Asymmetric unwrapping of nucleosomal DNA propagates asymmetric opening and dissociation of the histone core

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The nucleosome core particle (NCP) is the basic structural unit for genome packaging in eukaryotic cells and consists of DNA wound around a core of eight histone proteins. DNA access is modulated through dynamic processes of NCP disassembly. Partly disassembled structures, such as the hexasome (containing six histones) and the tetrasome (four histones), are important for transcription regulation in vivo. However, the pathways for their formation have been difficult to characterize. We combine time-resolved (TR) small-angle X-ray scattering and TR-FRET to correlate changes in the DNA conformations with composition of the histone core during salt-induced disassembly of canonical NCPs. We find that H2A–H2B histone dimers are released sequentially, with the first dimer being released after the DNA has formed an asymmetrically unwrapped, teardrop-shape DNA structure. This finding suggests that the octasome-to-hexasome transition is guided by the asymmetric unwrapping of the DNA. The link between DNA structure and histone composition suggests a potential mechanism for the action of proteins that alter nucleosome configurations such as histone chaperones and chromatin remodeling complexes.

Significance

Nucleosomes are fundamental protein–DNA structures through which eukaryotes package and organize DNA inside the nucleus. Nucleosomes are disassembled to gain access to the critical information stored in DNA. Here, we describe a new experimental approach that characterizes the kinetics of nucleosome disassembly and the synergy between DNA conformation and protein components. Using NaCl to disrupt electrostatic interactions, we identify kinetic pathways and transient intermediates that reveal how DNA unwrapping and protein dissociation are linked in this macromolecular complex. These dynamic structures may provide new insight into the regulation of DNA access during transcription, replication, and repair.
NaCl, a transient intermediate was observed with the DNA in a "J"-shaped conformation bound to a disrupted histone core. We applied contrast variation TR-SAXS to focus solely on the dynamic changes in DNA conformation (22). Information about the histone proteins was restricted to the "endpoint states" of intact or completely dissociated octamer.

Here, we report the coupling of TR-SAXS studies of DNA conformational changes with time-resolved FRET (TR-FRET) studies of H2A–H2B dimer dissociation during salt-induced NCP disassembly. Two conditions are characterized here: complete NCP disassembly following rapid increase from low salt (≈0) to 1.9 M NaCl (as in ref. 22), and partial disassembly upon increase to 1.2 M NaCl. The latter condition allowed observation of DNA conformations that facilitate release of the H2A–H2B dimers. The combination of TR-SAXS and TR-FRET provides insights into the conformational dynamics of open intermediate and hexasome formation (Fig. 1), with important implications for the biological function of the NCP in regulating DNA accessibility.

**Results**

**DNA Unwrapping at 1.9 M NaCl Visualized by TR-SAXS.** TR-SAXS with contrast variation was used to monitor the DNA conformations during complete NCP disassembly upon the rapid shift from ≈0–1.9 M NaCl by stopped-flow mixing (for SAXS profiles, see Fig. S1A). In standard SAXS measurements, both protein and DNA contribute to the scattering. Interpretation of these SAXS profiles is challenging and requires knowledge of how each component is distributed. Through contrast variation, scattering from the DNA is isolated by matching the electron density of the solvent to that of the protein. As illustrated in Fig. 2, this condition is achieved through the addition of 50% sucrose to the buffer. This contrast-matched condition allows for unambiguous analysis of the DNA conformation because only the DNA contributes to the SAXS signal. Sucrose is an effective contrast additive because it negligibly affects electrostatics (27) and NCP stability (22).

Because previous equilibrium and time-resolved SAXS studies of NCP disassembly revealed the presence of multiple structures, an ensemble optimization method (EOM) was applied to identify ensembles of DNA conformations that best recapitulate the SAXS profiles (22, 28, 29). An overview of this experimental strategy is summarized in Fig. 3. A pool of 9,182 nucleosomal DNA structures was generated with varying degrees of DNA unwrapping to create a large conformational space. For each time point, a genetic algorithm selected a subset of structures (or "ensemble") that yields a theoretical SAXS profile that best fits the SAXS data (for details, see Materials and Methods; for χ2 values and example fit, see Fig. S2 A and B). The optimized ensemble consists of representative structures that closely approximate the conformations in solution.

To visualize the ensembles, we calculated size distributions (radius of gyration, Rg) of the structures selected by EOM for each time point (Fig. 4; for initial DNA pool, see Fig. S2C). Those with an Rg of ∼50 Å represent mostly wrapped DNA. The diminishing amplitude of this peak with time corresponds to the disruption of the canonical NCP structure and the population of other conformations. Population of a state with an Rg near 140 Å (the Rg for a fully extended DNA) represents complete disassembly. Structures with intermediate values of Rg (between the peaks at 50 Å and 140 Å) represent intermediate states. The presence of multiple peaks at each time point suggests heterogeneous populations of NCP structures. The dominant conformations selected by EOM for the fully wrapped and extended states are shown in Fig. 4B and the major conformations selected for the intermediate states are shown in Fig. 4C. Within 100 ms, approximately half of the NCPs contain nearly symmetrically unwrapped DNA in a "U" shape, a quarter of the DNAs are fully wrapped, and a quarter of the DNAs are fully unwrapped. Between 100 and 500 ms, the partially wrapped intermediate states become more asymmetric with most of the population exhibiting J-shaped structures. A small fraction form "teardrop" structures, where one end of the DNA remains wrapped around the histone core, whereas the other is extended. After 2 s, the nucleosomal DNA is predominantly unwrapped.

**NCP Dissociation at 1.2 M NaCl Visualized by TR-SAXS.** To better characterize transient, asymmetric DNA species, we applied the same approach to study NCP disassembly at a final NaCl concentration of 1.2 M (for SAXS profiles, see Fig. S1B). This lower salt concentration limits disassembly to tetrasome species (tetramer dissociation occurs above 1.4 M NaCl, see Fig. 1) (15, 24) and captures DNA conformations associated with release of the H2A–H2B dimers. Compared with 1.9 M NaCl, NCP unwrapping at 1.2 M NaCl was significantly slower and was incomplete within our 10-s measurement window. The DNA ensembles selected by EOM (Fig. S3A) are quantified in the Rg histograms shown in Fig. 5A. A fully unwrapped state (Rg ∼140 Å) appears after 300 ms, but comprises only about 8% of the ensemble. In a majority of the NCPs, the DNA remains partially wrapped with Rgs that range from 50 Å to 90 Å. For the first 200 ms of the reaction, these partially wrapped species vary in size and shape; after 300 ms these structures converge to one with an Rg of 76 Å (circled in red). Fig. 5B shows representative structures for the major populations for the first 300 ms, together with proposed pathways for the time-dependent evolution of DNA unwrapping. After 300 ms, the asymmetric teardrop-shaped DNA is the predominant species. As highlighted in Fig. 5A and B, DNA reaches this structure through two major pathways. In pathway I, the teardrop forms directly as DNA unwraps asymmetrically. In pathway II, DNA initially unwraps symmetrically, but one end rewraps to form the asymmetric intermediate. The teardrop is relatively stable at 1.2 M NaCl and represents 80% of the population at 300 ms and 36% of the DNA component of NCPs. (4A) Color scale bar with typical electron density values for solvent (water), protein, and DNA (B and C). NCP structures (PDB 1AG0) shown in buffers with electron densities that vary depending on the presence of 0% (B) or 50% (C) sucrose. We used contrast variation SAXS to monitor DNA conformations during NCP disassembly induced with a salt jump.

![Diagram of NaCl-dependent disassembly for NCPs containing the 601-DNA (15), based on equilibrium studies ([NCP] ≥ 25 nM). At physiological ionic strength, NCP configurations reflect local dynamics (i.e., DNA breathing (6), and formation of an open intermediate (8)). Above 0.5 M NaCl, H2A–H2B dimers begin to dissociate, allowing the formation of hexasomes and tetrasomes (23). Above 1.4 M NaCl, (H3–H4), tetrasomes begin to dissociate, allowing for complete disassembly (24). Although dimer dissociation is reversible, tetrasomes are the minimal configurations required to maintain a wrapped DNA structure.](image-url)
Fig. 3. Overview of the ensemble optimization method (EOM) used for determining structures. Ensemble optimization (step 3, red box) requires SAXS profiles (step 1) and a pool of DNA structures (step 2) that contains a large number of possible conformations. First, the theoretical SAXS profile for each structure in the pool is calculated using CRY SOL (step 3, Left). A genetic algorithm (GAJOE) randomly selects subsets of these structures, called ensembles, for comparison with the input SAXS data (step 3, Right). Structures from the best-fitting ensembles are propagated into the next generation of ensembles along with some new structures, and this process is repeated (10,000 times) until convergence is achieved. The entire ensemble optimization process is repeated (100 times) to confirm reproducibility and the final ensembles that best represent the data are used to generate histograms of the radius of gyration and to determine the most representative structures for the SAXS profiles (step 4). The example fit and results shown are for the 300-ms time point of NCPs in 1.2 M NaCl under contrast-matched conditions (proteins “invisible” in 50% sucrose).

Fig. 4. DNA structures selected by EOM analysis of TR-SAXS data for NCPs dissociated in 1.9 M NaCl and 50% sucrose. (A) Rg(t) histograms for DNA structural models selected by EOM. Regions highlighted in red, green, and blue correspond with the fully wrapped, intermediate, and extended states, respectively. (B) Models that best represent the measured SAXS profile for the initial wrapped state (red) and final extended state (blue). (C) Models that best represent the intermediate states as a function of time. Red dots indicate the dyad axis or superhelical location zero (SHL 0). Numbers in the parentheses reveal the range of SHLs (number of turns where the major groove faces the histone, every 10 bp) contained within the curved portions. Percentages show the weights of the species out of the total population at the indicated time point. Under high-salt conditions where complete dissociation of 601-NCPs is favored, multiple partially unwrapped intermediates are populated.

Kinetics of NCP Core Opening and Sequential H2A–H2B Dimer Release.

FRET measurements that monitor the dissociation of H2A–H2B histone dimers from the octamer (15) provide complementary information to augment the DNA structures shown in Figs. 4 B and C and S5B. This system exploits unique Trp donors on the (H3–H4)2 tetramer and acceptors on the H2A–H2B dimers, incorporated through modification of single Cys residues with IAEDANS (abbreviated CA). Because the NCP contains two copies of each core histone protein, each NCP contains two donors (D and D′) and two acceptors (A and A′). The sites for the FRET pairs (H3–78W donor to H2B–109CA acceptor) were chosen so that each FRET pair (i.e., the D–A and D′–A′) contributes ~50% to the overall FRET signal (Fig. 6A), with minimal contribution from the other possible FRET combinations (D–A′ and D′–A). Details of the FRET pairs and their influence on nucleosome stability have been thoroughly investigated in ref. 15. Previous FRET-based studies have mostly focused on DNA unwrapping through the incorporation of FRET pairs between different positions on the DNA (16, 17) or between the DNA and histones (7, 8). The advantage of this protein–protein FRET system is that it allows for unambiguous detection of H2A–H2B dimer release.

As shown in Fig. 1, equilibrium studies have identified at least three key intermediates that are populated at increasing NaCl concentrations: an open intermediate, the hexasome, and the tetrasome. To assess the relevant time scales for histone dissociation, NCPs were rapidly shifted from ~0 M into solutions with final NaCl concentrations that ranged from 0.7 to 2 M NaCl. In this survey of [NaCl] dependence, the data were fit to a sum of exponentials and two major kinetic phases were observed for the loss of FRET (for details, see Supporting Information and Fig. S4). These phases were assigned to the formation of the hexasome and tetrasome (supported by native gel electrophoresis of NCPs incubated at 1 M NaCl for varying times) (Fig. S5). There was evidence of a faster, minor kinetic phase (10–20% amplitude), on the 100 ms to 3-s time scale. However, this phase could not be quantitatively analyzed by the experimental approaches used in this survey of NaCl conditions.

The relaxation times for the two major phases decrease monotonically, in a parallel pattern, with increasing NaCl concentrations (Fig. S4). First, a monotonic decrease demonstrates that the kinetic pathways for dimer dissociation is relatively smooth across NaCl concentrations, which favor partial disassembly to the tetrasome below 1.5 M and complete disassembly above 1.8 M. Thus, SAXS and FRET studies at two NaCl concentrations (1.2 M and 1.9 M) should provide a consistent kinetic model for NCP dissociation, with the caveat that intermediates are likely to be more stably populated at the lower NaCl concentration. Second, the parallel NaCl dependence of these two major kinetic phases suggests that their transition states involve disruption of similar macromolecular interactions. Thus, these kinetic phases likely reflect similar reactions.

The ensemble optimization process is repeated (100 times) to confirm reproducibility and the final ensembles that best represent the data are used to generate histograms of the radius of gyration and to determine the most representative structures for the SAXS profiles (step 4). The example fit and results shown are for the 300-ms time point of NCPs in 1.2 M NaCl under contrast-matched conditions (proteins “invisible” in 50% sucrose). The presence of two converging pathways was further confirmed by running another ensemble analysis algorithm: the minimal ensemble search (Supporting Information) (30).

To generate the kinetic pathway for complete disassembly, the DNA structures selected by EOM were binned into general classes of DNA structures (Fig. S5B) and incorporated into the kinetic scheme shown in Fig. 5C.

Supporting Information

Fig. S3.

Fig. S4.

Fig. S5.
in a sequential mechanism (e.g., dissociation of the first and then second H2A–H2B dimer). This conclusion is supported by analysis of the relative FRET amplitudes from multiple FRET pairs described below.

To better characterize the nature of the kinetic phases, especially the fastest, low-amplitude kinetic phase, larger datasets were collected as a function of final NCP concentration (25–250 nM), at 1.2 M and 1.9 M NaCl, using a combination of manual and stopped-flow mixing to monitor reactions from 10 ms to 1,000 s. Datasets at different NCP concentrations were globally fit to three kinetic phases. These results are shown in Fig. 6B and are summarized in Table 1 (for details, see Supporting Information and Fig. S6 A and B). The relative amplitudes for the three kinetic phases provide insight into the conformational changes associated with each phase as shown in Fig. 6C. The relatively small amplitude of the fastest phase (loss of ~20% of the FRET signal at both 1.2 M and 1.9 M) is consistent with a conformational change that opens the dimer–tetramer interfaces, rather than full dissociation of an H2A–H2B dimer. The larger amplitudes for the slower phases are consistent with dimer disassembly. Surprisingly, the relative amplitudes for the slower, dissociation phases are unequal (~30% and ~50%). This amplitude pattern is consistent with a sequential formation of an asymmetric open intermediate, in which only one dimer–tetramer interface is disrupted, followed by dissociation of this H2A–H2B dimer to form the hexasome and then dissociation of the second dimer to form the tetrasome (Fig. 6C). A detailed comparison of this model with that expected for a symmetric formation of the open intermediate is shown in Fig. S7A.

To verify the kinetic model presented in Fig. 6C, kinetic parameters were measured using a second FRET pair (H4-60W donor to H2A-108CA acceptor, Fig. S7B). Despite measuring different interactions, the relaxation times from the second FRET pair (H4–H2A NCP) agree well, and the inequality of the amplitudes for the hexasome and tetrasome phases is consistent with the two dimers being released through different pathways (Table 1, Fig. S7, and Supporting Information). Table 1 also provides kinetic parameters determined from the SAXS data collected in the absence of sucrose (for details, see Fig. S8). The reasonable agreement of relaxation times determined by FRET and SAXS is highlighted in the overlay shown in Fig. S6C.

**Discussion**

Although nucleosome disassembly is crucial for DNA access, the dynamics of this process is largely unexplored. This study combines knowledge of the DNA conformations monitored by SAXS with insight into histone configurations reported by FRET to...
provide details on dynamics and coordination between DNA and histone proteins during NCP disassembly.

**Kinetic Models for DNA Unwrapping.** Time-resolved SAXS revealed multiple pathways through which nucleosomal DNA unwraps during salt-induced disassembly. Although small populations of NCPs (<25% at 1.9 M and <10% at 1.2 M) dissociate at a rate that exceeds our limit of detection, in the majority of cases, NCPs progressively unwrap from the ends with rates that increase as a function of [NaCl]. Following a jump to high salt (1.9 M NaCl), complete disassembly is achieved within 10 s. The jump to a lower final salt concentration (1.2 M NaCl) reveals significantly slower kinetics, with a majority of the DNAs remaining partially wrapped. Under both conditions, the DNA unwraps to form asymmetric conformations (J and teardrop shapes). These observations are consistent with previous studies of nucleosomes containing the nonpalindromic 601-DNA sequence, where asymmetric nucleosome stability is observed with the 5' end showing a greater binding affinity than the 3' end (26). Asymmetric unwrapping may be a generalizable feature of DNA sequences with asymmetric affinities, as observed for both the 601- and SS-DNA sequences (22).

The slower kinetic responses at 1.2 M NaCl reveal two pathways to form the teardrop DNA. In addition to asymmetric unwrapping (pathway I), the detection of symmetric unwrapping and rewrapping of one end to form the same configuration (pathway II) highlights the potential for coordination between the DNA ends. These results are consistent with that reported by Ngo et al. using force-FRET spectroscopy and Monte Carlo simulations (21). Under low tension (<5 pN), they report that both DNA ends unwrap and rewrap synchronously, but further opening of one end stabilizes the rewrapping of the other end in a manner that is directed by the local flexibility of the DNA. They suggest that the unwrapping of one end may help stabilize the wrapping of the other through an overall reduction in electrostatic repulsion. Interestingly, the rewrapping observed in our work is observed under conditions where electrostatic interactions should be effectively screened (1.2 M NaCl). One possible explanation is that the rewrapping is facilitated by the histone tails.

For some of the asymmetric models determined in this study, the curved portion of the DNA that remains in contact with the histone core appears shifted away from the dyad and closer to the entry/exit sites compared with canonical structures. This conformation may depend on a sliding of the histone–DNA contacts (31). One intriguing possibility is that the partial DNA unwrapping may help facilitate nucleosome sliding.

**Structures and Pathways of Hexasome Formation Suggest DNA-Directed NCP Disassembly.** The integrated results from SAXS and FRET at 1.2 M NaCl provide insight into the transient species populated by 601-NCPs. The time-resolved DNA populations (classified in Fig. S3) were globally fitted to the kinetic scheme shown in Fig. 5C to obtain relaxation times (Fig. S9). These globally fitted populations are shown as a function of time in Fig. 7A, along with the expected populations of the histone configurations based on the H3–H2B NCP FRET data in Table 1. The complete kinetic scheme of NCP disassembly at 1.2 M is presented in Fig. 7B (for details, see Supporting Information).

The DNA rapidly unwraps from the histone octamer to form the teardrop DNA, which is the dominant conformation on the 0.2- to 1-s timescale. This teardrop conformation forms appreciably faster than the 2–30 s required for the asymmetric opening that disrupts an interface between the (H3–H4)2 tetramer and one of the H2A–H2B dimers and subsequent dissociation of the first dimer to form the hexasome. Such a state, containing partially unwrapped DNA, but a full complement of histone proteins, is completely consistent with results of Li and Widom (6), suggesting that contacts between the DNA and H2A–H2B dimers are disrupted by conformational dynamics observed under physiological conditions, yet other contacts prevent immediate release of one of the heterodimers. Thus, the teardrop DNA precedes changes in the histone octamer conformation, suggesting that the unwrapped DNA end acts like a gate to expose the proximal H2A–H2B dimer for release. The DNA further unwraps and releases the remaining dimer to form the tetrasome. This picture is in full agreement with equilibrium studies reported by Böhm et al. (8).

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**Table 1. Comparison of relaxation times and relative amplitudes from two FRET pairs and singular value decomposition analysis of TR-SAXS data in the absence of sucrose**

<table>
<thead>
<tr>
<th>Data</th>
<th>1.2 M NaCl</th>
<th>1.9 M NaCl</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>τ_{open}/τ_{open}</td>
<td>τ_{hexasome}/τ_{hexasome}</td>
</tr>
<tr>
<td>H3-78W to H2B-109CA</td>
<td>2.3 (0.5)/20 (4)</td>
<td>27 (3)/33 (4)</td>
</tr>
<tr>
<td>H4-60W to H2A-108CA</td>
<td>2.6 (0.6)/23 (3)</td>
<td>29 (3)/47 (3)</td>
</tr>
<tr>
<td>SAXS</td>
<td>2.7</td>
<td>14</td>
</tr>
</tbody>
</table>

The errors associated with the kinetic parameters are indicated in parentheses.

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**Fig. 7.** TR-FRET and TR-SAXS analyses reveal hexasome formation at 1.2 M NaCl. (A) Predicted populations of DNA conformational states (black lines) and histone configuration states (blue lines) based on the kinetic rates determined for NCPs at 1.2 M NaCl from the kinetic analysis of EOM models (Figure 6A, see details in Fig. S9) and TR-FRET measurements (Table 1), respectively. (B) NCP disassembly pathway determined from TR-SAXS with histone configurations informed by TR-FRET. Black numbers reflect the SAXS relaxation times (inverse of rates in Fig. S9C). Blue numbers reflect the FRET relaxation times (Table 1). The curved black arrow represents a minor pathway. For simplicity, histone orientations were centered on the dyad when possible.
This work suggests an intriguing mechanism for NCP remodeling in which DNA conformation facilitates the reconfiguration of the histone core. Although the asymmetric nature of the DNA unwrapping and subsequent dimer dissociation observed here was directed by the asymmetry of the tightly positioning 61Q sequence, this mechanism may be exploited by gene regulatory proteins as a general strategy to exchange (32) or modify (33) one H2A–H2B dimer while simultaneously protecting the other. The combined SAXS and FRET approach used in this work is readily adaptable to test the hypothesis that, in addition to direct interaction with the histone core, key partner proteins, such as chromatin remodelers or histone chaperones, affect the composition of the histone core by interacting with and altering nucleosomal DNA conformation.

Materials and Methods

NCP Production and Reconstitution. Previously described procedures were used for the expression and purification of recombinant Xenopus laevis histones (15, 34, 35), production of the 149-bp DNA derived from the Widom 601 sequence (15, 25) and their reconstitution into NCPs (22). Unless noted otherwise, experiments were conducted with the following buffer: 2 mM Tris–Cl (pH 7.5), 0.1 mM EDTA, and 0.1 mM DTT.

TR-SAXS Experiments. All TR-SAXS experiments were conducted using a Biologic SFM-400 stopped flow mixer at BioCAT Sector 18 at Advanced Photon Source (APS). The experimental procedures and SAXS image analysis are described in detail in Supporting Information.

Ensemble Optimization Method (EOM). Ensembles of DNA structures that best recapitulate the measured TR-SAXS profiles were selected using the program GAJOE v2.0 (28, 29). The DNA pool included 9182 structural models generated using PyMol (expanded from 32 models in ref. 22). Details on model generation are described in Supporting Information. The q-range used for GAJOE fitting was 0.006–0.2 Å−1. Rg histograms of selected DNA models were generated from 100 independent runs of the genetic algorithm. Parameters for BioCAT settings: number of harmonics = 50, maximum s-value = 0.25, number of points = 101, number of generations = 10000, number of ensembles = 200, ensemble size fixed = no, maximum/minimum number of curves per ensemble = 1, constant subtraction = no, number of times genetic algorithm repeated = 100.

The reliability and uniqueness of the solutions achieved by the genetic algorithm depend on two independent factors: (1) the size and diversity of the pool (which needs to contain an ensemble that fits the data well, e.g., q2 ≤ 2) and (2) the number of generations and iterations of the algorithm (to provide sufficient sampling and evolution to find the best fitting ensemble). The solutions obtained for a given SAXS profile using the DNA pool and parameter settings described above consistently converged to give nearly identical ensembles (with 0- to 2-bp variations).

TR-FRET Experiments. A previous paper described the design of a FRET system to monitor interactions in the NCP specifically between the H2A–H2B dimers, with Cys-AEDANS acceptors, and the (H3–H4)2 tetramer, with Trp donors (15). See details in Supporting Information.

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