1 L₁-norm Regularization for Short-and-sparse Blind Deconvolution: Point Source 2 Separability and Region Selection*

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5Abstract. Blind deconvolution is about estimating both the convolution kernel and the latent signal from their 6convolution. Many blind deconvolution problems have a short-and-sparse (SaS) structure, *i.e.* the 7 signal (or its gradient) is sparse and the kernel size is much smaller than the signal size. While ℓ_1 -norm 8 relating regularizations have been widely used for solving SaS blind deconvolution problems, the so-9 called region/edge selection technique brings great empirical improvement to such ℓ_1 -norm relating 10 regularizations in image deblurring. The essence of region/edge selection is during an alternative 11 iterative scheme of SaS blind deconvolution, one estimates the kernel on an estimate of the latent 12image with well-separated image edges instead of the one with the least fitting error. In this paper, 13 we first examines the validity and soundness of ℓ_1 -norm relating regularization in the setting of 1D 14SaS blind deconvolution. The analysis reveals the importance of the separation of non-zero signal 15entries toward the soundness of such a regularization. The studies laid out the foundation of region selection technique, *i.e.*, during the iteration, an estimate of the latent image with well-separated 16 17 edges is a better candidate for estimating the kernel than the one with least fitting error. Based 18 on the studies conducted in this paper, an alternating iterative scheme with region selection model 19 is developed for SaS blind deconvolution, which is then applied on blind motion deblurring. The 20experiments showed its effectiveness over many existing ℓ_1 -norm relating approaches.

21 Key words. Blind deconvolution, L_1 regularization, Sparse-and-short structure

22 AMS subject classifications. 68U10, 94A08

1. Introduction. One often-seen signal degradation in practice is blurring, which attenuates or erases high frequencies of signal during acquisition. The relation between the recorded signal \boldsymbol{b} and the true signal \boldsymbol{x}_0 usually is modeled by a convolution process:

$$26 \quad (1.1) \qquad \qquad \mathbf{b} = \mathbf{a}_0 \otimes \mathbf{x}_0 + \mathbf{n},$$

where a_0 denotes a smoothing kernel (low-pass filter), n denotes measurement noise, and \otimes denotes discrete circular convolution operator. If the kernel a_0 is known in advance, solving (1.1) is called *non-blind deconvolution*. If both the kernel a_0 and the signal x_0 are unknown, solving (1.1) is called *blind deconvolution*. While non-blind deconvolution focuses on how to suppress noise amplification when deconvolving the signal, blind deconvolution focuses on how to estimate smoothing kernel a_0 . Once the kernel a_0 is estimated, the problem of blind deconvolution is reduced to the problem of non-blind deconvolution.

Blind deconvolution is an important problem seen in a wide range of applications, including astronomical imaging [16, 9, 32], microscopy imaging [14, 10], and digital image photography [12, 3, 30, 21]. In general, blind deconvolution is an ill-posed problem with many

^{*}Submitted to the editors February 8, 2022.

Funding: This work was funded by xx.

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solutions. Certain priors need to be imposed on both a and x to address possible degenerate solution. Once the priors in image/kernel are determined, blind deconvolution can then be formulated as solving an optimization problem:

(1.2) min $\phi(\mathbf{x}) + \lambda \psi(\mathbf{a})$, subject to $f(\mathbf{b} - \mathbf{a} \otimes \mathbf{x}) \le \epsilon$.

$$\lim_{a \in \Omega, x} \phi(x) + \lambda \psi(a), \quad \text{subject to} \quad f(b - a \otimes x)$$

or its regularized variational form

$$\min_{\boldsymbol{a}\in\Omega,\boldsymbol{x}} f(\boldsymbol{b}-\boldsymbol{a}\otimes\boldsymbol{x}) + \lambda_1\phi(\boldsymbol{x}) + \lambda_2\psi(\boldsymbol{a}),$$

where Ω denotes the feasible set for the kernel, and the function $f(\cdot)$ denotes the fidelity term determined by noise \boldsymbol{n} , *i.e.* $f(\cdot) = \|\cdot\|_1$ or $\|\cdot\|_2^2$. In the optimization models above, there are two terms $\phi(\cdot)$ and $\psi(\cdot)$, the regularization terms on \boldsymbol{x} and \boldsymbol{a} derived from their corresponding priors. The feasible set Ω for kernel comes from the physics of signal acquisition systems. Taking optical imaging systems for example, the set Ω is often defined as the follows

46 (1.3)
$$\Omega = \{ \boldsymbol{a} \in \mathbb{R}^n \, | \, \boldsymbol{a} \ge 0, \, \|\boldsymbol{a}\|_1 = 1 \}$$

The model (1.2) or its regularized variational form is widely used in many existing blind image deblurring methods; see *e.g.* [23, 22, 4, 33, 27, 35] for more details.

This paper concerns blind deconvolution of signals with the *short-and-sparse* (SaS) structure (see *e.g.* [19, 20]):

• The effective size of true kernel a_0 is much less than that of true signal x_0 .

• true signal x_0 is sparse with most entries being zero or close to zero.

Such an SaS structure exists in many blind deconvolution problems, including blind image deblurring in digital photography. In such application, the blurring is modeled by $\boldsymbol{B} = \boldsymbol{a} \otimes \boldsymbol{I}$, where $\boldsymbol{I}/\boldsymbol{B}$ are the clean/blurred images respectively. In certain cases, the natural image \boldsymbol{I} itself is not sparse, but its gradient $\nabla \boldsymbol{I}$ is sparse. Recall that the discrete implementation of $\nabla \boldsymbol{I}$ can be formulated as the convolutions between \boldsymbol{I} and the high-pass filter [1, -1]. By the commutative property of convolution, we have $\boldsymbol{b} = \nabla \boldsymbol{B} = \boldsymbol{a} \otimes (\nabla \boldsymbol{I})$. As the aim of blind deconvolution is for kernel estimation, one can recast the problem to the one with SaS structure by solving the problem in the gradient domain, *i.e.*

$$\min_{\boldsymbol{a}\in\Omega,\nabla\boldsymbol{I}} f(\nabla\boldsymbol{B}-\boldsymbol{a}\otimes\nabla\boldsymbol{I})+\lambda_1\phi(\nabla\boldsymbol{I})+\lambda_2\psi(\boldsymbol{a}).$$

53 Once a is determined by solving the problem above, one can then switch back to estimate I

by deconvolving \boldsymbol{B} in image domain. Such a practice is widely used in many existing blind image deblurring methods.

In last decade, motivated by practical needs, there has been rapid progress on the development of blind deconvolution methods, especially in the domain of blind motion deblurring. See e.g. [23, 22, 4, 33, 27, 35]. Most of these methods require solving a non-convex problem. While these methods demonstrated good performance and empirical stability in practice, theoretical understanding and mathematical soundness of these methods are scant in existing literature. For example, it is not clear under what condition, the true kernel/signal is indeed one global minimum of the model (1.2). This paper aims to analyze one type of ℓ_1 -norm relating regularization model (1.2) to provide a clearer picture of its soundness when being used for solving blind SaS deconvolution problems. Consider a blind SaS problem where the signal $\boldsymbol{x} \in \mathbb{R}^n$. Let \mathbb{R}^n_k denote the subspace of \mathbb{R}^n where all vectors are supporting on the first k entries. We assume the kernel $\boldsymbol{a} \in \mathbb{R}^n_k$. The following model is considered in this paper for solving (1.1):

68 (1.4)
$$\min_{(\boldsymbol{a},\boldsymbol{x})\in\mathcal{S}_{\boldsymbol{b}}^{\epsilon}} \|\boldsymbol{x}\|_{1} + \nu \|\boldsymbol{a}\|_{2}^{2},$$

69 where

83

70 (1.5)
$$\mathcal{S}_{\boldsymbol{b}}^{\boldsymbol{\epsilon}} = \{ (\boldsymbol{a} \in \mathbb{R}_{k}^{n}, \boldsymbol{x} \in \mathbb{R}^{n}) \mid \|\boldsymbol{a} \otimes \boldsymbol{x} - \boldsymbol{b}\|_{1} \le \boldsymbol{\epsilon}, \boldsymbol{a} \ge 0, \|\boldsymbol{a}\|_{1} = 1 \}.$$

The bound ϵ is determined by measurement noise \boldsymbol{n} , *i.e.* $\epsilon \geq \|\boldsymbol{n}\|_{1}$.

72In the model (1.4), the ℓ_1 -norm regularization for the signal $\boldsymbol{x}, \, \phi(\boldsymbol{x}) = \mu \|\boldsymbol{x}\|_1$, is a widely used convex function for prompting sparsity of signal; see e.g. [7, 5, 29, 36]. There are two 73terms related to the kernel a. One comes from the feasible set $\mathcal{S}_{b}^{\epsilon}$, which is determined by the 74 physics of many signal acquisition systems, especially optics-based imaging. Two most general physical constraints in these signal acquisition systems are (1) non-negativity constraint $a \ge 0$; 76and (2) normalization constraint $\|\boldsymbol{a}\|_1 = 1$. The squared ℓ_2 -norm relating regularization for smoothing kernel, $\psi(a) = \nu \|a\|_2^2$, comes from the fact that a is a band-limited filter supported 78 only in low-frequency domain. The term $\psi(a) = \nu \|a\|_2^2$ is also critical to avoid scenario where 79 the trivial no-blur pair $(\delta, a_0 \otimes x_0)$ has a lower cost than that of the true pair (a_0, x_0) , where 80 $\boldsymbol{\delta}$ denotes Dirac delta. Note that the no-blur pair ($\boldsymbol{\delta}, \boldsymbol{a}_0 \otimes \boldsymbol{x}_0$) satisfies $\boldsymbol{\delta} \otimes (\boldsymbol{a}_0 \otimes \boldsymbol{x}_0) = \boldsymbol{a}_0 \otimes \boldsymbol{x}_0$, 81 and for a non-negative kernel \boldsymbol{a} with $\|\boldsymbol{a}\|_1 = 1$, 82

$$\|oldsymbol{a}\otimesoldsymbol{x}\|_1\leq\|oldsymbol{a}\|_1\|oldsymbol{x}\|_1=\|oldsymbol{x}\|_1.$$

In other words, the true pair is unlikely to be a global minimum of the model (1.4) with $\nu = 0$. It is shown in [27, 33] that, without such regularization term $\psi(\boldsymbol{a})$, the solution of the model is biased to the kernel closer to the Dirac Delta $\boldsymbol{\delta}$. The ℓ_1 norm is used as the fidelity metric

in this paper, as it is widely used in practical image deblurring methods for its robustness to outliers. Before proceeding, we briefly introduce some notations used in the discussions.

89 Notations. We use bold font to denote vector and, without of specification, the indices of 90 vector $\boldsymbol{a} \in \mathbb{R}^n$ is $\{0, 1, \dots, n-1\}$. The space $\mathbb{R}^n_k = \{\boldsymbol{a} \in \mathbb{R}^n \mid \boldsymbol{a}[j] = 0, k \leq j \leq n-1\}$ denotes 91 the space of all *n*-dimensional vectors with their support on the first *k* entries. Given a vector 92 $\boldsymbol{v} \in \mathbb{R}^n$, we denote $\mathcal{S}_{\tau}(\boldsymbol{v})$ the cyclic shift of the vector \boldsymbol{v} by τ entries: $\mathcal{S}_{\tau}(\boldsymbol{v})(i) = \boldsymbol{v}([i-\tau]_n)$, 93 here *n* is the length of the vector, $[i]_n$ denotes the modulo with respect to *n*. Taking sign(·) 94 on a vector means taking sign(·) point-wisely on this vector.

95 Organization. We review the related works in Section 1.1. We present the main results of 96 this paper in Section 1.2. The analysis of the model (1.4) with detailed proofs is presented in 97 Section 2. The model (1.4) is discussed in Section 2.1 and 2.2. Finally Section 2.3 is devoted 98 to a novel region selection approach motivated by our theoretical results and algorithm for 99 blind SaS deconvolution with application to blind image deblurring. Section 3 shows the 910 experiments to validate the effectiveness of our region selector within the proximal alternative 91 minimization framework for blind image deblurring. Section 4 provides the conclusion.

1.1. Related works. The optimization problem (1.4) is a non-convex problem with a 102 complicated optimization landscape. Some non-critical solution ambiguities are addressed in 103 the feasible set $\mathcal{S}^{\epsilon}_{\mathbf{h}}$ in (1.4). For instance, there is the so-called *shift ambiguity*: The pair 104 $(\mathcal{S}_{-\tau}(\boldsymbol{a_0}), \mathcal{S}_{\tau}(\boldsymbol{x_0}))$ has the same convolution as the true pair $(\boldsymbol{a_0}, \boldsymbol{x_0})$. There is also the so-105106 called scale ambiguity: The pair $(sa_0, \frac{1}{s}x_0)$ has the same convolution as (a_0, x_0) . The shift ambiguity is addressed by $a \in \mathbb{R}^n_k$ and the scale ambiguity is addressed by $||a||_1 = 1$. These 107 types of ambiguities are not critical, shift ambiguity only causes the resulting signal be a 108 shifted version of the true signal, and the scale ambiguity does not modify the pattern of the 109signal. Besides these non-critical ambiguities, in general, there are additional global minima 110 and a variety of critical points of the non-convex problem (1.4), which are away from the 111 112truth.

Recently, there have been quite a few impressive works on studying provable algorithms 113for blind deconvolution. Ahmed *et al.* [1] recast the problem of blind deconvolution to a 114linear inverse problem on rank-1 matrix [1, 25, 24], as an extension to the convexification 115for phase retrieval [6]. Consider two vectors x_0 and a_0 , its outer product $a_0x_0^*$ is a rank-1 116matrix, and their convolution **b** can be expressed as $\mathcal{A}(\boldsymbol{a}_0\boldsymbol{x}_0^*)$ for some linear mapping \mathcal{A} . It 117is shown in [1] that such a nuclear-norm-based convex model can exactly recover the pair 118119 (a_0, x_0) (up to a scale), if the pairs follow the following configuration: The signal x_0 is drawn from a random subspace, and a_0 is a vector in a subspace whose basis vectors are "flat" in its 120frequency domain. Based the same lifting-based formulation, Li et al. [25] examined various 121configurations of a_0 and x_0 for identifiability and stability of the problem, including subspace 122constraints for x_0, a_0 , sparse constraints and the mixture of both. It is shown in [25] that, up 123 to a set of zero measure, the pair (a_0, x_0) is identifiable up to a scale. Despite its theoretical 124soundness, the lifting scheme does not scale well as the dimension of the matrix for recovery 125is the multiplication of signal dimension and kernel dimension. 126

127Li et al. [24] considered a slightly different configuration, where the signal \boldsymbol{x} is drawn from a random subspace spanned by the columns of a Gaussian matrix, and the kernel a is short and 128has small correlation (coherence). Under such a configuration, a provable regularized gradient 129130descent algorithm is proposed [24] for blind deconvolution with the provable convergence to the true pair with a large probability. Nevertheless, the assumptions imposed on signals 131and kernels in [24] do not hold for many practical scenarios, especially blind deblurring of 132natural images. The performance of such a method is also not competitive to many existing 133regularization methods for blind image deblurring. Several works [38, 37, 20] insists the 134135sparsity of signal is w.r.t. the natural basis, while they considered the ℓ_2 normalization constraint on a for its smoothness over ℓ_1 normalization constraint. Zhang et al. [38] studied 136the geometry of ℓ_1 -norm regularization model over ℓ_2 sphere in the case where the signal 137 $x_0 = \delta$. It is shown in [38] that for ℓ_1 -norm relating regularization model, all strict local 138minima of the model are close to signed shift truncations of a_0 . The same structured local 139minima can be obtained by replacing ℓ_1 -norm by another sparsity-prompting function $- \|\cdot\|_4^4$ 140 as shown in [37]. In the figuration that the convolution erases little information of signal, 141 *i.e.*, $\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_2 \approx \|\boldsymbol{x}_0\|_2$, Kuo *et al.* [20] presented an algorithm which can guarantee exact 142143recovery of an incoherent kernel a_0 and sparse x_0 . While the works discussed above provide good insights for ℓ_1 -norm relating method for blind SaS deconvolution and computational 144 145algorithm with recovery guarantee, the discussions focus on noiseless observation and the 146 configuration where the smoothing effect is relative little, *i.e.* kernel is close to Dirac Delta or 147 $\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_2 \approx \|\boldsymbol{x}_0\|_2$.

148 **1.2.** Main results. Different from the models and assumptions of the existing works, this 149 paper studies the validity of model (1.4) for blind SaS deconvolution where there might be 150 significant frequency attenuation on true signal during convolution. In the setting of this 151 paper, the input measurement $\boldsymbol{b} \in \mathbb{R}^n$ is formulated as

152 (1.6)
$$\boldsymbol{b} = \boldsymbol{a}_0 \otimes \boldsymbol{x}_0 + \boldsymbol{n}, \text{ where } \boldsymbol{x}_0 \in \mathbb{R}^n, \ \boldsymbol{a}_0 \in \mathbb{R}^n_k, \boldsymbol{a}_0 \ge 0, \|\boldsymbol{a}_0\|_1 = 1,$$

where $x_0 \in \mathbb{R}^n$ denotes true sparse signal and $a_0 \in \mathbb{R}^n_k$, the convolution is defined as the circular convolution

$$(\boldsymbol{a}\otimes\boldsymbol{x})[j]=\sum_{i=1}^n \boldsymbol{a}[i]\boldsymbol{x}[(j-i) \bmod n].$$

- 153 The model (1.4) is used for estimating the kernel a from (1.6). Recall that model (1.4) is
- 154 different from what has been studied for SaS blind deconvolution [38, 37, 20] on two parts 155 related to a:
- The term $\nu \|\boldsymbol{a}\|_2^2$ for the corresponding minimum biased to a band-limited filter.
- The non-negativity constraint, $a \ge 0$, which holds true for many signal acquisition systems.
- The problem (1.4) is an optimization problem with convex objective function and non-convex constraints. As the focus of blind SaS deconvolution is on the estimation of the kernel a_0 , this paper aims at analyzing the soundness and well-posedness of the model (1.4) for estimating a_0 . In our study, instead of using ℓ_2 -norm for error measurement of kernel estimation, we measures the estimation error by the correlation between the true kernel a_0 and the estimation a up to a circled translation [15, 38]:

165 (1.7)
$$C(\boldsymbol{a}_0, \boldsymbol{a}) = \max_{0 \le i \le k} \frac{|\langle \boldsymbol{a}_0, \mathcal{S}_i(\boldsymbol{a}) \rangle|}{\|\boldsymbol{a}_0\|_2 \|\boldsymbol{a}\|_2}, \text{ where } \mathcal{S}_i(\cdot) \text{ is the translation operator.}$$

Such a metric handles the translation ambiguity of kernel estimation which does not impact the information of the recovered signal. The soundness of the model (1.4) for estimating a_0 is closely related to the separation of non-zero entries of the sparse signal x_0 .

169 Definition 1.1. A signal $x \in \mathbb{R}^n$ is k-separable, if its support satisfies

170 (1.8)
$$\min_{i \neq j; i, j \in \text{supp}(\boldsymbol{x})} |(i-j) \mod n| \ge k$$

- 171 where $supp(x) = \{\ell : x[\ell] \neq 0\}.$
- 172 For a blind SaS deconvolution problem where the signal is k-separable and the measurement
- 173 is noise-free, we have the following results regarding the model (1.4) used for solving the SaS 174 blind deconvolution problem:

Theorem 1.2 (k-separable signal with noise-free measurement). Consider a non-zero signal and its noise-free measurement **b** defined by $\mathbf{b} = \mathbf{a}_0 \otimes \mathbf{x}_0$. If \mathbf{x}_0 is k-separable, then the true pair $(\mathbf{a}_0, \mathbf{x}_0)$ is a global minimum of the model (1.4) with the feasible set S_b^0 defined by (1.5) with $\epsilon = 0$, for $0 < \nu \leq \frac{\|\mathbf{x}_0\|_1}{2\|\mathbf{a}_0\|_2^2}$. 179 It can be seen from Theorem 1.2 that as long as the minimal distance between two non-zero 180 entries of the signal is no less than the support size of the kernel, the model admits the truth,

181 up to a shift, as its one global minimizer. However, it is not necessarily the unique global 182 minimizer. A stronger separation condition is needed for the model (1.4) to admit the truth, 183 up to a shift, as the unique global minimizer.

In the next, we establish a sufficient condition for guaranteeing the truth, up to a shift, is the unique minimizer of the model (1.4). For a sparse signal \boldsymbol{x} , decompose it to the summation of two sparse signals:

$$oldsymbol{x} = oldsymbol{x}^+ + oldsymbol{x}^-,$$

184 where

185 (1.9)
$$\boldsymbol{x}^+[k] = \begin{cases} \boldsymbol{x}[k], \quad \boldsymbol{x}[k] > 0\\ 0, \quad \boldsymbol{x}[k] \le 0; \end{cases}$$
 $\boldsymbol{x}^-[k] = \begin{cases} \boldsymbol{x}[k], \quad \boldsymbol{x}[k] < 0\\ 0, \quad \boldsymbol{x}[k] \ge 0; \end{cases}$ for $k = 1, 2, \dots, n$.

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187 Definition 1.3. A signal $x \in \mathbb{R}^n$ is signed 2k-separable, if x is k-separable and x^+, x^- 188 defined by (1.9) are 2k separable.

189 For a signed 2k-separable signal, we have then

190 Theorem 1.4 (Signed 2k-separable signal with noise-free measurement). Consider a non-191 zero signal \mathbf{x}_0 and its non-zero noise-free measurement \mathbf{b} defined by $\mathbf{b} = \mathbf{a}_0 \otimes \mathbf{x}_0$. If \mathbf{x}_0 is 192 k-separable and $\mathbf{x}_0^+, \mathbf{x}_0^-$ defined by (1.9) are 2k-separable, the set of all global minimum of the 193 model (1.4) with $0 < \nu \leq \frac{\|\mathbf{x}_0\|_1}{2\|\mathbf{a}_0\|_2^2}$ is then $\{(\mathcal{S}_i(\mathbf{a}_0), \mathcal{S}_{-i}(\mathbf{x}_0))| - k < i < k, \mathcal{S}_i(\mathbf{a}_0) \in \mathbb{R}_k^n\}$.

For guaranteeing the soundness of the model (1.4), the signed 2k-separation condition on the signal x_0 stated in Theorem 1.4 appears to be very strong. While many signals in practice, *e.g.* natural images, do not satisfy such separation condition as a whole, one often can find certain regions of natural image where the signal can be well approximated by a signed 2kseparable signal. The question is then if a sparse signal x can be well-approximated by a signed 2k-separable signal, will the truth remains to be close to the global minimizer of the model (1.4) (up to a cyclic shift)?

Theorem 1.5 (Approximate signed 2k-separable signal with noisy measurement). Consider a non-zero sparse signal \mathbf{x}_0 and its noisy measurement $\mathbf{b} = \mathbf{a}_0 \otimes \mathbf{x}_0 + \mathbf{n}$ with non-zero $\mathbf{a}_0 \otimes \mathbf{x}_0$ and $\|\mathbf{n}\|_1 \leq \epsilon$. Suppose $\mathbf{x}_{0,s2k}$ is a nonzero signed 2k-separable approximation to \mathbf{x}_0 such that

$$x_0 = x_{0,s2k} + \Delta x_0.$$

Let $(\boldsymbol{a}^*, \boldsymbol{x}^*)$ denote an optimal solution to the problem (1.4) with the feasible set $\mathcal{S}_{\boldsymbol{b}}^{\epsilon}$. Then, assume $\frac{\|\Delta \boldsymbol{x}_0\|_1 + \epsilon}{\|\boldsymbol{x}_0\|_1} < 0.21$, by setting $\nu = \frac{\|\boldsymbol{x}_0\|_1}{2\|\boldsymbol{a}_0\|_2^2}$, we have

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$$C(\boldsymbol{a}_{0}, \boldsymbol{a}^{*}) \geq 1 - 2 \frac{\|\Delta \boldsymbol{x}_{0}\|_{1}}{\|\boldsymbol{x}_{0}\|_{1}} - \frac{\|\boldsymbol{a}_{0}\|_{\infty}}{\|\boldsymbol{a}_{0}\|_{2}^{2}} \frac{2\epsilon}{\|\boldsymbol{x}_{0}\|_{1}}$$

It can be seen from Theorem 1.5 that, as long as noise is not significant and the signed 2kapproximation residual to the signal x_0 , Δx_0 , is small, the global minimum of the model (1.4)



Figure 1. A demonstration of approximate signed 2k-separable signal and its blurred observation by a Gaussian kernel. (a) An approximately signed 20-separable signal \mathbf{x}_0 ; (b) The signed 20-separable approximation of \mathbf{x}_0 with approximation error: $\frac{\|\Delta \mathbf{x}_0\|_1}{\|\mathbf{x}_0\|_1} \approx 0.16$; (c) The blurred signal $\mathbf{x}_0 \otimes \mathbf{a}_0$ where \mathbf{a}_0 is a Gaussian blur kernel of size 10 shown in the top right corner of the graph.

210 is close to the true kernel a_0 (up to a cyclic shift). In other words, the model (1.4) is sound

211 for an approximately signed 2k-separable signal. The hyper-parameter ν plays an important

role when using the model (1.4) to solve SaS blind deconvolution problem. The setting of ν in the above theorem depends on $\|\boldsymbol{a}_0\|_2^2$ and $\|\boldsymbol{x}_0\|_1$.

214 Remark 1.6. In Theorem 1.5, we assume \boldsymbol{x}_0 is non-zero. If \boldsymbol{x}_0 is zero, then in both noiseless 215 and noisy cases, for $\nu > 0$, the optimal solution is $\boldsymbol{a}^* = [1/k, 1/k, ..., 1/k], \, \boldsymbol{x}^* = [0, 0, ..., 0];$ 216 for $\nu = 0$, the optimal solution is $\boldsymbol{x}^* = [0, 0, ..., 0], \, \boldsymbol{a}^*$ can be any positive kernel satisfying 217 $\|\boldsymbol{a}^*\|_1 = 1.$

218 Remark 1.7. For a signal which can be well-approximated by a signed 2k-separable signal, 219 one possible concern is whether the kernel with support size k can be trivially found from the 220 blurred signal. See Figure 1 for an illustration. It can be seen that the local shapes of the 221 signal are not consistent with the true kernel, a Gaussian kernel.

1.3. Region selection for blind SaS deconvolution. From the results shown in Sec-222tion 1.2, the signed 2k separability condition on a sparse signal x is very strong, even in 223the approximate sense, for guaranteeing the soundness of the model (1.4). The distribution of 224non-zero entries of a sparse signal is not necessarily uniform. It is possible that the non-zero 225 226 entries of a sparse signal are dense in some small regions. In other words, the model (1.4) can not guarantee a good estimation to the kernel a_0 for general sparse signals. However, recall 227 that the focus of blind SaS deconvolution is about kernel estimation, the recovery of the image 228 in the model (1.4) is for the purpose of estimating blur kernel. One solution is then selecting 229the regions with small 2k-separable approximation residual and using them to estimate the 230 231 kernel.

The model (1.4) is usually solved via an iterative scheme that alternatively estimates 232the image and the kernel for the blind image deblurring. Motivated by Theorem 1.5, the 233 deconvolution of enough separable signal is more faithful than a general sparse signal. There 234are two approaches to take such advantages into consideration in deconvolution algorithms. 235(1) During each iteration, once the estimation of the signal \boldsymbol{x} is updated, we select those image 236 regions $\{\boldsymbol{x}_{k}^{(t)}\}_{k}$ with small relative 2k-separable approximation residual $\frac{\|\Delta \boldsymbol{x}_{k}\|_{1}}{\|\boldsymbol{x}_{k}\|_{1}}$. Then, these 237 image regions are used as the image in the model (1.4) to estimate the kernel a. (2) We first 238 select a good region of the input blurred image, we say a region is good if the selected region is 239 with the most possible enough separation. Comparing the two approaches, the latter approach 240is easier to implement and the size reduction of deblurring problem saves computational time 241for kernel estimation. To select several regions during iteration, we should take care of the 242boundary effect of possible region overlapping. In our experiments in this paper, we follow 243 244the second approach to estimate kernel with a selected good region from blurred image. Once a faithful a is obtained, we then deconvolve the whole image x using updated a. 245

The region selection technique for blind SaS deconvolution is not completely new. It 246has been adopted in quite a few methods for blind image deblurring with different empirical 247strategies. Xu and Jia [34] demonstrated that edges of smaller size than the blur kernel may 248have adverse effect on kernel estimation, which coincides with our theoretical analysis. Hu and 249Yang [15] proposed a learning based region selection method. This method needs two stages: 250At stage one, they collect some blurred images with corresponding ground true kernel, then 251252they separate each blurred image into several patches and estimate the blurring kernel using each patch. They use the correlation $C(\cdot, \cdot)$ to measure the similarity between the estimated 253kernel and the ground truth, and evaluate each patch using the kernel similarity. At stage 254two, they train a logistic function to predict the good region using the data prepared at stage 255one. Compared with them, this paper provides a region selection technique, which is easier 256to use and more computationally efficient, with strong mathematical motivations. 257

258 **2. Main body.** In this section, we first prove Theorem 1.2 and Theorem 1.4, and construct 259 some cases to show that the separation condition assumed on signal is necessary to guarantee 260 the soundness of the model in these cases. Then we present a detailed proof of Theorem 1.5 261 and discuss its implification. At last, we present the region selection method inspired from 262 our theorems. **263 2.1. Model** (1.4) for noisy-free measurement. First we give a proof of Theorem 1.2 and 1.4.

Proof of Theorem 1.2 and 1.4. Suppose $(\boldsymbol{a}^*, \boldsymbol{x}^*)$ is an optimal solution to the model (1.4). We show that ground true pair $(\boldsymbol{a}_0, \boldsymbol{x}_0)$ attains the same minimum of (1.4) given by $\|\boldsymbol{x}^*\|_1 + \nu \|\boldsymbol{a}^*\|_2^2$. Then $(\boldsymbol{a}_0, \boldsymbol{x}_0)$ obviously belongs to the optimal solution set. As \boldsymbol{x}_0 is k-separable, we have

$$egin{aligned} egin{aligned} egi$$

270 From the inequality

269

271

 $\|m{a}^* \otimes m{x}^*\|_1 \leq \|m{a}^*\|_1 \,\|m{x}^*\|_1\,,$

272 we have $\|\boldsymbol{x}^*\|_1 \ge \|\boldsymbol{x}_0\|_1$. By the optimality of $(\boldsymbol{a}^*, \boldsymbol{x}^*)$, we have

273
$$\nu \|\boldsymbol{a}^*\|_2^2 + \|\boldsymbol{x}^*\|_1 \le \nu \|\boldsymbol{a}_0\|_2^2 + \|\boldsymbol{x}_0\|_1$$

which implies $\|\boldsymbol{a}^*\|_2 \leq \|\boldsymbol{a}_0\|_2$. Taking an inner product between $\boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0)$ and the both sides of $\boldsymbol{a}^* \otimes \boldsymbol{x}^* = \boldsymbol{a}_0 \otimes \boldsymbol{x}_0$, we have

276
$$\langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \boldsymbol{a}^* \otimes \boldsymbol{x}^* \rangle = \langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \boldsymbol{a}_0 \otimes \boldsymbol{x}_0 \rangle.$$

277 Writing $\boldsymbol{a}^* \otimes \boldsymbol{x}^*$ as $\sum_i \boldsymbol{x}_i^* \mathcal{S}_i(\boldsymbol{a}^*)$, we have

278
$$\langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \boldsymbol{a}^* \otimes \boldsymbol{x}^* \rangle = \sum_i \boldsymbol{x}_i^* \langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \mathcal{S}_i(\boldsymbol{a}) \rangle \leq \sum_i |\boldsymbol{x}_i^*| | \langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \mathcal{S}_i(\boldsymbol{a}) \rangle |.$$

279 When \boldsymbol{x}_0 is k-separable, we have the inequality

280
$$|\langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \mathcal{S}_i(\boldsymbol{a}^*) \rangle| \leq \|\boldsymbol{a}^*\|_2 \|\boldsymbol{a}_0\|_2$$

and the equality

$$egin{aligned} &\langle oldsymbol{a}_0\otimes ext{sign}(oldsymbol{x}_0),oldsymbol{a}_0\otimes oldsymbol{x}_0
angle = \|oldsymbol{a}_0\|_2^2\,\|oldsymbol{x}_0\|_1\,, \end{aligned}$$

283 Therefore,

282

284 $\|\boldsymbol{a}^*\|_2 \|\boldsymbol{a}_0\|_2 \|\boldsymbol{x}^*\|_1 \ge \|\boldsymbol{a}_0\|_2^2 \|\boldsymbol{x}_0\|_1,$ 285 which means $\|\boldsymbol{x}^*\|_1 \ge \frac{\|\boldsymbol{a}_0\|_2 \|\boldsymbol{x}_0\|_1}{\|\boldsymbol{a}^*\|_2}.$ Consider $\|\boldsymbol{x}^*\|_1 + \nu \|\boldsymbol{a}^*\|_2^2 - \|\boldsymbol{x}_0\|_1 - \nu \|\boldsymbol{a}_0\|_2^2$ with $0 < \nu \le \frac{\|\boldsymbol{x}_0\|_1}{2\|\boldsymbol{a}_0\|_2^2},$ we have

287
$$\|\boldsymbol{x}^*\|_1 + \nu \|\boldsymbol{a}^*\|_2^2 - \|\boldsymbol{x}_0\|_1 - \nu \|\boldsymbol{a}_0\|_2^2 \ge \|\boldsymbol{x}_0\|_1 \left(\frac{\|\boldsymbol{a}_0\|_2}{\|\boldsymbol{a}^*\|_2} - 1\right) - \nu (\|\boldsymbol{a}_0\|_2^2 - \|\boldsymbol{a}^*\|_2^2)$$

288
$$= \|\boldsymbol{x}_0\|_1 \frac{\|\boldsymbol{u}_0\|_2 - \|\boldsymbol{u}\|_2}{\|\boldsymbol{a}^*\|_2} - \nu \left(\|\boldsymbol{a}_0\|_2^2 - \|\boldsymbol{a}^*\|_2^2\right)$$

289
$$= (\|\boldsymbol{a}_0\|_2 - \|\boldsymbol{a}^*\|_2) \left(\frac{\|\boldsymbol{x}_0\|_1}{\|\boldsymbol{a}^*\|_2} - \nu(\|\boldsymbol{a}^*\|_2 + \|\boldsymbol{a}_0\|_2) \right)$$

290
$$\geq (\|\boldsymbol{a}_0\|_2 - \|\boldsymbol{a}^*\|_2) \left(\frac{\|\boldsymbol{x}_0\|_1}{\|\boldsymbol{a}^*\|_2} - 2\nu \|\boldsymbol{a}_0\|_2\right)$$

291
292
$$\geq (\|\boldsymbol{a}_0\|_2 - \|\boldsymbol{a}^*\|_2) \left(\frac{\|\boldsymbol{x}_0\|_1}{\|\boldsymbol{a}^*\|_2} - \frac{\|\boldsymbol{x}_0\|_1}{\|\boldsymbol{a}^*\|_2}\right) = 0.$$

293 Now we have

$$\|m{x}^*\|_1 +
u \, \|m{a}^*\|_2^2 \ge \|m{x}_0\|_1 +
u \, \|m{a}_0\|_2^2.$$

By the fact $(\boldsymbol{a}^*, \boldsymbol{x}^*)$ is one optimal solution to model (1.4), we have $\|\boldsymbol{x}^*\|_1 + \nu \|\boldsymbol{a}^*\|_2^2 = \|\boldsymbol{x}_0\|_1 + \nu \|\boldsymbol{a}_0\|_2^2$. So $(\boldsymbol{a}_0, \boldsymbol{x}_0)$ is also an optimal solution and all the above inequalities should be equalities. Therefore, we have for all *i* such that $\boldsymbol{x}_i^* \neq 0$, $|\langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \mathcal{S}_i(\boldsymbol{a}^*) \rangle| = \|\boldsymbol{a}^*\|_2 \|\boldsymbol{a}_0\|_2$. If \boldsymbol{x}_0 is signed 2k-separable, then

299
$$|\langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_0), \mathcal{S}_i(\boldsymbol{a}^*) \rangle| \leq |\langle \mathcal{S}_j(\boldsymbol{a}_0), \mathcal{S}_i(\boldsymbol{a}^*) \rangle|,$$

for some j being the index of the support set of a_0 . So we must have $|\langle S_j(a_0), S_i(a^*) \rangle| = \|a^*\|_2 \|a_0\|_2$, $(a^*, x^*) = (a_0, x_0)$ up to a cyclic shift.

In a quick glance, the k-separation condition on the signal x_0 is a very strong condition to ensure that the truth is one of the global minima of the model (1.4). The next example shows that it is indeed tight to ensure the soundness of the model.

Example 2.1 (The necessity of *k*-separation). Consider a measurement $\mathbf{b} = \mathbf{a}_0 \otimes \mathbf{x}_0$ where the pair $(\mathbf{a}_0, \mathbf{x}_0)$ is defined by

Then, the kernel size k = 10 and the signal is k - 1 separable. Consider another pair (a_1, x_1) defined by

$$a_{1} = [1/10, 1/10, 1/10, 1/10, 1/10, 1/10, 1/10, 1/10, 1/10, 1/10] \in \mathbb{R}^{10},$$

$$x_{1} = [0, \underbrace{b_{1}, \ldots, b_{1}}_{8}, 0, \underbrace{-b_{1}, \ldots, -b_{1}}_{8}] \in \mathbb{R}^{162}, \text{ where } b_{1} = [10/9, \underbrace{0, \ldots, 0}_{9}].$$

311 We have that $(\boldsymbol{a}_1, \boldsymbol{x}_1) \in \mathcal{S}_{\boldsymbol{b}}^0$. By direct calculation, we have $\|\boldsymbol{a}_0\|_2 = \sqrt{\frac{17}{162}}, \|\boldsymbol{a}_1\|_2 = \sqrt{\frac{1}{10}},$ 312 $\|\boldsymbol{x}_0\|_1 = 18$ and $\|\boldsymbol{x}_1\|_1 = 160/9$. Thus, for any $\nu > 0$,

313
$$\|\boldsymbol{x}_1\|_1 + \nu \|\boldsymbol{a}_1\|_2^2 < \|\boldsymbol{x}_0\|_1 + \nu \|\boldsymbol{a}_0\|_2^2.$$

In other words, for the true pair (a_0, x_0) where the signal is (k - 1)-separable, neither it nor its variations with cyclic translations is a global minimum of (1.4).

316 While the k-separation condition is sufficient to guarantee that the truth is one of the global 317 minimum of the model (1.4), it is not sufficient to guarantee that the truth is an unique one 318 up to a cyclic shift.

Example 2.2 (Insufficiency of k-separation for unique global minimum). Consider the measurement $b = a_0 \otimes x_0$ defined by

$$egin{aligned} m{a}_0 &= [1,1,0,0,0,0,2]/4 \in \mathbb{R}^7, \ m{x}_0 &= [m{ar{x}}_0,m{ar{x}}_0,m{ar{x}}_0] \in \mathbb{R}^{24} & ext{where }m{ar{x}}_0 &= [1, \underbrace{0, \dots, 0}_7], \end{aligned}$$

294

307

321

322 Then, the pair $(\boldsymbol{a}_1, \boldsymbol{x}_1) \in \mathcal{S}_{\boldsymbol{b}}^0$ given by

$$egin{aligned} m{a}_1 &= [2,0,1,1,0,0,0]/4 \in \mathbb{R}^7, \ m{x}_1 &= [ar{m{x}}_1,ar{m{x}}_1,ar{m{x}}_1] \in \mathbb{R}^{24} & ext{where } ar{m{x}}_1 &= [\underbrace{0,\ldots,0}_6,1,0], \end{aligned}$$

324 is also a global minimum of (1.4). Note that a_1 is not any shift of a_0 .

2.2. Model (1.4) for approximately signed 2k-separable with measurement noise. In this subsection, we consider the estimation error of the kernel for general sparse signals when using the model (1.4) in the presence of measurement noise. Consider a noisy measurement $b = a_0 \otimes x_0 + n$. Let $||n||_1 \le \epsilon$. Before proving Theorem 1.5, we first establish the following lemmas.

Lemma 2.3. Suppose $\mathbf{x}_{0,s2k}$ is nonzero and signed 2k-separated and $\mathbf{x}_0 = \mathbf{x}_{0,s2k} + \Delta \mathbf{x}_0$. Suppose $(\mathbf{a}^*, \mathbf{x}^*)$ is an optimal solution to model (1.4) with feasibility set $S_{\mathbf{b}}^{\epsilon}$, then

332
$$C(\boldsymbol{a}_{0},\boldsymbol{a}^{*}) \geq (1 - 2\frac{\|\Delta \boldsymbol{x}_{0}\|_{1}}{\|\boldsymbol{x}_{0}\|_{1}} - \frac{\|\boldsymbol{a}_{0}\|_{\infty}}{\|\boldsymbol{a}_{0}\|_{2}^{2}} \frac{2\epsilon}{\|\boldsymbol{x}_{0}\|_{1}}) \frac{\|\boldsymbol{a}_{0}\|_{2} / \|\boldsymbol{a}^{*}\|_{2}}{1 + \frac{\nu}{\|\boldsymbol{x}_{0}\|_{1}} (\|\boldsymbol{a}_{0}\|_{2}^{2} - \|\boldsymbol{a}^{*}\|_{2}^{2})}.$$

333 *Proof.* Since $(\boldsymbol{a}^*, \boldsymbol{x}^*)$ belongs to the feasible set, there exists a \boldsymbol{z} such that $\boldsymbol{a}^* \otimes \boldsymbol{x}^* =$ 334 $\boldsymbol{a}_0 \otimes (\boldsymbol{x}_{0,s2k} + \Delta \boldsymbol{x}_0) + \boldsymbol{z}, \|\boldsymbol{z}\|_1 \leq 2\epsilon$. We have

323

336
$$\langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_{0,s2k}), \boldsymbol{a}^* \otimes \boldsymbol{x}^* \rangle$$

$$= \langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_{0,s2k}), \boldsymbol{a}_0 \otimes \boldsymbol{x}_{0,s2k} \rangle + \langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_{0,s2k}), \boldsymbol{a}_0 \otimes \Delta \boldsymbol{x}_0 \rangle + \langle \boldsymbol{a}_0 \otimes \operatorname{sign}(\boldsymbol{x}_{0,s2k}), \boldsymbol{z} \rangle,$$

339 which means

340
$$\sum_{i=0}^{n-1} |\boldsymbol{x}_{i}^{*}| \cdot |\langle \boldsymbol{a}_{0} \otimes \operatorname{sign}(\boldsymbol{x}_{0,s2k}), \mathcal{S}_{i}(\boldsymbol{a}^{*}) \rangle| \geq ||\boldsymbol{a}_{0}||_{2}^{2} (||\boldsymbol{x}_{0,s2k}||_{1} - ||\Delta \boldsymbol{x}_{0}||_{1}) - ||\boldsymbol{a}_{0}||_{\infty} ||\boldsymbol{z}||_{1},$$

341 Define

342

$$A := \max_{ au \in [n]} \left\{ \left| \left\langle oldsymbol{a}_0 \otimes \operatorname{sign}(oldsymbol{x}_{0,s2k}), oldsymbol{\mathcal{S}}_{ au}(oldsymbol{a}^*)
ight
angle
ight\}.$$

343 As $\boldsymbol{x}_{0,s2k}$ is signed 2k-separable, we also have $A \leq \max_{\tau \in [n]} \{ |\langle \boldsymbol{a}_0, \mathcal{S}_{\tau}(\boldsymbol{a}^*) \rangle | \}$. Then

344
$$A \|\boldsymbol{x}^*\|_1 \ge \|\boldsymbol{a}_0\|_2^2 \left(\|\boldsymbol{x}_{0,s2k}\|_1 - \|\Delta \boldsymbol{x}_0\|_1 - 2\frac{\|\boldsymbol{a}_0\|_{\infty}}{\|\boldsymbol{a}_0\|_2^2}\epsilon\right).$$

345 On the other hand, (a^*, x^*) is the minimizer of the problem, so we have

346
$$\nu \|\boldsymbol{a}^*\|_2^2 + \|\boldsymbol{x}^*\|_1 \le \nu \|\boldsymbol{a}_0\|_2^2 + \|\boldsymbol{x}_0\|_1.$$

347 The Combination of the two inequalities gives

348
$$\frac{\|\boldsymbol{a}_{0}\|_{2}^{2}(\|\boldsymbol{x}_{0}\|_{1}-2\|\Delta\boldsymbol{x}_{0}\|_{1}-2\frac{\|\boldsymbol{a}_{0}\|_{\infty}}{\|\boldsymbol{a}_{0}\|_{2}^{2}}\epsilon)}{A} \leq \frac{\|\boldsymbol{a}_{0}\|_{2}^{2}(\|\boldsymbol{x}_{0,s2k}\|_{1}-\|\Delta\boldsymbol{x}_{0}\|_{1}-2\frac{\|\boldsymbol{a}_{0}\|_{\infty}}{\|\boldsymbol{a}_{0}\|_{2}^{2}}\epsilon)}{A}$$

349
350
$$\leq \nu(\|\boldsymbol{a}_0\|_2^2 - \|\boldsymbol{a}^*\|_2^2) + \|\boldsymbol{x}_0\|_1.$$

Hence we have an estimation about A: 351

352
$$A \ge \frac{\|\boldsymbol{a}_0\|_2^2 (\|\boldsymbol{x}_0\|_1 - 2\|\Delta \boldsymbol{x}_0\|_1 - 2\frac{\|\boldsymbol{a}_0\|_{\infty}}{\|\boldsymbol{a}_0\|_2^2} \epsilon)}{\nu(\|\boldsymbol{a}_0\|_2^2 - \|\boldsymbol{a}^*\|_2^2) + \|\boldsymbol{x}_0\|_1},$$

which leads to 353

354
$$\frac{A}{\|\boldsymbol{a}_0\|_2^2} \ge \frac{\|\boldsymbol{x}_0\|_1 - 2\|\Delta\boldsymbol{x}_0\|_1 - 2\frac{\|\boldsymbol{a}_0\|_{\infty}}{\|\boldsymbol{a}_0\|_2^2}\epsilon}{\nu(\|\boldsymbol{a}_0\|_2^2 - \|\boldsymbol{a}^*\|_2^2) + \|\boldsymbol{x}_0\|_1} = \frac{1 - 2\frac{\|\Delta\boldsymbol{x}_0\|_1}{\|\boldsymbol{x}_0\|_1} - \frac{\|\boldsymbol{a}_0\|_{\infty}}{\|\boldsymbol{a}_0\|_2^2}\frac{2\epsilon}{\|\boldsymbol{x}_0\|_1}}{1 + \frac{\nu}{\|\boldsymbol{x}_0\|_1}(\|\boldsymbol{a}_0\|_2^2 - \|\boldsymbol{a}^*\|_2^2)}.$$

Thus, we have 355

356

$$C(\boldsymbol{a}_{0}, \boldsymbol{a}^{*}) \geq \frac{A}{\|\boldsymbol{a}_{0}\|_{2} \|\boldsymbol{a}^{*}\|_{2}} = \frac{A}{\|\boldsymbol{a}_{0}\|_{2}^{2}} \frac{\|\boldsymbol{a}_{0}\|_{2}}{\|\boldsymbol{a}^{*}\|_{2}}$$

$$\geq (1 - 2\frac{\|\Delta\boldsymbol{x}_{0}\|_{1}}{\|\boldsymbol{x}_{0}\|_{1}} - \frac{\|\boldsymbol{a}_{0}\|_{\infty}}{\|\boldsymbol{a}_{0}\|_{2}^{2}} \frac{2\epsilon}{\|\boldsymbol{x}_{0}\|_{1}}) \frac{\|\boldsymbol{a}_{0}\|_{2} / \|\boldsymbol{a}^{*}\|_{2}}{1 + \frac{\nu}{\|\boldsymbol{x}_{0}\|_{1}} (\|\boldsymbol{a}_{0}\|_{2}^{2} - \|\boldsymbol{a}^{*}\|_{2}^{2})}.$$

The proof is done. 360

It can be seen that the estimation error is also related to the term

$$R_{
u}(\|oldsymbol{a}^*\|_2,\|oldsymbol{a}_0\|_2):=rac{\|oldsymbol{a}_0\|_2/\|oldsymbol{a}^*\|_2}{1+rac{
u}{\|oldsymbol{x}_0\|_1}(\|oldsymbol{a}_0\|_2^2-\|oldsymbol{a}^*\|_2^2)},$$

which depends on the value ν . In the next lemma, we give a lower bound of such an estimator 361 for a specific value of ν . 362

Lemma 2.4. Under the same assumptions as Lemma 2.3, setting $\nu = \frac{\|\boldsymbol{x}_0\|_1}{2\|\boldsymbol{a}_0\|_2^2}$, assuming 363 $\frac{\|\Delta \boldsymbol{x}_0\|_1 + \epsilon}{\|\boldsymbol{x}_0\|_1} < \frac{\sqrt{3} - 1}{2\sqrt{3}}, \ we \ have$ 364 $R_{\nu}(\|\boldsymbol{a}^*\|_2, \|\boldsymbol{a}_0\|_2) \ge 1.$ 365

Proof. There are two cases for the relation between $\|\boldsymbol{a}^*\|_2$ and $\|\boldsymbol{a}_0\|_2$. 366

368

Case 1: $\|\boldsymbol{a}^*\|_2 \ge \|\boldsymbol{a}_0\|_2$. In this case, from the definition of $(\boldsymbol{a}^*, \boldsymbol{x}^*)$, we have $\boldsymbol{a}^* \otimes \boldsymbol{x}^* = \boldsymbol{a}_0 \otimes \boldsymbol{x}_0 + \boldsymbol{z}$ with $\|\boldsymbol{z}\|_1 \le 2\epsilon$, 369 370 and

$$u \left\|oldsymbol{a}^*
ight\|_2^2 + \left\|oldsymbol{x}^*
ight\|_1 \leq
u \left\|oldsymbol{a}_0
ight\|_2^2 + \left\|oldsymbol{x}_0
ight\|_1.$$

Moreover, 372

367

371

373
$$\|\boldsymbol{x}^*\|_1 \ge \|\boldsymbol{a}^* \otimes \boldsymbol{x}^*\|_1 = \|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0 + \boldsymbol{z}\|_1 \ge \|\boldsymbol{x}_0\|_1 - 2\|\Delta \boldsymbol{x}_0\|_1 - 2\epsilon.$$

374and thus

375
$$\nu \|\boldsymbol{a}^*\|_2^2 + \|\boldsymbol{x}_0\|_1 - 2\|\Delta \boldsymbol{x}_0\|_1 - 2\epsilon \le \nu \|\boldsymbol{a}^*\|_2^2 + \|\boldsymbol{x}^*\|_1 \le \nu \|\boldsymbol{a}_0\|_2^2 + \|\boldsymbol{x}_0\|_1$$

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$$= \frac{2\nu \|\boldsymbol{a}_0\|_2^2}{\|\boldsymbol{x}_0\|_1} + (1 - \frac{2\nu \|\boldsymbol{a}_0\|_2^2}{\|\boldsymbol{x}_0\|_1}) \frac{\|\boldsymbol{a}_0\|_2}{\|\boldsymbol{a}^*\|_2}.$$

Based on the discussions on the two cases above, we have the following inequality:

$$R_{\nu}(\|\boldsymbol{a}^*\|_2, \|\boldsymbol{a}_0\|_2) \geq \frac{2\nu \|\boldsymbol{a}_0\|_2^2}{\|\boldsymbol{x}_0\|_1} + (1 - \frac{2\nu \|\boldsymbol{a}_0\|_2^2}{\|\boldsymbol{x}_0\|_1}) \frac{\|\boldsymbol{a}_0\|_2}{\|\boldsymbol{a}^*\|_2}$$

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When $\nu = \frac{\|\boldsymbol{x}_0\|_1}{2\|\boldsymbol{a}_0\|_2^2}$, we have

 $R_{\nu}(\|\boldsymbol{a}^*\|_2, \|\boldsymbol{a}_0\|_2) \ge 1.$

398 The proof is done.

399 Remark 2.5. In practice, $\|\boldsymbol{a}_0\|_2$ and $\|\boldsymbol{x}_0\|_1$ are not accessible. Thus, we use $\nu \approx \frac{\sqrt{k}\|\boldsymbol{b}\|_1}{2}$ 400 as the initial value ν . Then, we use the the estimation of these two quantities to update the 401 value of ν during the iteration.

402 Proof of Theorem 1.5. The proof is done by combining Lemma 2.3 and Lemma 2.4, and 403 the fact $0.21 < \frac{\sqrt{3}-1}{2\sqrt{3}}$.

In the case where the noise \boldsymbol{n} is negligible and the kernel erases a significant portion of the information of \boldsymbol{x} in terms of the energy of the measurement: $\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0\| < \|\boldsymbol{x}_0\| - c_0$, where c_0 is a non-negligible positive constant. Our theorem shows that the recovery of the kernel can be robust to such a loss of information. When $\|\boldsymbol{a}_0 \otimes \Delta \boldsymbol{x}_0\|_1 \leq \epsilon$, we can treat $\boldsymbol{a}_0 \otimes \Delta \boldsymbol{x}_0$ as noise and the above theorem can be applied.

Corollary 2.6. Suppose that the measurement $\mathbf{b} = \mathbf{a}_0 \otimes \mathbf{x}_0$ is noise-free, $\mathbf{x}_{0,s2k}$ is a nonzero signed 2k-separable approximation to \mathbf{x}_0 such that

$$\boldsymbol{x}_0 = \boldsymbol{x}_{0,s2k} + \Delta \boldsymbol{x}_0$$

409 Moreover, assume $\|\boldsymbol{a}_0 \otimes \Delta \boldsymbol{x}_0\|_1 \leq \epsilon$, $\frac{\epsilon}{\|\boldsymbol{x}_{0,s2k}\|_1} \leq 0.21$. Let $(\boldsymbol{a}^*, \boldsymbol{x}^*)$ denote an optimal solution 410 to the problem (1.4) with the feasible set $\mathcal{S}_{\boldsymbol{b}}^{\epsilon}$. Then, by setting $\nu = \frac{\|\boldsymbol{x}_0\|_1}{2\|\boldsymbol{a}_0\|_2^2}$, we have

411
$$C(\boldsymbol{a}_0, \boldsymbol{a}^*) \ge 1 - \frac{\|\boldsymbol{a}_0\|_{\infty}}{\|\boldsymbol{a}_0\|_2^2} \frac{2\epsilon}{\|\boldsymbol{x}_{0,s2k}\|_1}.$$

412 *Proof.* Replace x_0 and n in Theorem 1.5 by $x_{0,s2k}$ and $a_0 \otimes \Delta x_0$.

413 2.3. Region selection for blind SaS deconvolution and its application in blind image **deblurring.** Theorem 1.5 shows that the error between the true kernel and the global minimum 414 of the model (1.4) depends on $\|\Delta x\|_1/\|x\|_1$, measuring how close the signal is to a signed 2k-415sparse signal. In other words, as long as the entries of the signal with significant magnitude 416 are well separated, the model (1.4) is good for estimating the kernel. In general, For a sparse 417 418 signal, there are the areas containing non-zero entries with sufficient separation and the areas contains dense non-zero entries. For blind SaS problem, the signal size is much larger than 419 kernel size. Thus, one might only select certain parts of the signal which only contains well-420 separated non-zero entries, and use these parts to estimate the blur kernel. After the blur 421kernel is accurately estimated. Then, a non-blind deconvolution method is called to deconvolve 422423 the whole signal.

In the blind deblurring application, the blurring is modeled by $B = a \otimes I$, where I/Bare the clean/blurred images respectively. In certain cases, the gradient image ∇I is assumed with SaS structure. Thus when we estimate kernel a, we regard the measurement model in gradient domain, *i.e.*, $b = \nabla B = a \otimes x$ where x is the gradient of I. It is empirically observed that the intermediate estimate x^t with least fitting error is indeed not a good candidate for

refining the estimation of the kernel. Many empirical techniques are proposed for processing 429the intermediate result to facilitate the refinement of the kernel; see e.g. [11, 34, 26, 15, 13, 35]. 430 For example, Cho and Lee [11] propose to modify the estimated image by shock filter before 431 being used for refining the kernel estimation. Xu and Jia [34] proposed to run a salient 432 433 edge selection scheme to erase certain edges of the intermediate result. These methods postprocess the intermediate image recovery with heuristic strategies to promote the separation 434of remained edges. There exists another approach to obtain more accurate kernel estimation 435from a good region extracted from the input blurred image. In this direction, Hu and Yang [15] 436proposed a learning method to learn which region is selected for kernel estimation. In this 437 paper, we follow the region selection approach to estimate the kernel. Considering a motion-438 blurred natural image, it usually contains both cartoon regions and texture regions and image 439 gradients are usually well-separated in cartoon regions. Let x denote image gradient ∇I and 440 the whole image is divided into several overlapping regions $\{x_i\}$. For better kernel estimation, 441 we use the good regions from $\{x_i\}$. Here the good regions means the regions whose residual 442component $\|\Delta x_i\|_1 / \|x_i\|_1$ is sufficiently small. In other words, based on our analysis shown 443444 in Section 2.2, only these regions should be used for estimating kernel, not the whole image.

Based on the analysis conducted in Section 2.1 and 2.2, we proposed a computational scheme to identify such region. Instead of attempting to identifying the regions with approximate signed 2k separability, we identify a subset of such regions which can be well approximated by 2k separable signals, *i.e.*, we consider

$$\boldsymbol{x}_0 = \boldsymbol{x}_{0,2k} + \Delta \boldsymbol{x}_0,$$

where $x_{0,2k}$ is a nonzero 2k-separable signal. It is noted that the 2k-separable signals are a subset of signed 2k-separable signals defined in Definition 1.3.

Corollary 2.7. Under the same assumption as Theorem 1.5, let $x_{0,2k}$ denote a non-zero 2k-separable approximation to x:

$$\boldsymbol{x}_0 = \boldsymbol{x}_{0,2k} + \Delta \boldsymbol{x}_0.$$

447 Let $(\boldsymbol{a}^*, \boldsymbol{x}^*)$ denote an optimal solution to the problem (1.4) with the feasible set $\mathcal{S}^{\epsilon}_{\boldsymbol{b}}$, we have

448
$$C(\boldsymbol{a}_0, \boldsymbol{a}^*) \ge 1 - 2 \frac{\|\Delta \boldsymbol{x}_0\|_1}{\|\boldsymbol{x}_0\|_1} - \frac{\|\boldsymbol{a}_0\|_{\infty}}{\|\boldsymbol{a}_0\|_2^2} \frac{2\epsilon}{\|\boldsymbol{x}_0\|_1}.$$

449 *Proof.* By Definition 1.3, a 2k-separable signal is also a signed 2k-separable. By directly 450 calling Theorem 1.5, we have the conclusion.

The main idea to identify the regions with approximate 2k-separability is based on the following observation. Notice that the convolution between a k-separated signal y_0 and a normalized non-negative kernel a_0 with size up to k does not change the ℓ_1 norm of signal, *i.e.*, $\|y_0\|_1 = \|a_0 \otimes y_0\|_1$. Then, consider a normalized Gaussian smooth kernel g with size $\leq k+1$. The kernel $g \otimes a_0$ has size $\leq 2k$. Assume $x_0 = x_{0,2k} + \Delta x_0$ with $x_{0,2k}$ a 2k-separable signal.

Each entry of Δx_0 follows some i.i.d. with zero expectation. Then we have

457
$$\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_{0,2k}\|_1 = \|\mathbb{E}_{\Delta \boldsymbol{x}_0}[\boldsymbol{a}_0 \otimes \boldsymbol{x}_0]\|_1 \leq \mathbb{E}_{\Delta \boldsymbol{x}_0}[\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_1] \leq \|\boldsymbol{a}_0 \otimes \boldsymbol{x}_{0,2k}\|_1 + \mathbb{E}_{\Delta \boldsymbol{x}_0}[\|\boldsymbol{a}_0 \otimes \Delta \boldsymbol{x}_0\|_1].$$

458 Replacing \boldsymbol{a}_0 by $\boldsymbol{g} \otimes \boldsymbol{a}_0$, we have

459
$$\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_{0,2k}\|_1 = \|\boldsymbol{g} \otimes \boldsymbol{a}_0 \otimes \boldsymbol{x}_{0,2k}\|_1 = \|\mathbb{E}_{\Delta \boldsymbol{x}_0}[\boldsymbol{g} \otimes \boldsymbol{a}_0 \otimes \boldsymbol{x}_0]\|_1 \leq \mathbb{E}_{\Delta \boldsymbol{x}_0}[\|\boldsymbol{g} \otimes \boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_1].$$

460 Combine the above two inequalities and the fact $\|\boldsymbol{g} \otimes \boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_1 \leq \|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_1$, we have

461
$$0 \leq \mathbb{E}_{\Delta \boldsymbol{x}_0}[\|\boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_1 - \|\boldsymbol{g} \otimes \boldsymbol{a}_0 \otimes \boldsymbol{x}_0\|_1] \leq \mathbb{E}_{\Delta \boldsymbol{x}_0}[\|\boldsymbol{a}_0 \otimes \Delta \boldsymbol{x}_0\|_1] \leq \mathbb{E}_{\Delta \boldsymbol{x}_0}[\|\Delta \boldsymbol{x}_0\|_1].$$

462 Therefore, statistically, the smaller the $\|\Delta x_0\|_1$ is, the smaller the gap between $\|a_0 \otimes x_0\|_1$ 463 and $\|g \otimes a_0 \otimes x_0\|_1$ is.

Such property can be used to detecting approximately 2k-separable regions, e.q., the 464 regions with well-separated prominent gradients are likely to be the ones whose ℓ_1 -norm change 465less after smoothed by a Gaussian smooth kernel. By Corollary 2.7, the model can recover 466the kernel with good accuracy in these regions. As our goal is to find the regions with small 467 relatively residual component, we restricted the regions to be selected in the set of the ones 468with sufficient large $\|\nabla I\|_1$. In the implementation, we first erase the regions whose ℓ_1 -norm 469of gradients are smaller than a pre-defined threshold. Then, we select the regions whose ℓ_1 -470 norm of gradients change relative little after smoothing the image by a Gaussian filter. See 471 Algorithm 2.1 for the outline of such a region selection scheme. To facilitate the iteration and 472save computational time, we only select just one region to predict kernel. The extension to 473

474 multiple regions is straightforward with careful boundary management.

Algorithm 2.1 Region selector for kernel estimation in blind image deblurring

Input: The input blurred image B, the kernel size $[k_1, k_2]$, predefined region size [m, n]**Output:** A good region patch.

- 1: Pre-processing the blurred image by erasing the small edges following [34], obtain horizontal and vertical gradient image $\boldsymbol{b} = [\boldsymbol{b}_x, \boldsymbol{b}_y]$
- 2: Computing the re-blurred image feature $\boldsymbol{b}_g = [\boldsymbol{b}_x \otimes \boldsymbol{g}, \boldsymbol{b}_y \otimes \boldsymbol{g}]$ with a Gaussian blur kernel \boldsymbol{g}
- 3: Computing the change map of reblurring: $c = |b| |b_q|$
- 4: Computing the feature map \boldsymbol{b}_f of the whole image with box filter $\boldsymbol{f} \in \mathbb{R}^{m,n}$: $\boldsymbol{b}_f = |\boldsymbol{b}_x \otimes \boldsymbol{f}| + |\boldsymbol{b}_y \otimes \boldsymbol{f}|$
- 5: Masking out the region using mask $M = (\mathbf{b}_f \ge 0.95 \max(\mathbf{b}_f))$, remained element is set to Inf
- 6: Selecting the center index of region: $index = \operatorname{argmin}(\boldsymbol{c} \odot M)$
- 7: Outputting the selected region

Initialization of kernel estimation. In addition to region selection, a multi-scale coarseto-fine strategy is implemented for providing a good initialization which is close to the true kernel. The basic idea is that after downsampling a blurred image , the resulting blur kernel will has a smaller size too. The smaller the size of the kernel, the easier the problem becomes. Thus, one can first estimate a blur kernel from a down-sampled version of the input image and then up-sampled the kernel to provide a good initialization to the input image. Such a strategy can be recursively used to provide a good initialization of the kernel. In the implementation, a pyramid of the input image is constructed with downsampling rate 2 between two consecutive scales, and the image in the coarsest scale has a kernel size no larger than 3×3 . Then, starting with the coarsest scale, the kernel is initialized by a 3×3 constant kernels. The kernel estimated in one scale is then be used as the initial kernel used in the finer scale, after being upsampled by the factor 2 using linear interpolation.

Built on the selected region, we propose using the proximal alternating iterative minimization to solve the variational formulation of the model (1.4) for blind image deblurring. For blind image deblurring, we first estimate the blur kernel a using (2.1) in the domain of image gradients. In the presence of Gaussian white noise, the resulting variational optimization reads as

492 (2.1)
$$\min_{\boldsymbol{a}\in\Omega,\boldsymbol{x}} \quad \frac{1}{2} \|\boldsymbol{b}-\boldsymbol{a}\otimes\boldsymbol{x}\|_{2}^{2} + \lambda(\|\boldsymbol{x}\|_{1}+\nu\|\boldsymbol{a}\|_{2}^{2})$$

493 where Ω denotes the feasible set for the kernel and **b** denotes the gradient of the input blurred 494 image ∇B or its selected region. The model (2.1) is a challenging nonconvex problem. In this 495 paper, the proximal alternating minimization scheme alternatively solves the following two 496 convex sub-problems:

497 (2.2)
x-subproblem :
$$\boldsymbol{x}_{k+1} = \underset{\boldsymbol{x}}{\operatorname{argmin}} \quad \frac{1}{2} \|\boldsymbol{b} - \boldsymbol{a}_k \otimes \boldsymbol{x}\|_2^2 + \lambda \|\boldsymbol{x}\|_1 + \frac{1}{2\lambda_k} \|\boldsymbol{x} - \boldsymbol{x}_k\|_2^2;$$

a-subproblem : $\boldsymbol{a}_{k+1} = \underset{\boldsymbol{a} \in \Omega}{\operatorname{argmin}} \quad \frac{1}{2} \|\boldsymbol{b} - \boldsymbol{a} \otimes \boldsymbol{x}_{k+1}\|_2^2 + \lambda \nu \|\boldsymbol{a}\|_2^2 + \frac{1}{2\mu_k} \|\boldsymbol{a} - \boldsymbol{a}_k\|_2^2,$

498 where λ_k, μ_k denote the step sizes at the k-th iteration.

The two sub-problems in (2.1) are convex and can be solved efficiently by the primal dual 499 hybrid gradient algorithm (Chambolle-Pock algorithm) [8]. For the a-subproblem, we first 500solve it using the primal dual hybrid gradient algorithm without considering the feasible set 501 Ω . Then the solution is projected to the feasible set Ω . Such a strategy is widely used in blind 502deblurring [27, 17] with satisfactory empirical performance. For the *x*-sub-problem, we simply 503call the same primal dual hybrid gradient algorithm. Briefly, these two sub-problems without 504feasible set constraints can be expressed as the following standard composite optimization 505with proximable function: 506

507 (2.3)
$$\min F(Az) + G(z),$$

510

where $F(\cdot)$ denote the differentiable fidelity term, and $G(\cdot)$ denotes the regularization term. The minimization (2.3) is then solved by the following iterative scheme:

$$egin{aligned} oldsymbol{z}^{k+1} &= & \max_{\sigma F}(oldsymbol{z}^k - \sigma oldsymbol{A}^*oldsymbol{y}^k) \ oldsymbol{y}^{k+rac{1}{2}} &= oldsymbol{y}^k + \sigma oldsymbol{A}(2oldsymbol{z}^{k+1} - oldsymbol{z}^k) \ oldsymbol{y}^{k+1} &= oldsymbol{y}^{k+rac{1}{2}} - \sigma & \max_{\sigma^{-1}G}(\sigma^{-1}oldsymbol{y}^{k+rac{1}{2}}). \end{aligned}$$

511 where the stepsize satisfies $0 < \sigma < 1/ \|\mathbf{A}\|_2$. For the terms $\|\mathbf{x}\|_1$ and $\|\mathbf{a}\|_2^2$, their related 512 proximities can be efficiently computed. As the problem (2.1) is nonconvex, the proximal alternating minimization scheme (2.2) can not guarantee the convergence to one global minimizer of (2.2). Nevertheless, suppose that two sub-problems in (2.2) are exactly solved during the iteration. Then, one can show that by [2, Theorem 9], the sequence generated by the scheme (2.2) converges to a critical point of the problem

518 (2.4)
$$\Psi(\boldsymbol{a}, \boldsymbol{x}) := \frac{1}{2} \|\boldsymbol{b} - \boldsymbol{a} \otimes \boldsymbol{x}\|_{2}^{2} + \lambda(\|\boldsymbol{x}\|_{1} + \nu \|\boldsymbol{a}\|_{2}^{2}) + \delta_{\Omega}(\boldsymbol{a}),$$

519 where δ_{Ω} is the indicator function of the feasible set Ω of kernel \boldsymbol{a} . For the completeness of 520 the paper, we provide a sketch of the proof.

Definition 2.8 (Subdifferential [28]). Consider a proper low semi-continuous function f: $\mathbb{R}^n \to \mathbb{R} \cup \{+\infty\}$. Denote its domain by dom $f \coloneqq \{x | f(x) < +\infty\}$. Then, the Fréchet subdifferential of f at $x \in domf$, written as $\hat{\partial}f(x)$, is the set of vectors $v \in \mathbb{R}^n$ satisfying

$$\liminf_{\boldsymbol{x}\neq\boldsymbol{y},\boldsymbol{y}\rightarrow\boldsymbol{x}}\frac{1}{\|\boldsymbol{x}-\boldsymbol{y}\|}[f(\boldsymbol{y})-f(\boldsymbol{x})-\langle\boldsymbol{v},\boldsymbol{x}\rangle]\geq 0.$$

If $\mathbf{x} \notin dom f$, then $\hat{\partial} f(\mathbf{x}) = \emptyset$. he subdifferential of f at $\mathbf{x} \in dom f$, written as $\partial f(\mathbf{x})$, is defined as

$$\partial f(\boldsymbol{x}) \coloneqq \{ \boldsymbol{v} \in \mathbb{R}^n : \exists \boldsymbol{x}_n \to \boldsymbol{x}, f(\boldsymbol{x}_n) \to f(\boldsymbol{x}), \boldsymbol{v}_n \in \hat{\partial} f(\boldsymbol{x}_n) \to \boldsymbol{v} \}.$$

521 Definition 2.9 (Critical Point). A point \mathbf{x} is called critical point of f if $0 \in \partial f(\mathbf{x})$, where 522 $\partial f(\mathbf{x})$ denotes the subdifferential of f.

It is noted that $0 \in \partial f(\boldsymbol{x})$ is only a necessary condition for \boldsymbol{x} being a local minimizer of f. It is shown in [2, Theorem 9] that, for the sequence $\{(\boldsymbol{a}_k, \boldsymbol{x}_k)\}_k$ generated from (2.2), either $\|(\boldsymbol{a}_k, \boldsymbol{x}_k)\|$ tends to infinity or converges to a critical point of (2.4), if the objective function ψ satisfies the following properties:

(K-L): Ψ satisfies the so-called Kurdyka-Lojasiewic property [2];

 $(\mathcal{H}): \left\{ \begin{array}{l} \Psi(\boldsymbol{a},\boldsymbol{x}) = f(\boldsymbol{a}) + Q(\boldsymbol{a},\boldsymbol{x}) + g(\boldsymbol{x}), \\ f: \mathbb{R}^n \to \mathbb{R} \cup \{+\infty\}, g: \mathbb{R}^m \to \mathbb{R} \cup \{+\infty\} \text{ are proper lower semicontinuous,} \\ Q: \mathbb{R}^n \times \mathbb{R}^m \to \mathbb{R} \text{ is a } \mathcal{C}^1 \text{ function,} \\ \nabla Q \text{ is Lipschitz continuous on bounded subsets of } \mathbb{R}^n \times \mathbb{R}^m; \\ (\mathcal{H}_1) \left\{ \begin{array}{l} \inf_{\mathbb{R}^n \times \mathbb{R}^m} \Psi > -\infty, \\ \text{The function } \Psi(\cdot, \boldsymbol{x}_0) \text{ is proper,} \\ \text{There exsit constants } 0 < \lambda_- < \lambda_+ \text{ such that } \lambda_- < \lambda_k, \mu_k < \lambda_+, \text{ for all } k \ge 0. \end{array} \right. \right.$

For the objective function Ψ of the problem (2.4), let $Q(\boldsymbol{a}, \boldsymbol{x}) = \frac{1}{2} \|\boldsymbol{a} \otimes \boldsymbol{x} - \boldsymbol{b}\|_2^2$, $f(\boldsymbol{a}) = \lambda \nu \|\boldsymbol{a}\|_2^2 + \delta_{\Omega}(\boldsymbol{a})$, $g(\boldsymbol{x}) = \lambda \|\boldsymbol{x}\|_1$. It can be seen that the function Ψ satisfies both Condition \mathcal{H}_1 and Condition \mathcal{H}_1 . Also, as $Q, g, \lambda \mu \|\boldsymbol{a}\|_2^2$ are all semi-algebraic functions, and Ω is a semialgebraic set, the function Ψ is thus a semi-algebraic function, which satisfy the K-L property [2]. In other words, the objective function Ψ defined by (2.4) satisfies all conditions assume in [2, Theorem 9]. Furthermore, Lemma 5 in [2] shows that $\Psi(\boldsymbol{a}_k, \boldsymbol{x}_k) \leq \Psi(\boldsymbol{a}_{k-1}, \boldsymbol{x}_{k-1}) \leq \Psi(\boldsymbol{a}_0, \boldsymbol{x}_0)$, therefore, $\lambda(\|\boldsymbol{x}_k\|_1 + \nu \|\boldsymbol{a}_k\|_2^2) \leq \Psi(\boldsymbol{a}_k, \boldsymbol{x}_k) \leq \Psi(\boldsymbol{a}_0, \boldsymbol{x}_0)$. The initial kernel \boldsymbol{a}_0 is 530 chosen to be in the feasible set, so $\Psi(\boldsymbol{a}_0, \boldsymbol{x}_0) < +\infty$. Therefore, $\{(\boldsymbol{a}_k, \boldsymbol{x}_k)\}$ is bounded, by [2, 531 Theorem 9], the sequence generated by (2.2) converges to a critical point of Ψ .

Furthermore, as long as the initialization is close enough to one global minima, the proximal alternating minimization scheme will converge to such a global minima; See [2, Theorem 10]. In practice, a good initialization is possible using the coarse-to-fine strategy. For better empirical performance, we use the continuation decreasing technique of ν to reduce the regularization effect of $\|\boldsymbol{a}\|_2^2$ and shift correction to address the shift ambiguity. Once we obtain the kernel \boldsymbol{a} , we solve the non-blind deblurring

538 (2.5)
$$I = \underset{I}{\operatorname{argmin}} \|B - a \otimes I\|_1 + \lambda \|\nabla I\|_1$$

to produce the deblurred image using the resolved blur kernel a. We use ℓ_1 relating data fidelity for its robustness to outlier. This problem can be reformulated as an ℓ_1 minimization problem. There are many numerical solvers for solving such convex problem, and we use iteratively reweighted least squares method in the implementation. See Algorithm 2.2 for the outline for the blind deblurring algorithm using model (2.1) and good region selector. While there is no guarantee on finding one global minima using the proximal alternating minimization scheme, it is likely to converge to a solution close to the truth kernel

3. Experiments. The analysis conducted in Section 2 reveals the importance of the sep-546aration of significant non-zero entries, when using ℓ_1 -norm relating regularization for kernel 547estimation. As the problem (1.4) is nonconvex, the proximal alternating minimization algo-548rithm cannot guarantee the convergence to a global minimizer. In this section, we run some 549experiments on the synthesized 1D sparse signals to examine the landscape of the variational 550551regularization formulation of problem (1.4). In the second part of this section, the proposed algorithm with region selection is applied to solve the problem of blind motion deblurring. The 552experiments are conducted on two popular benchmark datasets, and the proposed method is 553compared to other existing related techniques seen in blind motion deblurring. The results 554show the effectiveness of the proposed method which is inspired by the analysis conducted in 555 this paper. 556

3.1. Landscape visualization on 1D blind SaS deconvolution. As the proposed algorithm cannot guarantee finding a global minimizer of the non-convex problem (1.4), it can be a concern on the convergence of the iterative scheme adopted in this paper to a local minimizer far away from the truth. In this section, we visualize the landscape of the problem (1.4) with respect to different values of the ν in the 1D case. In the experiment, the problem (1.4) is solved by considering the following regularized form:

563 (3.1)
$$\min_{\boldsymbol{a}\in\Omega,\boldsymbol{x}} \quad \frac{1}{2} \|\boldsymbol{b}-\boldsymbol{a}\otimes\boldsymbol{x}\|_{2}^{2} + \lambda(\|\boldsymbol{x}\|_{1} + \nu \|\boldsymbol{a}\|_{2}^{2}),$$

564 where $\Omega = \{ \boldsymbol{a} \in \mathbb{R}^n_k | \boldsymbol{a} \ge 0, \sum a_i = 1 \}$, and λ is an appropriate parameter.

565 While the loss function in (3.1) is of two variables, blind SaS deconvolution focuses on the 566 kernel estimation. Thus, we consider the alternating minimization iteration which marginal-567 izes the variable \boldsymbol{x} by solving a convex Lasso related to \boldsymbol{x} , and the approximately optimal Algorithm 2.2 Coarse-to-fine SaS Blind Deconvolution with Region Selection

- **Input:** Observation blurred **B**, regularization parameter λ , ν_0 and the minimum value ν_{\min} , the stepsizes for the proximal alternating minimization r_1, r_2 , continuation parameter $\beta_{\nu} > 1$, shift correction step I_c , maximum iteration iter_{max}.
- **Output:** Kernel estimation a_k , and the recovered image I
- 1: Call Algorithm 2.1 to infer a good region B_{good}
- 2: Construct blurred image pyramid $\boldsymbol{B}_{\text{good}}^s, s=1,\ldots,S$ from fine-to-coarse
- 3: for s = S : -1 : 1 do
- Set k = 1, if s = S, generate random initialization a_1 and $\nu_1 \leftarrow \nu_0$, otherwise $a_1 \leftarrow$ 4: resize($\boldsymbol{a}_{\text{itermax}}, s$) and $\nu_1 \leftarrow \nu_{\text{itermax}}$
- repeat 5:
- **Solve** x-subproblem. Set $a = a_k, x_k = \nabla B_{\text{good}}$ and $\lambda_k = r_1$, solve $x_{k+1} =$ 6:
- arg min_x $\frac{1}{2} \|\nabla B_{\text{good}} \boldsymbol{a} \otimes \boldsymbol{x}\|_2^2 + \lambda \|\boldsymbol{x}\|_1 + \frac{1}{2\lambda_k} \|\boldsymbol{x} \boldsymbol{x}_k\|_2^2$ Solve *a*-subproblem using post-projection. Set $\nu = \nu_k, \mu_k = r_2$ and solving problem $\boldsymbol{a}_{k+1} = \arg \min_{\boldsymbol{a} \in \Omega} \quad \frac{1}{2} \|\nabla B_{\text{good}} \boldsymbol{a} \otimes \boldsymbol{x}_{k+1}\|_2^2 + \lambda \nu \|\boldsymbol{a}\|_2^2 + \frac{1}{2\mu_k} \|\boldsymbol{a} \boldsymbol{a}_k\|_2^2$ 7:
- if $k \in I_c$ then 8:
- 9: Kernel shift correction
- end if 10:
- Set $\nu_{k+1} = \max\{\nu_k/\beta_\nu, \nu_{\min}\}$ 11:
- $k \leftarrow k + 1$ 12:
- **until** $k > \text{iter}_{\max}$ 13:
- 14: end for
- 15: (Optional): Using the kernel a_k as an initialization, solve a few alternating minimization to refine the kernel using the whole deblurred image
- 16: Non-blind deblurring: Using the estimated solve Ι kernel $\boldsymbol{a}_k,$ _ $\operatorname{argmin}_{I} \|\boldsymbol{B} - \boldsymbol{a}_{k} \otimes \boldsymbol{I}\|_{1} + \lambda \|\nabla \boldsymbol{I}\|_{1}$
- solution 568

569 (3.2)
$$\boldsymbol{a}^* = \operatorname*{argmin}_{\boldsymbol{a}\in\Omega} \phi_{\nu}(\boldsymbol{a}) = \operatorname*{argmin}_{\boldsymbol{a}\in\Omega} \left\{ \min_{\boldsymbol{x}} \frac{1}{2} \|\boldsymbol{b} - \boldsymbol{a} \otimes \boldsymbol{x}\|_{2}^{2} + \lambda(\|\boldsymbol{x}\|_{1} + \nu \|\boldsymbol{a}\|_{2}^{2}) \right\},$$

where $\lambda = 0.03$ in the experiments. Then, we visualize the landscape of the function $\phi_{\nu}(a)$ to 570 see the distribution of local minimizers of the problem. 571

In the experiments, the 1D data with SaS structure is synthesized as follows. The kernel 572 $a_0 = [1, 1, \dots, 1, 1]/10 \in \mathbb{R}^{10}$ is used in the experiment. A sparse signal $x_0 \in \mathbb{R}^{1000}_{20}$ is generated 573with totally 40 nonzero Gaussian random elements which are separated by at least 20 entries. 574In this configuration, Theorem 1.2 states that the ground truth is a global minimizer. To 575visualize its landscape of the function with 10-dimensional unknown vector, we project it 576onto 2-dimensional plane defined by three points $a_0, a_1 = [1, 0, \dots, 0] \in \mathbb{R}^{10}$ and $a_2 =$ 577 $[1, 1, 1, 1, 1, 0, \dots, 0]/5 \in \mathbb{R}^{10}$. The 2-dimensional function is defined as $\phi_{\nu}(\alpha, \beta) = \phi_{\nu}(\boldsymbol{a}_0 + \beta)$ 578 $\alpha(\boldsymbol{a}_1 - \boldsymbol{a}_0) + \beta(\boldsymbol{a}_2 - \boldsymbol{a}_0))$. To fulfill the constraint on $\boldsymbol{a} := \boldsymbol{a}_0 + \alpha(\boldsymbol{a}_1 - \boldsymbol{a}_0) + \beta(\boldsymbol{a}_2 - \boldsymbol{a}_0)$, we 579have that 580

581
$$1 + 9\alpha + \beta \ge 0, 1 - \alpha - \beta \ge 0, 1 - \alpha + \beta \ge 0, -1/4 \le \alpha \le 1, -1 \le \beta \le 5/4.$$

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See Figure 2 for landscape visualization of $\phi_{\nu}(\alpha, \beta)$ with 3 different ν : *i.e.* $\nu \in \{1, 0.1, 0.01\}$. It can be seen that the landscape of the function is impacted by different values of ν . A larger ν leads to a large cost at the Dirac kernel, which makes the algorithm more likely to be away from the Delta Dirac kernel δ . When the ν is set to 1 and 0.1, in a large region around the true kernel, there is no local minimizer. In other words, as long as ν is set to a sufficiently large value, an alternating iterative scheme is likely to converge to the global minimizer, provided the initialization is reasonably close to the truth.



Figure 2. 2D Geometry of the function $\phi_{\nu}(\mathbf{a})$ with varying ν . (a) $\nu = 1$; (b) $\nu = 0.1$; (c) $\nu = 0.01$. The true solution \mathbf{a}_0 is marked in red +, and another two points $\mathbf{a}_1, \mathbf{a}_2$ are marked in red diamonds. The last row shows the contour of function (3.2).

3.2. Application on blind motion deblurring. In this section, we applied the proposed iterative blind SaS deconvolution algorithm with region selection, Alg. 2.2, to solve the problem of blind motion deblurring. We consider two datasets: Hu *et al.*'s dataset [15] and Sun *et al.*'s dataset [31]. There are 120 burred images synthesized from 10 clean image with 12

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kernels in Hu et al.'s dataset¹. No true images are provided in Hu et al.'s dataset. There 593are 640 images in Sun's dataset [31], which are synthesized from 80 clean images convolved 594by 8 kernels from [21] and contaminated by 1% Gaussian noise². Both true images and true 595kernels are available in Sun et al.'s dataset. See Figure 3 for the 8 blur kernels and some 596 597sample images from Sun et al.'s dataset. The parameters of Alg. 2.2 are set as follows. The maximum iteration is set to 1000, λ is set to 0.03, the stepsizes for the proximal alternating 598minimization are set as $r_1 = r_2 = 1e - 3$, ν_0 is set to 0.1, $\nu_{\min} = 0.01$ and the kernel shift 599 correction step is set to 200. Two experiments are conducted in this section. The first is to 600 examine the effectiveness of region selection technique described in Alg. 2.1 for blind motion 601 deblurring, and the second is for quantitative performance comparison of Alg. 2.2 and the 602 other very related methods on Sun et al.'s dataset. 603



Figure 3. The blur kernels (top row) and sample images (bottom row) from Sun et al.'s dataset [31].

604 **3.2.1.** Performance evaluation of region selection. The main contribution in Alg. 2.2 lies in the introduction of Alg. 2.1-based region selection. Such a motivation comes from the 605 analysis which shows the importance of sufficient separation of image edges in the region. To 606 607 show the benefit of such a region selection to kernel estimation, we run the experiments on Hu et al.'s dataset [15]. Following the same setting as [15], a region of size 200×200 is extracted 608 by Alg. 2.1 where the smoothing Gaussian kernel g used in Alg. 2.1 is of the same size as the 609 true kernel. The kernels estimated using Alg. 2.2 on the extracted region are compared to 610 their counterparts estimated using Alg. 2.2 on the whole image (without regions selection). 611 612 See Figure 4 for the visual comparison of the estimated kernels on some sample images. It can be seen that the kernels estimated on the regions selected by Alg. 2.1, the rectangular 613regions bounded by red box, are certainly closer to the true kernels. 614

To show the quality improvement of the deblurred results brought by region selection via Alg. 2.1. An experiment is conducted to show the comparison of the visual quality of the image deblurred by the kernel estimated by Alg. 2.2. The results from the proposed method is compared to several existing methods which also call some edge/region selection module during the iteration, including Fergus *et al.* [12], Xu *et al.* [34] and Hu and Yang [15]. Fergus *et al.* selected the regions with the highest edge energy. Xu *et al.* [34] removes the edges with small magnitude. Hu and Yang [15] learned a discrimination map and selected the region

¹The dataset is available from https://eng.ucmerced.edu/people/zhu/ECCV12_dataset.zip.

²The dataset is available from https://cs.brown.edu/people/lbsun/deblur2013/all_deblurred_results_SunICCP2013.zip.



Figure 4. Comparison between the kernels estimated by Alg 2.2 on the regions selected by Alg. 2.1 and the ones estimated on the whole image. (a) Input blurred image from Hu et al.'s dataset; (b) The regions selected by Alg. 2.1, bounded in red box; (c) The kernel estimated on the whole image; (d) The kernels estimated on selected regions; (e) The true kernel.

with the highest score of kernel recovery quality. See Figure 5 for visual comparison of the deblurred results from the methods with different edge/region selection techniques. It can be seen that overall, the proposed method perform consistently on the sample images and yields the results with the best visual quality.

626 **3.2.2.** Quantitative evaluation for blind motion deblurring. In this section, a quantitative evaluation of the proposed method is conducted on Sun *et al.*'s dataset [31]. Following 627 the common practice, the measurement on the accuracy of the kernel estimation is done by 628 examining the quality of the image recovered by the estimated kernel using some widely-629 used non-blind deblurring method. Following most works, the robust non-blind deconvolution 630 631 method [34] with default parameter setting is called for deblurring the image using the estimated kernel. Three metrics are used to quantitatively measure the quality of the deblurred 632 images: mean PSNR, mean SSIM and mean of error ratios [21]. The last metric accounts for 633 the difficulty of the non-blind deconvolution step considered. An error ratio larger than 3 is 634deemed visually unacceptable as [21] did. 635

In experiment, we compare Alg 2.2 against other existing approaches, including Krishnan *et al.* [18], Cho & Lee [11], Levin *et al.* [22], Xu & Jia [34] and Sun *et al.* [31]. Except Krishnan *et al.* [18] which used a normalized TV regularization on images for blind deblurring, all other methods methods contain edge/region selection for more accurate blind deblurring. See Table 1 for quantitative comparison of different methods on the Sun *et al.*'s dataset. Note that the failed cases in Table 1 refers to the number of the cases where relative error ratio

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Figure 5. Visual comparison of the results, de-blurred images and estimated kernels, using the methods with different region selection techniques. For each two rows, the first row shows the input and selected regions, and the second row shows the de-blurred images and the estimated kernels on the top left of the images.

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642 is larger than 3. From Table 1, the proposed Alg 2.2 is the best in terms of PSNR and No. 643 of failing cases, and is the second best in terms of SSIM and Error Ratio. Our algorithm 644 outperforms other methods in terms of failed cases. For the comparison of visual quality, See 645 Figure 6 for the comparison of different methods on some sample images. It can be seen that 646 overall, the proposed one yields the results with best visual quality, with more details and less 647 artifacts. See Figure 7 for the curve of cumulative error ratio, and the proposed one is the top 648 performer.

In summary, for blind motion deblurring, edge/region selection plays an important role in blind motion deblurring. Among all edge/region selection technique, the proposed one achieves the best performance. This experiments show the effectiveness of region selection built on the analysis conducted in this paper, i.e. the relationship between the separation of non-zero entries of the signal and the estimation accuracy of the kernel.

				E1.				
Different approaches		-	Edge selection			Region selection		
	Known	Krishnan	Levin	Cho & Lee	• Xu & Jia	Sun et al.	Hu & Yang	g Ours
	kernel	<i>et al.</i> [18]	et al. [22]	[11]	[34]	[31]	[15]	
PSNR	32.4204	23.8157	25.6754	26.9552	28.2503	29.4993	28.5242	29.5939
SSIM	0.9491	0.8188	0.8657	0.8775	0.9157	0.9232	0.8959	0.9205
Error Ratio	1	2.9344	2.2561	2.2671	1.7327	1.3546	1.822	1.4252
Failed cases	-	196	73	135	37	<u>23</u>	60	14

 Table 1

 Average PSNR/SSIM and Error Ratio for Sun et al.'s dataset [31].

4. Conclusion. This paper studys an ℓ_1 -norm relating regularization model for solving 654 the problem of blind SaS deconvolution. It is shown that the model is sound when the sparse 655 signal is sufficiently separated among non-zero entries. In the presence of noise, the analysis 656657 also reveals that the global minimizers of the studied model remain a good approximation to the truth, when the signal can be well approximated by a well-separated sparse signal. Such 658 659 a study inspires a region selection technique for blind SaS deconvolution. The experiments on blind image deblurring show the benefit of region selection. In future, we plan to study the 660 soundness of other widely-used and efficient models to see whether we could further improve 661 the theoretical results. We would also like to investigate the landscape of the ℓ_1 -norm relating 662 regularization models and the provable algorithms for blind SaS deconvolution. 663

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Figure 6. Visual comparison of the results from different methods on the images from [31]. Zoom-in for better visual inspection.



Figure 7. Cumulative error ratios of different methods on Sun et al.'s dataset [31].

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