

# **Investment Management Certificate Program (IMCP)**

University of Wisconsin-Milwaukee

Lubar School of Business

[www.lubar.uwm.edu/IMCP](http://www.lubar.uwm.edu/IMCP)

## **Class of 2020 Resume Packet:**

Alex Barenklau

David Bieberitz

Julia Bruns

John Ellison

Timothy Greci

Alexander Gwinn

Jacob Harley

James Hawley

Evan Ketterhagen

Zachary Liermann

Lucas Volpe

Jonathan Walther

Thomas Wendler

Asher Wiskow

## **Contact Information:**

Director: G. Kevin Spellman, CFA, PhD

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# Alex Barenklau

Milwaukee, WI | email barenkl2@uwm.edu | (608) 558-7414

## EDUCATION

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### University of Wisconsin-Milwaukee

*Master of Science in Management-Finance, Certificate in Investment Management*

**Cumulative GPA: 3.45**

**Milwaukee, WI**

*Graduation: May 2020*

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- Finance 75% of tuition/living expenses through employment while a full-time student

**CFA Level 1 Candidate**

*June 2020*

**Bloomberg Market Concepts Certification**

*Sept. 2018*

## PROFESSIONAL EXPERIENCE

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### Marietta Investment Partners

*Investment Analyst Intern*

**Milwaukee, WI**

*Jan. 2019 – June 2019*

- Analyzed financial statements to identify investment opportunities
- Collected information and presented findings to portfolio managers
- Created a template that encapsulated the most important information in one page for the portfolio managers

### Kegonsa Capital Partners

*Venture Capital Intern*

**Madison, WI**

*Dec. 2018 – Present*

- Work on projects that help raise funds for the Badger Fund
- Collect and present material utilized in the funding process

### Panther Painting LLC and College Works Painting

*Owner and Operator of Painting Business*

**Milwaukee, WI**

*Jan. 2014 – Present*

- Developed and implemented a marketing strategy yielding over 675 exterior painting leads
- Traveled door to door to residential homes to generate leads for painting jobs
- Developed detailed painting estimates for prospective clients
- Hired, trained, and managed two crews and seven employees and was responsible for production management, customer service, and quality control of 121 jobs
- Achieved revenues of \$363,000 with a profit margin of 46%
- Maintained a customer satisfaction rating of 99%

### Selected Project Experience

- **Initiating coverage report** for Apple (Ticker: AAPL) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Elmbrook Humane Society** **Spring 2019**
  - Analyzed performance and positioning and present to a board for approximately \$5 mil in AUM

## TECHNICAL SKILLS

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- Bloomberg, FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)
- Microsoft Excel, Word, and PowerPoint

## EXTRACURRICULAR

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### Hobbies

- Running, reading, personal investing, and sports

### Other

- 3-Time 3200M Relay Champion in High School and current record holder for event

# David Bieberitz

Cedarburg, WI | bieberi3@uwm.edu | (262) 416-2491

## EDUCATION

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### University of Wisconsin-Milwaukee

Milwaukee, WI

*BBA in Accounting and Finance, Minor in Economics, Certificate in Investment Management* Graduation: May 2020

Cumulative GPA: 3.75 | Dean's List: 8/8 semesters

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- Financed a significant portion of tuition/living expenses through employment while a full-time student

### Bloomberg Market Concepts Certification

Sept. 2018

## PROFESSIONAL EXPERIENCE

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### U.S. Bank Global Fund Services

Milwaukee, WI

*Mutual Fund Administrator I*

Starting June 2020

- Complete month-end compliance and reporting, and process invoices and authorize fund expenses
- Prepare board of directors and trustee materials
- Communicate directly with clients about expectations and answer any inquiries from clients

### U.S. Bank Global Fund Services

Milwaukee, WI

*Fund Administration Intern*

June 2019 – Present

- Complete month-end compliance and reporting, and process invoices and authorize fund expenses
- Prepare board of directors and trustee materials

### Vogel Consulting, LLC

Brookfield, WI

*Finance and Tax Analyst Intern*

January 2018 – April 2018, January 2019 – April 2019

- Prepared financial statements for 2017 and 2018
- Prepared individual, trust, and partnership returns

### Brady Corporation

Brown Deer, WI

*Internal Audit Intern*

June 2018 – August 2018

- Tested SOX controls for seven different countries
- Created an audit report of the mobile phone expenses

### Fortis Management Group, LLC

Milwaukee, WI

*Accounts Payable Analyst Intern*

October 2016 – December 2017

- Analyzed and edited invoices from 60 different health care centers
- Determined the correct general ledger account numbers for invoices

### Selected Project Experience

- **Initiating coverage report** for Harley-Davidson Inc. (Ticker: HOG) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Business Valuation** **Spring 2019**
  - Valued a private firm through analyzing industry and business opportunities and presented to management

## TECHNICAL SKILLS

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- Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)
- Microsoft Excel (Certification in Microsoft Excel), Word, and PowerPoint

## HONORS/AWARDS

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### Recipient, Lubar Scholarship

September 2015 – May 2019

- 4-year scholarship worth a total of \$23,000

### Recipient, John Philip Sousa Band Award

May 2015

- Award given to the most talented instrumentalist in High School

# Julia Bruns

Milwaukee, WI | jcbruns@uwm.edu | (920) 905-5155

## EDUCATION

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### University of Wisconsin-Milwaukee

Milwaukee, WI

*Bachelor of Business Administration in Finance, Certificate in Investment Management, Honors College* May 2020

**Cumulative GPA:** 3.89 | Dean's List: Every Semester

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- Finance 95% of tuition/living expenses through employment while a full-time student

## PROFESSIONAL EXPERIENCE

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### Wells Capital Management – The Heritage Growth Team

Milwaukee, WI

*Associate Portfolio Analyst Intern*

*April 2019 – Present*

- Gather data and build financial models for potential IPO investments
- Maintain research responsibilities on assigned small cap stocks
- Create performance and allocation reports using FactSet
- Create quarterly earnings packets for the team which includes company guidance, consensus estimates, and analyst financial models
- Maintain weekly rail traffic data on all U.S based rail companies

### Robert W. Baird

Milwaukee, WI

*Trading Operations Intern*

*March 2017 – January 2019*

- Worked within a team to assist in the settlement of failing trades to prevent buy-ins and sell-outs
- Direct foreign trades to international markets
- Create and update client trading accounts, both foreign and international
- Communicate with traders and institutional clients to confirm trade details for booking or rebilling
- Assist clients with collecting proper documentation for their auditing process
- Update a daily Profit and Loss log
- Participated in on-campus recruiting during UW-Milwaukee's career fairs
- Competed as an intern case competition finalist

### Selected Project Experience

- **Initiating coverage report** for Dollar General (DG) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Private Business Valuation Project** **Spring 2019**

## TECHNICAL SKILLS

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- Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axion and Northfield (factor analysis)
- Fidessa Trading Platform and Data Administrator, and Thompson Reuters Beta System
- Microsoft Excel, Word, and PowerPoint
- SQL, VBA, Python (basic), web scraping, and website development

## ACTIVITIES AND AWARDS

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### Student Investment Club

*September 2018 - Present*

**Victor Chou Scholarship 2019-2020**

**Magdalena Ocampo Scholarship 2018-2019**

**Douglas and Jean Schwinn Opportunity Scholarship 2018-2019**

**Donald and Eunice Dow Foundation Scholarship 2017-2018**

**Cecelia Dunham-West Scholarship 2016-2017**

**Hobbies:** Guitar, ukulele, camping and traveling

# John Ellison

815 Genesee St. Delafield, WI 53018 | ellison5@uwm.edu | (262) 408 7967

## EDUCATION

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### University of Wisconsin-Milwaukee

*Certificate in Investment Management*

**Cumulative GPA: 4.0**

#### **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)

- 21-month CFA University Affiliated Program for a select group of students
- Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
- Analyze securities over multiple asset classes
- Finance 60% of tuition/living expenses through employment while a full-time student & studying for CFA exams

### **Adventis Financial Modeling Training**

*Sept. 2019*

### University of Wisconsin-La Crosse

*Bachelor of Science in Finance (Risk, Insurance, & Financial Planning) & Economics*

**Cumulative Business GPA: 3.01**

- Finance 90% of tuition/living expenses through employment while a full-time student & playing college football

**La Crosse, WI**

*May 2018*

## PROFESSIONAL EXPERIENCE

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### John Ellison Advisory Group, Ltd.

*Paraplanner*

- Schedule client appointments, update client financial plans, and handle client service requests
- Develop Morningstar principia pro investment product and portfolio client profiles for client reviews
- Analyze insurance, annuity, and investment products for investment, estate, and planning objectives
- Prepare client Money tree financial retirement plans and income tax planning reports, which includes review of social security and pension income taxation, trusts, retirement portfolio, and estate plans
- Research life and disability insurance and profile alternative insurance products
- Review employer benefits and integrate with clients' personal insurance, retirement, and estate plans
- Explain JEAG perspectives of current geopolitical paradigms impacting country specific macroeconomic monetary policies driving microeconomic financial opportunities, objectives, and goals
- Assist in client property acquisitions by developing real estate valuation and return models that include market price, tax, and cash flow approaches with alternative overhead and occupancy assumptions

**Delafield, WI**

*June 2018 – Present*

### Delafield Rivers Gateway

*Property Manager*

- Conduct competitor research, manage pricing, and create flexible lease agreements
- Market to (on Craigslist, Facebook, Airbnb, Vrbo, Homeway, and website) tenants and screen and find tenants
- Manage maintenance, repairs, IT, budget and records, and collect rents

**Delafield, WI**

*June 2018 – Present*

### Selected Project Experience

- **Initiating coverage report** for Southwest Airlines (Ticker: LUV) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Private Business Valuation** **Spring 2019**
  - Analyzed performance and positioning and value business based on a DCF and market multiple and present possible business strategies to a board

## TECHNICAL SKILLS

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- Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)
- Microsoft Excel, Word, PowerPoint, Prezi, Access, Outlook, and OneNote
- VBA, Python (basic), web scraping, and website development
- TD Ameritrade, Morningstar, WordPress, Money Tree

## EXTRACURRICULAR

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### ACG Cup 2019

*April 2019*

- Present business acquisition case to IB professionals

### Hobbies

- Basketball, water sports, football, golf, and weight lifting
- Passion for investing since 2002 when I started reading the WSJ and learning from my father and grandfather about entrepreneurship

### CFA level I Scholarship

*June 2020*

# Timothy Greci

Milwaukee, WI | tdgreci@uwm.edu | (574) 855-6895

## EDUCATION

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### University of Wisconsin-Milwaukee

*Bachelor of Business Administration in Finance, Certificate in Investment Management*

**Milwaukee, WI**

*Graduation: May 2020*

**Cumulative GPA:** 3.92 | Business Scholars Program | Dean's List: 6 semesters

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- **Beta Gamma Sigma:** Top 7% of class at AACSB accredited business school
- **Business Scholars Scholarship:** Recipient of \$2,500 scholarship based on commitment to academic excellence

## PROFESSIONAL EXPERIENCE

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### Northwestern Mutual

*Credit Investment Intern*

**Milwaukee, WI**

*May 2019 – Present*

- Public Investments Department within Northwestern Mutual Investment Management Company, LLC with over \$100 billion under management in debt and derivative assets
- Review investor presentations and earnings calls and create energy sector financial models
- Read company 10ks and investor presentations to write company profiles for new energy sector analyst
- Analyze oil and natural gas by creating spreadsheets using Bloomberg BQL functions in Excel
- Built spreadsheets to analyze steel, iron ore, copper, and aluminum by utilizing Bloomberg data in Excel
- Developed Excel chartbook to analyze global economic data, statistics, and trends

### Robert W. Baird

*Rotational Intern*

**Milwaukee, WI**

*May 2018 – August 2018*

- Rotation in Fixed Income Capital Markets/Public Finance, Asset Management, and Equity Capital Markets
- Learned process of debt origination, assisted in office accounting, and aided in client relationship management
- Assisted sales associates in business transactions and performed general administrative tasks on trading desk
- Worked on client fee structure project by examining company agreements with Baird
- Conducted credit research project on the impact of electrical vehicles and presented to Baird Advisors
- Wrote a primer for an industrial distribution company not covered by Baird research analysts
- Developed a ratio analysis model in Excel for a company by analyzing its quarterly and annual SEC filings
- Attended daily morning meetings with Baird equity research analysts
- Performed daily stock pitches and made phone calls based on morning meetings to the Baird sales team

### Selected Project Experience

- **Initiating coverage report** for Lululemon Athletica (Ticker: LULU) *Fall 2018*
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Private Business Valuation** *Spring 2019*
  - Valued a private company by performing in-depth industry and financial analysis and presented to CEO

## TECHNICAL SKILLS

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- **Bloomberg, FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)**
- **Microsoft Excel, Word, and PowerPoint**
- **Bloomberg Market Concepts Certificate, Credit Suisse Holt Lense Certification**

## EXTRACURRICULAR

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### NCAA Division I Swimmer, University of Wisconsin-Milwaukee

*August 2016 – Present*

- Selected by teammates to serve as a team co-captain

### Appalachian Service Project

- Five-day mission trip in southwest Kentucky: rebuilt entire foundation

*June 2013*

### Local Mission Trip

- Five-day mission trip in South Bend, Indiana: rebuilt an entire upstairs bathroom in 100-year-old home

*June 2014*

# Alexander Gwinn

12460 Duncan Lane #203, New Berlin, WI 53151 | afgwinn@uwm.edu | (262) 751-3294

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## EDUCATION

### University of Wisconsin-Milwaukee

Milwaukee, WI

*Bachelor of Business Administration in Finance, Certificate in Investment Management*

*Graduation: May 2020*

**Cumulative GPA:** 3.65 | **Major GPA:** 3.72 | Business Scholars Program | Dean's List: Fall 2016 to present

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- Finance 100% of tuition/living expenses through employment while a full-time student

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## PROFESSIONAL EXPERIENCE

### Northwestern Mutual

Milwaukee, WI

*Investment Risk and Operations Analyst Intern*

*May 2019 – Present*

- Perform due diligence on incoming/outgoing transactions for Limited Partnerships and Real Estate Investments
- Construct and send outgoing capital call wire transactions for Private Equity: Limited Partnerships (~3.5B AUM)
- Reconciliation and bookkeeping maintenance of Real Estate Equity: Investments and Joint Ventures (~10B AUM)
- Aid with implementation of new initiatives, procedures and workflows, while analyzing department performances

### Culver's Franchise LLC

West Allis / Brookfield, WI

*Shift Manager*

*Apr. 2013 – Aug. 2019*

- Submit semiweekly product orders and conduct monthly inventory of physical inventory and product
- Bookkeep and account for changes in cash flows in registers on the general ledger
- Forecast sales and project changes in product interest during high volume terms
- Assist and train employees on various store positions and stations

### UWM Tutoring Offices

Milwaukee, WI

*German Tutor*

*Dec. 2018 – Present*

- Prepared supplementary instructional materials for introductory and upper-level German students
- Assisted German students with homework, essays, projects, and exam preparation
- Engaged with German faculty to tailor materials toward applicable class concepts for exam review
- Conducted freelance tutoring to collegiate and high school students in the greater Milwaukee region

## Selected Project Experience

- **Initiating coverage report** for Corning Inc. (Ticker: GLW) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Private Business Valuation** **Spring 2019**
  - Evaluated a private firm by analyzing its industry, meeting with management, weighing business opportunities, scenario analysis, presenting to management, and providing recommendations

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## TECHNICAL SKILLS

- Bloomberg (basic), FactSet (extensive), ISS-EVA Investor Express and Axioma & Northfield (basic)
- Microsoft Excel, Word, PowerPoint (all extensive), VBA and R-Studio (basic)
- B2 Certification of Fluency in German from the Goethe Institut
- Credit Suisse Holt Lense Certification

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## EXTRACURRICULAR

### Deutsche Ehrenverbindung: Delta Phi Alpha

*May 2017 - Present*

- Member of UWM chapter of the German honor society Delta Phi Alpha

### Hobbies

- Soccer, basketball, skiing, rock climbing, piano, guitar, and woodworking

**Ronald McDonald Charity House, Versiti Blood Center of Wisconsin, St. Joseph Academy**

**2018 Haag Scholarship, 2017-18 IBC Foreign Language Scholarships, 2015 Congress-Bundestag Stipendium**

# Jacob Harley

Milwaukee, WI | jkharley@uwm.edu | (262) 527-0620

## EDUCATION

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### University of Wisconsin-Milwaukee

*Bachelor of Business Administration in Finance, Certificate in Investment Management*

**Business GPA:** 3.32 | Dean's List: 4 semesters

**Milwaukee, WI**

*Graduation: May 2020*

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)

- 21-month CFA University Affiliated Program for a select group of students
- Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
- Analyze securities over multiple asset classes

### Bloomberg Market Concepts Certification

*Fall, 2018*

### QuickBooks Pro Certification

*Summer, 2018*

## PROFESSIONAL EXPERIENCE

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### Marietta Investment Partners

*Private Wealth Management – Research Internship*

**Milwaukee, WI**

*June 2019 – Present*

- Analyze financial statements to find investment opportunities
- Collect information and presented to portfolio managers
- Created a Bloomberg API ESG screening and scoring sheet

### The Giersch Group

*Lead Associate*

**Milwaukee, WI**

*June 2018 – Jan. 2019*

- Created dashboards that provide clients an understanding of their businesses' current financial health
- Constructed dashboard template to include P&L, BS, and SCF analysis vs industry for small businesses
- Attended clients' monthly board service meetings to explain financial health/trending ratios vs industry
- Provided bookkeeping services to clients
- Conducted analysis of partner buyouts, business reviews, and compensation studies
- Assisted with LLC and S-corp. payroll and payroll taxes
- Managed and trained associates

### Sendiks

*Meat/Seafood Associate*

**Waukesha, WI**

*June 2017 – Jan. 2018*

- Provided a customized butchering experience
- Stocked a full meat and seafood case
- Maintained a high level of sanitation within the department

### Selected Project Experience

- **Initiating coverage report** for Intel (Ticker: INTC) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis

## TECHNICAL SKILLS

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- **Bloomberg, FactSet**
- **Microsoft Excel & VBA, Word, and PowerPoint**

## EXTRACURRICULAR

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### Student Investment Club

*Fall 2017 – Present*

- President '19, Secretary '18, Financial and Consumer Discretionary Analyst '17
  - Assist in managing \$70,000 and leading the club on both the investment and administrative side
  - Monitor how changes in the political, economic and legal landscape will affect the market
  - Researched and pitched new stocks for purchase and monitored existing positions
  - Presented every Monday on news within our sector, performance/changes in our stocks



# James Hawley

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## EDUCATION

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### University of Wisconsin-Milwaukee

Milwaukee, WI

*Bachelor of Business Administration in Finance, Certificate in Investment Management (IMCP)*

*Class of 2020*

**Cumulative GPA:** 3.51/4.00 | Dean's List: 2017, 2018, Spring 2019

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- **Adventis Financial Modeling Level I Candidate** *Fall 2019*
  - Includes 3-stage DCF, valuation, and LBO modules
- **Equity Research Report** *Fall 2018*
  - Created a 27-page report covering Nordstrom Incorporated (NYSE: JWN)
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Bloomberg Market Concepts Certification** *Fall 2018*

## PROFESSIONAL EXPERIENCE

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### Alpha Investment Consulting Group

Milwaukee, WI

*Investment Manager Research Intern*

*Mar. 2019 – Present*

- Engage in due diligence meetings with fund managers to analyze risk-reward potential
- Generate monthly return updates of invested funds across multiple asset classes
- Contribute to quarterly market environment pieces related to trends and macroeconomic events
- Maintain revised records of current funds owned, client investment ledgers, and management questionnaires

### UWM Recreation Center

Milwaukee, WI

*Building Manager*

*Feb. 2017 – Present*

- Verify all cash reconciliations and report daily profits
- Train new employees by providing guidance and resources regarding facility procedures
- Facilitate communications throughout building, connecting all departments and staff

### Waukesha Express Swim Club, Inc.

Waukesha, WI

*Swim Instructor & Lifeguard*

*Apr. 2014 – Sep. 2016*

- Managed sale transactions and instructed classes designed to develop competitive swimming skills

## TECHNICAL SKILLS & CERTIFICATIONS

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- **Bloomberg, FactSet, Morningstar, eVestment, Axioma and Northfield (factor analysis), ISS-EVA Investor Express, and MS Office (Word, PowerPoint, Excel)**

## EXTRACURRICULAR

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### Student Investment Club

*Feb. 2018 – Present*

- Discuss weekly investment news and performance updates regarding changes in the club fund
- Student led organization managing over \$60k of AUM

### Robert W. Baird Mentoring Circles Program

*Jul. 2018 – May. 2019*

- Chosen for mentorship program with investment firm Robert W. Baird & University of Wisconsin-Milwaukee
- Attended monthly conferences on professional development and the financial services business

### CQA Hedge Fund Competition

*Nov. 2018 – Mar. 2019*

- Actively maintained a portfolio of 80 equities within the Russell 1000 while deploying hedging strategies
- Performance based competition judged on absolute return and risk-adjusted return

**Hobbies & Interests:** Strength training, swimming, basketball, reading, personal investing, and grilling

# Evan Ketterhagen

Milwaukee, WI | ketterh9@uwm.edu | 262-210-5302

## EDUCATION

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### University of Wisconsin – Milwaukee

*Graduate Certificate in Investment Management*

**Cumulative GPA:** 4.00

- **Investment Management Certificate Program** ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))
  - 21 – month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes

### Bloomberg Market Concepts Certification

*Sept. 2018*

### University of Wisconsin – La Crosse

*Bachelor of Business Administration in Finance*

**Cumulative GPA:** 3.52 | **Major GPA:** 3.83 | Dean's List 5/8 Semesters

Minor: Accounting

**La Crosse, WI**

*Graduated: May 2019*

## PROFESSIONAL EXPERIENCE

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### UBS Financial Services

**New York, NY**

*Sell Side Research Analyst Intern* (Hired as intern by Evalueserve's Financial Services Dept.) *June 2019 – Aug. 2019*

- Worked with the Consumer Hardline, Broadline, and Food Retail team
- Updated financial models, analyzed data, and assisted with writing and reviewing reports
- Main projects involved working on two stock initiations

### Riverwater Partners

**Milwaukee, WI**

*Research Analyst Intern*

*Oct. 2018 – Apr. 2019*

- Worked alongside management on a small-cap value portfolio as well as a large equity income portfolio
- Analyzed companies and presented findings at research meetings

### A Grande Limo, LLC

*Summers 2015 – Present*

*Grounds Crew*

- Maintain 36 acres of lake front property
- Oversee irrigation operations on site

### City of Onalaska

*Referee*

*Winters 2017 – 2018*

- Officiated youth basketball games

### Selected Project Experience

- **Initiating coverage report** for United Parcel Service (UPS) *Fall 2018*
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Elmbrook Humane Society** *Spring 2019*
  - Analyzed performance and positioning and presented to board for fund with approx. \$5 mil in AUM

## TECHNICAL SKILLS

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- **Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axion and Northfield (factor analysis)**
- **Microsoft Excel, Word, and PowerPoint**

## HONORS AND ACTIVITIES

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- Manage a personal stock portfolio *February 2017 – Present*
- Investment Club member at the University of Wisconsin La Crosse *September 2017 – May 2018*
- Ab Nicholas Scholarship recipient *September 2014 – May 2018*

# Zachary Liermann

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## EDUCATION

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### University of Wisconsin-Milwaukee

*Bachelor of Business Administration in Accounting and Finance*

**Cumulative GPA:** 3.99 | Dean's List: 2016, 2017, 2018, 2019

**Milwaukee, WI**

*Graduation: May 2020*

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)

- 21-month CFA University Affiliated Program for a select group of students
- Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
- Analyze securities over multiple asset classes

### Bloomberg Market Concepts Certification

*Sept. 2018*

## PROFESSIONAL EXPERIENCE

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### Wipfli, LLP

*Business Valuation Intern*

**Milwaukee, WI**

*Nov. 2018 – Present*

- Prepare financial analysis on companies to assist in the preparation of valuation
- Analyze client financial data to identify trends and operational characteristics
- Prepare valuation reports, Information Memorandums, and marketing materials
- Assist in the process of buying or selling a business, financing a business, and in business transition planning

### Briggs & Stratton Corporation

*Corporate Finance Intern*

**Wauwatosa, WI**

*May 2018 – Aug. 2018*

- Created a financial model for the corporate development team to project earnings and cash flows
- Assisted management in preparing deliverables for various external audits
- Developed Excel templates to help maintain data integrity throughout an ERP system upgrade

### U.S. Army, 2<sup>nd</sup> Battalion 75<sup>th</sup> Ranger Regiment

*Squad Leader / Team Leader / Infantryman*

**Tacoma, WA**

*June 2008 – June 2016*

- Led a 9-man squad, and was responsible for the collective training and execution of special operations missions that were designated critical to the Department of Defense
- Rated #1 of 9 squad leaders by the company commander during an annual performance evaluation
- Collaborated with platoon, company, and joint units' leadership in analyzing, planning, and executing over 100 highly complex special operations raids involving 150 personnel
- Developed and mentored three subordinates, resulting in their graduation from U.S. Army Ranger School; the Army's premier leadership school
- Managed the accountability, distribution, and maintenance of the unit's inventory of equipment valued at more than \$1,000,000

### Selected Project Experience

- **Initiating coverage report** for McDonald's (Ticker: MCD) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis

## TECHNICAL SKILLS

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- **S&P Capital IQ, Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axioma and Northfield**
- **Microsoft Excel, Word, and PowerPoint**
- **VBA (basic), Java (basic)**

## EXTRACURRICULAR

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### Big Brothers Big Sisters of Metro Milwaukee – Mentor

*July 2017 – Present*

- Mentor little brother through shared experiences and setting goals for his future

### U.S. Army Special Operations Command Soldier of the Year

*2011*

- Designated as the major command's 2011 Soldier of the Year, out of more than 20,000 soldiers, through a series of rigorous competitions testing mental aptitude and a broad range of technical skills

# Lucas Volpe

Milwaukee, WI | lucasrvolpe@gmail.com | (217) 855-5595

## EDUCATION

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### University of Wisconsin-Milwaukee

*Master of Science in Business Analysis/Finance, Certificate in Investment Management*

**Cumulative GPA:** 3.58 | Business Scholars Program | Dean's List: 2/3 semesters

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- Finance 100% of tuition/living expenses through employment while a full-time student

**Milwaukee, WI**

*Graduation: Dec. 2019*

### Bloomberg Market Concepts Certification

*Sept. 2018*

### University of Wisconsin-Milwaukee

*Bachelor of Business Administration in Finance*

**Cumulative GPA:** 3.51 | Business Scholars Program | Dean's List: 5/8 semesters

**Milwaukee, WI**

*Graduation: Dec. 2016*

## PROFESSIONAL EXPERIENCE

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### Spartan Capital Securities

*Investment Banking Analyst Intern*

**Milwaukee, WI**

*June 2019 – Present*

- Act as executive assistant in all phases of transaction by setting meetings, creating marketing lists, tracking investor targets, creating teasers, and conducting research
- Prepare various presentation materials for use in transactions, covering topics such as strategic alternatives, financial performance, capital markets activity, and general corporate finance
- Build financial models to evaluate performance under various operating scenarios and to analyze the impacts of different capital structures and potential M&A transactions
- Responsibilities include market updates, pitch books, ad-hoc projects, and company expense reports

### Drexel Hamilton LLC

*Investment Banking Analyst Intern*

**Milwaukee, WI**

*July 2018 – June 2019*

- Prepared various presentation materials for use in transactions, covering topics such as strategic alternatives, financial performance, capital markets activity, and general corporate finance
- Participated in all stages of transaction executions and assisted with various projects

### US Bank

*Securities Specialist Depository and Corporate Actions*

**Milwaukee, WI**

*Jan. 2017 – July 2018*

- Serviced all forward and reverse stock splits, liquidations or worthless securities, mandatory puts, name changes or mandatory exchanges, payment in kinds and one for one stock mergers
- Posted securities to accounts by balancing securities in DTCC to SEI
- Conducted stock and bond security trades and free movement and settlement for high/ultra-high net worth and institutional clients
- Ensured that all requests are completed timely, accurately, and in compliance with policies
- Processed security delivery/receipt, withdrawal by transfer certificates, direct registration system profile modifications, deposit/withdrawals at custodian, worthless movements, frozen letters, and Fed movements

## TECHNICAL SKILLS

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- **Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)**
- **Microsoft Excel, Word, and PowerPoint**
- **SIE registration holder, Series 79 registration pending**

# Jonathan M. Walther

Richfield, WI | walther6@uwm.edu | (262) 844-8492

## EDUCATION

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### CFA Level I Candidate – June 2020 Exam

#### University of Wisconsin-Milwaukee

*Bachelor of Business Administration in Finance, Certificate in Investment Management*

**Milwaukee, WI**

*Graduation: May 2020*

**Cumulative GPA: 3.61 | Major GPA: 3.74 | Dean's List: Spring 2018**

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes
- Finance 100% of tuition/living expenses through employment while a full-time student

#### Adventis Financial Modeling Level I Certification

*Sept. 2019*

#### Bloomberg Market Concepts Certification

*Sept. 2018*

## PROFESSIONAL EXPERIENCE

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#### TKO Miller

*Investment Banking Analyst Intern*

**Milwaukee, WI**

*Oct. 2019 - Present*

- Assist with deal-related documents, industry analysis, and due diligence support
- Develop financial statements and valuation models
- Participate in transaction meetings and conference calls related to M&A operations

#### Northwestern Mutual

*Distribution Finance Intern*

**Milwaukee, WI**

*Jan. 2019 – Nov. 2019*

- Prepare monthly credit metrics and financial reports for network offices
- Analyze financial statements and prepare budget and projection materials
- Monthly financial reporting for troubled offices; analysis of projections to actuals
- Ad-hoc financial modeling projects involving large data sets using Excel/VBA

#### Northwestern Mutual

*College Financial Representative*

**Milwaukee, WI**

*May 2017 – Jan. 2018*

- Established and maintained client relationships
- Analyzed clients' goals and visions to uncover financial solutions

#### Gehl Foods LLC

*Warehouse Operations Associate*

**Germantown, WI**

*May 2011 – July 2017*

### Selected Project Experience

- **Private Business Valuation** **Spring 2019**
  - Valued a private company under different scenarios by performing industry and financial analysis
  - Presented and explained our in-depth analysis to the management team
- **Initiating coverage report** for FedEx (Ticker: FDX) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis

## TECHNICAL SKILLS

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- Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)
- VBA, Data Analysis, Financial Modeling, Microsoft Office Products

## EXTRACURRICULAR

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### Student Investment Club

- Student run organization that manages \$80,000 and meets biweekly to educate each other on investments
- Vice President & Sector Analyst *Aug. 2018 – Present*

### Hobbies

- Soccer, cars, and investments

# Thomas Wendler

Jackson, WI | Twendler@uwm.edu | (262) 343-3406

## EDUCATION

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### University of Wisconsin-Milwaukee

*Bachelor of Business Administration in Finance, Certificate in Investment Management*

**Milwaukee, WI**

*Graduation: May 2019*

**Cumulative GPA: 3.7**

- **Investment Management Certificate Program** (lubar.uwm.edu/IMCP)
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes

### Bloomberg Market Concepts Certification

*Sep 2018*

## PROFESSIONAL EXPERIENCE

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### Associated Bank

*Corporate Treasury Intern*

**Green Bay, WI**

*May. 2019 – Aug. 2019*

- Researched and recommended a new program to retain and grow veterans to HR leadership
- Created macros to gain efficiencies and reduce risks of human error
- Designed and implemented a process for analyzing the attrition rates of deposits
- Conducted a regression analysis on commercial paper and provided a recommendation to management
- Updated daily balance sheets and large loan/deposit data to be sent to senior management

### Gehl's Foods

*Warehouse Associate*

**Germantown, WI**

*Oct 2016 – Sep 2018*

- Ensured accuracy of transfers, created bills of lading, and performed inventory controls

*Oct 2010 – Aug 2012*

### United States Marine Corps

*Platoon Advisor*

**Camp Lejeune, NC**

*Aug 2012 – Aug 2018*

- Led Marines in combat and over 50 training operations
- Awarded Navy and Marine Corps achievement medal as well as good conduct medal
- Adapted to and overcame adverse situations
- Participated in operations with diverse groups of people including the ANA, British Armed Forces, Australian Army, and the Republic of Korea Armed Forces

## Selected Project Experience

- **Initiating coverage report** for Sealed Air Corporation (Ticker: SEE) **Fall 2018**
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Business Valuation** **Spring 2019**
  - Researched industry metrics, trends, and business potential
  - Presented valuation to business owners

## TECHNICAL SKILLS

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- Bloomberg (basic), FactSet, ISS-EVA Investor Express, SNL, and Axioma and Northfield (factor analysis)
- Microsoft Excel, Word, and PowerPoint

## EXTRACURRICULAR

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### Washington County Humane Society

- Volunteer

*Jan 2019 – Present*

### Student Investment Club

- Analyst - Industrials

*Aug 2017 – Present*

### Global Macro Screener

- Created screener utilizing FactSet code

*Aug 2018 – Present*

### PeepTrade University Investment Challenge

- Competed with a virtual portfolio against other universities

*Feb 2017 – July 2017*

# Asher Wiskow

Milwaukee, WI | email [ajwiskow@uwm.edu](mailto:ajwiskow@uwm.edu) | (262) 337-0515

## EDUCATION

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### University of Wisconsin-Milwaukee

Milwaukee, WI

Bachelor of Business Administration in Finance, Certificate in Investment Management

Graduation: May 2020

Cumulative GPA: 3.33 | Major GPA: 3.71 | Business Scholars Program | Dean's List: 3/6 semesters

- **Investment Management Certificate Program** ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))
  - 21-month CFA University Affiliated Program for a select group of students
  - Work on portfolios with over \$7 mil, and present performance, attribution, and strategies to investment boards
  - Analyze securities over multiple asset classes

### Bloomberg Market Concepts Certification

Sep 2018

## PROFESSIONAL EXPERIENCE

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### Fidelity Information Services

Milwaukee, WI

Technical Analyst Intern

June 2019 – Aug 2019

- Use Python machine learning to detect fraud and other trends and Tableau to plot discoveries
- Responsibilities include writing scripts, collaborating with team, and reviewing and presenting data findings

### Riverwater Partners LLC

Milwaukee, WI

Analyst Intern

Sep 2018 – March 2019

- Work alongside management on a small-cap value portfolio as well as a large cap equity portfolio
- Analyze companies and present research information at meetings

### Lakehome Handyman LLC

Oconomowoc, WI

Front Desk Associate

May 2018 – Sep 2018

- Undertake physically demanding work that required grit and mental toughness to complete
- Work one to four jobs per day, challenging adaptive skills and quick thinking
- Find ways to improve efficiency as well as quality to move from job to job effectively

### Ausblick Ski Resort

Sussex, WI

Front Desk Associate

Nov 2015 – March 2018

- Create and distribute identification cards to the staff and members
- Handle and document daily sales of lessons and merchandise up to \$3000
- Train new employees to use the company's documentation and identification software

### Selected Project Experience

- **Initiating coverage report** for Boeing Co (Ticker: BA) Fall 2018
  - Conducted industry and competitive research to identify the company's business and financial drivers
  - Performed comparable company analysis, 3-stage DCF analysis, and multiples regression analysis
- **Private Business Valuation** Spring 2019
  - Analyzed market position and patent worth to determine business value and presented findings to CEO

## TECHNICAL SKILLS

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- Bloomberg (basic), FactSet, ISS-EVA Investor Express, and Axioma and Northfield (factor analysis)
- Microsoft Excel, Word, and PowerPoint
- R, SQL, Python, web scraping (basic), and website development

## EXTRACURRICULAR

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### Student Investment Club

May 2018 – Present

### Hobbies

- Basketball, golf, and lifting
- Interested in investing since 2014 when my father first introduced me to the stock market
- Amateur video editing in Adobe After Effects and Sony Vegas Pro