state of a spin-1 BEC with different interactions and trapping potentials. In our computations, the ground state is reached by using the numerical method (3.1)–(3.3) and (3.9)–(3.11) when  $\|\Phi_h^{n+1} - \Phi_h^n\| \leq \varepsilon := 10^{-7}$ . In addition, in the ground state of a spin-1 BEC, we have  $M \leftrightarrow -M \iff \phi_1 \leftrightarrow \phi_{-1}$ , and thus we present only results for  $0 \leq M \leq 1$ .

**4.1. Choice of initial data.** In our tests, two typical physical experiments are considered:

- Case I. With ferromagnetic interaction, e.g., <sup>87</sup>Rb confined in a cigar-shaped trapping potential with parameters:  $m = 1.443 \times 10^{-25}$  [kg],  $a_0 = 5.387$  [nm],  $a_2 = 5.313$  [nm],  $\omega_x = 2\pi \times 20$  [Hz], and  $\omega_y = \omega_z = 2\pi \times 400$  [Hz]. This suggests to us to use dimensionless quantities in (1.9)-(1.11) for our computations as: d = 1,  $V(x) = x^2/2$ ,  $\beta_n \approx \frac{4\pi(a_0+2a_2)N}{3a_s} \frac{\sqrt{\omega_y \omega_z}}{2\pi \omega_x} = 0.0885N$ , and  $\beta_s \approx \frac{4\pi(a_2-a_0)N}{3a_s} \frac{\sqrt{\omega_y \omega_z}}{2\pi \omega_x} = -0.00041N$ , with N the total number of atoms in the condensate and the dimensionless length unit  $a_s = \sqrt{\hbar/m\omega_x} = 2.4116 \times 10^{-6}$  [m] and time unit  $t_s = 1/\omega_x = 0.007958$  [s].
- Case II. With antiferromagnetic interaction, e.g., <sup>23</sup>Na confined in a cigarshaped trapping potential with parameters:  $m = 3.816 \times 10^{-26}$  [kg],  $a_0 =$ 2.646 [nm],  $a_2 = 2.911$  [nm],  $\omega_x = 2\pi \times 20$  [Hz], and  $\omega_y = \omega_z = 2\pi \times 400$  [Hz]. Again, this suggests to us to use the following dimensionless quantities in



FIG. 1. Time evolution of  $N_1 = \|\phi_1(\cdot,t)\|^2$  (left),  $N_0 = \|\phi_0(\cdot,t)\|^2$  (middle), and  $N_{-1} = \|\phi_{-1}(\cdot,t)\|^2$  (right) by our method (2.4)–(2.6) for <sup>87</sup>Rb in case I with M = 0.5 and  $N = 10^4$  to analyze the convergence of different initial data in (4.4) (solid line) and (4.1)–(4.3) with  $\kappa = 0.1$  (dotted line),  $\kappa = 0.2$  (dashed-dotted line), and  $\kappa = 0.4$  (dashed line), respectively.

our computations: d = 1,  $V(x) = x^2/2$ ,  $\beta_n \approx 0.0241N$ , and  $\beta_s \approx 0.00075N$  with the dimensionless length unit  $a_s = 4.6896 \times 10^{-6}$  [m] and time unit  $t_s = 0.007958$  [s].

We first test that the converged solution is independent of different choices of the initial data in (A.10) and the energy-diminishing property of the normalized gradient flow. In order to do so, we choose the initial data in (A.10) as

• Gaussian profiles satisfying the constraints in (1.16) initially, i.e.,

(4.1) 
$$\phi_1(x,0) = \frac{\sqrt{0.5(1+M-\kappa)}}{\pi^{1/4}} e^{-x^2/2}$$

(4.2) 
$$\phi_0(x,0) = \frac{\sqrt{\kappa}}{\pi^{1/4}} e^{-x^2/2}, \qquad -\infty < x < \infty,$$

(4.3) 
$$\phi_{-1}(x,0) = \frac{\sqrt{0.5(1-M-\kappa)}}{\pi^{1/4}} e^{-x^2/2},$$

where  $\kappa$  is a constant satisfying  $0 < \kappa < 1 - |M|$ ; • unnormalized Gaussian profiles, i.e.,

(4.4) 
$$\phi_1(x,0) = \phi_0(x,0) = \phi_{-1}(x,0) = e^{-x^2/2}, \quad -\infty < x < \infty.$$

We solve the problem (1.15) by our method on [-16, 16] with time step  $\Delta t = 0.005$ and mesh size h = 1/64 for different values of  $\kappa$  in (4.1)–(4.3). Figure 1 plots the time evolution of  $N_l(t) := \|\phi_l(\cdot, t)\|^2$  (l = 1, 0, -1) for <sup>87</sup>Rb in case I with M = 0.5and  $N = 10^4$  for different choices of the initial data in (4.4) and (4.1)–(4.3), and Figure 2 shows similar results for <sup>23</sup>Na in case II. In addition, Figure 3 depicts the time evolution of the energy for the two cases with M = 0.5 and  $N = 10^4$  for different choices of the initial data in (4.4).

From Figures 1 and 2, we can see that the converged ground states are independent of the choice of initial data. In fact, based on our extensive numerical experiments on other types of initial data (not shown here for brevity), our numerical method always gives the ground state if all three components in the initial data are chosen as nonnegative functions. In addition, Figure 3 demonstrates the energy-diminishing property of the normalized gradient flow and its full discretization when time step  $\Delta t$ 



FIG. 2. Time evolution of  $N_1 = \|\phi_1(\cdot,t)\|^2$  (left),  $N_0 = \|\phi_0(\cdot,t)\|^2$  (middle), and  $N_{-1} = \|\phi_{-1}(\cdot,t)\|^2$  (right) by our method (2.4)–(2.6) for <sup>23</sup>Na in case II with M = 0.5 and  $N = 10^4$  to analyze the convergence of different initial data in (4.4) (solid line) and (4.1)–(4.3) with  $\kappa = 0.1$  (dotted line),  $\kappa = 0.2$  (dashed-dotted line), and  $\kappa = 0.4$  (dashed line), respectively.



FIG. 3. Time evolution of the energy by our method (2.4)–(2.6) with M = 0.5 and  $N = 10^4$  for (a) <sup>87</sup>Rb in case I and (b) <sup>23</sup>Na in case II with different initial data in (4.4) (solid line) and (4.1)–(4.3) with  $\kappa = 0.1$  (dotted line),  $\kappa = 0.2$  (dashed-dotted line), and  $\kappa = 0.4$  (dashed line), respectively.

is small. Based on our numerical experiments, for  $0 \le M \le 1$ , we suggest the initial data in (A.10) be chosen as: (i) with ferromagnetic interaction, i.e.,  $\beta_s \le 0$ ,

$$\phi_1(\mathbf{x}) = \frac{1}{2}\sqrt{1+3M}\phi_g^{\rm ap}(\mathbf{x}), \quad \phi_0(\mathbf{x}) = \sqrt{\frac{1-M}{2}}\phi_g^{\rm ap}(\mathbf{x}), \quad \phi_1(\mathbf{x}) = \frac{1}{2}\sqrt{1-M}\phi_g^{\rm ap}(\mathbf{x});$$

and (ii) with antiferromagnetic interaction, i.e.,  $\beta_s > 0$ ,

$$\phi_1(\mathbf{x}) = \sqrt{\frac{1+M}{2}} \phi_g^{ap}(\mathbf{x}), \quad \phi_0(\mathbf{x}) = 0, \quad \phi_1(\mathbf{x}) = \sqrt{\frac{1-M}{2}} \phi_g^{ap}(\mathbf{x}),$$

where  $\phi_g^{\text{ap}}(\mathbf{x})$  can be chosen as the approximate ground state solution of a singlecomponent BEC, e.g., the harmonic oscillator approximation when  $\beta_n$  is small and the Thomas–Fermi approximation when  $\beta_n \gg 1$  [6, 9, 8]. Based on these choices of initial data, we report the ground states computed by our numerical method.

Figure 4 shows the ground state solutions of <sup>87</sup>Rb in case I with  $N = 10^4$  for different magnetizations M, and Table 1 lists the corresponding ground state energies



FIG. 4. Wave functions of the ground state, i.e.,  $\phi_1(x)$  (dashed line),  $\phi_0(x)$  (solid line), and  $\phi_{-1}(x)$  (dotted line), of <sup>87</sup>Rb in case I with a fixed number of particles  $N = 10^4$  for different magnetizations M = 0, 0.2, 0.5, 0.9.

TABLE 1 Ground state energy E and their chemical potentials  $\mu$  and  $\lambda$  for <sup>87</sup>Rb in case I with  $N = 10^4$ for different magnetizations M.

M	E	$\mu$	$\lambda( imes 10^{-5})$
0	36.1365	60.2139	0
0.1	36.1365	60.2139	1.574
0.2	36.1365	60.2139	1.621
0.3	36.1365	60.2139	1.702
0.4	36.1365	60.2139	1.827
0.5	36.1365	60.2139	2.014
0.6	36.1365	60.2139	2.218
0.7	36.1365	60.2139	2.062
0.8	36.1365	60.2139	2.081
0.9	36.1365	60.2139	2.521

and their Lagrange multipliers (see their detailed formulation in Appendix C). In addition, Figure 5 shows similar ground state solutions with M = 0.5 for different particle numbers N.

Similarly, Figure 6 shows the ground state solutions of <sup>23</sup>Na in case II with  $N = 10^4$  for different magnetizations M, and Table 2 lists the corresponding ground state energies and their Lagrange multipliers. In addition, Figure 7 shows similar ground state solutions with M = 0.5 for different particle numbers N.



FIG. 5. Wave functions of the ground state, i.e.,  $\phi_1(x)$  (dashed line),  $\phi_0(x)$  (solid line), and  $\phi_{-1}(x)$  (dotted line), of <sup>87</sup>Rb in case I with magnetization M = 0.5 for different numbers of particles N.

Figure 8 plots the mass of the three components in the spin-1 BEC ground states with  $N = 10^4$  for different magnetizations M, and Figure 9 depicts the energy and chemical potentials with M = 0.5 for different particle numbers N.

From Figures 4–6 as well as Tables 1–2, we can draw the following conclusions: (i) For ferromagnetic interaction in the spin-1 BEC, i.e.,  $\beta_s \leq 0$ , the three components in the ground state solutions are all positive functions (cf. Figures 4 and 5), while for antiferromagnetic interaction, i.e.,  $\beta_s \geq 0$ ,  $\phi_1$  and  $\phi_{-1}$  are positive functions and  $\phi_0 \equiv 0$  (cf. Figures 6 and 7). (ii) For ferromagnetic interaction in the spin-1 BEC, i.e.,  $\beta_s \leq 0$ , for a fixed number of particles N in the condensate, when the magnetization M increases from 0 to 1, the mass  $N_1$  increases from 0.25 to 1, the mass  $N_{-1}$  decreases from 0.25 to 0, and the mass  $N_0$  decreases from 0.5 to 0 (cf. Figure 9(a)), while for antiferromagnetic interaction, i.e.,  $\beta_s \geq 0$ ,  $N_1$  increases from 0.5 to 1,  $N_{-1}$  decreases from 0.5 to 0, and  $N_0 = 0$  (cf. Figure 9(b)). (iii) For ferromagnetic interaction in the spin-1 BEC, i.e.,  $\beta_s \leq 0$ , for a fixed number of particles N in the condensate, the energy and chemical potentials are independent of the magnetization (cf. Table 1; see [32] for detailed physical reasons), while for antiferromagnetic interaction, i.e.,  $\beta_s \geq 0$ , when the magnetization M increases from 0 to 1, the energy E increases, the main chemical potential  $\mu$  decreases, and the second chemical potential  $\lambda$  increases (cf. Table 2). In both cases, for fixed magnetization M, when the number of particles N increases, the energy and chemical potentials increase (cf. Figure 8). These observations agree with those obtained in [10] and [33] by different numerical methods.



FIG. 6. Wave functions of the ground state, i.e.,  $\phi_1(x)$  (dashed line),  $\phi_0(x)$  (solid line), and  $\phi_{-1}(x)$  (dotted line), of <sup>23</sup>Na in case II with a fixed number of particles  $N = 10^4$  for different magnetizations M = 0, 0.2, 0.5, 0.9.

TABLE 2

M	E	$\mu$	λ
0	15.2485	25.3857	0
0.1	15.2514	25.3847	0.0569
0.2	15.2599	25.3815	0.1142
0.3	15.2743	25.3762	0.1725
0.4	15.2945	25.3682	0.2325
0.5	15.3209	25.3572	0.2950
0.6	15.3537	25.3423	0.3611
0.7	15.3933	25.3220	0.4326
0.8	15.4405	25.2939	0.5121
0.9	15.4962	25.2527	0.6049

Ground state energy E and their chemical potentials  $\mu$  and  $\lambda$  for <sup>23</sup>Na in case II with  $N = 10^4$  for different magnetizations M.

**4.2.** Application in 1D with optical lattice potential. In this subsection, our method is applied to compute the ground state of a spin-1 BEC in one dimension (1D) with an optical lattice potential. Again, two different kinds of interaction are considered:

• Case I. For <sup>87</sup>Rb with dimensionless quantities in (1.9)–(1.11) used as: d = 1,  $V(x) = x^2/2 + 25 \sin^2\left(\frac{\pi x}{4}\right)$ ,  $\beta_n = 0.0885N$ , and  $\beta_s = -0.00041N$ , with N the total number of atoms in the condensate and the dimensionless length unit  $a_s = 2.4116 \times 10^{-6}$  [m] and time unit  $t_s = 0.007958$  [s].



FIG. 7. Wave functions of the ground state, i.e.,  $\phi_1(x)$  (dashed line),  $\phi_0(x)$  (solid line), and  $\phi_{-1}(x)$  (dotted line), of <sup>23</sup>Na in case II with magnetization M = 0.5 for different numbers of particles N.

• Case II. For <sup>23</sup>Na with dimensionless quantities in (1.9)–(1.11) used as: d = 1,  $V(x) = x^2/2 + 25 \sin^2\left(\frac{\pi x}{4}\right)$ ,  $\beta_n = 0.0241N$ , and  $\beta_s = 0.00075N$ , with N the total number of atoms in the condensate and the dimensionless length unit  $a_s = 4.6896 \times 10^{-6}$  [m] and time unit  $t_s = 0.007958$  [s].

Figure 10 shows the ground state solutions of <sup>87</sup>Rb in case I with  $N = 10^4$  for different magnetizations M, and Table 3 lists the corresponding ground state energies and their Lagrange multipliers. Figure 11 and Table 4 show similar results for <sup>23</sup>Na in case II.

From Figures 10 and 11 and Tables 3 and 4, it can be seen that our method can be used in computing the ground state of a spin-1 BEC with general potential. In addition to that, similar conclusions as those in the end of the previous subsection can also be observed in this case.

**4.3.** Applications in 3D with optical lattice potential. In this subsection, our method is applied to compute the ground state of a spin-1 BEC in three dimensions (3D) with an optical lattice potential. Again, two different kinds of interaction are considered:

• Case I. For <sup>87</sup>Rb with dimensionless quantities in (1.9)–(1.11) used as: d = 3,  $V(x) = \frac{1}{2} \left(x^2 + y^2 + z^2\right) + 100 \left[\sin^2\left(\frac{\pi x}{2}\right) + \sin^2\left(\frac{\pi y}{2}\right) + \sin^2\left(\frac{\pi z}{2}\right)\right]$ ,  $\beta_n = 0.0880N$ , and  $\beta_s = -0.00041N$ , with N the total number of atoms in the condensate and the dimensionless length unit  $a_s = \sqrt{\hbar/m\omega_x} = 7.6262 \times 10^{-7}$  [m]



FIG. 8. Mass of the three components of the ground state, i.e.,  $N_l = \|\phi_l\|^2$  (l = 1, 0, -1), of a spin-1 BEC with a fixed number of particles  $N = 10^4$  for different magnetizations  $0 \le M < 1$ . (a) For <sup>87</sup>Rb in case I and (b) for <sup>23</sup>Na in case II.



FIG. 9. Energy E and chemical potentials  $\mu$  and  $\lambda$  of a spin-1 BEC with fixed magnetization M = 0.5 for different numbers of particles N. (a) For <sup>87</sup>Rb in case I and (b) for <sup>23</sup>Na in case II.

and time unit  $t_s = 1/\omega_x = 7.9577 \times 10^{-4}$  [s] (corresponding to physical trapping frequencies  $\omega_x = \omega_y = \omega_z = 2\pi \times 200$  [Hz]).

• Case II. For <sup>23</sup>Na with dimensionless quantities in (1.9)–(1.11) used as: d = 3,  $V(x) = \frac{1}{2} \left(x^2 + y^2 + z^2\right) + 100 \left[\sin^2\left(\frac{\pi x}{2}\right) + \sin^2\left(\frac{\pi y}{2}\right) + \sin^2\left(\frac{\pi z}{2}\right)\right]$ ,  $\beta_n = 0.0239N$ , and  $\beta_s = 0.00075N$ , with N the total number of atoms in the condensate and the dimensionless length unit  $a_s = 1.4830 \times 10^{-6}$  [m] and time unit  $t_s = 7.9577 \times 10^{-4}$  [s](corresponding to physical trapping frequencies  $\omega_x = \omega_y = \omega_z = 2\pi \times 200$  [Hz]).

Figure 12 shows the ground state solutions with  $N = 10^4$  and M = 0.5 for the two cases.

From Figure 12, we can see that our method can be used to compute the ground state of a spin-1 BEC in 3D with general trapping potential.



FIG. 10. Wave functions of the ground state, i.e.,  $\phi_1(x)$  (dashed line),  $\phi_0(x)$  (solid line), and  $\phi_{-1}(x)$  (dotted line), of <sup>87</sup>Rb in case I with a fixed number of particles  $N = 10^4$  for different magnetizations M = 0, 0.2, 0.5, 0.9 in an optical lattice potential.

TABLE 3 Ground state energy E and their chemical potentials  $\mu$  and  $\lambda$  for <sup>87</sup>Rb in case I with  $N = 10^4$ for different magnetizations M in an optical lattice potential.

M	E	$\mu$	$\lambda(\times 10^{-4})$
0	47.6944	73.0199	0
0.1	47.6944	73.0199	0.711
0.2	47.6944	73.0199	0.788
0.3	47.6944	73.0199	0.859
0.4	47.6944	73.0199	0.948
0.5	47.6944	73.0199	1.072
0.6	47.6944	73.0199	1.178
0.7	47.6944	73.0199	1.164
0.8	47.6944	73.0199	1.200
0.9	47.6944	73.0199	1.477

5. Conclusions. We have proposed an efficient and accurate normalized gradient flow or imaginary time method to compute the ground state of spin-1 Bose– Einstein condensates by introducing a third normalization condition, in addition to the conservation of total particle number and the conservation of total magnetization. The condition is derived from the relation between the chemical potentials of the three spinor components together with a splitting scheme applied to the continuous normalized gradient flows proposed to compute the ground state of a spin-1 BEC. The



FIG. 11. Wave functions of the ground state, i.e.,  $\phi_1(x)$  (dashed line),  $\phi_0(x)$  (solid line), and  $\phi_{-1}(x)$  (dotted line), of <sup>23</sup>Na in case II with  $N = 10^4$  for different magnetizations M = 0, 0.2, 0.5, 0.9 in an optical lattice potential.

						Т	ABLE 4							
	Ground	state	energy E	and	their	chemical	$l \ potentials$	$\mu$ and $\lambda$	$\lambda$ for $^{23}$	$Na \ in$	$case~{\rm II}$	with	N =	$10^{4}$
for	different	magne	etizations	M	in  an	optical la	attice poten	tial.						

M	E	$\mu$	λ
0	25.6480	37.4489	0
0.1	25.6509	37.4476	0.0593
0.2	25.6597	37.4400	0.1197
0.3	25.6753	37.4248	0.1931
0.4	25.6983	37.4025	0.2687
0.5	25.7291	37.3775	0.3458
0.6	25.7676	37.3492	0.4252
0.7	25.8144	37.3167	0.5079
0.8	25.8696	37.2305	0.6920
0.9	25.9340	37.2305	0.6920

backward-forward sine-pseudospectral method is applied to discretize the normalized gradient flow for practical computation. The ground state solutions and fraction of each component are reported for both ferromagnetic and antiferromagnetic interaction cases. The energy and chemical potentials of the condensate are also reported. In addition, the method may be further extended to other spinor condensates with a higher degree of freedom as well as spinor condensates in the presence of an external magnetic field, which will be our future study.





FIG. 12. Contour plots for the wave functions of the ground state, i.e.,  $\phi_1(x, y, 0)$  (top row),  $\phi_0(x, y, 0)$  (middle row), and  $\phi_{-1}(x, y, 0)$  (bottom row) with  $N = 10^4$  and M = 0.5 in an optical lattice potential. Left column: For <sup>87</sup>Rb in case I and right column: for <sup>23</sup>Na in case II.

Finally, based on our extensive numerical experiments and results, we conjecture that when  $\beta_n \geq 0$ ,  $\beta_n \geq |\beta_s|$ , and  $V(\mathbf{x}) \geq 0$  satisfying  $\lim_{|\mathbf{x}|\to\infty} V(\mathbf{x}) \to \infty$ , there exists a minimizer of the nonconvex minimization problem (1.15). In addition, when  $\beta_s < 0$ , the positive minimizer (the three components are positive function) is unique; when  $\beta_s > 0$ , the nonnegative minimizer ( $\phi_1$  and  $\phi_{-1}$  are positive and  $\phi_0 \equiv 0$ ) is unique. Rigorous mathematical justifications are ongoing.

### Appendix A. Derivation of the third projection equation (2.10).

In order to find the third projection or normalization equation used in the projection step of the normalized gradient flow, we first review the CNGF constructed in [10] for computing the ground state of a spin-1 BEC in (1.15):

$$\partial_t \phi_1(\mathbf{x}, t) = \left[ \frac{1}{2} \nabla^2 - V(\mathbf{x}) - (\beta_n + \beta_s) \left( |\phi_1|^2 + |\phi_0|^2 \right) - (\beta_n - \beta_s) |\phi_{-1}|^2 \right] \phi_1$$
(A.1) 
$$-\beta_s \, \bar{\phi}_{-1} \, \phi_0^2 + \left[ \mu_\Phi(t) + \lambda_\Phi(t) \right] \phi_1,$$

(A.2) 
$$\partial_t \phi_0(\mathbf{x}, t) = \left[ \frac{1}{2} \nabla^2 - V(\mathbf{x}) - (\beta_n + \beta_s) \left( |\phi_1|^2 + |\phi_{-1}|^2 \right) - \beta_n |\phi_0|^2 \right] \phi_0$$
$$- 2\beta_s \phi_{-1} \bar{\phi}_0 \phi_1 + \mu_{\Phi}(t) \phi_0,$$

$$\partial_t \phi_{-1}(\mathbf{x}, t) = \left[ \frac{1}{2} \nabla^2 - V(\mathbf{x}) - (\beta_n + \beta_s) \left( |\phi_{-1}|^2 + |\phi_0|^2 \right) - (\beta_n - \beta_s) |\phi_1|^2 \right] \phi_{-1}$$
(A.3) 
$$-\beta_s \phi_0^2 \,\bar{\phi}_1 + \left[ \mu_{\Phi}(t) - \lambda_{\Phi}(t) \right] \phi_{-1}.$$

 $\mu_{\Phi}(t)$  and  $\lambda_{\Phi}(t)$  are chosen such that the above CNGF is mass- (or normalization-) and magnetization-conservative, and they are given as [10]

(A.4) 
$$\mu_{\Phi}(t) = \frac{R_{\Phi}(t)D_{\Phi}(t) - M_{\Phi}(t)F_{\Phi}(t)}{N_{\Phi}(t)R_{\Phi}(t) - M_{\Phi}^{2}(t)}, \qquad \lambda_{\Phi}(t) = \frac{N_{\Phi}(t)F_{\Phi}(t) - M_{\Phi}(t)D_{\Phi}(t)}{N_{\Phi}(t)R_{\Phi}(t) - M_{\Phi}^{2}(t)},$$

with

(A.5) 
$$N_{\Phi}(t) = \int_{\mathbb{R}^d} \left[ |\phi_{-1}(\mathbf{x}, t)|^2 + |\phi_0(\mathbf{x}, t)|^2 + |\phi_1(\mathbf{x}, t)|^2 \right] d\mathbf{x},$$

(A.6) 
$$M_{\Phi}(t) = \int_{\mathbb{R}^d} \left[ |\phi_1(\mathbf{x}, t)|^2 - |\phi_{-1}(\mathbf{x}, t)|^2 \right] d\mathbf{x},$$

(A.7) 
$$R_{\Phi}(t) = \int_{\mathbb{R}^d} \left[ |\phi_1(\mathbf{x}, t)|^2 + |\phi_{-1}(\mathbf{x}, t)|^2 \right] \, d\mathbf{x},$$

$$D_{\Phi}(t) = \int_{\mathbb{R}^d} \left\{ \sum_{l=-1}^{1} \left( \frac{1}{2} |\nabla \phi_l|^2 + V(\mathbf{x}) |\phi_l|^2 \right) + 2(\beta_n - \beta_s) |\phi_1|^2 |\phi_{-1}|^2 + \beta_n |\phi_0|^4 + (\beta_n + \beta_s) \left[ |\phi_1|^4 + |\phi_{-1}|^4 + 2|\phi_0|^2 \left( |\phi_1|^2 + |\phi_{-1}|^2 \right) \right] \right\}$$

(A.8) 
$$+ 2\beta_s \left( \bar{\phi}_{-1} \phi_0^2 \bar{\phi}_1 + \phi_{-1} \bar{\phi}_0^2 \phi_1 \right) \right\} d\mathbf{x}, F_{\Phi}(t) = \int_{\mathbb{R}^d} \left\{ \frac{1}{2} \left( |\nabla \phi_1|^2 - |\nabla \phi_{-1}|^2 \right) + V(\mathbf{x}) \left( |\phi_1|^2 - |\phi_{-1}|^2 \right) + (\beta_n + \beta_s) \left[ |\phi_1|^4 - |\phi_{-1}|^4 + |\phi_0|^2 \left( |\phi_1|^2 - |\phi_{-1}|^2 \right) \right] \right\} d\mathbf{x}.$$
(A.9)

For the above CNGF, for any given initial data

(A.10) 
$$\Phi(\mathbf{x}, 0) = (\phi_1(\mathbf{x}, 0), \phi_0(\mathbf{x}, 0), \phi_{-1}(\mathbf{x}, 0))^T := \Phi^{(0)}(\mathbf{x}), \qquad \mathbf{x} \in \mathbb{R}^d,$$

satisfying

(A.11) 
$$N_{\Phi}(t=0) := N_{\Phi^{(0)}} = 1, \qquad M_{\Phi}(t=0) := M_{\Phi^{(0)}} = M,$$

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it was proven that the total mass and magnetization are conservative and the energy is diminishing [10], i.e.,

$$N_{\Phi}(t) \equiv 1, \quad M_{\Phi}(t) \equiv M, \quad E\left(\Phi(\cdot,t)\right) \leq E\left(\Phi(\cdot,s)\right) \quad \text{for any } t \geq s \geq 0.$$

The normalized gradient flow (2.1)-(2.6) can be viewed as applying a timesplitting scheme to the CNGF (A.1)-(A.3), and the projection step (2.4)-(2.6) is equivalent to solving the following nonlinear ordinary differential equations (ODEs):

(A.12) 
$$\partial_t \phi_1(\mathbf{x}, t) = \left[\mu_{\Phi}(t) + \lambda_{\Phi}(t)\right] \phi_1,$$

(A.13) 
$$\partial_t \phi_0(\mathbf{x}, t) = \mu_{\Phi}(t) \phi_0, \quad t_{n-1} \le t \le t_n, \quad n \ge 1,$$

(A.14)  $\partial_t \phi_{-1}(\mathbf{x}, t) = \left[\mu_{\Phi}(t) - \lambda_{\Phi}(t)\right] \phi_{-1}.$ 

The solution of the above ODEs can be expressed as

(A.15) 
$$\phi_1(\mathbf{x}, t_n) = \exp\left(\int_{t_{n-1}}^{t_n} \left[\mu_{\Phi}(\tau) + \lambda_{\Phi}(\tau)\right] d\tau\right) \phi_1(\mathbf{x}, t_{n-1}),$$

(A.16) 
$$\phi_0(\mathbf{x}, t_n) = \exp\left(\int_{t_{n-1}}^{t_n} \mu_{\Phi}(\tau) \ d\tau\right) \phi_0(\mathbf{x}, t_{n-1}),$$

(A.17) 
$$\phi_{-1}(\mathbf{x}, t_n) = \exp\left(\int_{t_{n-1}}^{t_n} \left[\mu_{\Phi}(\tau) - \lambda_{\Phi}(\tau)\right] d\tau\right) \phi_{-1}(\mathbf{x}, t_{n-1}).$$

This solution suggests the following relation between the coefficients:

(A.18) 
$$\exp\left(\int_{t_{n-1}}^{t_n} \left[\mu_{\Phi}(\tau) + \lambda_{\Phi}(\tau)\right] d\tau\right) \exp\left(\int_{t_{n-1}}^{t_n} \left[\mu_{\Phi}(\tau) - \lambda_{\Phi}(\tau)\right] d\tau\right)$$
$$= \exp\left(\int_{t_{n-1}}^{t_n} 2\mu_{\Phi}(\tau) d\tau\right) = \left[\exp\left(\int_{t_{n-1}}^{t_n} \mu_{\Phi}(\tau) d\tau\right)\right]^2.$$

This immediately suggests to us to propose the third normalization equation (2.10) to determine the projection parameters. In fact, (2.10) can be also obtained from the relation between the chemical potentials in (1.23) by physical intuitions.

Appendix B. Derivation of the projection parameters in (2.11)–(2.12).

By summing (2.11) and (2.12), we get

(B.1) 
$$2(\sigma_1^n)^2 \|\phi_1(\cdot, t_n^-)\|^2 = 1 + M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2.$$

This immediately implies that

(B.2) 
$$\sigma_1^n = \frac{\sqrt{1 + M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2}}{\sqrt{2} \|\phi_1(\cdot, t_n^-)\|}$$

By subtracting (2.12) from (2.11), we obtain

(B.3) 
$$2(\sigma_{-1}^n)^2 \|\phi_{-1}(\cdot, t_n^-)\|^2 = 1 - M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2.$$

Again, this immediately implies that

(B.4) 
$$\sigma_{-1}^{n} = \frac{\sqrt{1 - M - (\sigma_{0}^{n})^{2} \|\phi_{0}(\cdot, t_{n}^{-})\|^{2}}}{\sqrt{2} \|\phi_{-1}(\cdot, t_{n}^{-})\|}.$$

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By multiplying (B.2) and (B.4) and noticing (2.10), we get

(B.5) 
$$\begin{bmatrix} 1 + M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2 \end{bmatrix} \begin{bmatrix} 1 - M - (\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2 \end{bmatrix} \\ = 4 \|\phi_{-1}(\cdot, t_n^-)\|^2 \|\phi_1(\cdot, t_n^-)\|^2 (\sigma_0^n)^4.$$

By simplifying the above equation, we obtain

$$\begin{bmatrix} \|\phi_0(\cdot, t_n^-)\|^4 - 4\|\phi_{-1}(\cdot, t_n^-)\|^2 \|\phi_1(\cdot, t_n^-)\|^2 \end{bmatrix} (\sigma_0^n)^4 - 2\|\phi_0(\cdot, t_n^-)\|^2 (\sigma_0^n)^2$$
(B.6) 
$$+ (1 - M^2) = 0.$$

By solving the above equation and noticing  $(\sigma_0^n)^2 \|\phi_0(\cdot, t_n^-)\|^2 \leq (1 - M^2)$ , we get

$$(\sigma_0^n)^2 = \frac{\|\phi_0(\cdot, t_n^-)\|^2 - \sqrt{4(1 - M^2)} \|\phi_1(\cdot, t_n^-)\|^2 \|\phi_{-1}(\cdot, t_n^-)\|^2 + M^2 \|\phi_0(\cdot, t_n^-)\|^4}{\|\phi_0(\cdot, t_n^-)\|^4 - 4\|\phi_{-1}(\cdot, t_n^-)\|^2 \|\phi_1(\cdot, t_n^-)\|^2}$$
  
(B.7) 
$$= \frac{1 - M^2}{\|\phi_0(\cdot, t_n^-)\|^2 + \sqrt{4(1 - M^2)} \|\phi_1(\cdot, t_n^-)\|^2 \|\phi_{-1}(\cdot, t_n^-)\|^2 + M^2 \|\phi_0(\cdot, t_n^-)\|^4}}$$

Thus immediately implies the solution in (2.11).

### Appendix C. Computing the chemical potentials $\mu$ and $\lambda$ .

After we get the ground state  $\Phi$  numerically, the energy of the ground state can be computed from the discretization of (1.14) immediately. In order to compute the chemical potentials numerically, different formulations can be applied. Here we propose one of the most reliable ways to compute them. By multiplying both sides of (1.18) by  $\bar{\phi}_1$  and integrating over  $\mathbb{R}^d$ , we get

(C.1) 
$$(\mu + \lambda) \|\phi_1\|^2 = \int_{\mathbb{R}^d} \bar{\phi}_1 \ H_1 \phi_1 \ d\mathbf{x} := (\phi_1, H_1 \phi_1).$$

Similarly, by taking the same procedure to (1.19) and (1.20) by multiplying  $\bar{\phi}_0$  and  $\bar{\phi}_{-1}$ , respectively, we obtain

(C.2) 
$$\mu \|\phi_0\|^2 = \int_{\mathbb{R}^d} \bar{\phi}_0 \ H_0 \phi_0 \ d\mathbf{x} := (\phi_0, H_0 \phi_0),$$

(C.3) 
$$(\mu - \lambda) \|\phi_{-1}\|^2 = \int_{\mathbb{R}^d} \bar{\phi}_{-1} H_{-1} \phi_{-1} d\mathbf{x} := (\phi_{-1}, H_{-1} \phi_{-1}).$$

By summing (C.1), (C.2), and (C.3) and noticing that the ground state  $\Phi$  satisfies the constraints (1.16), we get

(C.4) 
$$\mu + M \lambda = (\phi_1, H_1\phi_1) + (\phi_0, H_0\phi_0) + (\phi_{-1}, H_{-1}\phi_{-1}).$$

By subtracting (C.3) from (C.1), we get

(C.5) 
$$M \ \mu + \left( \|\phi_1\|^2 + \|\phi_{-1}\|^2 \right) \lambda = (\phi_1, H_1\phi_1) - (\phi_{-1}, H_{-1}\phi_{-1}).$$

By solving the linear system (C.4) and (C.5) for the chemical potentials  $\mu$  and  $\lambda$  as unknowns and integrating by parts to the right-hand sides, we have

(C.6) 
$$\mu = \frac{\left(\|\phi_1\|^2 + \|\phi_{-1}\|^2\right)D(\Phi) - M F(\Phi)}{\|\phi_1\|^2 + \|\phi_{-1}\|^2 - M^2}, \qquad \lambda = \frac{F(\Phi) - M D(\Phi)}{\|\phi_1\|^2 + \|\phi_{-1}\|^2 - M^2},$$

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where

$$D(\Phi) = \int_{\mathbb{R}^d} \left\{ \sum_{l=-1}^1 \left( \frac{1}{2} |\nabla \phi_l|^2 + V(\mathbf{x}) |\phi_l|^2 \right) + 2(\beta_n - \beta_s) |\phi_1|^2 |\phi_{-1}|^2 + \beta_n |\phi_0|^4 \\ + (\beta_n + \beta_s) \Big[ |\phi_1|^4 + |\phi_{-1}|^4 + 2|\phi_0|^2 \left( |\phi_1|^2 + |\phi_{-1}|^2 \right) \Big] \right]$$

$$(C.7) \qquad + 2\beta_s \left( \bar{\phi}_{-1} \phi_0^2 \bar{\phi}_1 + \phi_{-1} \bar{\phi}_0^2 \phi_1 \right) \right\} d\mathbf{x},$$

$$F(\Phi) = \int_{\mathbb{R}^d} \left\{ \frac{1}{2} \left( |\nabla \phi_1|^2 - |\nabla \phi_{-1}|^2 \right) + V(\mathbf{x}) \left( |\phi_1|^2 - |\phi_{-1}|^2 \right) \\ + (\beta_n + \beta_s) \Big[ |\phi_1|^4 - |\phi_{-1}|^4 + |\phi_0|^2 \left( |\phi_1|^2 - |\phi_{-1}|^2 \right) \Big] \right\} d\mathbf{x}.$$

Thus the chemical potentials  $\mu$  and  $\lambda$  can be computed numerically from the discretization of (C.6), (C.7), and (C.8).

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