

MEASUREMENTS AND COMPUTATION OF WAVE SPECTRAL
TRANSFORMATION AT ISLAND OF SYLT, NORTH SEA

BY

Hsiang Wang

and

Wei-Chong Yang

Technical Report No. 4
Contract No. N00014-76-C-0342
with the Office of Naval Research Geography Programs

Ocean Engineering Report No. 011

November, 1976

MEASUREMENTS AND COMPUTATION OF WAVE SPECTRAL
TRANSFORMATION AT ISLAND OF SYLT, NORTH SEA

BY
Hsiang Wang
and
Wei-Chong Yang

November, 1976

TABLE OF CONTENTS

ACKNOWLEDGEMENTS

SUMMARY

LIST OF SYMBOLS

LIST OF FIGURES

CHAPTER

- 1 Introduction
- 2 Theoretical Background
 - Transformation of Energy Spectrum
 - Wave Number and Wave Energy Transformation
- 3 Numerical Procedures
- 4 Field Program
- 5 Data Analysis
 - Wave Energy Spectra
 - Wave Approaching Angles
- 6 Field Results
- 7 Numerical Results and Comparisons
- 8 Equilibrium Range in Wave of Finite Depth
- 9 Conclusions
- 10 References

APPENDICES

- 1 Computer Program - Periodogram Generator and Spectral Analysis
- 2 Computer Program - Spectral Transformation Over Irregular Bottom Topography

ACKNOWLEDGMENT

The authors wish to express their sincere thanks to Professor/Dr. Alfred Fuhrboter, Leichtwiss-Institut für Wasserbau der TECHNISCHEN UNIVERSITÄT BRAUNSCHWEIG, West Germany. Without his encouragement and consent the field work would not have been carried out. They also owe their special thanks to Dr. H. H. Dette of the same Institute, who provided and organized the field party and consistently lent his helping hand throughout the project. Mr. E. Martini who led the field group has performed the duty at the highest standard to bring the project to its successful conclusion. Thanks are also due to Mr. Hollmau in constructing the field apparatus and to Messrs. Zitscher and Waschko who performed the field work.

This work was jointly sponsored by the DEUTSCHE FORSCHUNGS-GEMEINSCHAFT (German Research Foundation), BRANDUNGSSTAU UND BRANDUNGSENERGIE - FU 96/10 and the Office of Naval Research, Geography Programs Contract No. N0014-76-C-0342 while the senior author was visiting the Technical University of Braunschweig partially sponsored by the MINNA-JAMES-HEINEMAN-STIFTUNG of Hannover.

SUMMARY

Wave spectra were measured in the nearshore region extending approximately 900 m from the shore at the Island of Sylt (North Germany) in the North Sea. Seven gage stations were in operation for a period of two weeks in May, 1976 for data collection. The field results were presented and compared with numerical computations for energy transformation in shallow water, based on a method developed earlier for the same project. The numerical computation agreed well with the field data in the range of energy-containing wave components and low frequency components but failed in high frequency range.

Based upon commonly-used wave instability criteria, an equilibrium energy spectral density function in water of finite depth has been developed. This function provides saturation conditions on spectral density for the complete spectrum. Comparison with field data is encouraging but, nevertheless, inconclusive.

Combining field evidence and numerical results, an assessment has been made on the wave climate of the test area. It was demonstrated that the offshore bar located in the test area plays a double role as energy dissipator and trapper. It was also shown that waves from the N-W quadrant are more effective in generating longshore current and thus in longshore material transport.

LIST OF SYMBOLS

A_o	Tidal level measured from mean water level (M)
A_c	Limiting wave amplitude
C	Wave celerity (m/sec)
C_g	Group velocity (m/sec)
\overline{C}_{ij}	Mean wave celerity between gages i and j (m/sec)
DF	Degree of freedom in spectral density analysis
d	Water depth (M)
\overline{d}_{ij}	Mean water depth between gages i and j (M)
E	Wave total energy (M^2)
$F(\vec{k})$	Wave number spectral density function
G_a	Normalized wave frequency equilibrium function based on acceleration
G_u	Normalized wave frequency equilibrium function based on velocity
g_a	Wave frequency equilibrium function based on acceleration
g_u	Wave frequency equilibrium function based on velocity
g	Gravitational acceleration (m/sec^2)
H	Wave height (M)
H_s	Significant wave height (M)
\overline{H}_{ij}	Mean wave height between gages i and j (M)
k	Wave number
\vec{k}	Wave number in vector
L_s	Significant wave length (M)
ℓ	Depth of transducer measured from mean water level (M)
M	Meter
m	Bottom slope

List of Symbols (Continued)

N	Number of real data points in spectral density analysis
n	Wave frequency
$S_{\alpha\beta}$	Radiation stress
S_{ij}	Horizontal distance between gages i and j (M)
S_i	Station i (Defined in Fig. 5)
S	Spectral density (m^2 -sec)
S_p	Pressure spectral density (m^2 -sec)
U	Wind speed (m/sec)
\vec{u}	Current velocity (m/sec)
u	x-component of current velocity (m/sec)
v	y-component of current velocity (m/sec)
α	Phillips constant
β	Constant in Poisson-Moskowitz spectrum
ν	The ratio of maximum spectral density between JONSWAP spectrum and P-M spectrum
Δt	Time interval in spectral density analysis
Δt_{ij}	Time of wave front traveling from gage i to j
Δx	Grid size in x-direction (M)
Δy	Grid size in y-direction (M)
σ	Wave angular frequency
σ_0	Deep water wave angular frequency
θ	Wave approaching angle
θ_0	Wave approaching angle in deep water
θ_m	Maximum expected wave approaching angle
ϵ	Rate of energy dissipation per unit area

List of Symbols (Continued)

$\phi_{(n)}$	Wave frequency spectral density function
ϕ_a	Wave number equilibrium function based on acceleration
ϕ_u	Wave number equilibrium function based on velocity

LIST OF FIGURES

- Fig. 1 Definition sketch of nearshore environment
- Fig. 2 Grid system used in computation
- F
- Fig. 3 Local grid description
- Fig. 4 Site location and area hydrograph
- Fig. 5 Gage locations and nearshore hydrograph
- Fig. 6 Sample of wave data
- Fig. 7 Comparison between periodogram and smoothed spectrum
- Fig. 8 Shallow water gage arrays for directional information
- Fig. 9 Wave spectra measured on May 3, 1976 at 15:45
- Fig. 10 Wave spectra measured on May 5, 1976 at 11:00
- Fig. 11 Wave spectra measured on May 5, 1976 at 16:15
- Fig. 12 Wave spectra measured on May 6, 1976 at 14:50
- Fig. 13 Wave spectra measured on May 7, 1976 at 18:00
- Fig. 14 Wave spectra measured on May 11, 1976 at 10:15
- Fig. 15 Wave spectra measured on May 11, 1976 at 16:20
- Fig. 16 Estimated wave and swell approaching angles and wind directions
- Fig. 17 Comparison among field data, JONSWAP and P-M spectra (Data from May 3, 1976 at 15:45)
- Fig. 18 Comparison among field data JONSWAP and P-M spectra (Data from May 5, 1976 at 11:00)
- Fig. 19 Comparison among field data JONSWAP and P-M spectra (Data from May 11, 1976 at 10:15)
- Fig. 20 Comparison among field data JONSWAP and P-M spectra (Data from May 11, 1976 at 16:20)

List of Figures (Continued)

- Fig. 21 Hypothetical rhythmic topography
- Fig. 22 Illustrations of spectral variations of wave spectrum over rhythmic topography
- Fig. 23 Illustrations of spectral variations of wave spectrum over an off-shore ridge
- Fig. 24 The bottom-depth chart used as numerical input
- Fig. 25 Comparison of measured and computed shallow water spectra (Data from May 5, 1976 at 16:20)
- Fig. 26 Comparison of measured and computed shallow water spectra (Data from May 6, 1976 at 14:50)
- Fig. 27 Comparison of measured and computed shallow water spectra (Data from May 11, 1976 at 10:15)
- Fig. 28 Comparison of measured and computed deep water spectra (Data from May 5, 1976 at 16:15)
- Fig. 29 Comparison of measured and computed deep water spectra (Data from May 11, 1976 at 10:15)
- Fig. 30 Variation of $k^3 G_a(k)$ and $k^3 G_u(k)$ with kd
- Fig. 31 Variation of $G_a(k)$ and $G_u(k)$ with kd
- Fig. 32 Variation of $\phi_a(n)$ and $\phi_u(n)$ with respect to u for a constant $d = 1.5$ M
- Fig. 33 Variation of $\phi_a(n)$ and $\phi_u(n)$ with respect to u for a constant $d = 3.0$ M
- Fig. 34 Variation of $\phi_a(n)$ and $\phi_u(n)$ with respect to d for a constant $u = -0.5$ M/sec
- Fig. 35 Variation of $\phi_a(n)$ and $\phi_u(n)$ with respect to d for a constant $u = -1.0$ M/sec
- Fig. 36 Composite equilibrium curve of breaking criteria
- Fig. 37 Comparisons between field data and composite equilibrium curves (Data from May 3 and May 7, 1976)
- Fig. 38 Comparisons between field data and composite equilibrium curves (Data from May 5 and May 11, 1976)
- Fig. 39 Comparison of spatial variations of near-breaking wave angles approaching from N-W quadrant to that from S-W quadrant

1. Introduction

The utilization of wave energy spectrum as a statistical measure to describe the ever-irregular ocean wave has become, more or less, a standard practice. Armed with this tool, oceanographic scientists have made significant progress in the past two decades in the development of wave forecasting techniques. The endeavor has been, however, mainly concentrated on deepwater situations. Consequently, the deep water wave climate can be assessed with certain degrees of confidence. To establish the wave climate in the coastal zone as is often necessary for scientific and engineering purposes the deepwater wave environment needs to be transformed into shoaling waters. It is in this area that both knowledge and effort are conspicuously lacking, although, odd as it may seem, the transformation of monochromatic wave train is a well studied topic.

At present, there exists a few attempts in the development of theoretical concepts and numerical techniques concerning spectral transformation from deep to shallow water. Longuet-Higgins (1956) and Collins (1970), for instance, have studied the theoretical formulation on wave spectrum by considering the conservation of energy between adjacent wave rays much the same way of the wave refraction in monochromatic wave trains. Based on Longuet-Higgins' formulation, Karlsson (1969) developed a method to compute spectrum transformation over parallel bottom contours. Collins (1972) extended the work to the inclusion of bottom friction. Krasitkiy (1974) has derived explicit analytical solutions for the spectrum transformation over two-dimensional parallel bottom contours. More recently, Shiau and Wang (1976) developed a numerical technique to compute the spatial transformation of wave spectrum over irregular bottom topographies. Their work promises to be an effective method, though it has not been critically tested for lack of detailed field data as yet.

In May, 1976, a brief, but intensive, field work was carried out at the Island of Sylt (North Germany) in the North Sea to collect information on nearshore wave environment and beach process. One of the objectives was to better the understanding of nearshore wave energy transformation and to test validity and limitation on the numerical technique developed by Shiau and Wang. The material covered in this report deals specifically with this objective.

The field work covered a period of approximately two weeks. Wave spectra were measured at stations installed in water ranging from a 7-meter depth to less than 1 meter near the shoreline at low tide. During this period, the wave conditions were considered normal for this area with heights up to 1.5 to 2 meters. The winds varied in speed from as low as 3 m/s to more than 12 m/s; the wind directions covered both onshore and offshore.

These field results were compared with numerical predictions using the recorded offshore data as input. During the course of the study, the numerical procedure was further improved to relax the boundary condition requirements and to shorten the computational time.

An equilibrium spectral density function has been developed for the condition of water of finite depth. This function provides information on the saturation condition of energy density for the complete spectrum. Finally, combining field evidence and numerical results, an attempt was made to provide an assessment of the wave climate of the test area.

2. Theoretical Background

Transformation of Energy Spectrum

In its very general form, the total energy of a random seaway can be expressed as the summation of energy content in the wave number and frequency space such that

$$E = \iint \chi(\vec{k}, n) d\vec{k} dn \quad (1)$$

where E is the total energy; $\chi(\vec{k}, n)$ is the spectral density function; \vec{k} is the wave number and n is the wave frequency.

Reduced spectra can be obtained from $\chi(\vec{k}, n)$ by integration over n and over \vec{k} . Thus, we have

$$F(\vec{k}) = \int_0^\infty \chi(\vec{k}, n) dn \quad (2)$$

and

$$\phi(n) = \int \chi(\vec{k}, n) d\vec{k} \quad (3)$$

where $F(\vec{k})$ and $\phi(n)$ are known as the wave number and wave frequency spectral density functions, respectively.

Since the wave number spectrum can be interpreted as a directional frequency spectrum owing to the dispersion relation between k and n , the following relationship exists (Phillips, 1966)

$$\phi(n, \theta) dn = [k F(k, \theta) dk]_{k=G(n)} \quad (4)$$

where k is the magnitude of \vec{k} and θ is the direction of propagation and $k = G(n)$ is the dispersion relationship. Let subscript 0 denote the reference state (deepwater condition is usually conveniently selected), the transformation equation of frequency spectrum is established,

$$\phi(n, \theta) dn = \frac{[k F(k, \theta) dk]_{k=G(n)}}{\{[k F(k, \theta) dk]_{k=G(n)}\}_0} [\phi(n, \theta) dn]_0 \quad (5)$$

For steady state condition, the frequency n is invariant to space, the above equation simplifies to

$$\phi(n, \theta) = \frac{[k F(k, \theta) dk]_{k = G(n)}}{[k_o F_o(k, \theta) dk]_{k = G_o(n)}} \phi_o(n, \theta) \quad (6)$$

Since $F(k, \theta) dk$ approximates the incremental of wave energy over the wave number band dk at a directional angle θ , it is plausible to write

$$F(k, \theta) dk = dE(k; \theta) \quad (7)$$

with θ as a parameter here.

Substituting Eq. (7) into Eq. (6) results in

$$\phi(n, \theta) = \frac{[k dE(k; \theta)]_{k = G(n)}}{[k_o dE_o(k; \theta)]_{k = G_o(n)}} \phi_o(n, \theta) \quad (8)$$

Since it is known that the wave number k is a function of position, therefore, to compute the spatial variations of ϕ , we must first establish the spatial variations of k and $dE(k; \theta)$.

Wave Number and Wave Energy Transformation

The basic equation to compute the spatial variations of k is the conservation equation for the wave number, which, for steady cases, reduces to the simple form

$$\nabla n = 0 \quad (9)$$

or

$$n = \text{const} = \sigma_o \quad (9-a)$$

In the presence of a steady current \vec{u} , we have

$$n = \sigma(k;d) + \vec{k} \cdot \vec{u} \quad (10)$$

where d is the water depth.

For regions where both d and \vec{u} vary slowly over distances of the order of a wavelength, the following dispersion relationship exists,

$$\sigma^2 = gk \tanh kd \quad (11)$$

Combining Eqs. (9), (10) and (11) results in

$$(gk \tanh kd)^{1/2} + u k \cos \theta + v k \sin \theta = \sigma_0 \quad (12)$$

for the coordinate system shown in Fig. 1. Here u and v are current velocity components in the x and y directions respectively; θ is the wave approaching angle measured from the positive x -axis.

Since it is known that the wave-number vector in space is irrotational, it follows

$$\frac{\partial(k \cos \theta)}{\partial y} - \frac{\partial(k \sin \theta)}{\partial x} = 0 \quad (13)$$

For given spatial distribution of \vec{u} , Eqs. (12) and (13) enable us to compute k and θ in the wave region provided the boundary condition is specified.

To determine the variations of $dE(k;\theta)$, the assumption is made that the wave energy associated with a certain frequency band stays within this band so that the principle of energy conservation is applicable to each individual frequency band under consideration. This assumption denies energy transfer among different frequencies and is compatible only when nonlinear effects are negligible. Following the energy equation presented by Longuet-Higgins and

Stewart (1960, 1961) for the fluctuating motion of waves with a superimposed current system, we have

$$\begin{aligned} \frac{\partial}{\partial x} [dE(k)(u + Cg \cos \theta)] + \frac{\partial}{\partial y} [dE(k)(v + Cg \sin \theta)] + S_{xx} \frac{\partial u}{\partial x} \\ + S_{xy} \frac{\partial v}{\partial x} + S_{yy} \frac{\partial v}{\partial y} + S_{yx} \frac{\partial u}{\partial y} = -\Sigma \end{aligned} \quad (14)$$

where Cg is the group velocity expressed as

$$n_g = \frac{Cg}{C} = \frac{1}{2} \left[1 + \frac{2kd}{\sinh(2kd)} \right] \quad (15)$$

C is the wave celerity

$S_{\alpha\beta}$ are the radiation stresses such that

$$\begin{aligned} S_{xx} &= dE(k) \left[\left(2n_g - \frac{1}{2} \right) \cos^2 \theta + \left(n_g - \frac{1}{2} \right) \sin^2 \theta \right] = dE(k) \sigma_{xx} \\ S_{yy} &= dE(k) \left[\left(2n_g - \frac{1}{2} \right) \sin^2 \theta + \left(n_g - \frac{1}{2} \right) \cos^2 \theta \right] = dE(k) \sigma_{yy} \\ S_{xy} &= S_{yx} = \frac{dE(k)}{2} n_g \sin(2\theta) = dE(k) \sigma_{xy} \end{aligned} \quad (16)$$

Σ is the rate of energy dissipation per unit area.

With known θ , Eq. (14) permits the computation of the spatial variations of $dk(E)$ for given boundary conditions and the dissipation function. For the present computation, Σ is taken to be equal to zero.

3. Numerical Procedures

Based upon Eqs. (12), (13) and (14), Noda, et al. (1974) developed numerical procedures to compute the wave number and wave height as functions of space variables for beaches with periodical bottom variations in the longshore direction. Shiau and Wang (1976) extended the procedure to compute the spectrum

transformation with the boundary conditions somewhat relaxed to accommodate irregular but slowly varying bottom profiles. In the present computation, their method is further improved to relax the requirements of boundary conditions and to improve the computational efficiency. The procedures are briefly described here.

To facilitate numerical computation, the governing equations are, out of necessity, to be transformed into finite difference form. A mixed forward, backward and central differences scheme are used to minimize the boundary restrictions at the expense of nonuniformity of the order of errors. With reference to the grid schemes shown in Figs. 2 and 3 the key equations are summarized here:

- (1) The wave number and wave angle are solved through numerical iterations of the wave-number conservation equation and the irrotational wave-number equation. The conservation equation [Eq. (12)],

$$[g k_{ij} \tanh (k_{ij} d_{ij})]^{1/2} + u_{ij} k_{ij} \cos \theta_{ij} + v_{ij} k_{ij} \sin \theta_{ij} = \sigma_o$$

is solved through a Newtonian iterative technique defined as:

$$k_{\text{new}} = k_{\text{old}} - \frac{f(k_{\text{old}})}{f'(k_{\text{new}})}$$

where $f'(k)$ is the first derivative of $f(k)$ which is defined:

$$f(k) = gk \tanh kh - [\sigma_o - uk \cos \theta - vk \sin \theta]^2$$

The iteration is considered satisfactory when

$$|k_{\text{new}} - k_{\text{old}}| \leq 0.001 |k_{\text{new}}|$$

This value k is then fed into the irrotational wave equation [Eq. (13)]

to solve the wave angle:

$$\theta_{ij} = \frac{1}{B_{ij}} \left\{ \frac{1}{k_{ij}} \frac{\partial k_{ij}}{\partial y} \cos \theta_{ij} - \frac{1}{k_{ij}} \frac{\partial k_{ij}}{\partial x} \sin \theta_{ij} + \frac{\theta_{i,j-1}}{\Delta y} \left[\sin \theta_{ij} - \frac{\cos \theta_{ij}}{A_{ij}} \right. \right. \\ \left. \left. (u_{ij} \sin \theta_{ij} - v_{ij} \cos \theta_{ij}) \right] - \frac{\theta_{i+1,j}}{\Delta x} \left[\cos \theta_{ij} + \frac{\sin \theta_{ij}}{A_{ij}} \right. \right. \\ \left. \left. (u_{ij} \sin \theta_{ij} - v_{ij} \cos \theta_{ij}) \right] \right\} \quad (17)$$

where

$$A_{ij} = u_{ij} \cos \theta_{ij} + v_{ij} \sin \theta_{ij} + \frac{1}{2} \left[1 + \frac{2k_{ij} d_{ij}}{\sinh(2k_{ij} d_{ij})} \right] \left[\frac{\sigma_{ij}}{k_{ij}} - u_{ij} \cos \theta_{ij} \right. \\ \left. - v_{ij} \sin \theta_{ij} \right]$$

where σ_{ij} is the surface tension coefficient

$$B_{ij} = \frac{\sin \theta_{ij}}{\Delta y} - \frac{\cos \theta_{ij}}{\Delta x} - \frac{1}{A_{ij}} (u_{ij} \sin \theta_{ij} - v_{ij} \cos \theta_{ij}) \left(\frac{\cos \theta_{ij}}{\Delta y} + \frac{\sin \theta_{ij}}{\Delta x} \right)$$

by numerical differentiation, i.e.

$$\frac{1}{k_{ij}} \frac{\partial k_{ij}}{\partial x} =$$

$$\frac{-[\cos \theta_{ij} \frac{\partial u_{ij}}{\partial x} + \sin \theta_{ij} \frac{\partial v_{ij}}{\partial x}] - \left[\frac{\sigma_{ij} - u_{ij} k_{ij} \cos \theta_{ij} - v_{ij} k_{ij} \sin \theta_{ij}}{\sinh(2k_{ij} d_{ij})} \right] \frac{\partial d_{ij}}{\partial x}}{A_{ij}}$$

and

$$\frac{1}{k_{ij}} \frac{\partial k_{ij}}{\partial y} =$$

$$\frac{-[\cos \theta_{ij} \frac{\partial u_{ij}}{\partial y} + \sin \theta_{ij} \frac{\partial v_{ij}}{\partial y}] - \left[\frac{\sigma_{ij} - U_{ij} k_{ij} \cos \theta_{ij} - V_{ij} k_{ij} \sin \theta_{ij}}{\sinh(2k_{ij} d_{ij})} \right] \frac{\partial d_{ij}}{\partial y}}{A_{ij}}$$

The computation is carried to the extent

$$|\theta_{\text{new}} - \theta_{\text{old}}| \leq 0.001 |\theta_{\text{new}}|$$

Since forward difference is used in the x-direction and backward difference is used in the y direction, θ needs to be specified at the offshore boundary and at the x-axis. The offshore boundary condition is the input. The condition of the x-axis could be specified in such a manner that $\theta_{i1} = \theta_{i2}$ without introducing significant error if the longshore bottom variation in the vicinity of the x-axis is slow.

The above numerical scheme becomes unstable when $B_{ij} \rightarrow 0$. The exact stability criterion cannot be easily determined. However, using the null-current condition as a guideline, one arrives at the following condition:

$$\frac{\Delta y}{\Delta x} > \tan \theta_m \quad (18)$$

where θ_m is the maximum expected wave angle. For example, if the maximum wave angle is expected to be less than 60° , the ratio of $\Delta y/\Delta x$ should be larger than 1.7. The velocity and depth gradients required in the computation are determined by central difference, i.e.,

$$G_{ij} = \frac{\Delta \xi}{\Delta x_i} = \frac{\xi_{i+1,j} - \xi_{i-1,j}}{2\Delta x_i}$$

where ξ represents u , v or d and x_k is either x or y .

The gradients at the boundary are taken as equal to zero at offshore and both sides and as constant at the shoreline, that is

$$G_{1j} = G_{2j}$$

These boundary conditions can, of course, be easily altered to fit individual cases.

(2) The wave energy equation in finite difference form is,
 (2) The

$$[dE(k)]_{ij} = \frac{[dE(k)]_{i,j-1} (v + C_g \sin\theta) \Delta x - [dE(k)]_{i+1,j} (u + C_g \cos\theta) \Delta y}{(v + C_g \sin\theta) \Delta x - (u + C_g \cos\theta) \Delta y + Q_{ij} \Delta x \Delta y} \quad (19)$$

where $Q_{ij} = \frac{\partial}{\partial x} (u + C_g \cos\theta) + \frac{\partial}{\partial y} (v + C_g \sin\theta) + \tau$

$$\tau = \sigma_{xx} \frac{\partial u}{\partial x} + \sigma_{xy} \left(\frac{\partial v}{\partial x} + \frac{\partial u}{\partial y} \right) + \sigma_{yy} \frac{\partial v}{\partial y}$$

$\sigma_{\alpha\beta}$ are as defined in Eq. (16).

Here all the values of u , v and θ are evaluated at (i,j) .

Eq. (19) is solved through a row by row relaxation until

$$|H_{\text{new}} - H_{\text{old}}| \leq 0.01 |H_{\text{new}}| \quad (20)$$

Like the wave angle solution, the finite difference scheme is forward in the x -direction and backward in the y -direction. The boundary values are also defined in a similar manner in that the shoreward boundary is specified as input and the values on the x -axis is taken the same as the next column ($E_{i1} = E_{i2}$).

On the shoreward direction, the wave will eventually break, the dissipation term ϵ in Eqs. (14) can no longer be neglected. If dissipation is not allowed for, the wave energy density will keep increasing and the numerical solution will eventually become unstable. Therefore, a constraint must be built in to restraint super saturation of energy flux. Since the real mechanism of energy dissipation is not well understood. An empirical criterion based upon total wave energy in terms of significant wave height is employed here, such that the height is restricted by the following condition (Divoky, et al., 1970)

$$\left(\frac{H_s}{L_s} \right) = 0.12 \tanh 2\pi \left(\frac{d}{L_s} \right) \quad (21)$$

where the subscript b refers to breaking condition and H_S is related to the total wave energy in the conventional expression:

$$H_S = 2.828 \sqrt{E} \quad (22)$$

This criterion is to be further examined in later section when we have the field data in hand.

The input requirements includes a grid of water depth augmented by the tidal condition. The grid size should be selected in accordance with the criterion set in Eq. (18). Strictly speaking, directional spectra of the form $\phi_B(\eta, \theta)$ should be prescribed along the seaward boundary. Since our present knowledge on directional spectrum is quite inadequate, the program will also accept one-dimensional spectra as the input. The directional information should then be provided as predominant wave angles at each grid point along the outer boundary.

4. Field Program

The field experiments were conducted at the Island of Sylt, West Germany. The site location and area hydrograph are shown in Fig. 4. The site is exposed to the North Sea and is characterized by the existence of an underwater transverse ridge (a pronounced sand bar) running parallel to the shore approximately 500 m from the shoreline. This underwater sand bar is not stationary but frequently is shifting its location from season to season and sometimes after a major storm. Apparently influenced by this ridge, the nearshore flow-pattern and the resulting nearshore bottom topography become rather complicated. Shallow scouring pits, often appearing in eddy-like pairs, dotted the area at uneven spaces. Since the normal tidal range is 2 m in this area and the normal water depth over the ridge is only of the order of 3 m, waves often break over the ridge and reform afterwards.

To measure the change of wave characteristics over this region, seven wave gages were installed at locations as shown in Fig. 5. Gages 1 and 2, which were bottom-mounted in relatively deep water, were echo-transceivers as developed by Fuhrentholz Laboratory (See Reference). These echo sounders were capable of measuring water surface variations to ± 0.5 cm for water depth up to 90 m. The shallow water gages (3 to 7) were staff-mount pressure transducers of Type MDS76 as manufactured by H. Maihak AG, Hamburg with a pressure range of 0-1 kg/cm². Gages 1 to 5 were installed perpendicular to the shoreline to measure the wave spectra transformation over the ridge and in shoaling water. Gages 5 to 7 were mounted parallel to the shoreline to measure mainly the wave approaching angle.

Wave data were collected from May 1 to May 15, 1976 at selected times to cover various combinations of tidal stages, waves approaching angles and wind conditions. Data were transmitted to shore station by underwater cable and were recorded simultaneously on FM analog magnetic tape and strip charts. During the course of wave measurement, currents were also measured with two-component electromagnetic current meters mounted at Gage stations 2 and 5. Variable-depth drogues were also deployed at times to determine the current condition. Description of instrumentation and recording devices was presented by Führboter and Büshing (1974).

5. Data Analysis

Wave energy spectra--Spectral analysis was performed using FFT (Fast Fourier Transform) technique developed by Cooley and Tukey (1965) with a Hanning Window (Black and Tukey, 1958) for data smoothing. All the data sets were sampled uniformly at a time interval $\Delta t = 0.25$ seconds to a total data points $N = 2048$ (or 512 seconds data period). These values were chosen to cover adequately waves with

periods ranging from two to nine seconds which were determined (as the range of energy containing waves) through visual examination of the data record and the wind condition during measurements. A section of data sample is shown in Fig. 6. After several trial runs, a degree of freedom $DF = 40$ was selected as the optimum to yield sufficiently smooth spectral curves to facilitate comparisons with theoretical prediction, yet, at the same time to preserve sufficient detail to truthfully portray the actual conditions. Figure 7 shows a comparison between the periodogram and the smoothed spectrum.

Data collected in shallow water by gages 3 to 7 were actually wave pressure instead of the true water surface fluctuations. To derive the energy spectra from the measured pressure signals, the depth attenuation effect needs to be compensated according to the relation:

$$S(f) = \left[\frac{\cosh kd}{\cosh k(d-l)} \right]^2 S_p(f) \quad (23)$$

where $S_p(f)$ is the pressure spectral density as obtained; l is the depth of the transducer measured from mean water level.

This correction factor is based upon linear wave theory and it tends to over compensate high frequency components which were more or less contaminated by noise. An attempt was made to apply this correction factor to data analysis and was later abandoned because such corrections did little to wave components in the energy containing range but grossly over amplifying the high frequency components.

Wave approaching angle---To calculate the transformation of wave spectra, one requires to prescribe the one-dimensional wave spectra in frequency or period domain as well as the directional characteristics at the outer boundary. Based upon the computational method suggested here, the directional information should be expressed in terms of dominant angle of approach and if desirable a predesignated spreading function. Since the present field set-up yielded no directional

information at the deep water sites, an alternative method was used which utilizes the information obtained from the shallow water gage arrays. As illustrated in Fig. 8 when a wave front passing by a cluster of three wave gages set apart with known, but small distances, the following relations are approximately valid:

$$\begin{aligned}\bar{C}_{12} \Delta t_{12} &= S_{12} \cos \theta \\ \bar{C}_{23} \Delta t_{23} &= S_{23} \sin \theta\end{aligned}\tag{24}$$

where \bar{C} is the mean wave celerity; S is the horizontal distance; Δt is the time span for wave front travelling from one wave staff to the other and θ is the wave approaching angle. The indices 1, 2 and 3 indicate the gage numbers. The above equation when solved for angle θ , yields

$$\theta = \tan^{-1} \left[\left(\frac{S_{12}}{S_{23}} \right) \left(\frac{\bar{C}_{23}}{\bar{C}_{12}} \right) \left(\frac{t_{13}}{t_{12}} \right) \right]\tag{25}$$

For shallow water condition, we further assume

$$\frac{\bar{C}_{23}}{\bar{C}_{12}} = \left[\frac{\bar{d}_{23} + \bar{H}_{23}}{\bar{d}_{12} + \bar{H}_{12}} \right]^{1/2}\tag{26}$$

where d and H are the mean water depth and wave height respectively. Substituting Eq. (26) into Eq. (25) one obtains

$$\theta = \tan^{-1} \left[\left(\frac{\bar{d}_{23}}{\bar{d}_{12}} \right)^{1/2} \left(\frac{\bar{H}_{23}}{\bar{H}_{12}} \right)^{1/2} \left(\frac{S_{12}}{S_{23}} \right) \left(\frac{\Delta t_{13}}{\Delta t_{12}} \right) \right]\tag{27}$$

Since d and S are known, H and t can be measured off directly from the wave records as illustrated, the angle of approach θ can be computed. The deepwater wave angle θ_0 was then determined by conventional wave refraction computation for the dominant wave component or components.

6. Field Results

A selected set of data were presented here to demonstrate the spectral transformation under various combinations of wave incident angle, wind condition and tidal stage. Table 1 summarizes the cases reported. The wind record during the measuring period is displayed in Table 2. Figures 9 to 15 plot the wave spectra as measured at various gage stations along the control line for each case reported herein. The estimated wave and swell approaching angles and the wind directions are shown in Fig. 16. The wind direction is defined in terms of the dominant direction over an 8-hour period prior and up to the measuring time. The directional variations over this period are also included in Fig. 16. Of the seven cases, 1, 6 and 7 are mainly wind waves as clearly revealed by the shape of the spectra; the wave approaching angles all coincide with the wind direction. Cases 3 and 5 are dominated by swell; the wind direction and wave angle bears no relation. Finally, cases 2 and 4 represent mixed swell and sea condition such that the wave angles are also mixed. In Case 2, for instance, the directions of swell and sea are almost perpendicular to each other.

The offshore shoal apparently plays an important role in the wave energy transformation mainly because the water depth is only 2.5 m at the crest of the shoal (see Fig. 5) but the tidal range is of the order of ± 1 m. Thus,

during low tide, it acts like a submerged breakwater. As the waves break over the shoal, a significant portion of wave energy is being dissipated. Wave components in the energy-containing range take the heaviest toll while the long wave components are less affected. The high frequency components remain largely intact and may actually show some gain, probably through reclaiming partially the energy lost by the main wave components. There is no indication that waves will reform after breaking. The dominant wave components will not recover their energy and their form. The appearance of the waves as well as the energy distribution among various frequencies are quite dissimilar on the two sides of the shoal. For nonbreaking situations, the shoal tends to attenuate the peaks of the spectra for onshore wind conditions. When the wind is offshore, on the other hand, the peak is significantly amplified (Case 5) due to the existence of the shoal. This is probably due to the combined effects of opposing current and the fact that energy generated by winds inshore of the shoal is being entrapped. This case will be further analyzed later.

In general, the energy spectra will peak further when approaching the shore before finally breaking. The total energy, however, tends to diminish somewhat in comparison with the offshore waves. Other measurements under storm conditions (Bushing, 1975) in the same area revealed similar results.

For the wind wave conditions, the JONSWAP spectra (Hasselmann, et al., 1973) which assume the following form quite adequately describe the offshore wave conditions:

$$E(f) = \alpha g^2 (2\pi)^{-4} f^{-5} \exp\left(-\frac{5}{4} \left(\frac{f}{f_m}\right)^{-4}\right) \gamma \exp \frac{-(f - f_m)^2}{2\alpha^2 f_m^2} \quad (28)$$

$$\sigma = \begin{aligned} \sigma_a &= 0.007 \text{ for } f \leq f_m \\ \sigma_b &= 0.009 \text{ for } f < f_m \end{aligned}$$

Containing five free parameters f_m , α , γ , σ_a and σ_b .

Here f_m represents the frequency at the maximum of the spectrum and the parameter α corresponds to the usual Phillips constant. The remaining three parameters define the shape of the spectrum: γ is the ratio of the maximum spectral energy to the maximum of the corresponding Pierson-Moskowitz (1964) spectrum

$$E_m(f) = \alpha g^2 (2\pi)^{-4} f^{-5} \exp\left(-\frac{5}{4} \left(\frac{f}{f_m}\right)^{-4}\right] \quad (29)$$

with the same values of α and f_m ; σ_a and σ_b define the left and right side widths, respectively, of the spectral peak.

Although the JONSWAP spectra has 5 free parameters, among them, the peak enhancement factor γ is the only critical one that makes it differ from the P-M spectrum. Figures 17 to 20 offer some comparison among the data, the JONSWAP spectra and the P-M spectra.

7. Numerical Results and Comparison

One of the main purposes of this study is to compare the field results with the predictions based on the numerical technique developed. Before the computer program is applied to the actual field condition, a number of simple cases were computed to illustrate the general influence of bottom topography on spectrum transformation. First of all, a case against rhythmic hydrograph and shoreline (Fig. 21) is illustrated in Fig. 22. The results clearly indicate, as expected, that the energy converges to the convex section of the shoreline (Point A) and diverges from the concave section of the beach. A case is then shown with a two-dimensional hydrograph that consists of a section of negative slope, i.e., the equivalent to an offshore bar. The resulting spectrum transformation is shown in Fig. 22. For this case, the energy

spectrum first peaks up on the positive slope before reaching the bar; the peak then diminishes in magnitude due to the effect of the negative slope before it increases again when the waves proceed towards the shore. Finally, (beyond the breaking point) the wave breaks and the spectrum diminishes both in intensity and in total energy.

Computations for the actual field condition were carried out for two different categories. In the first category, wave spectra as measured at S2 (225M from the baseline) were used as inputs to compute their transformations into nearshore zone close to the bench (S3 to S5). The bottom hydrograph used in this set of computations was based upon soundings taken during the period of field measurement. The input bottom-depth chart is shown in Fig. 24. Here the grid sizes are $\Delta x = 5\text{m}$ and $\Delta y = 20\text{m}$; the corresponding grid points are 36 and 11, respectively. Since the input wave spectrum was available at only one grid point where the spectrum was actually measured, the input along the rest of the offshore boundary need to be estimated. This was accomplished by first carrying the known spectrum as measured back to the deepwater condition. This deepwater condition is then assumed to be homogeneous over a horizontal dimension large enough to cover the width of the offshore boundary and thus allows to be used as the input to compute the boundary condition at the other grid points. This scheme, of course, allows only one exact match at the boundary point where the spectrum was actually measured.

Results of three representative wave-swell conditions are presented here; they are swell dominated condition (Case 3), swell-wave combined condition (Case 4), and wind-wave dominated condition (Case 6). Comparisons between the measured and computed spectra are summarized in Figs. 25 to 27, respectively, representing Cases 3, 4 and 6. In general, the prediction appears quite reasonable for wave components in the energy-containing range and low frequency range;

in the high frequency range, the prediction becomes less desirable. Based on this observation, the wave components in the energy-containing range apparently are the most stable ones and are affected mainly by shoaling and refraction in their transformation prior to breaking. The slight over prediction at the spectral peak is most likely due to the fact that the nearshore wave data is actually pressure rather than surface variations and that no correction has been made to compensate this attenuation. For Case 3, the prediction was actually carried into the surf zone. The predicted spectrum after breaking appears quite reasonable (Fig. 25) both in order of magnitude and in shape. This limited result seems to support the breaking criterion expressed in Eq. (21).

A number of factors could contribute to the irregularity in the high frequency range. One possible source of error is that, in this range, the variations in spectral density as well as directions of propagation could be considerable and that results from one point measurement might be insufficient to provide a reasonable estimation of the input along the complete outer boundary. Also, the local generation and dissipation, which are most likely to affect wave components in this range, are not included in the model. There are at least some indications that such mechanisms might provide partial explanation on the discrepancy between measurement and prediction. In Case 6 (Fig. 27) wind is in the same direction as wave propagation, consequently, local generation tends to over-compensate dissipation, thus, resulting in a predicted value smaller than measured. On the other hand, in Case 4 (Fig. 26) when the wind direction was at a large angle with the wave direction, the prediction becomes larger than measured. It is possible that in this case, the locally generated wave was running against the predominant swell and thus resulted in considerable dissipation at high frequency range. A further examination on the energy dissipation criterion is presented in the subsequent section.

Numerical computations were also made for another category using measured data at S1 (940M from the baseline) as input to calculate the corresponding spectra at S2 to examine the wave transformation over the bar that lies between S1 and S2 as shown in Fig. 5. A much coarser grid system was used with $x = 25$ m and $y = 75$ m; the corresponding grid points are 19 and 11, respectively. Since no soundings were performed for such a large area (during the measuring period) the hydrograph is based upon the bottom depth chart of 1972, as supplied by the Leichtweiss-Institut für Wasserbau, Braunschweig, Germany. Results are presented in Figs. 28 and 29. Figure 28 represents a case where waves approaching from N-W quadrant whereas the case shown in Fig. 29 is for waves coming from S-W direction. For these conditions, the prediction fares far better in the former case than the latter. A closer examination of the computer revealed that the spatial variations of wave spectrum are quite pronounced from grid to grid in both longshore and onshore-offshore directions for cases where waves come from the S-W whereas the contrary is true for waves from the N-W. This, at least partially explains why the prediction fares poorly for S-W waves. As a further illustration, the predicted spectral density function one grid distance from the actual location was also plotted in Fig. 29 for the S-W waves. The spatial sensitivity is apparent and is believed to be the main contributing factor to the poor correlation in this case. The fact that the predicted values are generally considerably higher than the measured for S-W waves seems to suggest that the offshore bar is a stronger wave reflector for waves approaching from this quadrant than that of the N-W quadrant.

7. Equilibrium Range in Water of Finite Depth

It is commonly accepted that gravity waves have a limiting energy capacity. When energy is added to a wave of constant wave number, the wave height will keep increasing until the limiting capacity is reached. Beyond this point, the wave will break. In monochromatic waves, this limiting condition is often translated in kinematic terms such as the limiting wave steepness, the limiting height to water depth ratio, or the more fundamental quantities of limiting water particle acceleration and crest angle. In the case of wind generated waves, the concept of equilibrium range is widely acclaimed. In deepwater, it has been shown through dimensional reasoning (Phillips, 1958) that in equilibrium range where energy has reached saturation, the spectral density function takes the following form:

$$\phi_d(n) = \beta g^2 n^{-5} \quad (30)$$

where β , sometimes known as Philips constant, is determined from field data as the angle of $\phi_d(n)$ ranging from 0.8×10^{-2} to 2.0×10^{-2} with an average about 1.17×10^{-2} .

In the computation of wave energy spectral transformation, one desires to extend this equilibrium range into water of finite depth. This equilibrium criteria provides a physical limitation beyond which energy cannot be retained. The breaking criteria used in the present model [Eq. (21)] is based on an empirical relation expressed in terms of wave height, or equivalently the total wave energy. No information can be derived from this criterion as to the energy saturation limit in the wave frequency or wave number domain. This problem of energy saturation in water of finite depth is further examined here.

It has been pointed out by Philips (1966) that in the context of wave number spectra, the equilibrium criterion expressed in Eq. (30) is consistent with the occurrence of sharp crests among the waves. The limit sharp crest wave occurs

when the local fluid acceleration at the surface exceeds a certain fraction of the gravitational acceleration, g . If we extend this physical reasoning to regions of shallow water by assuming that a certain wave component of wave number k_0 will become saturated when the maximum vertical fluid acceleration at the surface reaches a critical value say, αg with α a constant of proportionality, the saturation criterion of this wave number component can then be expressed as

$$\frac{a_v}{g} = A_c(k_0) k_0 \tanh k_0 d = \alpha \quad (31)$$

based upon linear wave. Here a_v is the vertical particle acceleration and $A_c(k_0)$ is the limiting wave amplitude associated with the wave number, k_0 . Since A is related to the wave energy, we further assume that

$$A_c^2(k_0) \propto \int_{k_0}^{\infty} g_a(k) dk = \gamma^2 \int_{k_0}^{\infty} g_a(k) dk \quad (32)$$

where $g_a(k)$ is the equilibrium one-dimensional wave spectrum integrated over the angle of spreading and γ is a constant of proportionality. The above assumption has the physical meaning that the contribution to wave steepness of a certain wave number component is mainly due to waves of higher wave numbers. The validity of this assumption may be illustrated in a much simplified situation with, say, two wave components of distinct wave numbers, k_1 and k_2 , with, say, k_2 significantly larger than k_1 , superimposing upon each other. One then expects that the effect of the longer wave on the shorter wave is mainly an apparent change of mean water level upon which the shorter wave rides. Neglecting the interaction between these two wave components, the steepness of the shorter wave can be considered to be unaffected by the longer one. The reverse, however, can not be true as any local irregularity as a result

of the super imposed shorter wave contributes to the change of wave steepness to the longer one. This argument when extended to irregular waves leads to the condition expressed in Eq. (32).

Now substituting Eq. (32) into Eq. (31), reveals that $g_a(k)$ should assume the following form

$$g_a(k) = 2\left(\frac{\alpha}{\gamma}\right)^2 \frac{kd \operatorname{sech}^2 kd + \tanh kd}{(k \tanh kd)^3} \quad (33)$$

This is the functional relationship of the spectral density in the equilibrium range $k_0 > k$, in water of finite depth. Since $2\left(\frac{\alpha}{\gamma}\right)^2$ is a constant, a normalized function $G_a(k)$ can be defined such that

$$G_a(k) = \frac{g_a(k)}{2\left(\frac{\alpha}{\gamma}\right)^2} = \frac{kd \operatorname{sech}^2 kd + \tanh kd}{k^3 \tanh^3 kd} \quad (34)$$

The function $g_a(k)$ or $G_a(k)$ is the counterpart of Eq. (30) for water of finite depth. The value of $k^3 g_a(k)$ approaches a constant when $d \rightarrow \infty$ as it should (see Phillips, 1966). For water of finite depth, however, $k^3 g_a(k)$ is a monotonically decreasing function of increasing kd . This relationship in terms of $k^3 G_a(k)$ vs. kd is shown in Fig. 30. The variation of $G_a(k)$ with kd is also computed and is shown in Fig. 31.

The constant in Eq. (33) can be estimated based upon the fact that when $d \rightarrow \infty$ the functional relationship expressed in Eq. (33) should approach Eq. (30), i.e.,

$$\lim_{d \rightarrow \infty} \int_{k_0}^{\infty} g_a(k) dk = \int_{n_0}^{\infty} \phi_d(n) dn \Big|_{n_0 = \sqrt{gk_0}} \quad (35)$$

where $n_0 = \sqrt{gk_0}$ is the dispersion relationship in deepwater. The value of $2\left(\frac{\alpha}{\gamma}\right)^2$ is thus found to be approximately equal to 8.4×10^{-2} .

The equilibrium function in frequency domain can be readily shown as

$$\phi_a(n) = \frac{1}{C_g} g_a(k) \Big|_{k=f_1(n)} \quad (36)$$

where C_g is the group velocity and $k = f_1(n)$ is the wave dispersion relationship in shallow water. Since, in general, k is a function of both water depth and current, the equilibrium function, $\phi_a(n)$ or $g_a(k)$ should also vary with these parameters. By virtue of Eq. (10) which is commonly accepted dispersion relationship, the variation of $\phi_a(n)$ or $g_a(k)$ with respect to both u and d can be easily established. Figures 32 and 33 plot the $\phi_a(n)$ as a function u keeping d constant. Figures 34 and 35, on the other hand, shows the variation of $\phi_a(n)$ with respect to d for a constant u . It can be seen that both u and d (for $u \neq 0$), in particular, u , affect significantly the equilibrium function. A counter current, for instance, will surpass a significant portion of energy in the high frequency end and there is a cut off frequency beyond which the energy is zero. For the case of a null current, $\phi_a(n)$ can be shown to be invariant with respect to water depth; $g_a(n)$, however, is not.

While the $\phi_a(n)$ as derived seems to explain reasonably well the behavior of high frequency components in shoaling water, it also indicates that for small kd (long wave components in shallow water), waves can hardly reach saturation and thus no breaking will occur in this range. The latter result indeed is puzzling and contradicts to observation. This problem arises from the basic

assumption in that the breaking criterion is based upon vertical acceleration. As waves enter into shallow region, the orbital water particle motion becomes elliptic. Thus, the fluid becomes more and more difficult to attain the critical vertical acceleration to reach incipient breaking. While the critical vertical acceleration might still remain as a sufficient condition, it no longer is necessary and the wave might break when other modes of motion exceed certain critical value. For wave components of small wave numbers in shallow water the fluid motion in the horizontal direction, both velocity and acceleration, becomes significantly larger than the vertical one. Therefore, waves may break when the horizontal fluid motion exceeds certain value far before the vertical acceleration reaches the breaking criterion. The physical appearances of these two kinds of breaking might, also, be quite different; the fluid separates from the wave in the horizontal direction in one case and in the vertical direction in the other. They both, however, can serve as effective means of restraining the wave growth.

A commonly invoked criterion in the horizontal direction is that the horizontal fluid velocity at the surface exceeds a certain fraction of wave celerity. Again utilizing linear wave theory, this criterion can be expressed as

$$u_p = \frac{A_c (k_o) g k_o}{\sigma_o} = \alpha_1 c \quad (37)$$

where u_p is the horizontal component of water particle velocity, α_1 is a constant and c is the wave celerity. For a monochromatic wave train, one may argue from physical iteration that a wave will break when the horizontal

fluid motion exceeds the wave celerity, or equivalently, α_1 should be equal to unity. Field observation and experimental evidences seem to indicate that α_1 should be around 0.5.

The widely used Miche's (1944) limiting steepness wave in shallow water, for instance, can be shown as equivalent to Eq. (37) with a value of $\alpha_1 = 0.45$. The modified Miche's criterion as used in this work (Eq. 21) will yield a value of $\alpha_1 = 0.38$. It is also interesting to observe here that the criterion expressed in the above equation when applied to deepwater becomes equivalent to the acceleration criterion of Eq. (33), provided $\alpha = \alpha_1$. Longuet-Higgins (1976) recently showed that the wave will break when A_v attains 0.397 g, in other words, $\alpha = 0.397$ which is indeed compatible with the range of values of α_1 indicated here.

If the same procedures used to arrive at the acceleration-limited equilibrium function are followed, the velocity-limited counterpart can be obtained. It assumes the following form:

$$g_u(k) = \left(\frac{\alpha_1}{\gamma}\right)^2 \frac{\tanh kd (\tanh kd - kd \operatorname{sech}^2 kd)}{k^3} \quad (38)$$

When matched with the deepwater equilibrium condition, the coefficient $\left(\frac{\alpha_1}{\gamma}\right)^2$ is found to be equal to 9.4×10^{-2} .

Like $G_a(k)$, a normalized function $G_u(k)$ can be defined such that

$$G_u(k) = \frac{g_u(k)}{\left(\frac{\alpha_1}{\gamma}\right)^2} \quad (39)$$

Similar to the acceleration-limited equilibrium function, the value of $k^3 g_u(k)$ or $k^3 G_u(k)$, is a function of kd only. This relationship is plotted in the same graph with $k^3 G_a(k)$ in Fig. 30 to offer comparison. In contrast to $k^3 G_u(k)$, the function $k^3 G_u(k)$ is a monotonically increasing function with increasing kd and approaches asymptotically to the constant value as $k^3 G_a(k)$ when $kd \rightarrow \infty$.

Field data seemed to support the trend as depicted by the curve $k^3 G_u(k)$. Pierson's data from the stereo-wave observation project (1962) is plotted in the same figure to indicate this trend. In plotting these data, an arbitrary water depth $d = 10$ m was assumed. Thus, the comparison is only qualitative. The variations of $G_u(k)$ with kd is shown in Fig. 31 to offer a comparison with $G_a(k)$. Unlike $G_a(k)$, $G_u(k)$ assumes a bell-shape and has a maximum at $kd \approx 0.072$. This, at least, offers a possible explanation as to why most of the energy spectra obtained in the field are bell-shaped and have a selected range of wave number or frequency that has a larger energy-containing capacity.

The corresponding equilibrium function in frequency domain based upon velocity criterion, $\phi_u(n)$ can be computed in a similar fashion as $\phi_a(n)$. Unlike $\phi_a(n)$, $\phi_u(n)$ is not an invariant with d when $u = 0$. Examples of $\phi_u(n)$ as function of u with $d = \text{constant}$ are plotted in Figs. 32 and 33 with the corresponding $\phi_a(n)$ curves. The variation of $\phi_u(n)$ with d keeping u constant is shown in Figs. 34 and 35 where $\phi(n)$ curves are plotted with the same condition. From these figures, it can be seen that $\phi_u(n)$ and $\phi_a(n)$ intersect at a certain frequency n_p . For wave components of $n > n_p$, the equilibrium is maintained by acceleration criterion. When fluid acceleration exceeds this criterion, breaking occurs with the appearance of spilling

over. For wave components of $n < n_p$, the equilibrium is governed by the horizontal fluid velocity. When fluid velocity exceeds this limit, breaking also occurs with the appearance of curling over, or commonly known as plunging. This composite picture is illustrated in Fig. 36.

A number of comparisons between field data and the composite equilibrium curves are shown in Figs. 37 to 38. The cases selected here are either fully breaking or partially breaking waves. The current used in these cases are not actually measured values but arbitrarily assigned to yield better comparisons. The range of these values are, however, not unreasonable under the actual conditions.

8. Wave Climate at the Test Site

Based on field observation, aided by the computer prediction, some assessment can be made on the wave climate at the test site. Since the field measurement was conducted for a relatively short period with the highest measured significant wave height up to only 1.5 M neither statistical information can be derived nor the results can be extrapolated with any confidence to waves of much larger amplitude. In addition, the measurement was obtained on a single line and the prediction covered a section approximately 400 m from each side of the control line; the observations made herewith should also be viewed within this context.

The shoreline at the test section forms an angle of approximately 17° from the north as shown in Fig. 7. The offshore bar serves as an effective wave breaker during low tide. The breaker role apparently is insignificant during high tide for waves of common occurrence. However, even at high tide, the bar seems to act as a wave reflector and offers partial protection when waves are approaching from S-W quadrant. Waves from N-W quadrant are manifestly less

affected by the presence of the bar. If no breaking occurs at the bar, waves from the N-W quadrant are, in general, amplified when approaching shoreline; the wave amplification as well as the incident angle appears to be rather uniform along the test section; the energy density function peaks up in the vicinity of dominant frequency components. This situation is illustrated in Table 3 for the wave component, $n = 0.15$. For waves approaching from S-W quadrant, the situation is quite different. The variations of both wave angle and wave height are pronounced from grid to grid in both longshore and offshore directions. The energy density function, although peaks up in general when close to shoreline may actually diminish at certain localities. This situation is illustrated in Table 3 for the wave component $n = 0.15$. Fig. 39 compares the spatial variations of near-breaking wave angles for the case when waves approach from N-W quadrant to that from S-W quadrant. It is quite apparent that in the former case, the breaking angles are rather orderly oriented whereas in the latter case, the breaking angles are more erratic. Since wave-induced currents, such as the longshore drift is governed by wave angle and wave height, one expects that along the test section waves from the N-W quadrant should be more effective in creating an undisrupted longshore current than that from the S-W quadrant. The latter offers a better chance to create circulation cells.

As pointed out, already in previous sections, waves from the S-W are more varied spatially and are more sensitive to the bottom changes. This point is well illustrated in Fig. 29 where the predicted spectrum at 75 m (the adjacent grid) south of station 2 is compared with that at Station 2; the change is quite significant for two adjacent grids.

Although the offshore bar plays a protection role most of the time, it also could occasionally become an energy trapper to prevent wave energy from escaping the inshore zone. This occurs mainly under the offshore wind condition as shown in Fig. 13. Fortunately, this condition often

is associated with moderate waves, thus, may not pose a serious condition to shore erosion. However, the energy piling up on the shoreward side of the bar might be just sufficient to maintain the permanence of the bar.

9. Conclusions

The field data obtained at the Island of Sylt supports the validity of the numerical model on wave energy transformation in regions with as complex a nearshore hydrograph as the test site. With due consideration on the complexity of the problem and the simplified assumptions made in the modeling, the numerical predictions yield quite acceptable results of energy-containing wave components and long-wave components. In these ranges, the agreement between predicted results and field data is considered good up and prior to breaking. It suggests that the transformation of waves components within these ranges is mainly influenced by shoaling and refraction, the two factors included in the modeling. The numerical results are unsatisfying in high frequency components where local effects of energy dissipation, generation or transfer are deemed to be more important. All these effects are not being considered in the present model.

Turbulent generation due to wave instability seems to be the dominant mechanism of energy dissipation as opposed to bottom friction which seems to play a very minor role except in the region of wave uprush. Based upon commonly used wave instability criteria, the equilibrium energy spectral density function in shoaling water has been developed. This function provides saturation condition on spectral density for the complete frequency (or wave-number) range and, thus, enables one to estimate the limiting

spectra as functions of local water depth and current conditions.

Comparisons with field data are encouraging, in particular, for cases where waves break over offshore obstacles such as the offshore bar in the present study. An explanation has been offered on the difference between spilling and plunging breakers.

The limited field data alone does not provide sufficient information to perform wave climate assessment at the test location. However, when coupled with numerical computations which extend the point information to spatial distribution certain general observations can be made. The offshore bar plays the double roles as energy dissipator and trapper depending mainly on the wind direction, tidal stage and wave height. For low tide, the role of dissipation dominates. For high tide and nonbreaking wave, the bar acts like a partial reflector under onshore wind and an energy trapper under offshore wind. Waves from the N-W quadrant are found to be less affected by the bar and are more effective in generating unidirectional longshore drift directing southward. Waves from the S-W quadrant appear to have greater spatial variations both in wave angle and wave magnitude and are likely to promote nearshore circulation cell. For wind wave conditions, the JONSWAP spectra are reasonable deepwater inputs for the tested region. All the above observations await further field experiment for verification.

In conclusion, the numerical model has shown to be valid and provides a useful tool to yield spatial information on wave energy spectra in nearshore zone. Further improvements could be made in the following directions:

1. Field data with simultaneous current information.
2. The effect of directional spreading.
3. The interaction among wave components

and in that order of importance. Analytical and experimental work should be continued in the area of developing limiting wave spectra in shallow water. This information should be useful in determining limiting design condition for engineering purposes.

Reese, R. L., et al., Measurements of Wave-Force Effects on Small Vessels During the Joint Navy Sea Wave Project (JNSWP), December 1970, Report, NAVFAC, 1970.

Reese, R. L., et al., Measurements of Wave-Force Effects on Small Vessels During the Joint Navy Sea Wave Project (JNSWP), December 1970, Report, NAVFAC, 1970.

Reese, R. L., et al., Measurements of Wave-Force Effects on Small Vessels During the Joint Navy Sea Wave Project (JNSWP), December 1970, Report, NAVFAC, 1970.

Reese, R. L., et al., Measurements of Wave-Force Effects on Small Vessels During the Joint Navy Sea Wave Project (JNSWP), December 1970, Report, NAVFAC, 1970.

10. References

Blackman, R.B., and J.W. Tukey, The Measurement of Power Spectra, Dover Publications, New York, 1958.

Bushing, F., Über die Änderung von Wellenperioden im Brandungsbereich, Sonderdruck aus Heft 47 der Mitteilungen des Leichtweiß-Instituts für Wasserbau der Technischen Universität Braunschweig, 1975.

Collins, J.I., Prediction of Shallow-Water Spectra, J. of Geophys. Res., Vol. 77, No. 15, May, 1972, pp. 2693-2707.

Colley, J.W. and Tukey, J.W., An Algorithm for the Machine Calculation of Complex Fourier Series, Math. of Computation, V. 19(99), pp. 297-301, 1965.

Divoky, D., et al., Breaking Waves on Gentle Slopes, J. of Geophys. Res., Vol. 75, No. 9, March 20, 1970, pp. 1681-1692.

Fahrentholz, S.R., Waves and Sea-Level Measurements in the Open Sea by Use of Echo-Sounding Methods, Laboratorium der Firma Dr. Fahrentholz, Echolote und Elektronik, in Kiel (BRD) (I 0 73-657).

Fuhrboter, A. and F. Bushing, Wave Measuring Instrumentation for Field Investigations on Breakers, Proceedings of the International Symposium on Ocean Wave Measurement and Analysis, New Orleans, Sept., 1974.

Hasselmann, K., et al., Measurements of Wind-Wave Growth and Swell Decay During the Joint North Sea Wave Project (JONSWAP), Deutsches Hydrographisches Institut, Hamburg, 1973.

Karleson, T., Refraction of Continuous Ocean Wave Spectra, J. of Waterways, Harbors and Coastal Engrg. Div., ASCE, WW4, Nov., 1969, pp. 437-448.

Krasitskiy, V.P., Toward a Theory of Transformation of the Spectrum on Refraction of Wind Waves, Izv. Atmospheric and Oceanic Physics, Vol. 10, No. 1, 1974, pp. 72-82.

Longuet-Higgins, M.S., The Refraction of Sea Waves in Shallow Water, J.F.M., Vol. 1, Part 2, 1956, pp. 163-176.

Longuet-Higgins, M.S. and R.W. Stewart, Changes in the Form of Short Gravity Waves on Long Waves and Tidal Currents, J. Fluid Mech. 8, 1960, pp. 565-583.

Longuet-Higgins, M.S. and R.W. Stewart, The Changes in Amplitude of Short Gravity Waves on Steady Non-Uniform Currents, J. Fluid Mech., 10, 1961, pp. 529-549.

Longuet-Higgins, M.S., E.D. Cokelet, The Calculation of Steep Gravity Waves, Proceedings, Behavior of Offshore Structures, Norwegian Inst. of Technology, Trondheim, 1976, Vol. 2.

Miche, M., Mouvements Ondulatoires de la Mer en Profondeur Constante ou Decroissante, Annales des Ponts et Chaussees, 1944.

Noda, E., et al., Nearshore Circulations Under Sea Breeze Conditions and Wave-Current Interactions in the Surf Zone, Tetra Tech, Report TC-149-4, Feb., 1974.

Phillips, O.M., The Equilibrium Range in the Spectrum of Wind-Generated Waves, J. Fluid Mech., 4, 1958, pp. 426-434.

Phillips, O.M., The Dynamics of the Upper Ocean, Cambridge University Press, 1966.

Pierson, W.J. (ed), The Directional Spectrum of a Wind Generated Sea as Determined from Data Obtained by the Stereo Wave Observation Project, College Engrg., N.Y.U., Met. Paper 2, 1962, No. 6.

Pierson, W.J., Jr. and L. Moskowitz, A Proposed Spectral Form for Fully Developed Wind Seas Based on the Similarity Theory of S.A. Kitaigorodskii, J. Geophys. Res., 1969.

Shiau, J.C. and Wang, H., Wave Energy Transformation Over Irregular Bottom, J. Waterway, Harbor and Coastal Engrg., ASCE, 1976 (in press).