

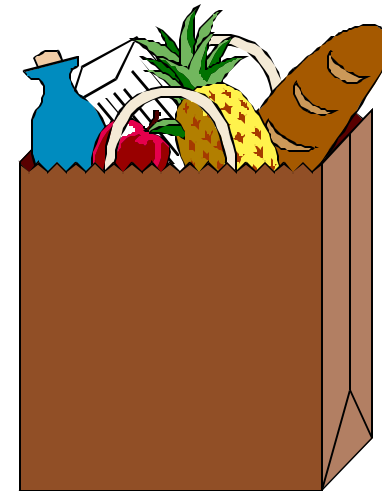
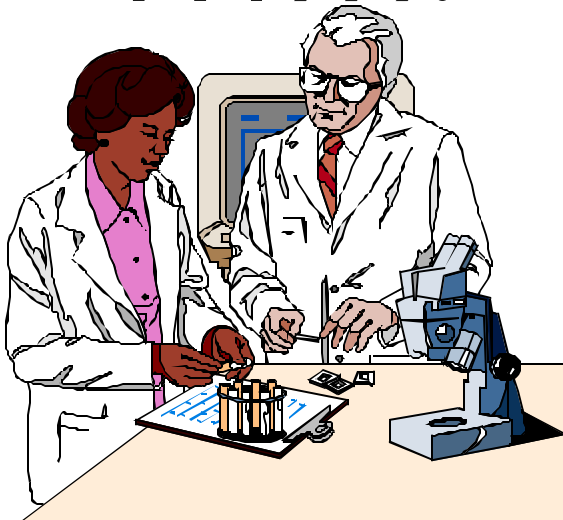
Northeastern University



Division of

Technology Transfer

Academic - industry technology transfer, the process of moving research results from academic laboratories to the marketplace, contributed \$40.9B to the economy and supported 270,000 jobs in FY1999.



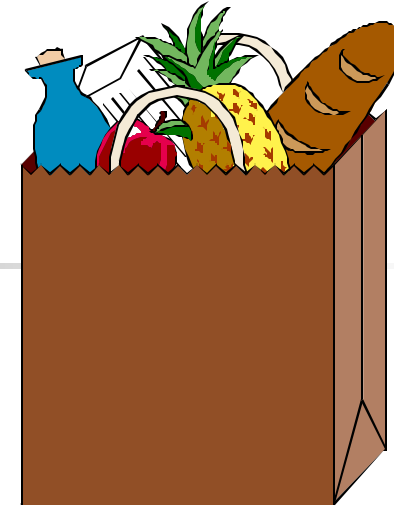
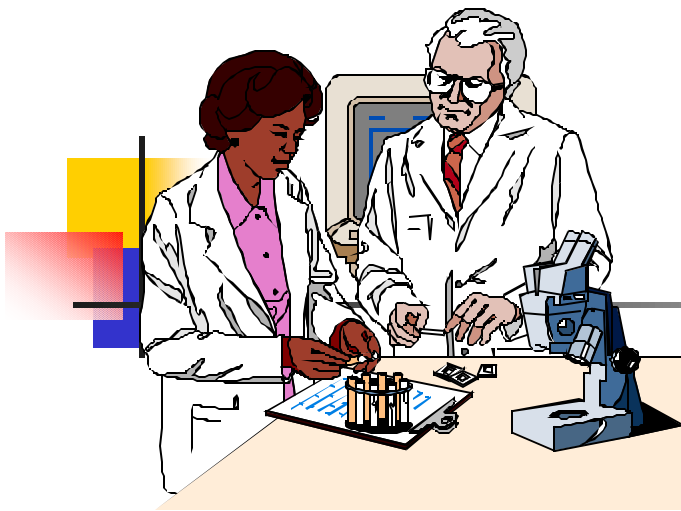
AUTM FY1999 Licensing Survey



\$26B in Sponsored Research

- \$2.7B in Industry Sponsored Research
- 12,324 new technology disclosures
- 5,545 new U.S. patent applications
- 3,661 new U.S. patents issued
- 3,914 new options and licenses, including 243 new equity ventures
- 8,308 licenses yielding \$729M in income

Source: AUTM FY1999 Licensing Survey

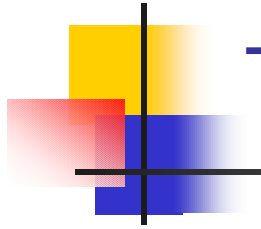


- **students**
- **publications**
- **conferences**
- **laboratory visits**
- **consulting**
- **industry sponsored research**
- **material transfers**
- **visiting research associates**
- **industrial consortia**
- **intellectual property licenses**

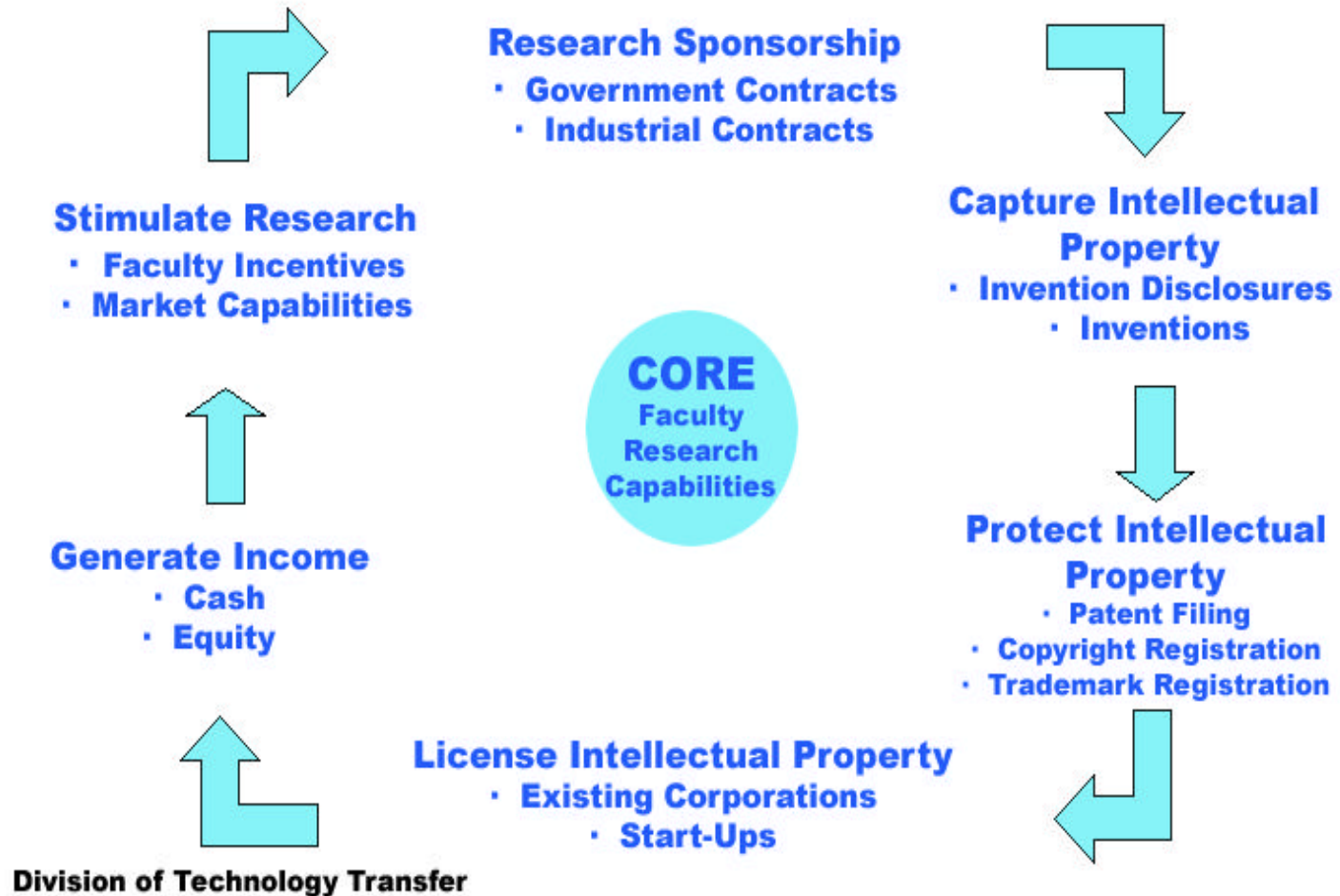


Northeastern University Technology Transfer Division

- Established in July 1999 to:
 - Enhance the University's role as a partner with industry in commercialization and sponsored research activities.
 - Provide support services in Intellectual Property protection for the Faculty and the University.
 - Generate additional revenue for the University to support education and further research.



Technology Transfer Process

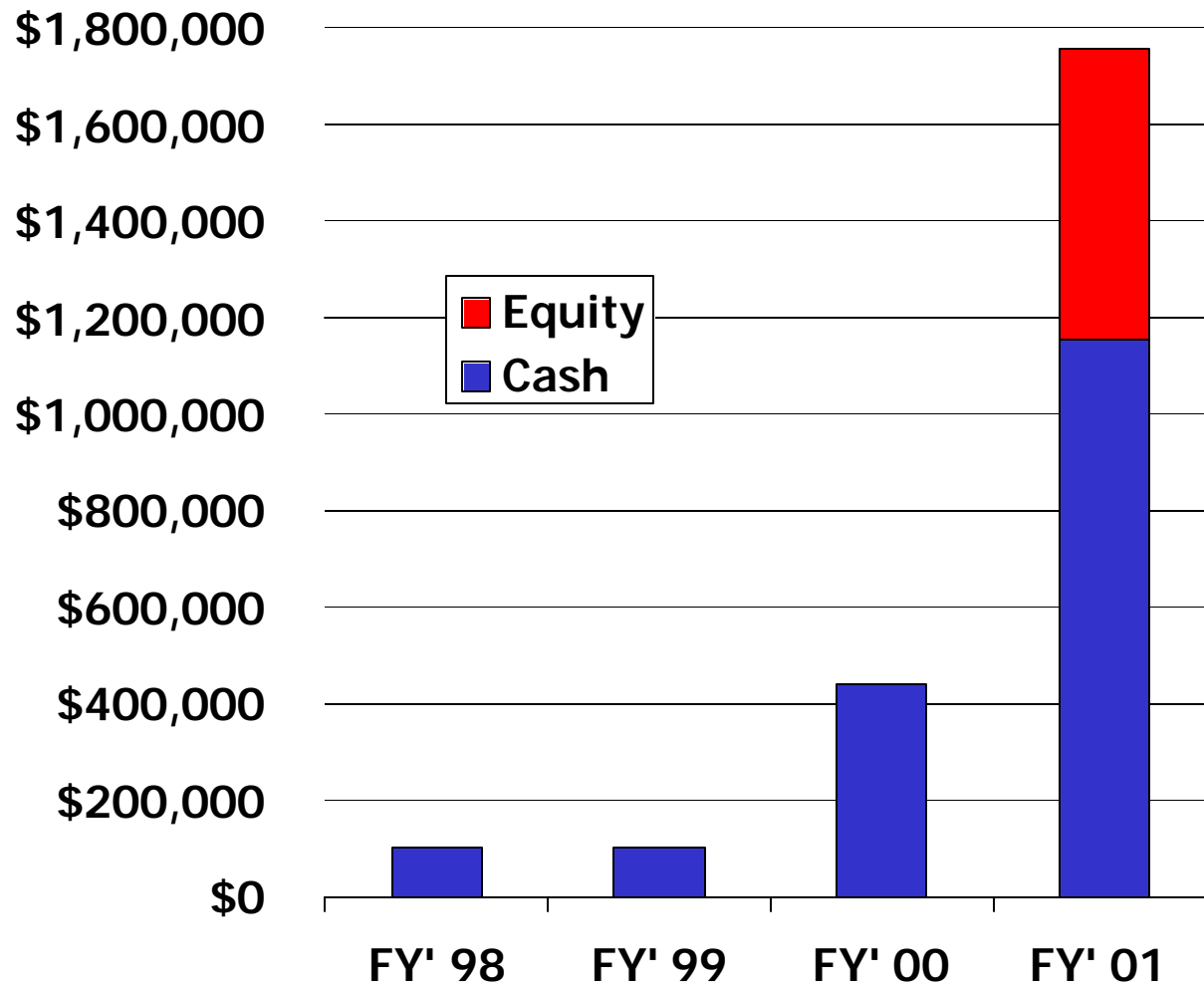
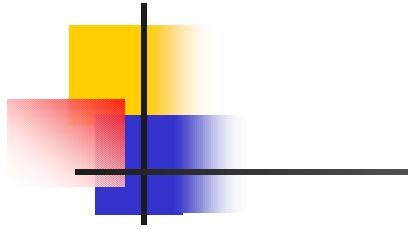




Northeastern University Research And Technology Transfer Statistics

- \$40M in Research Expenditures in FY'01
- \$4.2M in Industrial Research in FY'01
- 150 Patents
- 30 Invention Disclosures Per Year
- 15 Licenses
- \$1.2M in Licensing Revenues For FY'01

Northeastern University Licensing and Royalty Income





Industry/University Center for Microcontamination Control: Patent Policy

- Consistent with Bayh-Dole Act
- Title to inventions from research at the Center remains at the University
- Sponsor Companies entitled to non-exclusive royalty-free license in exchange for member fee and payment of patent costs
- Exclusive license to Sponsor Company upon approval of University and other Sponsors
 - Includes Right to Sublicense
 - Fee-Bearing: Terms to be Negotiated