NEW RESEARCH

Neonatal Amygdala Functional Connectivity at Rest in Healthy and Preterm Infants and Early Internalizing Symptoms

Cynthia E. Rogers, мд, Chad M. Sylvester, мд, PhD, Carrie Mintz, мд, Jeanette K. Kenley, вз, Joshua S. Shimony, мд, PhD, Deanna M. Barch, PhD, Christopher D. Smyser, мд

Objective: Alterations in the normal developmental trajectory of amygdala resting state functional connectivity (rs-FC) have been associated with atypical emotional processes and psychopathology. Little is known, however, regarding amygdala rs-FC at birth or its relevance to outcomes. This study examined amygdala rs-FC in healthy, full-term (FT) infants and in very preterm (VPT) infants, and tested whether variability of neonatal amygdala rs-FC predicted internalizing symptoms at age 2 years.

Method: Resting state fMRI data were obtained shortly after birth from 65 FT infants (gestational age [GA] \geq 36 weeks) and 57 VPT infants (GA <30 weeks) at term equivalent. Voxelwise correlation analyses were performed using individual-specific bilateral amygdala regions of interest. Total internalizing symptoms and the behavioral inhibition, depression/withdrawal, general anxiety, and separation distress subdomains were assessed in a subset (n = 44) at age 2 years using the Infant Toddler Social Emotional Assessment.

he amygdala plays a critical role in the expression and processing of emotion and in determining the emotional significance of stimuli.¹⁻³ Amygdala activity increases in response to emotional stimuli in healthy children and adults,^{4,5} and variations in activation are associated with affective disorders at both ages.^{6,7} Amygdala activation is putatively regulated through connections with numerous subcortical and cortical structures,^{2,8,9} and amygdala structural connections undergo substantial modification during the first years of life.¹⁰⁻¹³ Critical unresolved issues addressed in this study include defining the normative patterns of neonatal amygdala functional connectivity and the relationship between neonatal amygdala functional connectivity and risk for development of early childhood affective symptoms.

Resting state functional magnetic resonance imaging (rs-fMRI) has been used to investigate functional connectivity (rs-FC) in the developing brain.^{14,15} Infants and children

Results: In FT and VPT infants, the amygdala demonstrated positive correlations with subcortical and limbic structures and negative correlations with cortical regions, although magnitudes were decreased in VPT infants. Neonatal amygdala rs-FC predicted internalizing symptoms at age 2 years with regional specificity consistent with known pathophysiology in older populations: connectivity with the anterior insula related to depressive symptoms, with the dorsal anterior cingulate related to generalized anxiety, and with the medial prefrontal cortex related to behavioral inhibition.

Conclusion: Amygdala rs-FC is well established in neonates. Variability in regional neonatal amygdala rs-FC predicted internalizing symptoms at 2 years, suggesting that risk for internalizing symptoms may be established in neonatal amygdala functional connectivity patterns.

Key words: infant, internalizing, amygdala, functional connectivity

J Am Acad Child Adolesc Psychiatry 2017;56(2):157-166.

demonstrate rs-FC patterns, including those involving the amygdala,^{14,16,17} that evolve with age toward those of adults.¹⁸ One relationship that has been well characterized during early development is between the amygdala and medial prefrontal cortex (mPFC).^{19,20} The mPFC is an important regulator of amygdala activation,^{2,8,9} and variation in rs-FC measures between these regions in older children and adults has been linked to anxiety,²¹⁻²³ behavioral inhibition,²⁴ and harm avoidance.²⁵ In addition, altered rs-FC between the amygdala and other cortical and subcortical regions was reported in 6-month-old infants at high risk for developing internalizing symptoms¹⁷ and is associated with infant fear at age 6 months,²⁶ atypical childhood emotional and cognitive processes,^{16,27} and adult psychiatric disorders.^{28,29}

Although some preliminary evidence exists linking amygdala rs-FC to internalizing symptoms even in infancy, there is almost no information about factors that might moderate the nature of such relationships. One potential modifying factor is prematurity. Core features of the "preterm behavioral phenotype" include social difficulties and internalizing symptoms,³⁰ and very preterm children (VPT; gestational age [GA] <32 weeks) have increased rates of behavioral inhibition and introversion.^{31,32} Premature

Supplemental material cited in this article is available online.

infants provide a unique opportunity to study variability in amygdala rs-FC relative to both typical development and emergence of affective symptoms given prior evidence of altered rs-FC in preterm infants in other regions.¹⁴ Prior studies have linked prematurity-associated neonatal brain abnormalities to subsequent social–emotional development.³³⁻³⁵ However, it is unclear whether the etiology of internalizing symptoms for preterm children differs from that leading to internalizing symptoms in full-term (FT) children.

We investigated patterns of amygdala rs-FC in healthy, FT infants and VPT infants at term-equivalent postmenstrual age (PMA) and determined whether variations in neonatal connectivity predicted internalizing symptoms at age 2 years. The goals of this study were threefold: to characterize regions demonstrating synchronous, spontaneous neuronal activity with the amygdala during infancy (*i.e.*, rs-FC); to assess whether variation in amygdala rs-FC relates to development of early-onset internalizing symptoms; and to evaluate whether prematurity alters amygdala rs-FC and/or modifies relationships between amygdala rs-FC and early-onset internalizing symptoms.

METHOD

Participants

VPT infants were recruited from St. Louis Children's Hospital Neonatal Intensive Care Unit. FT infants (GA \geq 36 weeks) were recruited from the adjoining mother–baby unit at Barnes-Jewish Hospital from a contemporaneous, companion prospective study evaluating the association between electronic fetal heart rate recordings and neonatal brain development.³⁶ FT infants had no recorded history of in utero illicit substance exposure nor evidence of acidosis (pH <7.20) on cord blood gas. Infants with chromosomal abnormalities or suspected/proven congenital infection were excluded. Parental written informed consent was obtained prior to participation. The study was approved by the Washington University Human Studies Committee.

Anatomic MR images were reviewed by a neuroradiologist (J.S.S.) and pediatric neurologist (C.D.S.). Exclusion criteria were grade III to IV intraventricular hemorrhage, cystic periventricular leukomalacia, moderate–severe cerebellar hemorrhage, and/or cortical/deep nuclear gray matter lesions.³⁷

Data Acquisition

FT infants underwent MRI within the first 4 days of life and were scanned at a mean PMA of 39.4 weeks (\pm 1.2 weeks). VPT infants underwent MRI at term-equivalent PMA (38.0 weeks, \pm 1.5 weeks). All infants were imaged without sedation during sleep or while resting quietly³⁸ when clinically stable to travel to the MRI scanner. Identical scanning procedures were used for all infants. All imaging was performed on a Siemens Trio 3T scanner (Erlangen, Germany) using an infant-specific, quadrature head coil (Advanced Imaging Research, Cleveland, OH). Structural images were collected using a T2-weighted sequence (TR 8600 milliseconds; TE 161 milliseconds; voxel size $1\times1\times1$ mm). rs-fMRI data were collected using a gradient echo, echo-planar image (EPI) sequence sensitized to T2* blood oxygen level–dependent (BOLD) contrast (TR 2910 milliseconds; TE 28 milliseconds; voxel size $2.4\times2.4\times2.4$ mm; flip angle 90°; field of view [FOV] 151 mm; and matrix size 64 × 64). Each fMRI run

included 200 volumes (frames). A minimum of one run (9.6 minutes) was obtained in each infant, with additional runs acquired in a subset of participants depending upon tolerance.

Data Analysis

The rs-fMRI data were preprocessed as previously described using in-house software (ftp://imaging.wustl.edu/pub/raichlab/4dfp_ tools/).³⁹ Magnetization inhomogeneity-related distortions were corrected using a mean field map technique.⁴⁰ Atlas transformation was computed using infant templates. Volumetric time series in adult Talairach atlas space ($3 \times 3 \times 3$ -mm voxels) were generated, combining motion correction and atlas transformation in a single resampling step. Additional preprocessing included regression of nuisance waveforms derived from rigid body motion correction, cerebrospinal fluid, and white matter regions, plus whole brain global signal. The data were low-pass filtered and spatially smoothed (see Supplementary Methods, available online).

Frames affected by sudden change in head position (volume-tovolume head displacement ≥ 0.5 mm) or root-mean-squared BOLD signal intensity change (DVARS $\geq 0.5\%$) were excluded from the rsfMRI computations ("scrubbing").⁴¹ Data passing more rigorous censoring criteria (volume-to-volume head displacement ≥ 0.25 mm or DVARS $\geq 0.3\%$) were also analyzed (see Figure S1, available online). A minimum of 5 minutes of rs-fMRI data, excluding censored frames, was required for inclusion in the analysis. FT infants (n = 65) provided an average of 156 frames (± 42 , range 100–357 frames, ~7.8 minutes, mean FD 0.17 mm), with 36% of acquired frames censored. VPT infants (n = 57) provided an average of 182 frames (± 53 , range 102–381 frames, ~9.1 minutes, mean FD 0.15), with 24% of acquired frames censored.

Amygdala Regions of Interest

Individualized bilateral amygdala regions of interest (ROIs) were created for each participant (Figure 1A). T2-weighted images were loaded into ANALYZE version 10.0 (Mayo Foundation, Rochester, MN). The amygdala was identified in the coronal plane using adjacent landmarks, including the temporal horn and hippocampus. The ROI was then manually drawn in $1 \times 1 \times 1$ mm voxel space; ROIs were cross-checked in sagittal and axial orientations. These ROIs were reviewed and manually adjusted as needed by a neuroradiologist (J.S.S.) and resampled to 3×3×3 mm voxel atlas space for extraction of the BOLD time series by averaging over all included voxels.42 There were no significant differences in ROI sizes between groups (left amygdala ROI: term 5.6 voxels and preterm 5.9 voxels, p = .60; right amygdala ROI: term 5.7 voxels and preterm 6.8 voxels, p = .14). Peak coordinates were extracted (Talairach x, y, z: left amygdala -23, -7, -18; right amygdala 24, -8, -14).

Functional Connectivity Analyses

Amygdala rs-FC was investigated using a whole-brain voxelwise approach. Using individual-specific bilateral amygdala ROIs for each participant, correlation maps were computed using Pearson correlations. Correlation coefficients were Fisher *z* transformed (generating z[r] correlation maps).

Internalizing Symptoms

In all, 41 of the 57 VPT participants were enrolled in our longitudinal study, which included age 2 neurodevelopmental follow-up. Of the 41 participants, 9 were lost to follow-up, 4 had incomplete data, and 1 died. A total of 25 FT children with high-quality imaging data were selected from the companion study for age 2 assessments to

FIGURE 1 Amygdala functional connectivity in full-term and preterm neonates. Note: (A) Bilateral amygdala regions of interest (ROIs) in representative subject, overlaid on subject-specific T2-weighted image. (B) Group mean amygdala resting state functional connectivity (rs-FC) correlation maps for full-term infants. (C) Group mean amygdala rs-FC correlation maps for very preterm infants. Both results obtained using left amygdala ROI. Group-level significance estimated using individual Fisher *z*-transformed correlation maps and computing voxelwise one-sample *t* test (comparing z[r] against zero across the group), corrected for multiple comparisons. Results overlaid on neonatal atlas target. (D) *z* Scores demonstrating group differences in left amygdala rs-FC obtained from voxelwise *t* test. Blue voxels denote areas with greater negative correlations, and orange voxels denote areas with greater positive correlations in term infants. Results thresholded using |z| > 2.25 and 53 contiguous voxels achieving whole-brain false-positive rate of 0.05. For full color, please refer to the online version of the article, available at www.jaacap.com.



closely match the VPT cohort in regard to sex and socioeconomic status (SES). Those with low-motion rs-fMRI data were included in this analysis (VPT, n = 27; FT, n = 17). Age 2 assessments included the Bayley Scales of Infant and Toddler Development, 3rd edition (Bayley-III)⁴³ to assess cognitive, language, and motor skills and the

Infant Toddler Social Emotional Assessment (ITSEA),⁴⁴ a 166-item parent-report measure assessing four social–emotional domains (internalizing, externalizing, dysregulation, and competence). The ITSEA has good psychometric properties^{44,45} and has been shown to predict anxiety symptoms in later childhood in FT and VPT

Journal of the American Academy of Child $\ensuremath{\mathcal{E}}$ Adolescent Psychiatry VOLUME 56 NUMBER 2 FEBRUARY 2017

children.^{46,47} This analysis focused on the internalizing domain, which contains subscales assessing behavioral inhibition, depression/withdrawal, general anxiety, and separation distress.

Maternal Factors

Maternal factors with reported associations with offspring internalizing symptoms, including social disadvantage48 and a maternal history of affective symptoms,49 were examined as potential confounds. Maternal social risk was defined by a composite index modeled after prior studies. 50,51 At 2-year follow-up, the following five characteristics were coded as 1 (present) or 0 (absent) and summed to yield an index: not a high school graduate; African American race/ethnicity; public insurance at birth; gave birth at age ≤ 18 years; and single-parent household. When data were missing, the mean of the remaining components was substituted for the missing one(s) in calculating the sum as done previously.⁵¹ Maternal anxiety and depressive symptoms were assessed concurrently at the age 2 evaluation via the Hospital Anxiety and Depression Scale (HADS) anxiety subscale⁵² and the Beck Depression Inventory (BDI)-II, respectively. HADS and BDI scores were dichotomized into none/mild or moderate/severe based on published cutoffs. Maternal report of history of depression and anxiety disorders was assessed via the Family History Assessment Module.⁵³ Mothers who reported a past history of depression or anxiety symptoms (n = 5) and/or scored in the moderate/severe range (n = 20) on the HADS or BDI were classified as having a history of affective symptoms.

Statistical Analyses

Differences in demographic and outcome factors were analyzed using t tests for continuous variables and χ^2 analysis for categorical variables. Relationships between maternal HADS and BDI scores and infant internalizing symptoms were tested via correlations. Analyses were conducted with SPSS version 23 software (IBM, Armonk, NY). Whole-brain voxelwise analyses were performed using in-house software (www.nil.wustl.edu/labs/fidl/). Voxelwise one-sample t tests evaluated amygdala rs-FC across the entire brain. We focused on the left amygdala, because the left and right amygdala rs-FC is similar,18 and the left amygdala-mPFC rs-FC has been more consistently related to affective symptoms in young children.^{17,23} Left amygdala rs-FC results were compared between FT and VPT participants using voxelwise two-tailed twosample t tests (assuming unequal variance). All whole-brain analyses were corrected for multiple comparisons to achieve a wholebrain false-positive rate of 0.05 (see Supplementary Methods, available online).

To examine the relationship between neonatal amygdala rs-FC and outcome at age 2 years, we first computed whole-brain correlation maps between rs-FC with the left amygdala and the ITSEA internalizing domain. Subsequent whole-brain regression models evaluated the potential impact of moderating and confounding factors on the link between amygdala rs-FC and internalizing symptoms by adding prematurity, child sex, social risk, and maternal history of affective symptoms, along with their interaction with internalizing symptoms to separate models. Whole-brain regression models between left amygdala rs-FC and each ITSEA internalizing domain subscale were computed. Initially, correlations were computed separately for each subscale to minimize overmodeling. To test specificity, a post hoc analysis examined the relationships between left amygdala rs-FC and all four internalizing subscales in a single linear model to confirm unique relationships to particular subscales.

RESULTS

Participant Characteristics

Demographic characteristics of the FT and VPT infants are presented in Table 1. As expected, FT and VPT infants differed in GA and birth weight but not other demographics or maternal variables. Likewise, there were no differences between children with and without outcome data at 2 years in regard to sex, GA, birth weight, or PMA at MRI scan. However, a higher proportion of participants of white ethnicity had outcome data at 2 years compared with African Americans ($\chi^2 = 11.1$, p = .01). Because individuals of white and those of African American ethnicity did not differ in outcomes of interest (amygdala rs-FC and ITSEA scores), subsequent analyses were not adjusted for race/ ethnicity. No differences were detected in internalizing symptoms based upon prematurity. There were also no significant associations between child ITSEA internalizing scores and maternal HADS scores (r = 0.16, p = .3) or BDI scores (r = 0.05, p = .77).

Amygdala Functional Connectivity in FT and VPT Infants The left amygdala demonstrated widespread positive correlations with subcortical and temporal regions and negative correlations with prefrontal, parietal, and occipital regions (Figure 1B and Table S1, available online). Similar patterns in amygdala rs-FC were obtained using even more stringent motion correction procedures (see Figure S1, available online), using an identical amount of data for each participant (see Figure S2, available online) or when using a right amygdala seed (see Figure S3, available online). VPT infants displayed largely similar topography of amygdala rs-FC to FT infants, although FT infants displayed significantly greater positive correlations between the amygdala and subcortical regions (see Table S2, available online) and more negative correlations in dorsal frontal and medial prefrontal regions (Figure 1D).

Neonatal Amygdala rs-FC and Age 2 Child Internalizing Symptoms

Whole-brain voxelwise maps in which neonatal left amygdala rs-FC predicted internalizing symptoms at age 2 years, as assessed by the ITSEA internalizing domain, are depicted for all children in Figure 2A and for VPT children separately in Figure S4, available online. Neonatal rs-FC between the left amygdala and several regions was positively associated with age 2 internalizing domain scores (Table S3, available online), including the medial prefrontal cortex, right anterior insula, superior frontal cortex, caudate, and cerebellum. Neonatal rs-FC between the left amygdala and posterior cingulate cortex and pre- and postcentral gyri was negatively associated with internalizing domain scores at age 2 years. These relationships were largely maintained when prematurity and the interaction between prematurity and internalizing symptoms were added to the model (Figure 2B). The regions in which amygdala rs-FC differed between FT and VPT infants and the regions in which amygdala rs-FC was predictive of age 2 internalizing domain scores were largely nonoverlapping (Figure S5,

TABLE 1 Group Characteristics

	Term	Preterm
Neonatal Characteristics	n = õõ	n = 5/
Birth weight, g, mean (SD)	3,302.8 (430)	890.9 (232.2)***
GA, wk, mean (SD)	39.2 (1.2)	26.4 (1.7)***
Male, n (%)	27 (42)	24 (42)
Ethnicity, n (%)		
Atrican American	43 (66)	30 (53)
White	19 (29)	24 (42)
Asian	0 (0)	3 (5)
	3 (5)	0 (0)
Intraventricular hemorrhage, n (%)		0 (1 ()
Grade I	-	9 (10)
Grade II	-	/ (12)
Postnatal sterolas, n (%)	-	13 (20)
Sepsis, n (%)	-	TO (20)
Duration of ventilation, n, median (IQK)	-	72 (24-304)
Ann 2 Characteristics	Term	Preterm
Age 2 Characteristics		$\mathbf{n} = \mathbf{z}\mathbf{r}$
Birth weight, g, mean (SD)	3,421.4 (406.7)	920.1 (255.8)***
GA, wk, mean (SD)	39.6 (0.9)	26./ (1.8)***
Male, n (%)	8 (47)	13 (48)
Ethnicity, n (%)	11 ((5)	11 (41)
African American	11 (03)	11 (41)
	0 (35)	12 (44)
	0 (0)	3 (11)
Hispanic	0 (0)	1 (4)
Crade I		2 /11)
Grade I	-	3 (11)
Postpatal storoids in 1%)	-	4 (13) 6 (22)
Sensis $n \left[\frac{1}{2} \right]$		6 (22)
Duration of ventilation b (median IQR)		48 (24-432)
Maternal social risk no. of factors present mean (SD)	18(14)	13(14)
% with ≥ 3 social risk factors	<u>4</u> 1	30
Medicaid) n (%)	11 (65)	14 (52)
ITSEA		(=)
Internalizing T score, mean (SD)	53.4 (9.4)	48.9 (11.5)
Inhibition to novelty, mean (SD)	1.0 (.46)	1.0 (.65)
Depression withdrawal, mean (SD)	0.13 (.18)	0.07 (.10)
General anxiety, mean (SD)	0.42 (.31)	0.26 (.22)
Separation distress, mean (SD)	0.96 (.38)	0.78 (.42)
Externalizing T score, mean (SD)	58.6 (16.5)	52.5 (13.0)
Dysregulation T score, mean (SD)	50.2 (16.7)	46.4 (12.8)
Competence T score, mean (SD)	48.7 (16.1)	44.3 (12.8)
Bayley-III		
Cognitive composite, mean (SD)	93.5 (14.3)	89.3 (9.5)
Language composite, mean (SD)	103.7 (18.7)	91.4 (12.2)*
Motor composite, mean (SD)	101.8 (15.4)	87.8 (8.9)**
Maternal affective symptoms		
HADS anxiety subscale, mean (SD)	7.8 (4.5)	9.0 (5.1)
Beck Depression Inventory, mean (SD)	8.9 (8.2)	5.8 (8.3)

Note: Bayley-III = Bayley Scales of Infant and Toddler Development, 3rd edition; GA = gestational age; HADS = Hospital Anxiety and Depression Scale; IQR = interquartile range; ITSEA = Infant Toddler Social Emotional Assessment.

p < .05; **p < .01; ***p < .001.

FIGURE 2 Relationship between internalizing scores and functional connectivity of left amygdala. Note: Whole-brain analyses correlating internalizing domain with left amygdala resting state functional connectivity (rs-FC). (A) Unadjusted model demonstrating higher total internalizing domain scores were positively correlated with rs-FC between the left amygdala and the medial prefrontal cortex, right anterior insula, and superior frontal cortex. (B) Model adjusted for prematurity and internalizing scores. Note that covariate inclusion had limited impact on results. Results thresholded using |z| > 2.25 and 53 contiguous voxels, achieving whole-brain false-positive rate of 0.05. For full color, please refer to the online version of the article, available at www.jaacap.com.



available online). Furthermore, there were few regions that differentially predicted internalizing symptoms in the VPT versus FT groups (i.e., significant interactions), and these regions were almost entirely nonoverlapping with the regions related to internalizing symptoms in the entire group (Figure S6 and Table S4, available online). Post hoc analyses on ROIs detected in these analyses revealed that amygdala rs-FC with these ROIs was similar for both FT and VPT infants (Figure S7, available online). Similarly, relationships remained significant after adjusting for the potential confounding effects of sex, maternal social risk, and history of maternal affective disorders in separate models (Figure S8, available online). Similar relationships were not detected between the right amygdala rs-FC and internalizing symptoms when correcting for multiple comparisons (Figure S9, available online).

Follow-up analyses examined associations between neonatal left amygdala rs-FC and each of the subscales within the ITSEA internalizing domain at age 2 years. These subscales were only weakly or modestly correlated with each other (Table 2). Consistent with known pathophysiology in the older age groups, neonatal left amygdala rs-FC with the following regions was positively related to specific age 2 ITSEA subscale scores (Figure 3): mPFC and higher inhibition to novelty; right anterior insula and higher depression/withdrawal and general anxiety; and dorsal anterior cingulate and higher general anxiety. Neonatal left amygdala rs-FC with the bilateral posterior cingulate cortices was negatively related to depression/withdrawal and separation distress, and with the anterior temporal lobe was negatively related to depression/withdrawal and general anxiety. A follow-up analysis including all four ITSEA internalizing subscale domains in a single model recapitulated the results in Figure 3, consistent with subscale specificity (Figure S10, available online).

DISCUSSION

Summary of Findings

In healthy FT infants, amygdala rs-FC patterns were similar to those of older children and adults—positive correlations with subcortical and limbic regions and negative correlations with many cortical regions. VPT infants demonstrated

TABLE 2	Correlations	Between	Total	Internalizing	and
Subscale	Scores			-	

ITSEA Internalizing Domain and Subscales	1	2	3	4
 Inhibition to novelty Depression/withdrawal General anxiety Separation distress 	.10 .03 .16	.42** .41**	.14	
Note: ITSEA = The Infant-Toddler Social and Emotional Assessment. **p < .01.				

FIGURE 3 Relationship between internalizing subscale scores and left amygdala functional connectivity. Note: Whole-brain analyses correlating left amygdala resting state functional connectivity with internalizing subscale scores. (A) Inhibition to novelty scores. (B) Depression/withdrawal scores. (C) General anxiety scores. (D) Separation distress scores. For full color, please refer to the online version of the article, available at www.jaacap.com. Warm colors denote positive correlations, and cool colors denote negative correlations. All results thresholded using |z| > 2.25 and 53 contiguous voxels, achieving whole-brain false-positive rate of 0.05.



similar results but with decreased magnitude. Neonatal amygdala rs-FC with regions implicated in the pathophysiology of internalizing disorders such as the mPFC, posterior cinculate cortex (PCC), and anterior insula predicted internalizing symptoms at age 2 years in all infants. In addition, there was regional specificity of amygdala rs-FC with specific internalizing subscales in a manner consistent with pathophysiology in older age groups. Overall, results demonstrate that amygdala rs-FC is well established in neonates, diminished in VPT infants, and predictive of internalizing symptoms in the first years of life.

Comparison to Older Populations

Amygdala functional connections in FT neonates were similar to those reported in older infants, children, and adults. Specifically, the amygdala was positively correlated with the insula, thalamus, striatum, and cerebellum,¹⁷⁻²⁰ regions that assign emotional salience to stimuli,^{1,54,55} and

Journal of the American Academy of Child $\ensuremath{\mathcal{C}}$ Adolescent Psychiatry VOLUME 56 NUMBER 2 FEBRUARY 2017

was negatively correlated with areas across the frontal and parietal cortices, regions involved in effortful control of affective states.^{18,20}

Role of Neonatal Amygdala Functional Connectivity in Internalizing Symptoms

Most intriguingly, the current study found that variability in neonatal rs-FC between the left amygdala and the mPFC, PCC, and right anterior insula predicted greater internalizing symptoms at age 2 years. Functional connectivity between the amygdala and these regions has been implicated in the pathophysiology of anxiety disorders and depression in older children and adults.^{16,17,21,22} This study critically extends these prior findings to neonates. The regional specificity of neonatal left amygdala rs-FC with specific symptom domains at 2 years is strikingly similar to associations detected in older populations, suggesting that rs-FC patterns during infancy are relevant to later development of psychopathology. For example, left amygdala–right anterior insula rs-FC predicted depression/withdrawal and general anxiety symptoms at age 2 years, consistent with rs-FC/symptom relationships detected in older infants, children, and adults.^{16,17,22,23,56,57} Similarly, age 2 depression/withdrawal symptoms related to neonatal left amygdala-PCC rs-FC, consistent with prior work in older samples.⁵⁸ The relationship between amygdala–dorsal anterior cingulate connectivity and later general anxiety symptoms parallels similar findings in older adolescents with generalized anxiety disorder.²² Finally, left amygdala-mPFC rs-FC was associated with behavioral inhibition. The mPFC is frequently implicated in anxiety disorders,^{22,59} and behavioral inhibition is one of the most potent known risk factors for development of anxiety disorders.^{60,61}

Prematurity and Amygdala Functional Connectivity

FT and VPT infants had similar patterns of amygdala rs-FC, although correlations were decreased in magnitude in VPT participants, consistent with prior investigations.14,62 Decreased correlation strength between the amygdala and thalamus (Figure S3, available online) may play a role in the decreased rs-FC between the amygdala and cortex through the amygdala's relationship with the mediodorsal thalamic nucleus, which has widespread cortical projections.² Nevertheless, the cortical regions where the VPT infants had decreased magnitude in left amygdala rs-FC were generally not the regions where the left amygdala rs-FC predicted internalizing symptoms. Thus, our results suggest that variability in regional amygdala rs-FC underlies the variability in early childhood internalizing symptoms for both FT and VPT children. Further study is needed to determine whether neonatal differences in amygdala rs-FC relate to internalizing symptoms at older ages or to other domains of social-emotional development for which VPT children are at increased risk.

The sample size of 122 infants for the amygdala rs-FC analysis is somewhat modest compared to investigations in older populations, but is larger than most neonatal investigations.^{14,15,62,63} Our sample size was reduced in part due to implementation of strict criteria regarding participant motion during MRI data acquisition. Nevertheless, this method of motion correction is considered best practice in the field to ensure reliable and robust results and to reduce spurious findings. In addition, the small size of the neonatal amygdala limits the ability to assess distinct amygdala subdivisions that may demonstrate differing patterns,^{1,2} although there is evidence that these amygdala subregions have similar cortical connectivity²⁰ and greater regional overlap in rs-FC at younger ages.¹⁹ We also cannot fully evaluate the impact of sleep on amygdala rs-FC in the studied participants.⁶⁴ In addition, the interpretation of negative connectivity in resting state fMRI analyses remains an active area of investigation. Furthermore, the ITSEA relies solely on parental report for identification of internalizing symptoms, rather than direct behavioral observation. Finally, although evidence suggests that children with early internalizing symptoms remain symptomatic as they age,^{47,65} extending the findings of amygdala rs-FC to evaluations of anxiety symptoms during later childhood is required. Future work in this cohort will allow these investigations as they are being evaluated longitudinally for psychopathology.

Contrary to a prior report,⁶⁶ VPT and FT children did not differ on internalizing symptoms at age 2 years, and thus prematurity did not mediate relationships between amygdala connectivity and internalizing symptoms. Direct comparison reveals that our FT children had higher internalizing scores than the FT cohort from this prior work, whereas scores for VPT infants were equivalent across studies. Notably, FT and VPT infants in the prior study differed significantly in social risk factors, whereas groups in the current study did not. Both our FT and VPT cohorts had a large proportion with children with three or more maternal social risk factors (41% and 30%, respectively) and had a large proportion of children on Medicaid (65% and 48%, respectively). We suggest that our groups did not differ in outcome because of the high risk of our comparison (FT) group.

In conclusion, this study demonstrates that patterns of neonatal amygdala rs-FC are similar to those in older children and adults. VPT infants have decreased magnitude of amygdala rs-FC, which could relate to differential risk profiles in term versus preterm children. Finally, rs-FC of the amygdala during the neonatal period predicts internalizing symptoms at age 2 years, with regional specificity for specific symptom domains consistent with pathophysiology detected at older ages. These results suggest that the seeds of future anxious and depressive symptoms for some individuals may be detectable at birth using amygdala connectivity patterns. \mathcal{E}

Accepted November 21, 2016.

Drs. Rogers, Sylvester, Mintz, Barch, Smyser, and Ms. Kenley are with Washington University in St. Louis. Drs. Shimony, Barch, and Smyser are with the Mallinckrodt Institute of Radiology, Washington University in St. Louis. Dr. Barch is also with the Program in Neuroscience at Washington University in St. Louis.

This work was supported by the National Institutes of Health (R01 HD057098, R01 HD061619, UL1 TR000448, KL2 TR000250, K23 MH105179, and K02 NS089852), McDonnell Center for Systems Neuroscience, Intellectual and Developmental Disabilities Research Center at Washington University (P30 HD062171), Child Neurology Foundation, Cerebral Palsy International Research Foundation, Dana Foundation, and Doris Duke Foundation.

The authors thank the following individuals who assisted with this project: Karen Lukas, RN, Anthony Barton, Jessica Conners, Rachel Paul, BA, Jim Alexopoulous, MA, Joe Ackermann, Jr., BA, and Tara Smyser, MSE, all from Washington University School of Medicine. The authors also thank the families who participated.

Disclosure: Dr. Barch has served as a consultant for Amgen, Pfizer, Takeda, and Roche and has a contract to analyze imaging data for Pfizer. Drs. Rogers, Sylvester, Mintz, Shimony, Smyser, and Ms. Kenley report no biomedical financial interests or potential conflicts of interest.

Correspondence to Cynthia E. Rogers, MD, 660 S. Euclid Box 8504, St. Louis, MO 63110; e-mail: rogersc@psychiatry.wustl.edu

 $^{0890\}text{-}8567/\$36.00/@2016$ American Academy of Child and Adolescent Psychiatry

http://dx.doi.org/10.1016/j.jaac.2016.11.005

REFERENCES

- 1. LeDoux J. The emotional brain, fear, and the amygdala. Cell Mol Neurobiol. 2003;23:727-738.
- Price JL. Comparative aspects of amygdala connectivity. Ann N Y Acad Sci. 2003;985:50-58.
- LeDoux JE. Emotion circuits in the brain. Annu Rev Neurosci. 2000;23: 155-184.
- Gee DG, Gabard-Durnam LJ, Flannery J, et al. Early developmental emergence of human amygdala-prefrontal connectivity after maternal deprivation. Proc Natl Acad Sci U S A. 2013;110:15638-15643.
- Fusar-Poli P, Placentino A, Carletti F, et al. Functional atlas of emotional faces processing: a voxel-based meta-analysis of 105 functional magnetic resonance imaging studies. J Psychiatry Neurosci. 2009; 34:418-432.
- Thomas KM, Drevets WC, Dahl RE, et al. Amygdala response to fearful faces in anxious and depressed children. Arch Gen Psychiatry. 2001;58: 1057-1063.
- Etkin A, Wager TD. Functional neuroimaging of anxiety: a meta-analysis of emotional processing in PTSD, social anxiety disorder, and specific phobia. Am J Psychiatry. 2007;164:1476-1488.
- Phelps EA, Delgado MR, Nearing KI, LeDoux JE. Extinction learning in humans: role of the amygdala and vmPFC. Neuron. 2004;43: 897-905.
- Ochsner KN, Ray RD, Cooper JC, et al. For better or for worse: neural systems supporting the cognitive down- and up-regulation of negative emotion. Neuroimage. 2004;23:483-499.
- 10. Bouwmeester H, Wolterink G, van Ree JM. Neonatal development of projections from the basolateral amygdala to prefrontal, striatal, and thalamic structures in the rat. J Comp Neurol. 2002;442: 239-249.
- Bouwmeester H, Smits K, Van Ree JM. Neonatal development of projections to the basolateral amygdala from prefrontal and thalamic structures in rat. J Comp Neurol. 2002;450:241-255.
- Verwer RW, Van Vulpen EH, Van Uum JF. Postnatal development of amygdaloid projections to the prefrontal cortex in the rat studied with retrograde and anterograde tracers. J Comp Neurol. 1996;376:75-96.
- Ulfig N, Setzer M, Bohl J. Ontogeny of the human amygdala. Ann N Y Acad Sci. 2006;985:22-33.
- Smyser CD, Snyder AZ, Shimony JS, Mitra A, Inder TE, Neil JJ. Restingstate network complexity and magnitude are reduced in prematurely born infants. Cereb Cortex. 2016;26:322-333.
- Fransson P, Skiöld B, Horsch S, et al. Resting-state networks in the infant brain. Proc Natl Acad Sci U S A. 2007;104:15531-15536.
- Hamm LL, Jacobs RH, Johnson MW, et al. Aberrant amygdala functional connectivity at rest in pediatric anxiety disorders. Biol Mood Anxiety Disord. 2014;4:15.
- Qiu A, Anh TT, Li Y, et al. Prenatal maternal depression alters amygdala functional connectivity in 6-month-old infants. Transl Psychiatry. 2015; 5:e508.
- Roy AK, Shehzad Z, Margulies DS, et al. Functional connectivity of the human amygdala using resting state fMRI. Neuroimage. 2009;45: 614-626.
- Qin S, Young CB, Supekar K, Uddin LQ, Menon V. Immature integration and segregation of emotion-related brain circuitry in young children. Proc Natl Acad Sci U S A. 2012;109:7941-7946.
- Gabard-Durnam LJ, Flannery J, Goff B, et al. The development of human amygdala functional connectivity at rest from 4 to 23years: a crosssectional study. Neuroimage. 2014;95:193-207.
- Kim MJ, Gee DG, Loucks RA, Davis FC, Whalen PJ. Anxiety dissociates dorsal and ventral medial prefrontal cortex functional connectivity with the amygdala at rest. Cereb Cortex. 2011;21:1667-1673.
- Roy AK, Fudge JL, Kelly C, *et al.* Intrinsic functional connectivity of amygdala-based networks in adolescent generalized anxiety disorder. J Am Acad Child Adolesc Psychiatry. 2013;52:290-299.
- Qin S, Young CB, Duan X, Chen T, Supekar K, Menon V. Amygdala subregional structure and intrinsic functional connectivity predicts individual differences in anxiety during early childhood. Biol Psychiatry. 2014;75:892-900.
- 24. Roy AK, Benson BE, Degnan KA, *et al.* Alterations in amygdala functional connectivity reflect early temperament. Biol Psychol. 2014;103:248-254.
- Baeken C, Marinazzo D, Van Schuerbeek P, et al. Left and right amygdala-mediofrontal cortical functional connectivity is differentially modulated by harm avoidance. PLoS One. 2014;9:e95740.
- Graham AM, Buss C, Rasmussen JM, et al. Implications of newborn amygdala connectivity for fear and cognitive development at 6-monthsof-age. Dev Cogn Neurosci. 2016;18:12-25.

JOURNAL OF THE AMERICAN ACADEMY OF CHILD & ADOLESCENT PSYCHIATRY VOLUME 56 NUMBER 2 FEBRUARY 2017

- Bebko G, Bertocci M, Chase H, et al. Decreased amygdala-insula resting state connectivity in behaviorally and emotionally dysregulated youth. Psychiatry Res. 2015;231:77-86.
- Stegmayer K, Usher J, Trost S, et al. Disturbed cortico-amygdalar functional connectivity as pathophysiological correlate of working memory deficits in bipolar affective disorder. Eur Arch Psychiatry Clin Neurosci. 2015;265:303-311.
- Hahn A, Stein P, Windischberger C, et al. Reduced resting-state functional connectivity between amygdala and orbitofrontal cortex in social anxiety disorder. Neuroimage. 2011;56:881-889.
- Johnson S, Marlow N. Preterm birth and childhood psychiatric disorders. Pediatr Res. 2011;69:11R-18R.
- Schmidt LA, Miskovic V, Boyle MH, Saigal S. Shyness and timidity in young adults who were born at extremely low birth weight. Pediatrics. 2008;122:e181-e187.
- Allin M. Personality in young adults who are born preterm. Pediatrics. 2006;117:309-316.
- Rogers CE, Anderson PJ, Thompson DK, et al. Regional cerebral development at term relates to school-age social-emotional development in very preterm children. J Am Acad Child Adolesc Psychiatry. 2012;51: 181-191.
- Rogers CE, Smyser T, Smyser CD, Shimony J, Inder TE, Neil JJ. Regional white matter development in very preterm infants: perinatal predictors and early developmental outcomes. Pediatr Res. 2016;79:87-95.
- Ure AM, Treyvaud K, Thompson DK, et al. Neonatal brain abnormalities associated with autism spectrum disorder in children born very preterm. Autism Res. 2016;9:543-552.
- Tuuli MG, Stout MJ, Macones GA, Cahill AG. Umbilical cord venous lactate for predicting arterial lactic acidemia and neonatal morbidity at term. Obstet Gynecol. 2016;127:674-680.
- Kidokoro H, Neil JJ, Inder TE. New MR imaging assessment tool to define brain abnormalities in very preterm infants at term. AJNR Am J Neuroradiol. 2013;34:2208-2214.
- Mathur AM, Neil JJ, McKinstry RC, Inder TE. Transport, monitoring, and successful brain MR imaging in unsedated neonates. Pediatr Radiol. 2008;38:260-264.
- Smyser CD, Inder TE, Shimony JS, et al. Longitudinal analysis of neural network development in preterm infants. Cereb Cortex. 2010;20:2852-2862.
- Gholipour A, Kehtarnavaz N, Gopinath K, Briggs R, Panahi I. Average field map image template for echo-planar image analysis. Conf Proc IEEE Eng Med Biol Soc. 2008;2008:94-97.
- Power JD, Barnes KA, Snyder AZ, Schlaggar BL, Petersen SE. Spurious but systematic correlations in functional connectivity MRI networks arise from subject motion. Neuroimage. 2012;59:2142-2154.
- Smyser CD, Dosenbach NUF, Smyser TA, et al. Prediction of brain maturity in infants using machine-learning algorithms. NeuroImage. 2016;136:1-9.
- Bayley N. Bayley Scales of Infant and Toddler Development. Third ed. San Antonio, TX: Pearson Education, Inc.; 2006.
- Carter AS, Briggs-Gowan MJ. Infant Toddler Social and Emotional Assessment (ITSEA). San Antonio, TX: Psychological Corporation, Harcourt Assessment; 2006.
- Carter AS, Briggs-Gowan MJ, Jones SM, Little TD. The Infant-Toddler Social and Emotional Assessment (ITSEA): factor structure, reliability, and validity. J Abnorm Child Psychol. 2003;31:495-514.
- Treyvaud K, Doyle LW, Lee KJ, *et al.* Social-emotional difficulties in very preterm and term 2 year olds predict specific social-emotional problems at the age of 5 years. J Pediatr Psychol. 2012;37:779-785.
- Mian ND, Wainwright L, Briggs-Gowan MJ, Carter AS. An ecological risk model for early childhood anxiety: the importance of early child symptoms and temperament. J Abnorm Child Psychol. 2011;39:501-512.
- Slopen N, Fitzmaurice G, Williams DR, Gilman SE. Poverty, food insecurity, and the behavior for childhood internalizing and externalizing disorders. J Am Acad Child Adolesc Psychiatry. 2010;49:444-452.
- Côté SM, Boivin M, Liu X, Nagin DS, Zoccolillo M, Tremblay RE. Depression and anxiety symptoms: onset, developmental course and risk factors during early childhood. J Child Psychol Psychiatry. 2009;50: 1201-1208.
- Hack M, Taylor HG, Klein N, Eiben R, Schatschneider C, Mercuri-Minich N. School-age outcomes in children with birth weights under 750 g. N Engl J Med. 1994;331:753-759.
- Whitaker AH, Feldman JF, Van Rossem R, et al. Neonatal cranial ultrasound abnormalities in low birth weight infants: relation to cognitive outcomes at six years of age. Pediatrics. 1996;98:719-729.
- Snaith R, Zigmond A. The Hospital Anxiety and Depression Scale Manual. London: InferNelson Publishing; 1994.

- Rice JP, Reich T, Bucholz KK, *et al.* Comparison of direct interview and family history diagnoses of alcohol dependence. Alcohol Clin Exp Res. 1995;19:1018-1023.
- Stein JL, Wiedholz LM, Bassett DS, et al. A validated network of effective amygdala connectivity. Neuroimage. 2007;36:736-745.
- Phillips ML, Drevets WC, Rauch SL, Lane R. Neurobiology of emotion perception I: the neural basis of normal emotion perception. Biol Psychiatry. 2003;54:504-514.
- Sripada R, King A, Garfinkel S, *et al.* Altered resting-state amygdala functional connectivity in men with posttraumatic stress disorder. J Psychiatry Neurosci. 2012;37:241-249.
- Baur V, Hänggi J, Langer N, Jäncke L. Resting-state functional and structural connectivity within an insula–amygdala route specifically index state and trait anxiety. Biol Psychiatry. 2013;73:85-92.
- Chase HW, Moses-Kolko EL, Zevallos C, Wisner KL, Phillips ML. Disrupted posterior cingulate-amygdala connectivity in postpartum depressed women as measured with resting BOLD fMRI. Soc Cogn Affect Neurosci. 2014;9:1069-1075.
- Sylvester CM, Corbetta M, Raichle ME, et al. Functional network dysfunction in anxiety and anxiety disorders. Trends Neurosci. 2012;35: 527-535.

- Clauss JA, Blackford JU. Behavioral inhibition and risk for developing social anxiety disorder: a meta-analytic study. J Am Acad Child Adolesc Psychiatry. 2012;51:1066-1075.
- Chronis-Tuscano A, Degnan KA, Pine DS, *et al.* Stable early maternal report of behavioral inhibition predicts lifetime social anxiety disorder in adolescence. J Am Acad Child Adolesc Psychiatry. 2009;48:928-935.
- 62. Doria V, Beckmann CF, Arichi T, *et al*. Emergence of resting state networks in the preterm human brain. Proc Natl Acad Sci U S A. 2010;107: 20015-20020.
- Fransson P, Aden U, Blennow M, Lagercrantz H. The functional architecture of the infant brain as revealed by resting-state fMRI. Cereb Cortex N Y N 1991. 2011;21:145-154.
- 64. Graham AM, Pfeifer JH, Fisher PA, Lin W, Gao W, Fair DA. The potential of infant fMRI research and the study of early life stress as a promising exemplar. Dev Cogn Neurosci. 2015;12:12-39.
- Treyvaud K, Doyle LW, Lee KJ, et al. Social-emotional difficulties in very preterm and term born two-year olds predict specific social-emotional problems at five years. J Pediatr Psychol. 2012;37:779-785.
- Spittle AJ, Treyvaud K, Doyle LW, *et al*. Early emergence of behavior and social-emotional problems in very preterm infants. J Am Acad Child Adolesc Psychiatry. 2009;48:909-918.