

# A DIVINE CONSISTENCY PROOF FOR MATHEMATICS

by

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Abstract. We present familiar principles involving objects and classes (of objects), pairing (on objects), choice (selecting elements from classes), positive classes (elements of an ultrafilter), and definable classes (definable using the preceding notions). We also postulate the existence of a divine object in the formalized sense of lying in every definable positive class. ZFC (even extended with certain hypotheses just shy of the existence of a measurable cardinal) is interpretable in the resulting system. This establishes the consistency of mathematics relative to the consistency of these systems. Measurable cardinals are used to interpret and prove the consistency of the system. Positive classes and various kinds of divine objects have played significant roles in theology.

1.  $T_1$ : Objects, classes, pairing.
2.  $T_2$ : Extensionality, choice operator.
3.  $T_3$ : Positive classes.
4.  $T_4$ : Definable classes.
5.  $T_5$ : Divine objects.
6. Interpreting ZFC in  $T_5$ .
7. Interpreting a strong extension of ZFC in  $T_5$ .
8. Without Extensionality.

## INTRODUCTION

This work came about from our interactions at and reflection on two conferences hosted by the John Templeton

Foundation. The first of these meetings was held in honor of the 100th birthday of Kurt Gödel, in Vienna, in 2006. The second was held in honor of the 100th birthday of Sir John Templeton, in Heidelberg, in 2012.

Interactions at the second of these meetings have previously led to [Fr12b], where a basic mathematical property of a mathematical object in a mathematical structure, immediately suggested by familiar ideas about God, is shown to hold within some artificially created mathematical structure. Specifically, there is a relational structure of finite relational type with a unique undefinable element, in the usual sense of first order predicate calculus with equality.

At the Vienna meeting, there was discussion of Gödel's formalization of earlier ontological arguments for the existence of God. This relied heavily on modal logic, but what we found particularly striking was the use of "positive properties", which has a substantial history and goes back at least to Leibniz.

From a theological standpoint, it is perhaps natural to view the attribute of positive as a facility that God has created and given the world access to, perhaps in order to help direct us into "positive behaviors".

It is clear that Gödel was using "positive properties" as, mathematically speaking, an ultrafilter on properties. In fact, at least implicitly, he was using "positive properties" as an ultrafilter on extensions of properties. I.e., whether a property is positive depends only on what objects it holds of. For discussion of ultrafilters and positivity, see section 3 below.

It occurred to us that perhaps this highly intriguing ultrafilter, viewed as an ultrafilter on classes of objects, can be used to prove the consistency of mathematics.

At the time, we just didn't see how to get such ambitious mathematical mileage out of this "positivity ultrafilter", at least in any simple basic conceptual way. This goal seemed particularly remote since the positivity ultrafilter, as discussed by Gödel and implicitly by others, is what is called a "trivial ultrafilter", i.e., an ultrafilter consisting merely of the classes containing some given special point.

In particular, following Gödel, and going back at least to Leibniz (see [Go95], and also [An90], [Le23], [Le56], [L369], [Le96], [Lo06], [Op95], [Op96], [Op06], [Op12], [So87], [So04]), a class of objects is positive if and only if it contains God. And trivial ultrafilters are, as the name suggests, mathematically trivial. So it would appear that one cannot expect to do anything substantial, mathematically, with the positivity ultrafilter.

Interactions with scholars from theology at the second meeting rekindled our interest in trying again to do something powerful with this mathematically trivial positivity ultrafilter.

It occurred to us that if we take God out of the class of all objects, treating God as exceptional, but keeping the positivity ultrafilter, pruned to be over the class of objects excluding God, then the positivity ultrafilter is no longer trivial. In fact, it is a nontrivial ultrafilter over the class of all objects without God.

It is well known that in the context of set theory, certain kinds of ultrafilters are enough to prove the consistency of mathematics, as formalized by the usual ZFC axioms. In particular, a nontrivial countably complete ultrafilter serves this purpose.

However, to straightforwardly state that the positivity ultrafilter is a nontrivial countably complete ultrafilter, together with the mathematical infrastructure needed to state and make use of this, is far too technically brutal to be of fundamental philosophical or theological meaning.

So we encountered the following challenge. To find fundamental properties of the positivity ultrafilter which, in the context of a conceptually very minimal mathematical infrastructure, has sufficient power to construct a model of ZFC, and perhaps more.

This paper offers our response to this challenge. Here is a succinct six part description of our framework.

1. Objects and classes of objects, linked by membership. This is arguably viewed as within our capacity to clearly imagine, as it is an accepted useful working component of modern mathematics. It should be noted that in modern mathematics, objects are usually restricted to objects with

a definite mathematical purpose, rather than a completely general notion of object. See section 1.

2. Pairing of objects - a combining of any two objects into one. This is generally viewed as within our capacity to clearly imagine. E.g., the pair of two objects can be taken to be the idea of having the first followed by the second. In various guises, this is also an accepted useful working component of modern mathematics. See section 1.

3. A choice operator CHO that picks an element out of each nonempty class of objects. Formally,  $x \in A \rightarrow \text{CHO}(A) \in A$ , where CHO(A) is read "chosen element from A". This appears to be beyond our capacity to clearly imagine. I.e., we don't have an understanding of how to go about making the choices. However, this continues to be an accepted useful working component of modern mathematics. See section 2.

4. The positivity attribute on classes of objects (the positivity ultrafilter). This seems definitely beyond our capacity to clearly imagine. I.e., we don't have an understanding of definite criteria for positivity. The notion of ultrafilter is also an accepted useful working component of modern mathematics. But a specific preferred ultrafilter, particularly on the entire universe of objects, has not appeared as a generally accepted component of modern mathematics. See section 3.

5. The definability attribute on classes of objects, reflecting the standard notion of "class of objects definable from the preceding concepts 1-4 without parameters". We have a clear understanding of this notion, relative to the primitives to which it is being applied (here, in 1-4 above). This is an essential feature of modern mathematical logic, and also has useful interactions with several branches of mathematics - especially real and complex algebraic geometry. It is exemplified by Tarski's formal treatment of truth in formalized languages. See section 4.

Thus the positivity attribute is the one feature above that has such a deep philosophical and theological meaning. The additional axiom that creates the vast logical power, is the Divine Object axiom.

Recall that there does not exist an object which lies in all positive classes, as we treat God as exceptional and

outside the class of objects. This is reflected by the positivity ultrafilter being nontrivial.

The crucial innovation is this: an object is **divine** if and only if it lies in all **definable** positive classes.

6. The Divine Object axiom. There is a divine object. See section 5.

We can give the following natural theological interpretation of this development. God created a certain structure, with several components, which he gave the world intellectual access to. This intellectual access is reflected by the system  $T_5$ . Using  $T_5$ , man creates a mathematical universe, or model of the mathematical universe, through set theory, as presented in sections 6 and 7 in this paper.

The axioms of  $T_5$  are so simple that a promising research project is suggested: to analyze all such simple systematizations, and determine what their mathematical ramifications are. As we prove in section 7,  $T_5$  corresponds, logically, to something close to  $ZFM = ZFC +$  "there exists a measurable cardinal". See section 5.

In modern set theory, there are developments that have led far beyond the scope of normal modern mathematics. One of these is the detailed study of ultrafilters on arbitrary sets (or the closely related abstract measure theory). This has led to a study of certain set theoretic hypotheses postulating the existence of ultrafilters with special properties. One of these set theoretic hypotheses is "there exists a measurable cardinal". The usual ZFC axioms augmented with this hypothesis is what we use to interpret our system with the Divine Object axiom. See section 5.

We then show that we can interpret the usual ZFC axioms for mathematics in our system (section 6). In fact, we interpret ZFC augmented with a set theoretic hypothesis close to, but a little weaker, than "there exists a measurable cardinal" (section 7).

In sections 1-5, we gently build up to  $T_5$  with a series of theories  $T_1, T_2, T_3, T_4$ . The impatient reader can safely begin with  $T_5$  in section 5.

After writing this paper, we have seen how to incorporate God as an object, as part of a wider supernatural world. In

this second approach, we have both the "Real World" and the wider "Supernatural World". Thus we have a more complex framework in that we have two sorts of objects. But a number of axioms are simplified. In particular, in this second approach, we do not need the positivity ultrafilter.

So a tradeoff is emerging, where we use one sort of object and the positivity ultrafilter (this is what we do here), or two sorts of objects without the positivity ultrafilter (this is what we will do in [Fr13]).

We view [Fr11], [Fr12a], [Fr12b], [Fr13], as part of a wider program which we call Concept Calculus. In Concept Calculus, we aim to identify groups of informal concepts throughout the informal and semiformal intellectual landscape, and formulate fundamental transparent principles which are of sufficient power to interpret the usual formalizations for mathematics and beyond. These provide formal interpretations of mathematics, consistency proofs of mathematics, and relative consistency proofs of mathematics, as in Theorems 6.27 and 7.34.

## **1. $T_1$ : Objects, classes, pairing.**

In sections 1-5, we present, in cumulative stages, the axioms of our theory  $T_5$  that we use to interpret ZFC in section 6. The reader can dispense with this background material and go directly to section 5.  $T_1 - T_4$  admit familiar standard models constructed well within ZFC, but  $T_5$  does not.

In this section, we focus on a particularly simple system  $T_1$  that provides the basic apparatus needed to support the substantial and flexible use of objects and classes of objects required for the interpretation of ZFC.

The two sorted  $T_1$  is very similar to the two sorted system  $Z_2$  of second order arithmetic. The main difference is that in  $Z_2$ , the objects are nonnegative integers, with arithmetic operations taken as primitives. In  $T_1$ , the objects are not constrained in any way, and are meant to include all objects whatsoever, as reflected in type 0 of the usual Russell theory of simple types (in modern formulation). The classes of objects are reflected in type 1 of the usual Russell theory of simple types (in modern formulation).

For presentations of  $Z_2$ , see [Si99], p. 4, and [http://en.wikipedia.org/wiki/Second-order\\_arithmetic](http://en.wikipedia.org/wiki/Second-order_arithmetic). We

follow the treatment in [Si99] using the two sorted language for nonnegative integers and sets of nonnegative integers, with  $0, 1, +, \cdot, <, =$  on nonnegative integers,  $\in$  between nonnegative integers and sets of nonnegative integers, set induction, and full comprehension.

The crucial issue is to determine just what should take the place, in  $T_1$ , of the arithmetic operations on the objects that are used in  $Z_2$ .

Our solution is to use a pairing function  $P$ . The Pairing axiom below is all that is required. We establish a detailed connection between  $T_1$  and  $Z_2$ .

We first introduce the language  $L_1$  of  $T_1$ , which has two sorts: objects and classes of objects (classes).  $L_1$  has object variables  $v_i$ ,  $i \geq 1$ , and class variables  $A_i$ ,  $i \geq 1$ .  $L_1$  uses a binary relation symbol  $\in$  between objects and classes, a binary function symbol  $P$  on objects, and  $=$  between objects. ( $P$  is not used in the usual Russell theory of simple types, or in  $Z_2$ ).

Here  $v_i \in A_j$  means that the object  $v_i$  is a member of the class  $A_j$ .  $P(v_i, v_j)$  is the object which is the ordered pair of  $v_i$  and  $v_j$ .

The object terms of  $L_1$  are inductively defined by

- i. For all  $i \geq 1$ ,  $v_i$  is an object terms of  $L_1$ .
- ii. For all object terms  $s, t$ ,  $P(s, t)$  is an object term of  $L_1$ .

The atomic formulas of  $L_1$  are given as follows.

- i. For object terms  $s, t$  of  $L_1$ ,  $s = t$  is an atomic formula of  $L_1$ .
- ii. For all  $i \geq 1$  and object terms  $t$  of  $L_1$ ,  $t \in A_i$  is an atomic formula of  $L_1$ .

where  $i \geq 1$  and  $s, t$  are terms of  $L_1$ .

The formulas of  $L_1$  are inductively defined as follows.

- i. Every atomic formula of  $L_1$  is a formula of  $L_1$ .
- ii. For all formulas  $\phi, \psi$  of  $L_1$ ,  $\neg\phi$ ,  $\phi \wedge \psi$ ,  $\phi \vee \psi$ ,  $\phi \rightarrow \psi$  are formulas of  $L_1$ .

iii. For all  $i \geq 1$  and formulas  $\varphi$  of  $L_1$ ,  $(\forall v_i)(\varphi)$ ,  $(\exists v_i)(\varphi)$ ,  $(\forall A_i)(\varphi)$ ,  $(\exists A_i)(\varphi)$  are formulas of  $L_1$ .

We use  $\leftrightarrow$  as an abbreviation. I.e.,  $\varphi \leftrightarrow \psi$  abbreviates  $(\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$ .

There are standard axioms and rules of inference for  $L_1$ , with a corresponding completeness theorem. The system  $T_1$ , in language  $L_1$ , has the following nonlogical axioms.

### NONLOGICAL AXIOMS FOR $T_1$

#### PAIRING

$(\exists v_1, v_2)(v_1 \neq v_2)$ .  
 $P(v_1, v_2) = P(v_3, v_4) \rightarrow v_1 = v_3 \wedge v_2 = v_4$ .

#### $L_1$ COMPREHENSION

$(\exists A_1)(\forall v_1)(v_1 \in A_1 \leftrightarrow \varphi)$ , where  $\varphi$  is a formula of  $L_1$  in which  $A_1$  is not free.

We make some remarks concerning the axioms of  $T_1$ .

1. As we shall see,  $T_1$  is mutually interpretable with  $Z_2$ . In fact, we establish that fragments of  $T_1$  are mutually interpretable with fragments of  $Z_2$ .

$T_1$  does not use Extensionality. Thus we can also think of the variables  $A_i$  as ranging over properties of objects, where  $v_i \in A_j$  means that  $A_j$  holds at  $v_i$ . The matter of Extensionality is taken up in sections 2 and 8. Specifically, we will be incorporating Extensionality in  $T_2$ . In sections 8, we discuss the avoidance of Extensionality.

2. Pairing. Given any two objects  $x, y$ , we can form the ordered pair  $P(x, y)$ . We can raise the issue of just what  $P(x, y)$  really is. There is the conceptual idea of  $x$  followed by  $y$ . So we can define  $P(x, y)$  as the conceptual idea of  $x$  followed by  $y$ . Furthermore,  $P$  is definable, as we have just defined it. Also, the Pairing axiom, line 2, is immediate.

There are of course many ways to justify the existence of at least two distinct objects. One way is to use the approach in the previous paragraph, where we take any object  $x$ , and consider the conceptual idea of  $x$  followed by



$x$ , and also the conceptual idea of  $x$  followed by  $x$  followed by  $x$ . Obviously we can argue that  $x$  cannot be the same as  $x$  followed by  $x$ , but perhaps more convincing is that  $x$  followed by  $x$ , and  $x$  followed by  $x$  followed by  $x$  are of different lengths, and therefore are distinct. (The existence of at least one object  $x$  is normally considered to be a logical truth).

3.  $L_1$  Comprehension. This is the standard class existence principle. The objects and classes of objects, with  $L_1$  Comprehension, constitutes the first two stages of a common version of the Russell theory of simple types.  $L_1$  Comprehension alone has the trivial model with exactly 1 object and exactly 2 classes. The usual way of achieving strength is to add an axiom of infinity, involving the existence of an ordering on objects with a certain property. Or alternatively, to use the inclusion relation among classes as the ordering. Under these approaches, we need to use classes of classes of objects, or even classes of classes of classes of objects, or alternatively, binary relations on classes.

In contrast, here we use only objects and classes of objects, with the Pairing axiom doing not only the work of the axiom of Infinity, but also enough work to avoid having to use higher types or binary relations. Also, Pairing has the explicit interpretation as discussed above.

In sections 6 and 7 we will need only  $L_5$  Comprehension where  $\varphi$  has only a few class quantifiers. In this paper, we will not go into just how many quantifiers are needed, but preliminary indications are that two suffice. There is also the matter of how many quantifiers over objects are needed, which is also beyond the scope of this paper.

We will consider fragments of  $L_1$  Comprehension, and compare them with corresponding fragments of  $Z_2$ . These fragments of Comprehension are finitely axiomatizable.

The following result goes back to Tarski, but we include a proof here. It is of independent interest, and allows us to avoid a number of details when establishing finite and non finite axiomatizability. However, in section 3 we cannot easily take this route, and use another method to establish Theorem 3.6.

LEMMA 1.1. Let  $S_1, S_2$  be two theories with commuting interpretations  $\pi_1, \pi_2$ . I.e., for all sentences  $\varphi$  of  $S_1$ ,  $\varphi \leftrightarrow$

$\pi_2(\pi_1(\varphi))$  is provable in  $S_1$ . Then  $S_1$  is finitely axiomatizable if  $S_2$  is finitely axiomatizable. If  $K$  is a finite axiomatization of  $S_1$  then  $\pi_1(K)$  is a finite axiomatization of  $S_2$  ( $S_1$ ).

Proof: Let  $S_1, S_2, \pi_1, \pi_2$  be as given. Let  $\psi$  be a sentence of  $S_2$ . We want  $\psi \leftrightarrow \pi_1(\pi_2(\psi))$  provable in  $S_2$ . It suffices to show that  $\pi_2(\psi \leftrightarrow \pi_1(\pi_2(\psi))) = \pi_2(\psi) \leftrightarrow \pi_2(\pi_1(\pi_2(\psi)))$  is provable in  $S_1$ . This follows from the hypothesis applied to  $\pi_2(\psi)$ .

Let  $K$  finitely axiomatized  $S_1$ . Let  $S_2$  prove  $\psi$ . Then  $S_1$  proves  $\pi_2(\psi)$ . Hence  $K$  proves  $\pi_2(\psi)$ . Therefore  $K \rightarrow \pi_2(\psi)$  is provable from nothing. Hence  $\pi_1(K) \rightarrow \pi_1(\pi_2(\psi))$  is provable from nothing. Therefore  $\pi_1(K)$  proves  $\psi$ . QED

LEMMA 1.2.  $T_1$  is interpretable in  $Z_2$ .

Proof: We take the objects for  $T_1$  to be Gödel numbers of terms in  $0$  and the binary function symbol  $P$ . We take the classes for  $T_1$  to be the sets in  $Z_2$ . QED

THEOREM 1.3.  $T_1$  and  $Z_2$  have commuting interpretations.

Proof: Let  $\pi_1$  be the interpretation of  $T_1$  in  $Z_2$  given by the proof of Lemma 1.2. We now give the interpretation  $\pi_2$  of  $Z_2$  in  $T_1$ .

We have to develop arithmetic in  $T_1$ . By the first line of Pairing, fix  $a \neq b$ . Set  $0 = P(a, b)$ . By the second part of Pairing,  $0$  is not of the form  $P(v, v)$ .

We say that  $A$  is good if and only if  $0 \in A \wedge (\forall v \in A) (P(v, v) \in A)$ . Let  $\omega$  be any class consisting of the objects lying in every good  $A$ . Let  $1$  be  $P(0, 0)$ . Obviously  $v, w \in \omega \rightarrow P(v, v) \neq 0 \wedge (P(v, v) = P(w, w) \rightarrow v = w)$ . Also if  $A \subseteq \omega$ ,  $0 \in A$ , and  $(\forall v \in A) (P(v, v) \in A)$ , then  $\omega, A$  have the same elements (because  $A$  is good). So  $P(v, v)$ ,  $v \in \omega$ , serves as an inductive successor function on  $\omega$ .

Using  $P$ , we have full access to ternary relations on  $\omega$ , and hence also binary functions on  $\omega$ , including comprehension involving quantification over them. So we can develop  $+, \cdot, <$  and their standard properties in the usual way.

For  $Z_2$ , the sets will be the subsets of  $\omega$  in  $T_1$ . Set induction in  $Z_2$  gets interpreted using the inductive successor function  $P(v,v)$  on  $\omega$  in  $T_1$ . Set comprehension in  $Z_2$  gets interpreted using  $L_1$  Comprehension in  $T_1$ .

To check that  $\pi_1, \pi_2$  are commuting interpretations, we can view them as model constructions, where it suffices to show that if we compose the two model constructions, first from models  $M$  of  $Z_2$  to models  $M'$  of  $T_1$  via  $\pi_1$ , and second from models  $M'$  of  $T_1$  to models  $M''$  of  $Z_2$  via  $\pi_2$ , then we obtain a model  $M''$  of  $Z_2$  that is isomorphic with  $M$ .

So begin with a model  $M$  of  $Z_2$ . We build a model  $M'$  of  $T_1$  within  $M$ , according to  $\pi_1$ .  $N$  hasn't really changed, but has merely been reassembled in the form of closed terms. Now build a model  $M''$  of  $Z_2$  within  $M'$ , according to  $\pi_2$ . The resulting  $(\omega, 0, S)$  can be determined from the point of view of  $M$ , and seen to be a second order successor system, according to  $M$ . Therefore, the resulting model  $M''$  is isomorphic to  $M$  via an isomorphism that can be clearly defined in  $M$ . QED

DEFINITION 1.1. Let  $n \geq 0$ .  $T_1[n]$  is the subsystem of  $T_2$ , where in  $L_1$  comprehension, the formula  $\phi$  is required to start with at most  $n$  class quantifiers, followed by a formula without class quantifiers.  $Z_2[n]$  is the subsystem of  $Z_2$ , where in set comprehension,  $\phi$  is required to start with at most  $n$  set quantifiers, followed by an arithmetic formula (i.e., a formula without set quantifiers). As in [Si99], p. 16,  $ACA_0$  is the fragment of  $Z_2$  where comprehension is for arithmetic formulas (i.e., without set quantifiers), and  $\Pi^1_n\text{-}CA_0$  is the fragment of  $Z_2$  where comprehension is for  $\Pi^1_n$  formulas. These start with a series of  $n$  alternating set quantifiers, starting with  $\forall$ , followed by an arithmetic formula.

LEMMA 1.4. For  $n \geq 0$ ,  $Z_2[n]$  is equivalent to  $\Pi^1_n\text{-}CA_0$ .

Proof: Obviously  $\Pi^1_n\text{-}CA_0$  is a fragment of  $Z_2[n]$ . We show that  $Z_2[n]$  is a logical consequence of  $\Pi^1_n\text{-}CA_0$ .

Let  $\phi$  be a formula for comprehension in  $Z_2[n]$ . In the series of at most  $n$  set quantifiers in front, we can collapse blocks of like set quantifiers to one, using manipulations

already supported in  $ACA_0$ . We therefore obtain  $\leq n$  alternating set quantifiers. We can obviously pad this with more alternating set quantifiers, if need be, to obtain exactly  $n$  alternating set quantifiers. If they begin with  $\forall$ , then we are done. If they begin with  $\exists$ , then we can take the negation, and obtain the complement of the set we need. But the existence of complements is obviously supported by  $ACA_0$ . QED

LEMMA 1.5. For  $n \geq 0$ ,  $T_1[n]$  is interpretable in  $Z_2[n]$ .

Proof: Let  $n \geq 0$ . We use the construction in Lemma 1.1. Each class quantifier in  $T_1$  is interpreted by a like set quantifier in  $Z_2$ . QED

THEOREM 1.6. For  $n \geq 1$ ,  $T_1[n]$ ,  $Z_2[n]$ ,  $\Pi^1_n\text{-}CA_0$  have commuting interpretations.

Proof: Let  $n \geq 1$ . We interpret  $Z_2[n]$  in  $T_1[n]$ . We use the same interpretation of  $Z_2$  in  $T_1$  presented in the proof of Theorem 1.3. We can prove the existence of an  $\omega$  in  $T_1[1]$ , and use  $\omega$  as a set parameter.

We need to show that  $T_1[n]$  proves the interpretation of  $\{m: (\forall x_1)(\exists x_2)\dots(Qx_n)(\varphi(m))\}$  exists. But this gets interpreted as  $\{m \in \omega: (\forall A_1 \subseteq \omega)(\exists A_2 \subseteq \omega)\dots(QA_n \subseteq \omega)(\varphi'(m))\}$  exists, where in  $\varphi'$ , all quantifiers are relativized to  $\omega$ . This is provable in  $T_1[n]$  by obvious quantifier manipulations.

Also note that set induction gets interpreted as  $(\forall A \subseteq \omega)(0 \in A \wedge (\forall n \in \omega)(n \in A \rightarrow n+1 \in A) \rightarrow \omega \subseteq A)$ , which is provable in  $T_1[1]$ .

We can now give the model operation isomorphism argument that we gave in the proof of Theorem 1.3. QED

THEOREM 1.7.  $T_1$ ,  $Z_2$  are not finitely axiomatizable. For all  $n \geq 1$ ,  $T_1[n]$ ,  $Z_2[n]$  are finitely axiomatizable.  $Z_2[0] = ACA_0$  is finitely axiomatizable.

Proof:  $Z_2$  is not finitely axiomatizable, since  $Z_2$  proves the consistency of each of its finite fragments (see [Si99], section VII.7). For  $n \geq 1$ ,  $Z_2[n]$  is finitely axiomatizable, by standard normal form theorems. Also  $Z_2[0] = ACA_0$  is finitely axiomatizable (see [Si99], Lemma VIII.1.5).

Now observe that in the proof of Theorems 1.2 and 1.5, we have actually given commuting interpretations. Now apply Lemma 1.8. QED

THEOREM 1.8.  $T_1[0]$  is interpretable in  $RCA_0$ .

Proof: Let  $P$  be one of the standard pairing functions  $(N, P)$  treated in [Te72], [Te74]. The first order theory of these  $(N, P)$  is shown to be just beyond elementary recursive (see [FR79], p. 163). We interpret the objects of  $T_1[0]$  to be elements of  $N$ , and the classes of  $T_1[0]$  to be the subsets of  $N$  first order definable over  $(N, P)$ .  $L_1$  comprehension is immediate in  $RCA_0$ . QED

QUESTION 1.1. What is the interpretation power of  $T_1[0]$ ? Is  $T_1[0]$  finitely axiomatizable?

By [FR79], Chapter 8, the first order theory of any pairing function  $(N, P)$  is at least just beyond elementary recursive, and by [Te72], [Te74], for various standard pairing functions  $P$ , the theory of  $(N, P)$  is at most just beyond elementary recursive. These considerations should be at least close to enough to answer Question 1.1.

DEFINITION 1.2. The standard models of  $T_1$  are of the form  $(D, \wp(D), \epsilon, P)$ , where  $|D| \geq 2$ , and  $P: D^2 \rightarrow D$  is one-one.

## 2. $T_2$ : Extensionality, choice operator.

In this section, we extend  $L_1$  and  $T_1$  to  $L_2$  and  $T_2$ .  $T_2$  will also be mutually interpretable with  $Z_2$ .

The language  $L_2$  extends  $L_1$  with the unary function symbol CHO from classes to objects, and = between classes. Here CHO refers to a choice operator.

The object terms, atomic formulas and formulas of  $L_1$  are extended in the obvious way to  $L_2$ , incorporating the new function symbol CHO, and = on classes.

The system  $T_2$ , in language  $L_2$ , has the following nonlogical axioms.

### NONLOGICAL AXIOMS FOR $T_2$

PAIRING

$(\exists v_1, v_2) (v_1 \neq v_2) .$   
 $P(v_1, v_2) = P(v_3, v_4) \rightarrow v_1 = v_3 \wedge v_2 = v_4 .$

## L<sub>2</sub> COMPREHENSION

$(\exists A_1) (\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi) ,$  where  $\varphi$  is a formula of L<sub>2</sub> in which A<sub>1</sub> is not free.

## EXTENSIONAITY

$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow A_1 = A_2 .$

## CHOICE OPERATOR

$v_1 \in A_1 \rightarrow \text{CHO}(A_1) \in A_1 .$

We make some remarks concerning the axioms of T<sub>2</sub>.

1. L<sub>2</sub> Comprehension. We again consider fragments of L<sub>2</sub> Comprehension here, and compare them with the corresponding fragments of Z<sub>2</sub>. These fragments of Comprehension are shown to be finitely axiomatizable.

2. Extensionality. Classes (of objects) are normally considered identical if they have the same elements, whereas properties (of objects) are not considered identical just because they hold of the same objects.

We could use properties (of objects) rather than classes (of objects), avoid the extensionality axiom, and define extensional equality as: holding of the same objects. We take this approach in section 8.

Extensional equality arises because the power of the Choice Operator for section 6 rests on CHO(A) depending only on the objects in the class A, or only on the objects for which the property A holds.

4. Choice Operator. A Choice Operator operating locally - i.e., at a single class - can be given immediately. If A has an element then CHO(A) is any element of A; otherwise CHO(A) is any object. We can view the (global) Choice Operator as an obvious extrapolation of the trivial local version achieved by an "infinite mind".

In fact, we can invoke the omnipotence of God - that God can do anything "logically possible". The logical

possibility here should be manifested by the triviality of what a Choice Operator does locally.

In this connection, it is interesting to determine precisely what kind of simply described global tasks of this kind are "logically possible" as this can be viewed as achieving more understanding of the nature of God's omnipotence.

LEMMA 2.1.  $T_2$  is interpretable in  $Z_2$ .

Proof: We take the objects for  $T_2$  to be the Gödel numbers of terms in 0 and the binary function symbol P. We take the classes for  $T_1$  to be the sets in  $Z_2$ . We interpret = between classes for  $T_1$  as extensional equality for sets in  $Z_2$ . We interpret CHO in  $T_2$  by  $\text{CHO}(A) = \min(A)$  if  $A \neq \emptyset$ ; 0 otherwise. QED

THEOREM 2.2.  $T_2, Z_2$  have commuting interpretations.

Proof: We use the interpretation from the proof of Lemma 2.1 as  $\pi_1$ . We reuse  $\pi_2$ . We follow the argument given for Theorem 1.3. QED

DEFINITION 2.1. For  $n \geq 0$ ,  $T_2[n]$  is the subsystem of  $T_2$ , where in  $L_2$  comprehension, the formula  $\varphi$  is required to start with at most  $n$  class quantifiers, followed by a formula without class quantifiers.

LEMMA 2.3. For  $n \geq 0$ ,  $T_2[n]$  is interpretable in  $Z_2[n]$ .

Proof: Let  $n \geq 0$ . We use the construction in Lemma 1.1. Each class quantifier in  $T_1$  is interpreted by a like set quantifier in  $Z_2$ . QED

THEOREM 2.4. For  $n \geq 1$ ,  $T_2[n]$ ,  $Z_2[n]$ ,  $\Pi^1_n\text{-CA}_0$  have commuting interpretations.  $T_2[0]$  is interpretable in  $\text{RCA}_0$ .

Proof: See Theorem 1.6. The interpretations given for Lemma 1.5 easily extend to accommodate Extensionality and Choice Operator. QED

THEOREM 2.5.  $T_2$  is not finitely axiomatizable. For all  $n \geq 1$ ,  $T_2[n]$  is finitely axiomatizable.

Proof: By Lemma 1.1 and Theorem 2.4. QED

QUESTION 2.1. What is the interpretation power of  $T_2[0]$ ? Is  $T_2[0]$  finitely axiomatizable?

DEFINITION 2.2. The standard models of  $T_2$  are of the form  $(D, \wp(D), \epsilon, P, CHO)$ , where  $|D| \geq 2$ ,  $P: D^2 \rightarrow D$  is one-one, and  $CHO: \wp(D) \rightarrow D$ , where  $A \neq \emptyset \rightarrow CHO(A) \in A$ .

### 3. $T_3$ : Positive classes.

The notion of "positive property (of objects)" is explicitly used in [Go95], p. 403-404. Gödel had an initial version in 1941. The informal theological idea of "positive property" goes back at least to Leibniz, and plays a significant role in Theology. See [Go95], p. 388-402, and also [An90], [Le23], [Le56], [L369], [Le96], [Lo06], [Op95], [Op96], [Op06], [Op12], [So87], [So04].

In our framework, we can view the attribute of Positive as a facility that God has created and given the world access to, perhaps in order to direct us into "positive behaviors". In our context, we are not incorporating any direct access to God, but we do have access to various of God's creations that he has chosen to give us access to.

The attribute of Positive can be viewed as such a fundamental facility.

Gödel uses positive properties of objects, whereas we use positive classes of objects. Gödel rightly does not assume extensionality for properties of objects. This raises the possibility that our use of positive classes is a greater commitment than Gödel's use of positive properties. However, this is not the case, as we now show. In addition, this is reflected in the development in section 8.

Gödel assumes that

- i. The conjunction of any two positive properties is a positive property.
- ii. Every property or its negation is positive, with exclusive or.
- iii. If God exists, then God possesses all positive properties.

and. But Gödel does not assume extensionality for properties. Thus the conjunction of any two properties is a property (not necessarily the only property) that holds of



exactly the objects that the two properties hold of. The negation of any property is a property (not necessarily the property) that holds of exactly the objects that the given property does not hold of.

It is clear that "every positive property holds of some object" is at least implicit in [Go95], p. 403-404. It follows from i-iii and "God exists", which Gödel is claiming to prove (prove is necessarily true).

It now follows that if properties  $P, Q$  hold of the same objects, then  $P$  is positive if and only if  $Q$  is positive. For suppose  $P, Q$  hold of the same objects, and  $P$  is positive. Suppose  $Q$  is not positive. Then the negation of  $Q$  is positive, and its conjunction with  $P$  is therefore positive. But this conjunction does not hold of any object. Contradiction.

This argument surfaces in the treatments that avoid extensionality in section 8.

We now return to our context of classes of objects with extensionality, and the theory  $T_3$ . Since positivity is so very closely related to the purely mathematical notion of ultrafilter, we make a brief mathematical digression.

DEFINITION 3.1. An ultrafilter over a set  $X$  is a  $K \subseteq \wp(X)$  such that

- i.  $A, B \in K \rightarrow A \cap B \in K$ .
- ii.  $A \in K \leftrightarrow X \setminus A \in K$ .
- iii.  $\emptyset \notin K$ .

THEOREM 3.1. Let  $K$  be an ultrafilter over  $X$ . Then  $X \in K$ . If  $A \subseteq B$  and  $B \in K$ , then  $A \in K$ . There is an ultrafilter over  $X$  if and only if  $X$  is nonempty.

Proof: Well known and straightforward. QED

DEFINITION 3.2. A trivial ultrafilter over  $X$  is an ultrafilter of the form  $\{A \subseteq X: x \in A\}$ , for some  $x \in X$ . It is easy to see that there can be at most one such  $x$ . A nontrivial ultrafilter is an ultrafilter which is not trivial.

THEOREM 3.2. Let  $K$  be an ultrafilter over  $X$ . The following are equivalent.

- i.  $K$  is nontrivial.
  - ii. Every element of  $K$  has at least two elements.
  - iii. Every element of  $K$  is infinite.
- There is a nontrivial ultrafilter over  $X$  if and only if  $X$  is infinite.

Proof: The equivalences are well known and straightforward. The last claim is a well known application of Zorn's Lemma, and cannot be proved in ZF, even in the case  $X = \mathbb{N}$ . QED

We can simplify the definitions of ultrafilter and nontrivial ultrafilter in the following uniform way.

THEOREM 3.3.  $K$  is an ultrafilter over  $X$  if and only if

i.  $X = A \cup B \rightarrow A \in K \vee B \in K$ .

ii.  $A, B \in K \rightarrow |A \cap B| \geq 1$ .

$K$  is a nontrivial ultrafilter over  $X$  if and only if

iii.  $X = A \cup B \rightarrow A \in K \vee B \in K$ .

iv.  $A, B \in K \rightarrow |A \cap B| \geq 2$ .

Proof: Let  $K \subseteq \wp(X)$ . If  $K$  is an ultrafilter then i, ii follow immediately. If  $K$  is a nontrivial ultrafilter then iii, iv follow immediately by Theorem 3.2.

Suppose i, ii hold. Suppose  $A, B \in K$ . Now  $X = (A \cap B) \cup (X \setminus A \cup X \setminus B)$ . Hence  $A \cap B \in K \vee X \setminus A \cup X \setminus B \in K$ . Hence  $A \cap B \in K \vee X \setminus A \in K \vee X \setminus B \in K$ . By ii,  $A \cap B \in K$ .

Suppose  $A \in K$ . Then  $X \setminus A \notin K$  by ii. Suppose  $A \notin K$ . Now  $X = A \cup X \setminus A$ . Hence  $A \in K \vee X \setminus A \in K$ . Therefore  $X \setminus A \in K$ .

Clearly  $\emptyset \notin K$ , by ii.

Now suppose iii, iv. By the preceding argument,  $K$  is an ultrafilter over  $X$ . By Theorem 3.2, we have only to show that  $A \in K \rightarrow |A| \geq 2$ . This follows from iv by setting  $B = A$ . QED

In this section, we extend  $L_2$  and  $T_2$  to  $L_3$  and  $T_3$ .  $T_3$  will also be mutually interpretable with  $Z_2$ .

$L_3$  extends  $L_2$  by the unary relation symbol POS on classes. POS( $A$ ) is read "the class  $A$  is positive".

The object terms, atomic formulas and formulas of  $L_2$  are extended in the obvious way to  $L_3$ , incorporating the new atomic formulas  $POS(A_i)$ ,  $i \geq 1$ .

The system  $T_3$ , in language  $L_3$ , has the following nonlogical axioms.

### NONLOGICAL AXIOMS FOR $T_3$

#### PAIRING

$$P(v_1, v_2) = P(v_3, v_4) \rightarrow v_1 = v_3 \wedge v_2 = v_4.$$

#### $L_3$ COMPREHENSION

$(\exists A_1) (\forall v_1) (v_1 \in A_1 \leftrightarrow \phi)$ , where  $\phi$  is a formula of  $L_3$  in which  $A_1$  is not free.

#### EXTENSIONAITY

$$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow A_1 = A_2.$$

#### CHOICE OPERATOR

$$v_1 \in A_1 \rightarrow CHO(A_1) \in A_1.$$

#### POSITIVE CLASSES

$$(\forall v_1) (v_1 \in A_1 \vee v_1 \in A_2) \rightarrow POS(A_1) \vee POS(A_2).$$

$$POS(A_1) \wedge POS(A_2) \rightarrow (\exists v_1 \neq v_2) (v_1, v_2 \in A_1 \wedge v_1, v_2 \in A_2).$$

This completes the presentation of the nonlogical axioms of  $T_3$ .

Here is an alternative axiom group for Positive Classes.

#### POSITIVE CLASSES ALTERNATIVE

$$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \notin A_2) \rightarrow (POS(A_1) \leftrightarrow \neg POS(A_2)).$$

$$(\forall v_1) (v_1 \in A_1 \leftrightarrow (v_1 \in A_2 \wedge v_1 \in A_3)) \rightarrow (POS(A_2) \wedge POS(A_3) \rightarrow POS(A_1)).$$

$$POS(A_1) \rightarrow (\exists v_1 \neq v_2) (v_1, v_2 \in A_1).$$

Note that we have dropped the first line of Pairing. It can be easily recovered from Positive Classes.

THEOREM 3.4.  $T_3$  proves the existence of at least two objects.  $T_3$  proves  $T_2$ .  $T_3$  is logically equivalent to  $T_3$  with Positive Classes replaced by Positive Classes Alternative. Let  $(D, K, P, \epsilon, CHO, POS)$  satisfy  $T_3$ , where  $K \subseteq \wp(D)$ . Then  $POS$  is a nontrivial ultrafilter over  $D$ .

Proof: For the first claim, from Positive Classes we have that the class of all objects,  $V$ , is positive or the empty class is positive. The latter is impossible, since it has no elements. Hence there are at least two objects.

The second claim is immediate from the first claim.

For the third claim, we first derive Positive Classes Alternative. For the first line, it suffices to show that  $\neg(A \text{ is positive} \wedge V \setminus A \text{ is positive})$ . This is clear from Positive Classes. For the second line of Positive Classes Alternative, we assume  $A, B$  are positive, and show  $A \cap B$  is positive. Suppose  $A \cap B$  is not positive. By line 1 of Positive Classes Alternative,  $V \setminus A \cup V \setminus B$  is positive. By Positive Classes,  $V \setminus A$  is positive or  $V \setminus B$  is positive. These violate line 1 of Positive Classes Alternative. The third line of Positive Classes Alternative follows from the second line of Positive Classes by setting  $A_2 = A_1$ .

We now derive Positive Classes from Positive Classes Alternative. Let  $V = A \cup B$ . Suppose  $A, B$  are not positive. By Positive Classes Alternative,  $V \setminus A$  and  $V \setminus B$  are positive,  $V \setminus A \cap V \setminus B$  is positive,  $V \setminus (V \setminus A \cap V \setminus B)$  is not positive,  $A \cup B$  is not positive,  $V$  is not positive,  $\emptyset$  is positive, violating line 3 of Positive Classes Alternative. Finally, Let  $A, B$  be positive. By Positive Classes Alternative,  $A \cap B$  is positive, and so  $A \cap B$  has at least two distinct elements.

Let  $(D, K, P, \epsilon, CHO, POS)$  satisfy  $T_3$ , where  $K \subseteq \wp(D)$ .  $POS$  is an ultrafilter over  $D$  since we have Positive Classes Alternative (this needs only  $POS(A_1) \rightarrow (\exists v_1)(v_1 \in A_1)$ ). Line 3 of Positive Classes Alternative and Theorem 3.2 guarantees that  $POS$  is a nontrivial ultrafilter. We can also use Theorem 3.3 and Positive Classes. QED

DEFINITION 3.3. For  $n \geq 0$ ,  $T_3[n]$  is the subsystem of  $T_3$ , where in  $L_3$  Comprehension, the formula  $\phi$  is required to have at most  $n$  class quantifiers.

THEOREM 3.5.  $T_3, Z_2$  are mutually interpretable. For all  $n \geq 1$ ,  $T_3[n], Z_2[n]$  are mutually interpretable.  $T_3[0]$  is interpretable in  $ACA_0$ .

Proof: Recall the interpretation of  $T_1$  in  $Z_2$  given for Theorem 1.5. We now have to interpret POS using a defined nontrivial ultrafilter over  $N$ . This cannot be done in  $Z_2$ . However, we can use the well known construction of the constructible hierarchy in  $Z_2$ . For a treatment within  $ATR_0$  and its applications to  $Z_2$  and strong fragments of  $Z_2$  with and without choice principles, see sections VII.4 - VII.6 in [Si99].

Thus we interpret the objects in  $T_3$  as nonnegative integers, and the classes in  $T_3$  as constructible subsets of  $N$ . For CHO, we can of course continue to use the least element construction. It is well known that we obtain the full comprehension of  $Z_2$ .

Now it is easily proved in  $ACA_0$  that for any nontrivial ultrafilter  $U$  on a countable Boolean algebra  $B$  of subsets of  $N$ , and  $A \subseteq N$ ,  $A \notin B$ , there is a unique nontrivial ultrafilter on the Boolean algebra generated by  $B \cup \{A\}$ , with  $B \in U$ , extending  $U$ .

This allows us to make an obvious transfinite construction along the constructible hierarchy of subsets of  $N$  that results in an explicitly definable ultrafilter. We use this ultrafilter to interpret POS. This establishes the first claim.

For  $n \geq 2$ , this argument is robust enough to interpret  $T_3[n]$  in  $Z_2[n]$ , as  $\Pi^1_2\text{-}CA_0$  is rather substantial and well supports the constructible hierarchy for subsets of  $N$ .

To interpret  $T_3[1]$  in  $Z_2[1]$ , it is better to think in terms of the first  $\omega$  hyperjumps. We build a nontrivial ultrafilter arithmetically in the first hyperjump for sets recursive in the first hyperjump. We then extend this to a nontrivial ultrafilter arithmetically in the second hyperjump for sets recursive in the second hyperjump, etcetera. The classes in  $T_3[1]$  are interpreted as the sets recursive in some iterated hyperjump. We have to be careful not to use more than set induction, so we don't have that for all  $n$ , the  $n$ -th hyperjump exists. Only for a cut of  $n$ . Then we build the nontrivial ultrafilter as indicated.

Any single class quantifier statement in  $T_3[1]$  becomes an arithmetic statement in the hyperjump of its class parameters under this interpretation. Thus the interpretation of single class quantifier comprehension in  $T_3[1]$  is provable in  $Z_2[1]$ .

To interpret  $T_3[0]$  in  $Z_2[0] = ACA_0$ , we follow the above approach, thinking in terms of the first  $\omega$  Turing jumps. Again we have to be careful not to use more than set induction, so we don't have that for all  $n$ , the  $n$ -th Turing jump exists. Again, only for a cut of  $n$ . We build the nontrivial ultrafilter as above. QED

**THEOREM 3.6.**  $T_3$  is not finitely axiomatizable. For  $n \geq 1$ ,  $T_3[n]$  is finitely axiomatizable.

**Proof:** Since we are not claiming that  $T_3, Z_2$  have commuting interpretations, use a different kind of argument to show that  $T_3$  is not finitely axiomatizable. Let  $K$  be a finite axiomatization of  $T_3$ . The interpretation of  $T_3$  in  $Z_2$  given in the proof of Theorem 3.5 shows that  $\text{Con}(K)$  is provable in  $Z_2$ . Let  $n$  be such that  $\text{Con}(K)$  is provable in  $Z_2[n]$ . Now  $Z_2[n]$  is finitely axiomatizable, and interpretable in  $T_3$ . Let  $K'$  be a finite fragment of  $T_3$  such that  $Z_2[n]$  is interpretable in  $K'$ . Using the proof of  $K'$  in  $K$ , we see that  $Z_2[n]$  proves  $\text{Con}(K')$ . Hence  $Z_2[n]$  proves  $\text{Con}(Z_2[n])$ , and therefore  $Z_2$  is inconsistent. This is a contradiction.

Let  $n \geq 1$ . To see that  $T_3[n]$  is finitely axiomatizable, we argue directly. We obtain the expected normal form theorem for formulas in  $L_3$  without class quantifiers, via a formula beginning with 1 class quantifier followed by a formula with no class quantifiers. The class quantifier can be taken to be either  $\forall$  or  $\exists$ . This works even though POS is present. QED

**QUESTION 3.1.** What is the interpretation power of  $T_3[0]$ ? Is  $T_3[0]$  finitely axiomatizable?

There are many ways to justify both parts of Positive Classes, or, alternatively, all three parts of Positive Classes Alternative.

A particularly straightforward way to motivate the first line of Positive Classes is to assume the existence of a perfect object  $v$ . Let  $V = A_1 \cup A_2$ . Then  $v \in A_1 \vee v \in A_2$ .

We claim that if  $v \in A_1$  then  $A_1$  is positive. To argue this, suppose  $A_1$  is not positive. Then  $v$  would not belong to  $A_1$ , since if  $v$  is perfect,  $v$  would choose not to belong to  $A_1$ .

So we have argued that  $v \in A_1 \rightarrow A_1$  is positive, and  $v \in A_2 \rightarrow A_2$  is positive. Therefore  $A_1$  is positive or  $A_2$  is positive.

Unfortunately, if we admit a perfect object  $v$ , then the second part of Positive Classes fails. This is because the class consisting of exactly  $v$  must be positive; i.e.,  $v \in \{v\} \rightarrow \{v\}$  is positive. But  $\{v\}$  has exactly one element.

In order to reconcile the discussion with Positive Classes, we must deliberately exclude any perfect object from the totality of objects. E.g., redefine the objects to consist of the imperfect objects.

A very natural viewpoint is this: no object is perfect, but objects can "approximate perfection" without limit. I.e., any level of "approximate perfection" can be achieved by imperfect objects.

In particular, all objects have flaws. This is an interesting statement in its own right, formalized as follows.

#### UNIVERSAL FALLIBILITY

$$(\forall v_1) (\exists A_1) (v_1 \in A_1 \wedge \neg \text{POS}(A_1)).$$

Note that Universal Fallibility is provable in  $T_3$  as follows. Let  $v$  be an object. Since positive classes have at least two distinct elements,  $\{v\}$  is not positive. Hence the complement of  $\{v\}$  is positive (e.g., use the second line of Positive Classes), yet does not contain  $v$ .

We continue the argument for Positive Classes. Now suppose all objects lie in  $A_1$  or  $A_2$ . If  $A_1, A_2$  are not positive, then objects are certainly not approximating perfection without limit, in that every object is flawed even with respect to just  $A_1$  and  $A_2$ . So this justifies the first part of Positive Classes.

For the second line of Positive Classes, let  $A_1, A_2$  be positive classes. There must exist an object  $v$  in both  $A_1$  and  $A_2$  (since we can approximate perfection without limit).

Suppose  $v$  is the unique object in both  $A_1$  and  $A_2$ . By Universal Fallibility, let  $v \notin A_3$ , where  $A_3$  is positive. Since we can approximate perfection without limit, let  $w$  lie in  $A_1, A_2, A_3$ . Then  $v \neq w$ , and we have the desired contradiction.

DEFINITION 3.4. The standard models of  $T_3$  are of the form  $(D, \wp(D), \epsilon, P, CHO, POS)$ , where  $P: D^2 \rightarrow D$  is one-one,  $CHO: \wp(D) \rightarrow D$ ,  $A \neq \emptyset \rightarrow CHO(A) \in A$ , and  $POS$  is a nontrivial ultrafilter over  $D$ .

#### 4. $T_4$ : Definable classes.

We follow the usual convention in mathematical logic that "definable" means "definable with parameters", and "0-definable" means definable without parameters.

In this section, we extend  $L_3$  to  $L_4$  by the unary relation symbol  $DEF$  on classes. The intended meaning of  $DEF(A)$  is "the class  $A$  is  $L_3$  0-definable". This is the same as "the class  $A$  is  $L_4$  0-definable without  $DEF$ ".

The object terms, atomic formulas and formulas of  $L_3$  are extended in the obvious way to  $L_4$ , incorporating the new unary relation symbol  $DEF$  on classes.

The system  $T_4$ , in language  $L_4$ , has the following nonlogical axioms.

##### NONLOGICAL AXIOMS FOR $T_4$

PAIRING

$$P(v_1, v_2) = P(v_3, v_4) \rightarrow v_1 = v_3 \wedge v_2 = v_4.$$

$L_4$  COMPREHENSION

$(\exists A_1) (\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi)$ , where  $\varphi$  is a formula of  $L_4$  in which  $A_1$  is not free.

EXTENSIONALITY

$$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow A_1 = A_2.$$

CHOICE OPERATOR

$$v_1 \in A_1 \rightarrow CHO(A_1) \in A_1.$$



## POSITIVE CLASSES

$(\forall v_1) (v_1 \in A_1 \vee v_1 \in A_2) \rightarrow \text{POS}(A_1) \vee \text{POS}(A_2).$   
 $\text{POS}(A_1) \wedge \text{POS}(A_2) \rightarrow (\exists v_1 \neq v_2) (v_1, v_2 \in A_1 \wedge v_1, v_2 \in A_2).$

## 0-DEFINABLE CLASSES

$(\forall v_1) (v_1 \in A_1 \leftrightarrow \phi) \wedge \text{DEF}(A_2) \wedge \dots \wedge \text{DEF}(A_n) \rightarrow \text{DEF}(A_1),$   
 where  $\phi$  is a formula of  $L_4$  without DEF, with at most the free variables  $v_1, A_2, \dots, A_n, n \geq 1.$

DEFINITION 4.1. For  $n \geq 0,$   $T_4[n]$  is the subsystem of  $T_4,$  where in  $L_3$  Comprehension and 0-Definable Classes, the formula  $\phi$  is required to have at most  $n$  class quantifiers.

THEOREM 4.1.  $T_4, Z_2$  are mutually interpretable. For all  $n \geq 1,$   $T_4[n], Z_2[n]$  are mutually interpretable.  $T_4[0]$  is interpretable in  $\text{ACA}_0.$

Proof: This is immediate from Theorem 3.5, since we can interpret  $\text{DEF}(A)$  as  $A = A.$  We won't be able to interpret  $\text{DEF}(A)$  in this trivial way for  $T_5,$  since  $T_5$  proves  $\neg(\forall A) (\text{DEF}(A)).$  QED

THEOREM 4.2.  $T_4$  is not finitely axiomatizable. For  $n \geq 1,$   $T_4[n]$  is finitely axiomatizable.

Proof: Suppose  $T_4$  is equivalent to  $K,$  where  $K$  is finite. Now replace each  $\text{DEF}(A_i)$  with  $A_i = A_i$  in all axioms of  $T_4$  and  $K,$  obtaining  $T_4'$  and  $K'.$  Then  $T_4', K'$  are logically equivalent. But  $T_4'$  is logically equivalent to  $T_3.$  This contradicts that  $T_3$  is not finitely axiomatizable. The argument given for the second claim of Theorem 3.6 adapts to this context. QED

QUESTION 4.1. What is the interpretation power of  $T_4[0]$ ? Is  $T_4[0]$  finitely axiomatizable?

DEFINITION 4.2. The standard models of  $T_4$  are of the form  $(D, \wp(D), \in, P, \text{CHO}, \text{POS}, \text{DEF}),$  where  $P: D^2 \rightarrow D$  is one-one,  $\text{CHO}: \wp(D) \rightarrow D, A \neq \emptyset \rightarrow \text{CHO}(A) \in A,$   $\text{POS}$  is a nontrivial ultrafilter over  $D,$  and  $\text{DEF}$  is the set of all subsets of  $D$  that are 0-definable over  $(D, \wp(D), \in, P, \text{CHO}, \text{POS}).$

We can formulate 0-Definable Classes in the following reduced form.

0-DEFINABLE CLASSES (binary)

$(\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi) \wedge \text{DEF}(A_2) \wedge \dots \wedge \text{DEF}(A_n) \rightarrow \text{DEF}(A_1)$ ,  
 where  $\varphi$  is a formula of  $L_4$  without DEF, with at most the  
 free variables  $v_1, A_2, \dots, A_n$ ,  $1 \leq n \leq 3$ .

THEOREM 4.2.  $T_3$  is logically equivalent to  $T_3$  formulated  
 with 0-Definable Classes (binary). This is also true for  
 all  $T_3[n]$ ,  $n \geq 0$ .

Proof: We work with 0-Definable Classes (binary), and the  
 rest of  $T_3$ . We establish  $(\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi) \wedge \text{DEF}(A_2) \wedge \dots$   
 $\wedge \text{DEF}(A_n) \rightarrow \text{DEF}(A_1)$ , where  $\varphi$  has no DEF, and at most the  
 free variables  $v_1, A_2, \dots, A_n$ , holds. We can assume that  $n \geq 3$ .

Assume  $(\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi)$ ,  $\text{DEF}(A_2), \dots, \text{DEF}(A_n)$ . With  $n-2$   
 uses of the binary form, we obtain  $\text{DEF}(A_{n+1})$ , with  $A_{n+1} =$   
 $\{P(x_2, \dots, x_n) : x_2 \in A_2 \wedge \dots \wedge x_n \in A_n\}$ , where here we have  
 used  $P$  associated to the left. We replace the free  
 occurrences of  $A_i$  in  $\varphi$ ,  $2 \leq i \leq n$ , by  $\{x_i : (\exists x_2, \dots, x_{i-1}, x_{i+1}, \dots, x_n) (P(x_2, \dots, x_n) \in A_{n+1})\}$ , and then simplify by  
 removing these set abstraction terms in the usual way. Thus  
 we have defined  $A_1$  with the single parameter  $A_{n+1}$ , where  
 $\text{DEF}(A_{n+1})$ . Hence  $\text{DEF}(A_1)$ .

Since this argument does not introduce any class  
 quantifiers, the second claim is also established. QED

## 5. $T_5$ : Divine objects.

Here we finally introduce the theory  $T_5$  that we use in  
 section 6 to interpret ZFC.

The language of  $T_5$  is the same as the language of  $T_4$ , and  $T_5$   
 merely extends  $T_4$  by the Divine Object axiom.

Since in section 1, we suggested that the reader can safely  
 start reading this section, we now give a fully self  
 contained presentation of  $T_5$ .

The language  $L_5$  of  $T_5$  has two sorts: objects and classes of  
 objects (classes).  $L_5$  has object variables  $v_i$ ,  $i \geq 1$ , and  
 class variables  $A_i$ ,  $i \geq 1$ .  $L_4$  uses a binary relation symbol  
 $\in$  between objects and classes, a binary function symbol  $P$   
 on objects (pairing),  $=$  between objects, a unary function

CHO from classes to objects (choice), a unary relation symbol POS on classes (positive classes), and a unary relation symbol DEF on classes (definability without DEF).

Note that  $L_5$  is the same as the language  $L_4$  presented in section 4.

The object terms of  $L_5$  are inductively defined by

- i. For all  $i \geq 1$ ,  $v_i$  is an object term of  $L_5$ .
- ii. For all object terms  $s, t$ ,  $P(s, t)$  is an object term of  $L_5$ .
- iii. For all  $i \geq 1$ ,  $\text{CHO}(A_i)$  is an object term of  $L_5$ .

The atomic formulas of  $L_5$  are given as follows.

- i. For all  $i, j \geq 1$ ,  $A_i = A_j$  is an atomic formula of  $L_5$ .
- ii. For object terms  $s, t$  of  $L_5$ ,  $s = t$  is an atomic formula of  $L_5$ .
- iii. For all  $i \geq 1$  and object terms  $t$  of  $L_5$ ,  $t \in A_i$  is an atomic formula of  $L_5$ .
- iv. For all  $i \geq 1$ ,  $\text{POS}(A_i)$ ,  $\text{DEF}(A_i)$  are atomic formulas of  $L_5$ .

The formulas of  $L_5$  are inductively defined as follows.

- i. Every atomic formula of  $L_5$  is a formula of  $L_5$ .
- ii. For all formulas  $\varphi, \psi$  of  $L_5$ ,  $\neg\varphi$ ,  $\varphi \wedge \psi$ ,  $\varphi \vee \psi$ ,  $\varphi \rightarrow \psi$  are formulas of  $L_5$ .
- iii. For all  $i \geq 1$  and formulas  $\varphi$  of  $L_5$ ,  $(\forall v_i)(\varphi)$ ,  $(\exists v_i)(\varphi)$ ,  $(\forall A_i)(\varphi)$ ,  $(\exists A_i)(\varphi)$  are formulas of  $L_5$ .

We use  $\leftrightarrow$  as an abbreviation. I.e.,  $\varphi \leftrightarrow \psi$  abbreviates  $(\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$ .

There are standard axioms and rules of inference for  $L_5$ , with a corresponding completeness theorem. The system  $T_5$ , in language  $L_5$ , has the following nonlogical axioms.

#### **NONLOGICAL AXIOMS FOR $T_5$**

##### PAIRING

$$P(v_1, v_2) = P(v_3, v_4) \rightarrow v_1 = v_3 \wedge v_2 = v_4.$$

##### $L_5$ COMPREHENSION

$(\exists A_1) (\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi)$ , where  $\varphi$  is a formula of  $L_5$  in which  $A_1$  is not free.

EXTENSIONALITY

$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow A_1 = A_2.$

CHOICE OPERATOR

$v_1 \in A_1 \rightarrow \text{CHO}(A_1) \in A_1.$

POSITIVE CLASSES

$(\forall v_1) (v_1 \in A_1 \vee v_1 \in A_2) \rightarrow \text{POS}(A_1) \vee \text{POS}(A_2).$

$\text{POS}(A_1) \wedge \text{POS}(A_2) \rightarrow (\exists v_1 \neq v_2) (v_1, v_2 \in A_1 \wedge v_1, v_2 \in A_2).$

0-DEFINABLE CLASSES

$(\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi) \wedge \text{DEF}(A_2) \wedge \dots \wedge \text{DEF}(A_n) \rightarrow \text{DEF}(A_1),$

where  $\varphi$  is a formula of  $L_5$  without  $\text{DEF}$ , with at most the free variables  $v_1, A_2, \dots, A_n$ ,  $n \geq 1$ .

DIVINE OBJECT

$(\exists v_1) (\forall A_1) (\text{DEF}(A_1) \wedge \text{POS}(A_1) \rightarrow v_1 \in A_1).$

As discussed in section 3,  $T_5$  proves that there is no object that lies in every positive class. I.e., there is no perfect object. In section 3, we discussed the informal principle that we can approximate perfection without limitation.

With this background, we say that an object is **divine** if and only if it is an element of all 0-definable positive classes. The Divine Object axiom asserts that there exists a divine object.

Divine objects turn out to be surprisingly powerful approximations to perfection. In section 6, we use the existence of a divine object to give an interpretation of ZFC in  $T_5$ . This is extended in section 7 by giving an interpretation of ZFC together with a rather substantial large cardinal hypothesis - the existence of arbitrarily large strong Ramsey cardinals. This is just short of the well known large cardinal hypothesis that asserts the

existence of a measurable cardinal. See Definition 7.18. These interpretations also provide consistency and relative consistency proofs in the usual way.

But how do we know that  $T_5$  is consistent - i.e., free of contradiction?

We now present the standard models of  $T_5$  using measurable cardinals from set theory.

DEFINITION 5.1. In set theory, a von Neumann cardinal  $\kappa$  is measurable if and only if  $\kappa$  is uncountable, and there is nontrivial  $\kappa$  complete ultrafilter  $U$  over  $\kappa$ . I.e., a nontrivial ultrafilter  $U$  over  $\kappa$  such that the intersection of fewer than  $\kappa$  many elements of  $U$  is an element of  $U$ . This is equivalent to: the intersection of fewer than  $\kappa$  many elements of  $U$  is nonempty.

DEFINITION 5.2. ZFM is the theory ZFC + "there exists a measurable cardinal".

ZFM is a very well studied system which is very much stronger than ZFC alone. See, e.g., [Ka94], [Je06].

DEFINITION 5.3. The standard models of  $T_5$  are  $(\kappa, \wp(\kappa), \in, P, CHO, POS, DEF)$ , where  $P: \kappa^2 \rightarrow \kappa$  is one-one,  $CHO: \wp(\kappa) \rightarrow \kappa$ ,  $A \neq \emptyset \rightarrow CHO(A) \in A$ ,  $POS$  is a nontrivial  $\kappa$  complete ultrafilter over  $\kappa$ , and  $DEF$  is the set of all subsets of  $\kappa$  that are 0-definable over  $(\kappa, \wp(\kappa), \in, P, CHO, POS)$ .

DEFINITION 5.4. EFA = exponential function arithmetic, is our notation for a system that later became known as  $I\Sigma_0(\exp)$ . See [HP93], p. 37.

The first claim of Theorem 5.1 is an interpretability result. The second claim is a consistency proof. The third claim is a relative consistency proof. All three of these results establish that  $T_5$  is "all right" assuming that ZFM is "all right".

The main point of this paper goes the other direction. That ZFC is "all right" assuming  $T_5$  is "all right" - see Theorem 6.27. Moreover, that something just shy of ZFM is "all right" assuming  $T_5$  is "all right" - see Theorem 7.34.

THEOREM 5.1. ZFM proves that the structures in Definition 5.3 are models of  $T_5$ .  $T_5$  is interpretable in ZFM. ZFM proves the consistency of  $T_5$ . EFA proves  $\text{Con}(\text{ZFM}) \rightarrow \text{Con}(T_5)$ .

Proof: All of the axioms of  $T_4$  obviously hold in the  $(\kappa, \wp(\kappa), \epsilon, P, \text{CHO}, \text{POS}, \text{DEF})$  of Definition 5.3. Since there are fewer than  $\kappa$  many (in fact, only countably many) subsets of  $\kappa$  that are 0-definable over  $(\kappa, \wp(\kappa), \epsilon, P, \text{CHO}, \text{POS})$ , the intersection of those that have POS (i.e., lie in the nontrivial  $\kappa$  complete ultrafilter) is nonempty (in fact, has POS). Any element of this intersection is therefore divine.

This provides an interpretation of  $T_5$  in ZFM. Also ZFM obviously proves the consistency of  $T_5$  since ZFM proves the existence of a model of  $T_5$ . The last claim follows from the interpretability of  $T_5$  in ZFM.

The third claim follows immediately from the fact that the first claim is provable in EFA. QED

Note that we have only used that the intersection of countably many elements of the ultrafilter over  $\kappa$  is nonempty. Thus we only need a weaker condition on the ultrafilter, called countable completeness.

DEFINITION 5.5. A countably complete ultrafilter over a set  $D$  is an ultrafilter  $U$  where the intersection of any countable number of elements of  $U$  is an element of  $U$ . This is equivalent to: the intersection of any countable number of elements of  $U$  is nonempty.

It is well known that the existence of a nontrivial countably complete ultrafilter is equivalent to the existence of a measurable cardinal, over ZFC. See, e.g., [Ka94], p. 23.

It is natural to expand our set theoretic models of  $T_5$  in the following way.

DEFINITION 5.6. The preferred models of  $T_5$  are  $(D, \wp(D), \epsilon, P, \text{CHO}, \text{POS}, \text{DEF})$ , where  $P: D^2 \rightarrow D$  is one-one,  $\text{CHO}: \wp(D) \rightarrow D$ ,  $A \neq \emptyset \rightarrow \text{CHO}(A) \in A$ , POS is a nontrivial countably complete ultrafilter over  $D$ , and DEF is the set of all subsets of  $D$  that are 0-definable over  $(D, \wp(D), \epsilon, P, \text{CHO}, \text{POS})$ .

## 6. Interpreting ZFC in $T_5$ .

In this section, we interpret ZFC in  $T_5$ . We work in  $T_5$ , developing the interpretation. We carry out the development in  $T_4$  for as long as we can, before using the powerful Divine Object axiom of  $T_5$ .

DEFINITION 6.1.  $V$  is the class of all objects. Relations on  $V$  are treated as classes using the pairing function  $P$ . Functions are treated as relations.

DEFINITION 6.2. A well ordering is a pair  $(A, <)$ , where  $A$  and  $< \subseteq A^2$  are classes, such that  $<$  is irreflexive, transitive, connected, and where every nonempty  $B \subseteq A$  has an  $R$  least element. Define  $x \leq y \leftrightarrow x < y \vee x = y$ . Define  $<[x] = \{y: y < x\}$ ,  $\leq[x] = \{y: y \leq x\}$ ,  $>[x] = \{y: x < y\}$ ,  $\geq[x] = \{y: x \leq y\}$ .  $x$  is a  $<$  limit point if and only if  $x$  is not  $<$  least and  $x$  has no immediate predecessor in  $<$ . If  $x$  is not  $<$  greatest, define  $x+1$  to be the immediate successor of  $x$  in  $<$ . If  $x$  is not a limit point and not  $<$  least, define  $x-1$  to be the immediate predecessor of  $x$  in  $(A, <)$ .

DEFINITION 6.3.  $A \subseteq V$  is finite if and only if  $A$  is empty, or there is a well ordering  $(A, <)$  with a  $<$  greatest object and without a  $<$  limit point.  $A$  is infinite if and only if  $A$  is not finite.

LEMMA 6.1. ( $T_1$ ). Let  $(A, <)$  be a well ordering with a  $<$  greatest element and without a  $<$  limit point. Then  $<$  induction holds. I.e., suppose  $0 \in B \wedge (\forall x \in A)(x \in B \rightarrow x+1 \in B)$ , where  $0$  is  $<$  least. Then  $A \subseteq B$ .

Proof: Let  $A, <, 0, B$  be as given. Let  $x$  be  $<$  least such that  $x \notin B$ . Now  $x = 0 \vee x$  is a  $<$  successor  $\vee x$  is a  $<$  limit point. None of these three disjuncts are possible. QED

LEMMA 6.2. ( $T_1$ ). Let  $(A, <)$  be a nonempty linear ordering (irreflexive, transitive, connected), with no  $<$  greatest element. Then  $A$  is infinite.

Proof: Let  $(A, <)$  be as given, and assume  $A$  is finite. Let  $(A, <')$  be a well ordering, with a  $<'$  greatest element, and with no  $<'$  limit points. We prove by  $<'$  induction (Lemma 6.1) that  $(\forall x \in A)(\exists y \in A)(\forall z <' x)(z < y)$ . This induction is clear using that there is no  $<$  greatest element. Now

apply this quantified statement to the  $<$ ' greatest element, resulting in a contradiction. QED

LEMMA 6.3.  $(T_1)$ .  $V$  is infinite.

Proof: Suppose  $V$  is finite. Let  $<$  be a well ordering of  $V$  with a  $<$  greatest object, and with no  $<$  limit points.

We first prove by  $<$  induction on  $x$  that every one-one  $f: \leq[x] \rightarrow \leq[x]$  is onto. This is obvious if  $x$  is  $<$  least. Suppose this is true for  $x$ . Let  $f: \leq[x+1] \rightarrow \leq[x+1]$  be one-one. Let  $g$  be  $f$  restricted to the subdomain  $\leq[x]$ .

case 1.  $f(x+1) = x+1$ . By the induction hypothesis,  $\text{rng}(g) = \leq[x]$ . Therefore  $f$  is onto.

case 2.  $f(x+1) \neq x+1$ . Now  $f(x+1) \notin \text{rng}(g)$ . If  $x+1 \notin \text{rng}(g)$  then by the induction hypothesis,  $\text{rng}(g) = \leq[x]$ , contradicting  $f(x+1) \notin \text{rng}(g)$ .

If  $x+1 \in \text{rng}(g)$ , then let  $g(b) = x+1$ . Let  $h$  be the same as  $g$  except  $h(b) = f(x+1)$ . By the induction hypothesis,  $\text{rng}(h) = \leq[x]$ . Hence  $\text{rng}(g) = \leq[x+1] \setminus \{f(x+1)\}$ . Hence  $\text{rng}(f) = \leq[x+1]$ . This completes the induction argument.

By setting  $x$  to be the  $<$  greatest object, we see that every one-one  $f: V \rightarrow V$  is onto. But let  $a \neq b$  (since there are at least two objects). Then the function  $f(x) = P(a, x)$  is one-one, but does not achieve  $P(b, b)$ . This is a contradiction. QED

DEFINITION 6.4. We say that  $(A, R)$  is critical if and only if  $(A, R)$  is a well ordering, and for all  $x \in A$ ,  $x = \text{CHO}(\{y: \neg R(y, x)\})$ .

LEMMA 6.4.  $(T_2)$ . Let  $(A, R), (B, S)$  be critical. There is a longest common initial segment.  $(A, R)$  is an initial segment of  $(B, S)$  or  $(B, S)$  is an initial segment of  $(A, R)$ .

Proof: Let  $A, B, R, S$  be as given. By well foundedness, there is a longest initial segment  $(C, T)$  of  $(A, R)$  that is an initial segment of  $(B, S)$ . If  $C = A$  then  $(A, R)$  is an initial segment of  $(B, S)$ . If  $C = B$  then  $(B, T)$  is an initial segment of  $(B, S)$ , and so  $S = T$  and  $(B, S)$  is an initial segment of  $(A, R)$ .



The remaining case is where  $C \neq A \wedge C \neq B$ . Since  $C \subseteq A \cap B$ , let  $x$  be  $R$  least outside  $C$ , and  $y$  be  $S$  least outside  $C$ . Now the  $R$  predecessors of  $x$  and the  $S$  predecessors of  $x$  are the same (the elements of  $C$ ). By the definition of critical,  $x = y$ . This contradicts that  $(C, T)$  is longest. QED

We refer to the second claim of Lemma 6.4 as critical comparability.

LEMMA 6.5.  $(T_2)$ . Let  $A$  be the union of the domains of the critical well orderings, and  $R$  be the union of the relations of the critical well orderings. Then  $(A, R)$  is critical.

Proof: Let  $A, R$  be as given. Obviously  $R \subseteq A_2$  and  $(A, R)$  is irreflexive. To see that  $(A, R)$  is transitive, let  $x R y R z$ . Let  $(B, S), (C, T)$  be critical, where  $S(x, y) \wedge T(y, z)$ . By critical comparability,  $T(x, z) \vee S(x, z)$ . Hence  $R(x, z)$ .

To see that  $(A, R)$  is connected, let  $x \neq y, x, y \in A$ . Let  $x \in B, y \in C, (B, S), (C, T)$  critical. By critical comparability,  $T(x, y) \vee T(y, x) \vee S(x, y) \vee S(y, x)$ . Hence  $R(x, y) \vee R(y, x)$ .

To see that  $(A, R)$  is well ordered, let  $E \subseteq A, E \neq \emptyset$ . Let  $x \in E, x \in B, (B, S)$  critical. Let  $y$  be the  $S$  least element of  $E \cap B$ . We claim that  $y$  is the  $R$  least element of  $E$ . To see this, let  $z R y, z \in E$ . Let  $T(z, y), (C, T)$  critical. By critical comparability,  $S(z, y)$ . This contradicts the choice of  $y$ .

To see that  $(A, R)$  is critical, let  $x \in A, x \in B, (B, S)$  critical. Then  $x = \text{CHO}(V \setminus \{a: a S x\})$ . It suffices to prove that  $(\forall y) (R(y, x) \leftrightarrow S(y, x))$ . Clearly  $S(y, x) \rightarrow R(y, x)$ . Suppose  $R(y, x)$ . Let  $T(y, x), (C, T)$  critical. Since  $x, y \in B \cap C$ , we have  $S(y, x)$ . QED

LEMMA 6.6.  $(T_2)$ . There is a unique critical well ordering  $(V, <)$ .  $(T_4)$ .  $\text{DEF}(<)$  holds.

Proof: Let  $(A, R)$  be as given by Lemma 6.3. Suppose  $A \neq V$ . Let  $x = \text{CHO}(V \setminus A)$ . Then we can extend  $(A, R)$  by putting  $x \notin A$  on top. The result is a critical  $(A \cup \{x\}, R')$ , contradicting the definition of  $(A, R)$ . Note that  $(A, R)$  has been explicitly defined without parameters using only

$\in, P, CHO$ , and equality between objects and between classes.  
Hence  $DEF(<)$  by 0-Definable Classes. QED

DEFINITION 6.5.  $A$  is negative if and only if  $A$  is not positive.  $DEF(A_1, \dots, A_n) \leftrightarrow DEF(A_1) \wedge \dots \wedge DEF(A_n)$ .

LEMMA 6.7. ( $T_4$ ). There is a well ordering  $(V, <^*)$  with  $DEF(<^*)$  such that each  $\leq^*[x]$  is negative. Every finite set is negative.

Proof: Let  $<$  be as given by Lemma 6.6. Suppose some  $\leq[x]$  is positive. Let  $x$  be  $<$  least such that  $\leq[x]$  is positive. Then  $<[x]$  is positive and  $DEF(\{x\})$ .

Let  $<^*$  be the result of removing  $\geq[x]$  and putting it at the bottom. Then  $(V, <^*)$  is a well ordering, and  $DEF(<^*)$ . Let  $y \in V$ . If  $y <^* x$  then  $\leq[y]$  is negative, and so  $\leq^*[y]$  is negative, since  $\geq[x]$  is negative. If  $y \geq x$  then  $\leq^*[y] \subseteq \geq[x]$ , and so  $\leq^*[y]$  is also negative.

For the second claim, let  $A$  be finite. We can assume  $A \neq \emptyset$ . Let  $(A, <)$  be a well ordering with a greatest element and no limit point. It suffices to show that for all  $x \in A$ ,  $\leq[x]$  is negative. Let  $x$  be the  $<$  least counterexample. Then  $x$  is not the  $<$  least element of  $A$ . Now  $\leq[x-1]$  is negative. Hence  $\leq[x]$  is negative, and we have a contradiction. QED

DEFINITION 6.6.  $<^*$  is as given by Lemma 6.6. 0 is the  $<^*$  least element. 1 is the immediate successor of 0 in  $<^*$ .  $x+1$  is the immediate successor of  $x$  in  $<^*$ , if it exists.

LEMMA 6.8. ( $T_4$ ). There is no  $<^*$  greatest object.  $<^*$  induction holds if  $<^*[x]$  is finite. I.e., suppose  $0 \in A \wedge (\forall x \in A) (<^*[x] \text{ finite} \rightarrow x+1 \in A)$ . Then  $(\forall x) (<^*[x] \text{ finite} \rightarrow x \in A)$ .

Proof: Let  $x$  be  $<^*$  greatest. Then  $\leq^*[x]$  is negative by Lemma 6.7. But  $\leq^*[x] = V$ , which is positive. There is no  $<^*$  greatest object, and hence every  $x+1$  exists.

For the second claim, let  $A$  be as given, and let  $x$  be  $<^*$  least such that  $x \notin A$  and  $<^*[x]$  is finite. Then  $x \neq 0$  and  $x$  is not a  $<^*$  successor. Hence  $x$  is a  $<^*$  limit point, which contradicts that  $<^*[x]$  is finite, by Lemma 6.2. QED

DEFINITION 6.7.  $\text{sup}(A)$  is the  $<^*$  least object  $x$  with  $(\forall y \in A)(y <^* x)$ , provided such an  $x$  exists. Otherwise, we take  $\text{sup}(A) = \infty$ .

DEFINITION 6.8.  $(x, f)$  is a reduction if and only if

i.  $\text{dom}(f) = V \wedge \text{sup}(\text{rng}(f)) = x$ .

ii. For all  $y <^* x$ ,  $f^{-1}(y)$  is negative.

iii.  $\text{DEF}(f)$ .

$(x, f)$  is a semi reduction if and only if the above holds, with  $\text{sup}(\text{rng}(f)) \leq^* x$ .

DEFINITION 6.9. We use  $\gamma$  for the  $<^*$  least object such that there is a reduction  $(\gamma, f)$ , provided there is some reduction. Otherwise, we take  $\gamma = \infty$ , which is not considered to be an object. If  $\gamma \neq \infty$  then we use  $J$  for a fixed function such that  $(\gamma, J)$  is a reduction. If  $\gamma = \infty$  then we use  $J$  for the identity function on  $V$ . We extend  $<^*$  to  $V \cup \{\infty\}$ . We continue to use letters  $x, y, z, w, u, v, \dots$  for objects (elements of  $V$ ) only.

LEMMA 6.9. ( $T_4$ ). If  $(x, f)$  is a reduction then  $\text{DEF}(\{x\})$ . If  $(x, f)$  is a semi reduction then  $(\text{sup}(\text{rng}(f)), f)$  is the unique reduction with second term  $f$ . If  $(x, f)$  is a semi reduction then  $\gamma \leq^* x$ . Suppose  $\gamma \neq \infty$ . Then  $\text{DEF}(\{\gamma\})$ , and  $\gamma$  is a  $<^*$  limit point.

Proof: Let  $(x, f)$  be a reduction. Since  $x = \text{sup}(\text{rng}(f))$ , we have  $\text{DEF}(\{x\})$ . The second claim is immediate. For the third claim, let  $(x, f)$  be a semi reduction. Then  $(\text{sup}(\text{rng}(f)), f)$  is a reduction, and so  $\gamma \neq \infty$  and  $\gamma \leq^* \text{sup}(\text{rng}(f)) \leq^* x$ .

Now suppose  $\gamma \neq \infty$ . By the first claim,  $\text{DEF}(\{\gamma\})$ . Obviously  $\gamma \neq 0$ .

Let  $\gamma = x+1$ . Let  $f(y) = H(y)$  if  $J(y) <^* x$ ;  $0$  if  $J(y) = x$ . Note that  $f^{-1}(0) = J^{-1}(0) \cup J^{-1}(x)$ , which is negative. Also  $\text{DEF}(f)$ , since  $\text{DEF}(\{\gamma\}, \{x\}, f, \{0\})$ . Hence  $(x, f)$  is a semi reduction,  $x <^* \gamma$ . Hence there is a reduction  $(y, f)$ ,  $y \leq^* x <^* \gamma$ , by the previous claim. This is a contradiction. QED

Note that if  $\gamma = \infty$ , then we can still use  $\gamma$  as if  $\text{DEF}(\{\gamma\})$ .

LEMMA 6.10. (T<sub>4</sub>). If  $x <^* \gamma$  then  $J^{-1}[<^*[x]]$  is negative. Let  $f:<^*[\gamma] \rightarrow <^*[x]$  be partially defined,  $\text{dom}(f)$  is positive,  $x <^* \gamma$ , and  $\text{DEF}(f)$ . Then some  $f^{-1}(y)$  is positive.

Proof: If  $\gamma = \infty$  then  $J^{-1}[<^*[x]] = <^*[x]$ , which is negative by Lemma 6.7. Assume  $x <^* \gamma \neq \infty$ . Suppose  $J^{-1}[<^*[x]]$  is positive. Define  $f:V \rightarrow <^*[x]$  by  $f(y) = J(y)$  if  $J(y) <^* x$ ; 0 otherwise. Since the default set is negative, we see that  $(x, f)$  is a semi reduction,  $x <^* \gamma$ . By Lemma 6.9,  $\gamma \leq^* x$ , which is a contradiction.

For the second claim, let  $f$  be as given. We can assume that  $x$  is  $<^*$  least such that  $\text{rng}(f) \subseteq <^*[x]$ . Extend  $f$  to  $g:<^*[\gamma] \rightarrow <^*[x]$ , where  $g$  is 0 off of  $\text{dom}(f)$ . Then  $\text{DEF}(g)$ . If all  $g^{-1}(y)$  are negative, then  $(x, g)$  is a reduction. But this contradicts the definition of  $\gamma$ . QED

LEMMA 6.11. (T<sub>4</sub>). Let  $x <^* \gamma$ ,  $f:<^*[x] \rightarrow <^*[\gamma]$ , and  $\text{DEF}(f)$ . Then  $\text{sup}(\text{rng}(f)) <^* \gamma$ .

Proof: let  $x, f$  be as given. Suppose  $\text{sup}(\text{rng}(f)) = \gamma$ . Note that  $\text{DEF}(\{x\})$ . Define  $g:V \rightarrow <^*[x]$  by  $g(z) = \min\{w <^* x : J(z) <^* f(w)\}$ . Note that  $g$  is well defined since  $\text{sup}(\text{rng}(f)) = \gamma$ . Also  $\text{DEF}(g)$ .

Let  $y <^* x$ . We claim that  $g^{-1}(y) \subseteq J^{-1}[<^*[f(y)]]$ . To see this, suppose  $g(z) = y = \min\{w <^* x : J(z) <^* f(w)\}$ . In particular,  $y \in \{w <^* x : J(z) <^* f(w)\}$ . I.e.,  $J(z) <^* f(y)$ , as required.

By Lemma 6.10,  $J^{-1}[<^*[f(y)]]$  is negative, since  $f(y) < \gamma$ . This establishes that  $(x, g)$  is a semi reduction,  $x <^* \gamma$ . This is a contradiction. QED

DEFINITION 6.10. We treat  $f:A \rightarrow \wp(B)$  as the corresponding class  $\{P(x, y) : y \in f(x)\}$ , which is also a relation on  $A \cup B$ .

LEMMA 6.12. (T<sub>4</sub>). Let  $x <^* \gamma$ . There is no one-one  $f:<^*[\gamma] \rightarrow \wp(<^*[x])$  with  $\text{DEF}(f)$ . Let  $g:<^*[\gamma] \rightarrow \wp(<^*[x])$  be partially defined and one-one, with  $\text{DEF}(g)$ . Then  $\text{dom}(g)$  is a  $<^*$  bounded subclass of  $<^*[\gamma]$ .

Proof: For the first claim, let  $x <^* \gamma$ . Let  $f: <^*[\gamma] \rightarrow \wp(<^*[x])$  be one-one, with  $\text{DEF}(f)$ .

We first define a special  $E \subseteq <^*[x]$ . For each  $w <^* x$ , we look at  $\{z: w \in f(J(z))\}$  and  $\{z: w \notin f(J(z))\}$ . One of these is positive and the other is negative.  $E$  consists of the  $w$  for which the first of these two sets is positive. Obviously  $\text{DEF}(E)$ .

We now define  $g: V \rightarrow <^*[x]$ . Set  $g(z) = \min(E \Delta f(J(z)))$  if this exists; 0 otherwise. Clearly  $\text{DEF}(g)$ . We show that  $(x, g)$  is a semi reduction. This is a contradiction since  $x < \gamma$ .

In this definition of  $g$ , the default applies for  $g(z)$  if and only if  $f(J(z)) = E$  (in which case, we are taking the min of  $\emptyset$ , which does not exist). I.e., when  $J(z) = f^{-1}(E)$ . Here we are inverting  $f$  at the point  $E$ , as  $f$  is one-one. (If  $f^{-1}(E)$  does not exist, or if it lies outside  $\text{rng}(J)$ , then there is no default in the definition of  $g$ ). Thus the default applies only for  $z$  in a single  $J^{-1}(u)$ ,  $u <^* \gamma$ , or not at all. In any case, the default applies only on a negative class of  $z$ 's.

It now suffices to show that for all  $w <^* x$ ,  $\{z: \min(E \Delta f(J(z))) = w\}$  is negative. This is because  $\{z: \min(E \Delta f(J(z))) = w\}$  is the same as  $g^{-1}(w)$  off of the negative default class of  $z$ 's.

case 1.  $w \in E$ . Then  $\{z: \min(E \Delta f(J(z))) = w\} \subseteq \{z: w \notin f(J(z))\}$ . If  $g(z) = w$  then  $w \notin f(J(z))$ . Hence  $z$  lies in the negative set  $\{z: w \notin f(J(z))\}$ . This set is negative because  $w \in E$ .

case 2.  $w \notin E$ . Then  $\{z: \min(E \Delta f(J(z))) = w\} \subseteq \{z: w \in f(J(z))\}$ . This set is negative because  $w \notin E$ .

This completes the proof of the first claim. For the second claim, let  $g: <^*[\gamma] \rightarrow \wp(<^*[x])$  be partially defined, with  $\text{DEF}(g)$ . Suppose  $\text{dom}(g)$  is  $<^*$  unbounded in  $\gamma$ . Let  $h$  be the unique order preserving comparison between  $(<^*[\gamma], <^*)$  and  $(\text{dom}(g), <^*)$ . Then  $\text{DEF}(h)$ . By Lemma 6.11, it is clear that  $h$  is an isomorphism. Now let  $f: <^*[\gamma] \rightarrow \wp(<^*[x])$ , where  $f(z) = g(h(z))$ . Then  $\text{DEF}(f)$ , and apply the first claim to  $f, x$ . QED

LEMMA 6.13. (T<sub>4</sub>). Suppose  $<^*[x]$  is finite. Then  $\text{DEF}(x)$ .  
Every  $A \subseteq <^*[x]$  has  $\text{DEF}(A)$ .

Proof: The first claim is by an obvious induction on the  $x <^* \gamma$  with  $<^*[x]$  finite (see Lemma 6.8). For the second claim, we prove by induction on the  $x <^* \gamma$  with finite  $<^*[x]$ , that  $(\forall A) (A \subseteq <^*[x] \wedge A \text{ finite} \rightarrow \text{DEF}(A))$ . This is clearly true for  $x = 0$ . Suppose this is true for fixed  $x <^* \gamma$  with finite  $<^*[x]$ . Let  $A \subseteq <^*[x+1]$  be finite. Then  $A \setminus \{x\}$  is finite, and so by the induction hypothesis,  $\text{DEF}(A \setminus \{x\})$ . Since  $\text{DEF}(\{x\})$ , we have  $\text{DEF}(A)$ . QED

We now use the Divine Object axiom for the first time.

DEFINITION 6.11.  $x$  is divine if and only if  $(\forall A) (\text{DEF}(A) \wedge \text{POS}(A) \rightarrow x \in A)$ . Note that by the Divine Object axiom in  $T_5$ , there is a divine object.

LEMMA 6.14. (T<sub>5</sub>). Let  $x$  be divine.  $(\forall y) (\text{DEF}(\{y\}) \rightarrow y <^* x)$ .  
 $\neg \text{DEF}(\{x\}) \wedge <^*[x]$  is infinite. If  $\gamma \neq \infty$  then  $x >^* \gamma$ .  
 $(\exists z) (<^*[z]$  is infinite). The class  $K$  of all divine objects has  $\neg \text{DEF}(K)$ .

Proof: Let  $x$  is divine. Let  $\text{DEF}(\{y\})$ . By Lemma 6.7,  $>^*[y]$  is positive and  $\text{DEF}(>^*[y])$ . Hence  $x \in >^*[y]$ ,  $y <^* x$ .

From the first claim,  $\neg \text{DEF}(\{x\})$ . Suppose  $<^*[x]$  is finite. By the induction in Lemma 6.8, we see that  $\text{DEF}(<^*[x])$ , which is a contradiction.

Suppose  $\gamma \neq \infty$ . Then  $\text{DEF}(\{y\})$ ,  $\text{DEF}(<^*[\gamma])$ , and by Lemma 6.7,  $\leq^*[\gamma]$  is negative. Hence  $x \geq^* \gamma$ .

By the second claim,  $<^*[x]$  is infinite. Hence  $(\exists z) (<^*[z]$  is infinite).

If the class  $K$  of all divine objects has  $\text{DEF}(K)$ , then let  $x$  be the  $<^*$  least element of  $K$ . Clearly  $\text{DEF}(\{x\})$  and  $x$  is divine. This contradicts the second claim. QED

DEFINITION 6.12.  $\omega$  is the  $<^*$  least object such that  $<^*[\omega]$  is infinite.

LEMMA 6.15. (T<sub>5</sub>).  $\text{DEF}(\omega)$ .  $\omega <^* \gamma$ .

Proof:  $\text{DEF}(\omega)$  by Definition 6.12. By Lemma 6.9,  $\gamma$  is a  $<^*$  limit point. Hence  $<^*[\gamma]$  is infinite. Therefore  $\omega \leq^* \gamma$ .

Now suppose  $\gamma = \omega$ . Then  $(\omega, J)$  is a reduction. Let  $x$  be divine, and  $J(x) = n <^* \omega$ . Now  $J^{-1}(n)$  is negative. By Lemma 6.13,  $\text{DEF}(\{n\})$ ,  $\text{DEF}(J^{-1}(n))$ . Therefore  $x \notin J^{-1}(n)$ . But  $x \in J^s(n)$ , and we have a contradiction. Hence  $\omega <^* \gamma$ . QED

We now want to code the constructible hierarchy up through  $\gamma$ . For this purpose, we will need the "0-definable regularity" of  $\gamma$  afforded by Lemma 6.11.

Throughout this section, and even section 7, we will operate under ignorance as to whether  $\gamma = \infty$  or  $\gamma \neq \infty$ . We are able to argue uniformly. We will also freely use  $\omega$ , and so we will from now on just consider our claims to be proved in  $T_5$ .

We now sharpen Lemma 6.11.

DEFINITION 6.13.  $A$  is a  $<^*$  bounded subclass of  $<^*[\gamma]$  if and only if  $\text{sup}(A) <^* \gamma$ .  $B$  is a  $<^*$  bounded subclass of  $<^*[\gamma]^2$  if and only if there exists  $x <^* \gamma$  such that for all  $(y, z) \in B$ ,  $y, z <^* x$ .

LEMMA 6.16. Let  $f:V \rightarrow <^*[\gamma]$  be partially defined, where  $\text{DEF}(f)$ . Then  $f$  maps  $<^*$  bounded subclasses of  $<^*[\gamma]$  onto  $<^*$  bounded subclasses of  $<^*[\gamma]$ . Let  $g:V^2 \rightarrow <^*[\gamma]$  be partially defined, where  $\text{DEF}(g)$ . Then  $g$  maps  $<^*$  bounded subclasses of  $<^*[\gamma]^2$  onto  $<^*$  bounded subclasses of  $<^*[\gamma]$ .

Proof: The first claim follows from the second easily by using  $g(x, x)$ .

For the second claim, let  $g$  be as given. Suppose this is false, and let  $x$  be  $<^*$  least such that  $x <^* \gamma$  and  $g[<^*[x]^2]$  is  $<^*$  unbounded in  $<^*[\gamma]$ . Then  $\text{DEF}(\{x\})$ .

We claim that for all  $y <^* \gamma$ ,  $\text{sup}(\{g(y, z) : z <^* x\}) <^* \gamma$ . Suppose this is false, and let  $y$  be  $<^*$  least such that  $y <^* x$  and  $\text{sup}(\{g(y, z) : z <^* x\}) = \gamma$ . Then  $\text{DEF}(\{y\})$ , and we can apply Lemma 6.11 to the function  $g(y, z)$ , with  $y$  fixed. Hence  $\text{sup}(\{g(y, z) : z <^* x\}) <^* \gamma$ . This contradicts the choice of  $y$ .

We now define  $h: <^*[\gamma] \rightarrow <^*[\gamma]$  by  $h(y) = \sup(\{g(y,z) : z <^* x\}) <^* \gamma$ , using the claim in the previous paragraph. Then by Lemma 6.11,  $\sup(\text{rng}(h)) <^* \gamma$ . But clearly  $\sup(\text{rng}(h)) = \sup(\{g(y,z) : z <^* x\}) <^* \gamma$ . This contradicts the choice of  $x$ . QED

The pairing function  $P$  is rather uncontrolled. E.g.,  $P(0,0)$  might even be larger than  $\gamma$  (although of course this can't happen if  $\gamma = \infty$ ). We need a pairing function that maps  $<^*[\gamma]^2$  into  $<^*[\gamma]$ .

DEFINITION 6.14.  $(x,y) <' (z,w) \leftrightarrow \max(x,y) <^* \max(z,w) \vee (\max(x,y) = \max(z,w) \wedge (x,y) <_{\text{lex}} (z,w))$ . Here  $\max$  and  $<_{\text{lex}}$  are with respect to  $<^*$ .

LEMMA 6.17.  $(V^2, <')$  is a well ordering.

Proof:  $<'$  is obviously irreflexive. Let  $(x,y) <' (z,w) \wedge (z,w) <' (u,v)$ . Clearly  $\max(x,y) \leq^* \max(z,w) \leq^* \max(u,v)$ . If not all three of these  $\max$ 's are equal, then  $(x,y) <' (u,v)$ . So assume that all three  $\max$ 's are equal. Then  $(x,y) <_{\text{lex}} (z,w) <_{\text{lex}} (u,v)$ . Therefore  $(x,y) <' (u,v)$ .

Let  $(x,y) \neq (z,w)$ . If  $\max(x,y) \neq \max(z,w)$  then  $(x,y) <' (z,w) \vee (z,w) <' (x,y)$ . So assume that  $\max(x,y) = \max(z,w)$ . If  $(x,y) <_{\text{lex}} (z,w)$  then  $(x,y) <' (z,w)$ . If  $(z,w) <_{\text{lex}} (x,y)$  then  $(z,w) <' (x,y)$ .

Let  $A \subseteq V^2$  be nonempty. Cut down to the elements of  $A$  with  $<^*$  least possible  $\max$ . Then cut down to those with  $<^*$  least possible first coordinate. Then pick the one with  $<^*$  least second coordinate. This is the  $<'$  least element of  $A$ . QED

DEFINITION 6.15. We say that  $f$  is a  $(<', x, y)$  function if and only if

- i.  $f: <'[(x,y)] \rightarrow V$ .
- ii. For all  $(z,w) <' (x,y)$ ,  $f(z,w) = \sup(\{f(u,v) : (u,v) <' (z,w)\})$ .

LEMMA 6.18. If  $f$  is a  $(<', x, y)$  function and  $g$  is a  $(<', z, w)$  function, then  $f \subseteq g \vee g \subseteq f$ . For all  $x, y <^* \gamma$ , there is a unique  $(<', x, y)$  function. The range of this function is a  $<^*$  bounded subset of  $<^*[\gamma]$ .



Proof: The first claim is easily proved by using the  $<'$  least  $(u,v)$  such that  $f(u,v) \neq g(u,v)$ .

We now prove the remaining claims. Let  $(x,y)$  be  $<'$  least with  $x,y <^* \gamma$ , such that there is no  $(<',x,y)$  function  $f$  with  $\sup(\text{rng}(f)) < \gamma$ . Then  $x$  is unique such that there exists  $y$  such that  $(x,y)$  is  $<'$  least with this property, and  $y$  is unique such that  $(x,y)$  is  $<'$  least with this property. Hence  $\text{DEF}(\{x\})$ , and therefore  $\text{DEF}(\{y\})$ .

Clearly  $(x,y)$  is not  $<'$  least. I.e.,  $(x,y) \neq (0,0)$ .

case 1.  $(x,y)$  is a  $<'$  immediate successor. Let  $(x,y)$  be the  $<'$  immediate successor of  $(z,w)$ . Then  $z,w <^* \gamma$ . Also  $\text{DEF}(\{z\},\{w\})$ . Let  $f$  be the  $(<',z,w)$  function. By the choice of  $(x,y)$ ,  $\sup(\text{rng}(f)) <^* \gamma$ . Also  $\text{DEF}(f)$ . Extend  $f$  at  $(z,w)$  by  $f'(z,w) = \sup(\text{rng}(f))$ . Then  $\text{DEF}(f')$ , and  $\sup(\text{rng}(f')) <^* \gamma$ . This contradicts the choice of  $(x,y)$ .

case 2.  $(x,y)$  is a  $<'$  limit point. Let  $g$  be the union of the various  $(<',z,w)$  functions, where  $(z,w) <' (x,y)$ . This union is a function by the first claim. Clearly  $\text{DEF}(g)$ , and  $g$  is a  $(<',x,y)$  function. Note that  $g$  is a partial function from  $\leq^* [\max(x,y)]^2$  into  $<^* [\gamma]$ . By Lemma 6.16,  $\sup(\text{rng}(g)) <^* \gamma$ . This contradicts the choice of  $(x,y)$ .

Thus we have a contradiction. Hence For all  $(x,y) <^* \gamma$ , there is a  $(<',x,y)$  function  $f$  with  $\sup(\text{rng}(f)) <^* \gamma$ . By the first claim,  $f$  is unique. QED

DEFINITION 6.16. We define  $P^*(x,y)$  for  $x,y <^* \gamma$  as follows. Let  $(x',y')$  be the  $<'$  immediate successor of  $(x,y)$ . Let  $f$  be the unique  $<'(x',y')$  function. Define  $P^*(x,y) = f(x',y')$ . For other  $x,y$ ,  $P^*(x,y)$  is undefined.

LEMMA 6.19.  $P^* : <^* [\gamma]^2 \rightarrow <^* [\gamma]$  is one-one with  $\text{DEF}(P^*)$ .  $x,y \leq^* P^*(x,y)$ .

Proof:  $\text{DEF}(P^*)$  by Definition 6.16. Now let  $P^*(x,y) = P^*(z,w)$ . We want  $x = z \wedge y = w$ . By symmetry, assume  $(x,y) <' (z,w)$ . Let  $(x',y'), (z',w')$  be the  $<'$  immediate successors of  $(x,y), (z,w)$ , respectively. Clearly  $x',y',z',w' <^* \gamma$ ,  $(x',y') <' (z',w')$ .

By Lemma 6.18, let  $f,g$  be the  $(<',x',y'), (<',z',w')$  functions, respectively. By Lemma 6.18,  $f \subseteq g$ . Also  $P^*(z,w)$

$= g(z,w) = \sup(\{g(u,v) : (u,v) <' (z,w)\})$ . Hence  $f(x,y) = g(x,y) = P^*(x,y) <^* f(z,w) = P^*(z,w)$ , which is a contradiction.

For the second claim, suppose this is false. Let  $(x,y)$  be  $<'$  least such that  $x,y \leq^* P^*(x,y)$  is false. Then  $(x,y) \neq (0,0)$ . Suppose  $(x,y)$  is the  $<'$  immediate successor of  $(z,w)$ . Clearly  $\max(x,y) \leq^* \max(z,w)+1 \leq^* P^*(z,w)+1 = P^*(x,y)$ . Let  $(x,y)$  be a  $<'$  limit point. For all  $(z,w) <' (x,y)$ ,  $z,w \leq^* P^*(z,w)$ . Now  $P^*(x,y) = \sup(\{P^*(z,w) : (z,w) <' (x,y)\}) \geq^* \sup(\{\max(z,w) : (z,w) <' (x,y)\}) \geq^* \max(x,y)$ . Thus  $x,y \leq^* P^*(x,y)$ , which contradicts the choice of  $(x,y)$ . This is a contradiction. QED

DEFINITION 6.17. We extend  $P^*$  to any standard arity  $\geq 2$ , left associatively, by  $P^*(x_1, \dots, x_n) = P^*(\dots(P^*(x_1, x_2), \dots, x_n))$ . We say that  $f$  is a finite sequence if and only if  $f: <^*[x] \rightarrow V$ , for some  $x <^* \omega$ . The length of  $f$ ,  $lth(f)$ , is  $x$ .

DEFINITION 6.18. Let  $f$  be a finite sequence of length  $x$ . A  $P^*$  sequence for  $f$  is a finite sequence  $g$  of length  $x$  such that

- i.  $0 <^* x \rightarrow g(0) = P^*(x, 0, f(0))$ .
- ii.  $(\forall y+1 <^* x) (g(y+1) = P^*(x, g(y), f(y+1)))$ .

LEMMA 6.20. Every finite sequence into  $<^*[\gamma]$  has a unique  $P^*$  sequence into  $<^*[\gamma]$ .

Proof: By obvious induction arguments along lengths  $x <^* \omega$ . Use  $x,y <^* \gamma \rightarrow P^*(x,y) <^* \gamma$ . QED

DEFINITION 6.19. Let  $f$  be a finite sequence of length  $x$  into  $<^*[\gamma]$ .  $y$  is a  $P^*$  code for  $f$  if and only if  $y = g(x-1)$  if  $x \neq 0$ ;  $P(0,0,0)$  otherwise, where  $g$  is the  $P^*$  sequence for  $f$ . A  $P^*$  code is a  $P^*$  code for some finite sequence into  $<^*[\gamma]$ .  $B$  has a  $P^*$  code if and only if  $B$  is a finite sequence into  $<^*[\gamma]$ .

LEMMA 6.21. Two finite sequences into  $<^*[\gamma]$  have the same  $P^*$  code if and only if they are equal.  $(\forall x <^* \gamma) (\exists y <^* \gamma) (\forall z,w <^* x) (P^*(z,w) <^* y)$ .

Proof: Suppose  $x,y$  are finite sequences into  $<^*[\gamma]$  with different lengths. Their  $P^*$  codes are of the form

$P^*(\text{lth}(x), z, w)$  and  $P^*(\text{lth}(y), u, v)$ , respectively. Hence their  $P^*$  codes are not equal.

We prove by induction on  $x <^* \omega$  that any two finite sequences  $f, g$ , with  $\text{lth}(f) = x$ , and the same  $P^*$  code, are equal. The basis case  $x = 0$  is immediate, as there is exactly one finite sequence of length 0. Suppose this is true for fixed  $x \neq 0$ .

Let  $f', g'$  be the  $P^*$  sequences for  $f, g$ , respectively. We prove by backwards induction  $i = x-1, \dots, 0$ , that  $f(i) = g(i)$ . To see this, clearly  $f'(x-1) = g'(x-1)$ , as these are the  $P^*$  codes for  $f, g$ , respectively. Suppose  $f'(z) = g'(z)$ ,  $z \neq 0$ . Then  $P^*(x, f'(z-1), f(z)) = P^*(x, g'(z-1), g(z))$ , and so  $f'(z-1) = g'(z-1)$ .

Since  $f' = g'$ , we see that for all  $0 \neq i <^* x$ ,  $f(i) = g(i)$ , and also  $f(0) = g(0)$ . Hence  $f = g$ .

The second claim follows immediately from Lemma 6.16. QED

LEMMA 6.22. Let  $x <^* \gamma$ . The  $P^*$  codes of finite sequences into  $<^*[x]$  form a  $<^*$  bounded subclass of  $<^*[\gamma]$ .

Proof: Suppose this is false, and let  $x <^* \gamma$  be the  $<^*$  least counterexample. Then  $\text{DEF}(\{x\})$ . We first show that for all  $y <^* \omega$ , the  $P^*$  codes of length  $y$  sequences into  $<^*[x]$  form a  $<^*$  bounded subclass of  $<^*[\gamma]$ .

Let  $y <^* \omega$ . We show by induction that for all  $i <^* y$ ,  $\{g(i) : g \text{ is a } P^* \text{ sequence for some finite sequence of length } y \text{ into } <^*[x]\}$  is  $<^*$  bounded in  $<^*[\gamma]$ . The basis case is obvious, and the induction step is clear using the second claim of Lemma 6.21.

By setting  $i = y-1$ , we see that the  $P^*$  codes of finite sequences of length  $y$  into  $<^*[x]$  are bounded in  $<^*[\gamma]$ . (The case  $y = 0$  is trivial).

Define  $g : <^*[\omega] \rightarrow <^*[\gamma]$  by  $g(y) =$  the sup of the  $P^*$  codes of length  $y$  sequences into  $<^*[x]$ . Then  $\text{DEF}(g)$ . By Lemma 6.16,  $\text{sup}(\text{rng}(g)) <^* \gamma$ . QED

DEFINITION 6.20. Let  $x$  be a  $P^*$  code.  $\text{lh}(x)$  is  $\text{lth}(f)$ , where the  $P^*$  code of  $f$  is  $x$ .  $x \langle y \rangle$  is  $f(y)$ , where the  $P^*$  code of  $f$  is  $x$ . Note that  $x \langle y \rangle$  is defined if and only if  $y <^* \text{lh}(x)$ .

We are now in a position to code the constructible hierarchy below  $\gamma$ . We freely assume standard Gödel numberings of formulas in the language LST of set theory, which is based on  $\in, =$ , and using variables  $v_1, v_2, \dots$ .

DEFINITION 6.21. Let  $R \subseteq A^2$ .  $\text{SAT}(A, R, x, y)$  if and only if

- i.  $x <^* \omega$  is a Gödel number of a formula  $\phi$  of LST.
- ii.  $y$  is the  $P^*$  code of a finite sequence  $f$  into  $A$ , where all subscripts of variables appearing in  $\phi$  are  $<^* \text{lth}(f)$ .
- iii.  $(A, R)$  satisfies  $\phi$  at the assignment  $f$ , where  $R$  interprets  $\in$ .

Definition 6.19 is formalized in  $T_5$  using Tarski's truth definition (satisfaction relation definition). This makes reference to a provably unique class that forms the relevant satisfaction relation.

DEFINITION 6.22. A wfes (well founded extensional structure) is an  $(A, R)$ ,  $R \subseteq A^2$ , where  $(\forall x, y \in A) ((\forall z) (R(z, x) \leftrightarrow R(z, y)) \rightarrow x = y)$ , every nonempty subset of  $A$  has an element with no  $R$  predecessor from  $A$ , and  $A$  is a  $<^*$  bounded subclass of  $<^*[\gamma]$ .

DEFINITION 6.23. Let  $(A, R)$  be given,  $R \subseteq A^2$ .  $x$  is a basic code over  $(A, R)$  if and only if

- i.  $x$  is the  $P^*$  code of a nonempty finite sequence  $f$  into  $A$ .
- ii.  $\{u \in A: \text{SAT}(A, R, f(0), u, f(1), \dots, f(\text{lth}(f)-1))\}$  is not of the form  $\{a \in A: R(a, b)\}$ ,  $b \in A$ .
- iii.  $\{u \in A: \text{SAT}(A, R, f(0), u, f(1), \dots, f(\text{lth}(f)-1))\}$  is not  $\{u \in A: \text{SAT}(A, R, g(0), u, g(1), \dots, g(\text{lth}(g)-1))\}$ , where the  $P^*$  code of nonempty  $g$  is  $<^* x$ .

$x$  is a code over  $(A, R)$  if and only if  $x = P^*(y, \text{sup}(A))$ , where  $y$  is a basic code over  $(A, R)$ .

The last line of Definition 6.23 is to guarantee that the codes are higher than  $A$  in  $<^*$ .

DEFINITION 6.24. Let  $(A, R)$  be given,  $R \subseteq A^2$ .  $\text{FODO}(A, R) = (B, S)$ , where  $B$  is  $A$  union the class of all codes over  $(A, R)$ , and  $S(u, x)$  if and only if  $R(u, x) \vee (u \in A \wedge \text{SAT}(A, R, (f(0), u, f(1), \dots, f(\text{lth}(f)-1))))$ , where  $x = P^*(y, \text{sup}(A))$  and  $y$  is the  $P^*$  code of  $f$ .

DEFINITION 6.25. We say that  $(A,R)$  is an initial segment of  $(B,S)$  if and only if  $R \subseteq A^2 \wedge S \subseteq B^2 \wedge A = B \cap <^*[\text{sup}(A)] \wedge R = S \cap A^2$ . The union of a family of  $(A,R)$ 's is  $(B,S)$ , where  $B$  is the union of the  $A$ 's and  $S$  is the union of the  $R$ 's.  $\text{sup}(A,R) = \text{sup}(A)$ .

LEMMA 6.23. Every wfes  $(A,R)$  is a proper initial segment of the wfes  $\text{FODO}(A,R)$ .

Proof: Let  $A,R$  be as given. The first component of  $\text{FODO}(A,R)$  is a  $<^*$  bounded subclass of  $<^*[\gamma]$  by Lemma 6.21. Extensionality in  $\text{FODO}(A,R)$  is clear by the construction in Definition 6.25. That  $(A,R)$  is an initial segment of  $\text{FODO}(A,R)$  is also clear by the construction in Definition 6.25, as the new elements are  $\geq \text{sup}(A)$  using Lemma 6.19. For the well foundedness of  $\text{FODO}(A,R)$ , let  $X$  be a nonempty subset of  $\text{dom}(\text{FODO}(A,R))$ . If  $X \cap A \neq \emptyset$ , then use an  $R$  least element of  $X$ . Otherwise, use any new element.

It remains to show that  $\text{FODO}(A,R)$  is not  $(A,R)$ . We have only to show that some  $(A,R)$  definable  $B \subseteq A$  is not the set of  $R$  predecessors of any  $x \in A$ . Take  $B = \{x \in A: \neg R(x,x)\}$ . QED

Recall the convention in Definition 6.10.

DEFINITION 6.26.  $f$  is an  $L$  function if and only if  $f: <^*[x] \rightarrow \wp(<^*[\gamma])$ , where

- i.  $x <^* \gamma$ .
- ii.  $0 <^* x \rightarrow f(0) = (\emptyset, \emptyset)$ .
- iii.  $y+1 <^* x \rightarrow f(y+1) = \text{FODO}(f(y))$ .
- iv. Let  $y <^* x$ , and  $y$  be a  $<^*$  limit point.  $f(y) = \cup_{z <^* y} f(z)$ .

In  $T_5$ ,  $f:V \rightarrow \wp(V)$  are interpreted as corresponding relations on  $V$ .

LEMMA 6.24. If  $f,g$  are  $L$  functions then  $f \subseteq g \vee g \subseteq f$ . For all  $x <^* \gamma$  there exists a unique  $L$  function  $f: <^*[x] \rightarrow \wp(<^*[\gamma])$ . This unique  $f$  has  $\text{sup}(\text{Orng}(f)) <^* \gamma$ . Furthermore, for all  $y \leq^* z <^* x$ ,  $f(y)$  is an initial segment of  $f(z)$ , and  $f(y)$  is a wfes.

Proof: Let  $f,g$  be  $L$  functions, and suppose  $(\exists x)(f(x) \neq g(x))$ . Let  $x$  be  $<^*$  least such that  $f(x) \neq g(x)$ . Then  $f(x) = g(x)$ , which is a contradiction. Hence  $f,g$  are comparable.

We now prove that for all  $x <^* \gamma$ , there exists an L function  $f: <^*[x] \rightarrow <^*[\gamma]$  with  $\sup(\cup\text{rng}(f)) <^* \gamma$ , and for all  $y \leq^* z <^* x$ , the wfes  $f(y)$  is a proper initial segment of the wfes  $f(z)$ . Suppose this is false, and let  $x$  be the  $<^*$  least counterexample. Obviously  $x \neq 0$ , and  $\text{DEF}(x)$ .

case 1.  $x$  is a  $<^*$  limit point. Let  $f$  be the union of the L functions whose domain is some  $<^*[y]$ ,  $y <^* x$ . This union is a function by the first claim. Clearly  $f: <^*[x] \rightarrow \emptyset (<^*[\gamma])$ . By Lemma 6.16,  $\sup(\text{rng}(f)) <^* \gamma$ . Also, the initial segment property holds for  $f$ , since the initial segment property holds for the L functions whose domain is some  $<^*[y]$ ,  $y <^* x$ .

case 2.  $x = y+1$ . Let  $f$  be the L function with  $f: <^*[y] \rightarrow <^*[\gamma]$ . If  $y = 0$  then extend  $f$  at 0 by  $f'(0) = (\emptyset, \emptyset)$ . If  $y = z+1$  then extend  $f$  at  $y$  by  $f'(y) = \text{FODO}(f(z))$ . Since  $\sup(\cup\text{rng}(f)) <^* \gamma$ , we see that  $\sup(\cup\text{rng}(f')) <^* \gamma$ , by Lemma 6.22. Also the initial segment property holds by Lemma 6.23. This contradicts the choice of  $x$ .

Assume  $y$  is a  $<^*$  limit point. Let  $f$  be the L function with  $f: <^*[y] \rightarrow <^*[\gamma]$ . Let  $(A, R) = \cup_s f(z)$ . Since  $y <^* x$ ,  $\sup(\cup\text{rng}(f)) <^* \gamma$ . From the initial segment property for  $f$ , we see that  $(A, R)$  is a wfes. Hence  $\text{FODO}(A, R)$  is a wfes. Extend  $f$  at  $y$  by  $f'(y) = (A, R)$ . Then  $f'$  is an L function with  $f': <^*[x] \rightarrow <^*[\gamma]$ ,  $\sup(\cup\text{rng}(f')) <^* \gamma$ , and we have the initial segment property. This contradicts the choice of  $x$ .

Thus we have arrived at a contradiction, and so for all  $x <^* \gamma$ , there exists an L function  $f: <^*[x] \rightarrow <^*[\gamma]$  with  $\sup(\cup\text{rng}(f)) <^* \gamma$ , where for all  $y \leq^* z <^* x$ ,  $f(y)$  is an initial segment of  $f(z)$ , and  $f(y)$  is a wfes. QED

DEFINITION 6.27. Let  $x <^* \gamma$ .  $(L[x], E[x]) = f(x)$ , where  $f$  is the L function with domain  $<^*[x+1]$ .

LEMMA 6.25.  $(L[0], E[0]) = (\emptyset, \emptyset)$ . For all  $x <^* \gamma$ ,  $(L[x+1], E[x+1]) = \text{FODO}(L[x], E[x])$ . For all  $<^*$  limit points  $x <^* \gamma$ ,  $L[x] = \cup_{y <^* x} L[y]$ ,  $E[x] = \cup_{y <^* x} E[y]$ . For all  $x <^* y <^* \gamma$ ,  $(L[x], E[x])$  is an initial segment of  $(L[y], E[y])$ . For all  $x, y, z <^* \gamma$ ,  $(E[x](y, z) \rightarrow y <^* z) \wedge (z \in E[y] \setminus E[x] \rightarrow \sup(E[x] <^* z))$ .

Proof: The first four claims follow easily from Lemma 6.24 using Definition 6.29. For the last claim, let  $x$  be the  $<^*$  least counterexample. Clearly  $x \neq 0$ . Let  $x = w+1$ . Since  $w$  has the property,  $x$  has the property by the FODO construction, and  $x, y \leq P^*(x, y)$  from Lemma 6.19. Let  $x$  be a  $<^*$  limit point. Then  $x$  has the property, using that each  $y <^* x$  has the property, and the initial segment claims in Lemma 6.24. We have contradicted the choice of  $x$ , and hence have a contradiction. QED

DEFINITION 6.28.  $L = \cup_{x <^* \gamma} L[x]$ ,  $E = \cup_{x <^* \gamma} E[x]$ .

LEMMA 6.26.  $\text{DEF}(L, E)$ .  $E(x, y) \rightarrow x <^* y$ .  $L \cap <^*[y] \subseteq L[y]$ .

Proof:  $\text{DEF}(L, E)$  is clear from the definitions of  $L, E$ . The second claim is by Lemma 6.24 and the last claim of Lemma 6.25. For the third claim, let  $z \in L \cap <^*[y]$ . Let  $z \in L[w]$ ,  $z <^* y$ . If  $w \leq^* y$  then  $z \in L[y]$ . If  $w >^* y$  then by Lemma 6.24,  $(L[y], E[y])$  is a proper initial segment of  $(L[w], E[y])$ . Hence  $z \in L[y]$ . QED

THEOREM 6.27.  $T_5$  proves  $(L, E)$  is a model of ZF.  $T_5$  proves the consistency of ZFC, when formulated with standard formalized syntax. ZFC is interpretable in  $T_5$ . EFA proves  $\text{Con}(T_5) \rightarrow \text{Con}(ZFC)$ .

Proof: In  $T_5$ , we can define the satisfaction relation over  $(L, E)$ , no matter whether  $\gamma = \infty$  or  $\gamma < \infty$ . The axioms of extensionality, pairing, union, infinity, foundation are easily verified. This leaves separation, power set, and replacement.

Separation is verified by adapting the standard argument using Skolemization, in order to pull down a formula  $\phi$  with unbounded quantifiers, and parameters from some  $L[x]$ , to a formula  $\psi$  with quantifiers bounded to some  $L[y]$ , where  $\phi, \psi$  are equivalent for all parameters from some  $L[x]$ . Here  $\psi$  depends only on  $\phi$ , and  $y$  depends only on  $x, \phi$ . In the sense internal to  $(L, E)$ , the required set lies in  $L[y+1]$ . This pulling uses the regularity of  $\gamma$  given by Lemma 6.16.

Replacement is established by first using the regularity of  $\gamma$  given by Lemma 6.16, and then applying separation.

For power set, we show that  $(\forall x)(\exists y)(\forall z)((L,E) \text{ satisfies } z \subseteq x \rightarrow z \in L[y])$ . This suffices, since we have already established Separation in  $(L,E)$ .

Suppose this is false, and let  $x$  be the  $<^*$  least counterexample. Then  $\text{DEF}(x)$ .

We define partial  $f: <^*[y] \rightarrow \wp(<^*[y])$  by  $f(z) = \{w: E(w,z)\}$  if  $(L,E)$  satisfies  $z \subseteq x$ ; undefined otherwise. Then  $\text{DEF}(f)$ . By extensionality in  $(L,E)$ ,  $f$  is one-one. Also for all  $z \in \text{dom}(f)$ ,  $f(z) \in \wp(<^*[x])$  by Lemma 6.26. By Lemma 6.12, let  $y = \sup(\text{dom}(f))$ . By Lemma 6.26,  $L \cap <^*[y] \subseteq L[y]$ . Hence  $(\forall z)((L,E) \text{ satisfies } z \subseteq x \rightarrow z \in L[y])$ . This contradicts the choice of  $x$ .

Thus we have proved the first two claims. For the third claim, note that ZFC is interpretable in ZF. The fourth claim follows easily from the second claim. QED

## 7. Interpreting a strong extension of ZFC in $T_5$ .

In this section, we extend Theorem 6.26 to ZFC + "there are arbitrarily large strong Ramsey cardinals". See Definition 7.18.

We use the development and notation from section 6. The ideas are an adaptation of the well known inner model construction  $L[U]$ , where  $U$  is a nontrivial  $\kappa$  complete ultrafilter on a measurable cardinal  $\kappa$ , to the present context. See, e.g., [Ka94], p. 261.

One difference in the present construction is that we are not sitting inside a model of ZFC above  $\gamma$ . Recall that we have only  $\gamma \in V \vee \gamma = \infty$ , and we are arguing uniformly under both cases. Thus we will not be getting a model of ZFC + "there exists a measurable cardinal". We know that we cannot get such a model from  $T_5$  because of the last claim in Theorem 5.1.

Another difference is that we cannot normalize the ultrafilter given by POS before starting the construction. Usually, in set theory, with  $L[U]$  type constructions, the filter is normalized first before constructing from it. We will, however, still get an internal  $\gamma$  complete ultrafilter, which, internally, can be normalized - even though we are not sitting within a model of ZFC. Then the normal  $\gamma$



complete ultrafilter is used in familiar ways to prove the existence of arbitrarily large strong Ramsey cardinals below  $\gamma$ . This will be enough to get a model of ZFC with arbitrarily large strong Ramsey cardinals.

We argue entirely in T5.

DEFINITION 7.1. Let  $(A, T)$  be a well ordering. An end extension of  $(A, T)$  is a well ordering  $(A', T')$  such that

i.  $A \subseteq A' \wedge T \subseteq T'$ .

ii.  $y \in A \wedge T'(x, y) \rightarrow x \in A$ .

A proper end extension of  $(A, T)$  is an end extension which is not  $(A, T)$ .

In this section, in a typical well ordering  $(A, T)$ ,  $A$  is a  $<^*$  unbounded subclass of  $<^*[\gamma]$ , and  $(A, T)$  is much longer than  $(<^*[\gamma], <^*)$ . The end extensions  $(B, W)$  of  $(A, T)$  will typically have many  $x \in A$  and  $y \in B \setminus A$  with  $x >^* y$ . This is in contrast with the initial segment notion in Definition 6.27, which is tied to  $<^*$ .

DEFINITION 7.2. We often consider  $(A, T \cap A^2)$ . It is convenient to define  $(A, T/) = (A, T \cap A^2)$ , as the expression for  $A$  may be long.

The following definition was made in section 6 only for  $<^*$  (Definition 6.14). Here we need the more general notion.

DEFINITION 7.3. Let  $(A, T)$  be a wo. We define  $<_{A, T}$  on  $A^2$  as follows.  $(x, y) <_{A, T} (z, w) \leftrightarrow T(\max(x, y), \max(z, w)) \vee (\max(x, y) = \max(z, w) \wedge (x, y) <_{\text{lex}} (z, w))$ . Here  $\max$  and  $<_{\text{lex}}$  are with respect to  $(A, T)$ .

LEMMA 7.1. If  $(A, T)$  is a wo then  $(A^2, <_{A, T})$  is a well ordering.

Proof: See Lemma 6.17. QED

LEMMA 7.2. Let  $A \subseteq <^*[\gamma]$  be  $<^*$  unbounded in  $<^*[\gamma]$ ,  $\text{DEF}(A)$ . There is a unique isomorphism from  $(A, <^*/)$  onto  $(<^*[\gamma], <^*/)$ . This unique isomorphism  $f$  has  $\text{DEF}(f)$ .

Proof: Let  $A$  be as given. First show that the isomorphisms from  $(A \cap <^*[x], <^*/)$  onto some  $(<^*[y], <^*/)$  cohere. Then show that for all  $x <^* \gamma$ , there exists a unique isomorphism from  $(A \cap <^*[x], <^*/)$  onto some  $(<^*[y], <^*/)$ , using the

regularity given by Lemma 6.11. Finally, take the union  $f$  of these coherent isomorphisms. By Lemma 6.11,  $f$  is onto. QED

LEMMA 7.3. Let  $A \subseteq \kappa^*$  be  $\kappa^*$  unbounded in  $\kappa^*$ ,  $0 \neq x \leq \kappa^*$ , and  $\text{DEF}(A, \{x\})$ . There exists  $f: A \rightarrow \kappa^*$  such that for all  $y < x$ ,  $f^{-1}(y)$  is  $\kappa^*$  unbounded in  $\kappa^*$ , and  $\text{DEF}(f)$ .

Proof: Let  $A, x$  be as given. In light of Lemma 7.2, it suffices to find  $f: \kappa^* \rightarrow \kappa^*$  such that for all  $y < x$ ,  $f^{-1}(y)$  is  $\kappa^*$  unbounded in  $\kappa^*$ , and  $\text{DEF}(f)$ . Take  $f: \kappa^* \rightarrow \kappa^*$  given by  $f(y) = z$  if  $(\exists w < \kappa^*) (z < x \wedge y = P^*(z, w))$ ; 0 otherwise. Then for all  $z < x$ ,  $f^{-1}(z) = \{P^*(z, w) : w < \kappa^*\}$ , which is unbounded in  $\kappa^*$  by Lemma 6.11 and that  $P^*$  is one-one. QED

DEFINITION 7.4. The  $f$  constructed in the proof of Lemma 7.2 is called the  $x$  splitting of  $A$ .

LEMMA 7.4. There exists  $A \subseteq \kappa^*$ , where

- i.  $\omega \subseteq A$ .
- ii.  $\kappa^* \setminus A$  is  $\kappa^*$  unbounded in  $\kappa^*$ .
- iii.  $\text{POS}(A)$
- iv.  $\text{DEF}(A)$ .

Proof: Let  $f$  be the 2 splitting of  $\kappa^*$ . Then  $\text{POS}(f^{-1}(0)) \vee \text{POS}(f^{-1}(1))$ . Take  $A = f^{-1}(0) \cup \omega$  if  $\text{POS}(f^{-1}(0))$ ;  $f^{-1}(1) \cup \omega$  otherwise. We are using Lemma 6.7. Here  $0 < 1 < 2$  are the first three elements of  $\omega$ . QED

DEFINITION 7.5. Let  $X$  be the  $A$  constructed in the proof of Lemma 7.4. Let  $\theta$  be the  $\kappa^*$  least element of  $\kappa^* \setminus A$ . Clearly  $\theta > \omega$ .

All of the well orderings that we will be now using will end extend  $X \cup \{\theta\}$ , with  $\theta$  moved to just above  $X$ .

$\theta$  is very important to the rest of this section. Ultimately,  $\theta$  will become an internal strongly inaccessible cardinal with large cardinal properties, and  $V(\theta)$  will be a model of ZFC with arbitrarily large strong Ramsey cardinals.

DEFINITION 7.6. A good wo is a well ordering  $(A, T)$  such that

- i.  $A \subseteq \kappa^*$ .

- ii.  $\langle^*[\gamma] \setminus A$  is  $\langle^*$  unbounded in  $\langle^*[\gamma]$ .
- iii.  $(A, T)$  is an end extension of  $(X \cup \{\theta\}, \{(x, y) : (x \langle^* y \wedge x, y \in X) \vee (x \in X \wedge y = \theta)\})$ .

We impose condition ii because we will want to be end extending good wo's, and we need some room.

DEFINITION 7.7.  $(A, T)$  is an adequate wo if and only if

- i.  $(A, T)$  is a good wo.
- ii.  $(A^2, \langle_{A, T})$  is order isomorphic to  $(A, T)$ .  
 $P_{A, T}$  is the unique order isomorphism from  $(A^2, \langle_{A, T})$  onto  $(A, T)$ .

LEMMA 7.5.  $(X \cup \{\theta\}, \{(x, y) : (x \langle^* y \wedge x, y \in X) \vee (x \in X \wedge y = \theta)\})$  is a good wo whose two components have DEF. Let  $(A, T)$  be a good wo,  $DEF(A, T)$ . There is an adequate wo  $(B, W)$  which is a proper end extension of  $(A, T)$ , with  $DEF(B, W)$ .

Proof: The first claim is immediate. For the second claim, we put  $\omega$  successive copies of  $(A^2, \langle_{A, T})$  on top of  $(A, T)$ . We have to replace ordered pairs with points, and we also have to disjointify. For the  $n$ -th copy,  $n \langle^* \omega$ , use points from  $f^{-1}(n+1)$ , where  $f$  is the  $\omega$  splitting of  $\langle^*[\gamma] \setminus A$ .

Specifically, for each  $n \langle^* \omega$ , let  $g_n: \langle^*[\gamma] \rightarrow f^{-1}(n+1)$  be the unique  $\langle^*$  preserving bijection, and  $h_n: A^2 \rightarrow f^{-1}(n+1)$  be given by  $h_n(y, z) = g_n(P_{A, T}(y, z))$ . The  $n$ -th successive copy of  $(A^2, \langle_{A, T})$  will be the push of  $h_n$ , so that its domain is a  $\langle^*$  unbounded subclass of  $f^{-1}(n+1)$ . Note that  $f^{-1}(0)$  remains unused. QED

An adequate wo  $(A, T)$  is a pretty good place to build hierarchies over its  $\langle^*$  unbounded domain, because of its closure under the natural pairing function  $P_{A, T}$ . However, it is more convenient to have the stronger closure property in Definition 7.8.

DEFINITION 7.8.  $f$  is special for  $(A, T)$  if and only if

- i.  $(A, T)$  is an adequate wo.
- ii.  $\text{dom}(f) = A$ .
- iii.  $f(0) = (A, T)$ .
- iv. If  $T(x, y)$  then  $f(x), f(y)$  are adequate wo's, and  $f(y)$  is a proper end extension of  $f(x)$ .
- v.  $\text{Urng}(f)$  is an adequate wo.

LEMMA 7.6. Let  $(A, T)$  be an adequate well ordering,  $DEF(A, T)$ . There is a special  $f$  for  $(A, T)$ ,  $DEF(f)$ .

Proof: Let  $(A, T)$  be as given. We consider specific approximations to the desired  $f$ . These are based on the  $\gamma$  splitting  $F$  of  $\langle^*[\gamma] \setminus A$ . We require that  $f(0) = (A, T)$ , and that  $f$  at limits in  $(A, T)$  be the union of the earlier values. At successors  $x'$  in  $(A, T)$ , we require that  $f(x')$  be the end extension of  $f(x)$  constructed in the proof of Lemma 7.5, then trivially modified so that the new points lie in  $F^{-1}(x')$ .

There is a longest such  $f$ . If  $\text{dom}(f) = A$  then we are done. Assume  $\text{dom}(f) \neq A$ . Then  $\text{DEF}(f)$ , and so we can extend  $f$ . Hence  $\text{dom}(f) = A$ . In the construction, we never use  $F^{-1}(0)$ , and so condition  $v$  holds. QED

DEFINITION 7.9.  $(A, T)$  is a special wo if and only if  $(A, T)$  is an adequate wo which is order isomorphic to  $(B, T \cap B^2)$ , where  $B = \{x \in A : \{y : T(y, x)\} \text{ is closed under } P_{A, T}\}$ . We write  $P_{\#A, T}$  for this order isomorphism.

LEMMA 7.7. Let  $(A, T)$  be an adequate wo,  $\text{DEF}(A, T)$ . There is a special wo  $(B, W)$  which is a proper end extension of  $(A, T)$ , with  $\text{DEF}(B, W)$ .

Proof: Let  $(A, T)$  be as given. We construct  $(A, T) = (A_0, T_0), (A_1, T_1), \dots$ , and functions  $f_0, f_1, \dots$ , such that each  $f_i$  is special for  $(A_i, T_i)$ , and each  $(A_{i+1}, T_{i+1})$  is the union of the range of  $f_i$ . Specifically, each  $f_i$  is given by the explicit construction used in the proof of Lemma 7.6.

The union  $(A', T')$  of the  $(A_i, T_i)$  meets all of the requirements of a special wo, except that  $\langle^*[\gamma] \setminus A'$  might be  $\langle^*$  bounded in  $\langle^*[\gamma]$ . This can be easily fixed using the 2 splitting of  $A' \setminus (X \cup \{\emptyset\})$ , resulting in the desired  $(B, W)$ ,  $\text{DEF}(B, W)$ . QED

We now develop the constructible hierarchy relative to POS, along any special wo  $(A, T)$ . We can build this without assuming  $\text{DEF}(A, T)$ .

DEFINITION 7.10. Let  $(A, T)$  be a special wo, and  $(D, T \cap D^2, R, U)$  be given, where  $D$  is a proper initial segment of  $A$  under  $T$ ,  $X \cup \{\emptyset\} \subseteq D$ ,  $R \subseteq D^2$ ,  $U \subseteq D$ . Furthermore, we assume that  $(D, R)$  satisfies extensionality, and  $R(x, y) \rightarrow T(x, y)$ . We define the continuation of  $(D, T \cap D^2, R, U)$  in  $(A, T)$  as the following quadruple  $(D', T \cap D'^2, R', U')$ . We have  $D \subseteq D'$ , and

$D'$  is a proper initial segment of  $A$  under  $T$ . The sets  $\{y: R'(y,x)\}$ ,  $x \in D'$ , comprise exactly the subsets of  $D$  that are first order definable over  $(D, T \cap D^2, R, U)$ , without repetition (extensionality). We accomplish this by assigning, to each  $x \in D' \setminus D$ , one finite sequence  $(\phi, y_1, \dots, y_n)$ ,  $\phi$  a formula in the language of  $(D, T \cap D^2, R, U)$ , with free variables assigned the elements  $y_1, \dots, y_n \in D$ , with the free variable  $v$  of  $\phi$  reserved to define the associated subset of  $D$  definable over  $(D, T \cap D^2, R, U)$ . The constraint is that extensionality is to be maintained. Suppose that we have used, in this way, all  $y$  above  $D$  but below a given  $x$ , under  $T$ . For  $x$ , we use the "least" finite sequence that will maintain extensionality when used in this manner. (If we cannot maintain extensionality, then the construction has already been completed). Here "least" is in terms of the ordering  $T$  of finite sequence codes arising out of the pairing function  $P_{A,T}$ . We take  $U'$  to consist of all  $x \in D'$  such that  $\{y: R'(y,x)\} \subseteq X$  and  $\text{POS}(\{y: R'(y,x)\})$ .

Definition 7.10 is a more careful form of Definitions 6.23, 6.24. Here we are working in an environment  $(A, T)$ , of which there are many - rather than previously in one specific environment  $(\langle^*[\gamma], \langle^*)$ . Here we retain  $(A, T)$  as part of a richer structure, that also incorporates a piece of  $\text{POS}$ . So we make sure that we use initial segments of  $(A, T)$  as sets of points. In Definition 6.29, we didn't care about  $L[x]$  skipping over points in  $\langle^*[\gamma]$ .

LEMMA 7.8. Definition 7.10 is well defined.  $(D, T \cap D^2, R, U)$  is a proper initial segment of  $(D', T' \cap D'^2, R', U')$  in the sense that  $(D', T' \cap D'^2)$  is a proper end extension of  $(D, T \cap D^2)$ ,  $R = R' \cap D^2$ , and  $U = U' \cap D$ .

Proof: For the first claim, the issue is whether there is enough room to go through the finite sequences from  $D$  in the manner stipulated. This follows from the adequacy of  $(A, T)$ : specifically the closure of  $A$  under  $P_{A,T}$ .

For the second claim, it suffices to show that some  $E \subseteq D$  that is  $(D, T \cap D^2, R, U)$  definable if not the set of  $R$  predecessors of any  $x \in D$ . Take  $E = \{x \in D: \neg R(x,x)\}$ . QED

DEFINITION 7.11. Let  $(A, T)$  be a special well ordering. For each  $x \in A$ , we define  $L[x, A, T, \text{POS}]$  to be a proper initial

segment of  $A$ ,  $E[x, A, T, POS] \subseteq T \cap L[x, A, T, POS]$ ,  $POS^*[x, A, T] \subseteq L[x, A, T, POS]$ , as follows. We take  $L[0, A, T, POS] = X \cup \{\emptyset\}$ ,  $E[0, A, T, POS] = T \cap (X \cup \{\emptyset\})^2$ ,  $POS^*[0, A, T] = \{\emptyset\}$ . If  $x$  is a limit point in  $(A, T)$ , then  $L[x, A, T, POS] = \cup\{L[y, A, T, POS] : T(y, x)\}$ ,  $E[x, A, T, POS] = \cup\{E[y, A, T, POS] : T(y, x)\}$ ,  $POS^*[x, A, T] = \cup\{POS^*(y, A, T) : T(y, x)\}$ . Let  $x'$  be the immediate successor of  $x$  in  $(A, T)$ . We take  $(L[x', A, T, POS], T', E[x, A, T, POS], POS^*[x, A, T])$  to be the continuation of  $(L[x, A, T, POS], T', E[x, A, T, POS], POS^*[x, A, T])$  in  $(A, T)$ , assuming  $L[x, A, T, POS]$  is a proper initial segment of  $A$ .

Definitions 7.10, 7.11 are formalized in  $T_5$  using approximating functions, as in section 6.

LEMMA 7.9. Definition 7.11 is well defined for all  $x \in A$ .

Proof: The issue is whether we run out of points in  $A$  during the construction. We must check that if  $x$  is a limit point in  $(A, T)$ , and we have defined  $L[x, A, T, POS]$ ,  $E[x, A, T, POS]$ ,  $POS^*[x, A, T]$ , that we have not used up all of  $A$ . We use  $P\#_{A, T}$  for this purpose. It is easy to show that for each  $x \in A$ , the construction can be made uniquely for all  $y$  with  $T(y, x)$ , so that  $L[y, A, T, POS] \subseteq P\#_{A, T}(2+y)$ . Since  $(A, T)$  is a special wo,  $A$  is closed under  $P\#_{A, T}$ . QED

DEFINITION 7.12. Let  $(A, T)$  be a special wo.  $E[A, T, POS] = \cup_{x \in A} E[x, A, T, POS]$ .  $POS^*[A, T] = \cup_{x \in A} POS^*[x, A, T]$ . Let  $M[A, T] = (A, T, E[A, T, POS], POS^*[A, T])$ . For purely set theoretic statements in  $M[A, T]$ , quantifiers range over  $A$ , and  $\in$  is interpreted as  $E[A, T, POS]$ .

LEMMA 7.10. Let  $(A, T)$  be a special well ordering.  $M[A, T]$  is well founded, and satisfies extensionality, pairing, union, infinity, foundation,  $\Delta_0$  separation, and "every set is in one-one correspondence with an ordinal".  $E[A, T, POS](x, y) \rightarrow T(x, y)$ .  $X$  forms a proper initial segment of the set theoretic ordinals of  $M[A, T]$ , with  $\emptyset$  as the next set theoretic ordinal of  $M[A, T]$ . The ordinals of  $M[A, T]$  under  $E[A, T, POS]$  are isomorphic to  $(A, T)$ .

Proof: Left to the reader.  $T_5$  is sufficient to formalize the statement that  $M[A, T]$  satisfies  $\Delta_0$  separation. QED

We want to work with an  $M[A, T]$  which satisfies " $\forall(\emptyset)$  exists". Some work is needed in order to find such  $M[A, T]$

within  $T_5$ . We need to develop canonical codes for some  $M[A, T]$ .

LEMMA 7.11. There is a sentence  $\varphi$  in  $\epsilon, =, \text{POS}$  such that the following holds. Let  $(A, T)$  be a special well ordering.  $M[A, T]$  is the unique expansion of  $(A, T)$  satisfying  $\varphi$  which interprets  $\text{POS}$  correctly, in the following sense. For any  $x \in A$ ,  $\text{POS}(x)$  holds if and only if the  $\epsilon$  predecessors of  $x$  forms a subset of  $X$  which actually has  $\text{POS}$ .

Proof: Left to the reader. QED

DEFINITION 7.13. Let  $(A, T)$  be a special wo. For  $x \in A$ , define  $M[A, T]_x = \{y: E[A, T, \text{POS}](y, x)\}$ .  $B$  is  $M[A, T]$  internal if and only if  $B = M[A, T]_x$  for some necessarily unique  $x \in A$ .  $B <_{A, T, \text{POS}} C$  if and only if  $(\exists x, y) (B = M[A, T]_x \wedge C = M[A, T]_y \wedge T(x, y))$ .

DEFINITION 7.14.  $(A, T)$  is a great wo if and only if

- i.  $(A, T)$  is a special wo.
- ii. Some subset of  $X$  that is definable over  $M[A, T]$  with parameters from  $X$ , is not  $M[A, T]$  internal.
- iii. ii holds for any special wo  $(B, W)$  such that  $(A, T)$  is an end extension of  $(B, W)$ .

LEMMA 7.12. Let  $(A, T)$  be a great wo. Some subset of  $X$  definable over  $M[A, T]$  without parameters, is not  $M[A, T]$  internal.

Proof: This amounts to being able to kill the parameters used for the subset of  $X$ . First note that  $\theta$  is defined as the least ordinal with  $\text{POS}^*[A, T]$ . Hence  $X$  is also defined without parameters. Now use  $T$  least counterexamples to successively kill the parameters. QED

LEMMA 7.13. Let  $(A, T)$  be a great wo. Every element of  $A$  is definable over  $M[A, T]$  with parameters from  $X$ .

Proof: Let  $(A, T)$  be a great wo. By Lemma 7.12, let  $\{x \in A: \varphi(x) \text{ holds in } M[A, T]\}$  be not  $M[A, T]$  internal, where  $\varphi$  has no parameters.

Let  $B$  be the set of all elements of  $A$  which are definable over  $M[A, T]$  with parameters from  $X$ . Using  $T$  least examples, we see that the restriction of  $M[A, T]$  to  $B$ ,  $M[A, T]|_B$ , is an elementary substructure of  $M[A, T]$ .

It is easy to see that  $(B, T \cap B^2)$  is a special wo. Since it is a restriction of  $M[A, T]$  that contains  $X$ , it interprets POS correctly. By Lemma 7.11,  $M[A, T]$  satisfies the sentence  $\varphi$  used there. Hence  $M[A, T] \upharpoonright B$  also satisfies this  $\varphi$ . Hence by Lemma 7.11,  $M[A, T] \upharpoonright B = M[B, T \cap B^2]$ . Clearly  $\{x \in A : \varphi(x) \text{ holds in } M[A, T]\} = \{x \in A : \varphi(x) \text{ holds in } M[B, T \cap B^2]\}$  is not  $M[A, T]$  internal and not  $M[B, T \cap B^2]$  internal. Also  $(B, T \cap B^2)$  is obviously not longer than  $(A, T)$ .

Suppose  $(B, T \cap B^2)$  is shorter than  $(A, T)$ . Then  $(B, T \cap B^2)$  is isomorphic to a proper initial segment of  $(A, T)$ . Therefore  $\{x \in A : \varphi(x) \text{ holds in } M[B, T \cap B^2]\}$  is  $M[A, T]$  internal. This is a contradiction.

Thus  $(B, T \cap B^2)$  and  $(A, T)$  are isomorphic, and therefore  $M[A, T]$  and  $M[B, T \cap B^2]$  are isomorphic. The isomorphism must be the identity on  $X$ . Since every element of  $B$  is definable over  $M[A, T] \upharpoonright B = M[B, T \cap B^2]$  with parameters from  $X$ , it is also the case that every element of  $A$  is definable over  $M[A, T]$  with parameters from  $X$ . We can also conclude that  $B = A$  (although this is not needed). QED

DEFINITION 7.15. Let  $(A, T)$  be a great wo.  $\text{CODE}(A, T)$  is the set of all sentences with parameters from  $X$  that hold in  $M[A, T]$ . Using  $P_{A, T}$ ,  $\text{CODE}(A, T)$  is viewed as a subset of  $X$ .

LEMMA 7.14. Let  $(A, T), (B, W)$  be great wo's. We can explicitly recover an isomorphic copy of  $M[A, T]$  from  $\text{CODE}(A, T)$ . The following are equivalent.

- i.  $\text{CODE}(A, T) = \text{CODE}(B, W)$ .
- ii.  $(A, T), (B, W)$  are isomorphic.

Proof: Let  $A, T, B, W$  be as given. Using  $\text{CODE}(A, T)$ , we construct an isomorphic copy of  $M[A, T]$ , and therefore of  $(A, T)$ , as follows. First identify definitions of prospective points, with parameters from  $X$ , under the equivalence relation of equality. Then define the components of the prospective copy of  $M(A, T)$ , acting on the equivalence classes. Then explicitly pick a unique representative from each equivalence class, using the explicit well ordering of  $X$ . By Lemma 7.13, this results in an isomorphic copy of  $M[A, T]$ .

The second claim follows immediately from the first claim.  
QED



LEMMA 7.15. Let  $(A,T), (B,W)$  be great wo's. If  $(A,T)$  is shorter than  $(B,W)$ , then  $\text{CODE}(A,T)$  is  $M[B,W]$  internal.  $\text{CODE}(A,T)$  is not definable over  $M[A,T]$ . In particular,  $\text{CODE}(A,T)$  is not  $M[A,T]$  internal.

Proof: Any great wo  $(B,W)$  has easily enough power to internally construct  $\text{CODE}(A,T)$  for each proper initial segment  $(A,T)$  that is a great wo.

Suppose  $\text{CODE}(A,T)$  is definable over  $M[A,T]$ . Then  $E = \{(x,y): x \text{ codes the Gödel number of a formula } \varphi \text{ and a finite sequence from } X, \text{ and } y \in X, \text{ and } \varphi(x,y)\}$  enumerates all subsets of  $X$  definable over  $M[A,T]$ . Since  $E$  can be read off of  $\text{CODE}(A,T)$ ,  $E$  is definable over  $M[A,T]$ . Therefore  $\{x \in X: (x,x) \notin E\}$  is definable over  $M[A,T]$ . Fix  $x \in X$  such that  $\{y: (x,y) \in E\} = \{x \in X: (x,x) \notin E\}$ . Then  $(x,x) \in E \leftrightarrow (x,x) \notin E$ . QED

LEMMA 7.16. There is a great wo,  $(A,T)$ , with  $\text{DEF}(A,T)$ .

Proof: We start with the good wo of Lemma 7.5,  $(X \cup \{\theta\}, \{(x,y): (x <^* y \wedge x,y \in X) \vee (x \in X \wedge y = \theta)\})$ . The proof of Lemma 7.5, second claim, produces a specific adequate wo  $(A,T)$ . The proof of Lemma 7.7 produces a specific proper end extension  $(B,W)$  of  $(A,T)$  which is a special wo. We claim that  $(B,W)$  is a great wo. To see this, we repeat these specific constructions internally in  $M[B,W]$ . We obtain  $R \subseteq X^2$ , definable over  $M[B,W]$ , such that the  $R_x, x \in X$ , comprise exactly the  $M[B,W]$  internal subsets of  $X$ .  $\{x: \neg R(x,x)\}$  is therefore definable over  $M[B,W]$ , yet not  $M[B,W]$  internal. Clearly  $\text{DEF}(B,W)$ . QED

LEMMA 7.17. Let  $(A,T)$  be a great wo,  $\text{DEF}(A,T)$ . There is a great wo  $(B,W)$  which is a proper end extension of  $(A,T)$ , with  $\text{DEF}(B,W)$ .

Proof: Let  $A,T$  be as given. Apply the construction in the proof of Lemma 7.7 to  $(A,T)$ , obtaining the special wo  $(B,W)$ ,  $\text{DEF}(B,W)$ . As in the proof of Lemma 7.16, repeat this specific construction applied to  $(A,T)$ , internally in  $M[B,W]$ . Argue as in the proof of Lemma 7.16 that there is a subset of  $X$ , definable over  $M[B,W]$ , which is not  $M[B,W]$  internal. QED

LEMMA 7.18. Let  $x \in X$ . There is a great wo  $(A, T)$  such that the following holds.

- i.  $M[A, T]$  satisfies " $V(x)$  exists and is in one-one correspondence with some  $u \in \theta$ ".
- ii. For any great wo  $(B, W)$  longer than  $(A, T)$ , the  $V(x)$  of  $M[B, W]$  is the same as the  $V(x)$  of  $M[A, T]$ .

Proof: Assume the hypothesis. Suppose this is false, and let  $x \in X$  be a  $<^*$  least counterexample. Clearly  $DEF(\{x\})$ , and  $x \neq 0$ .

case 1.  $x$  is the immediate successor of  $y$  in  $X$ . Clearly  $DEF(\{y\})$ . Let  $(A, T)$  be a great wo and  $u \in X$  be  $<^*$  least such that

- i.  $M[A, T]$  satisfies " $V(x)$  exists and is in one-one correspondence with  $u$ ".
- ii. For any great wo  $(B, W)$  longer than  $(A, T)$ , the  $V(x)$  of  $M[B, W]$  is the same as the  $V(x)$  of  $M[A, T]$ .

Then  $DEF(\{u\})$ . We now show that there is a great wo  $(B, W)$  and  $v \in X$  such that

- iii.  $M[B, W]$  satisfies " $\wp(u)$  exists and is one-one correspondence with  $v$ ".
- iv. For any great wo  $(C, Y)$  longer than  $(B, W)$ , the  $\wp(u)$  of  $M[C, Y]$  is the same as the  $\wp(u)$  of  $M[B, W]$ .

To establish iii, iv, look at all of the  $M[B, W]$  internal subsets of  $u$  for the various great wo's  $(B, W)$ , under the various  $<_{B, W, POS}$ . Suppose that the resulting length is at least that of  $(<^*[\gamma], <^*)$ . Then we can find a one-one  $f: <^*[\gamma] \rightarrow \wp(<^*[u])$  with  $DEF(f)$ , using that  $DEF(\{x\}, \{y\}, \{u\})$ . This contradicts Lemma 6.12.

Thus the resulting length is that of some  $(<^*[v], <^*/)$ ,  $v <^* \gamma$ ,  $DEF(\{v\})$ . We now show that we can find a single special wo  $(B, W)$  long enough so that all of the internal subsets of  $u$  living in the various great wo's are  $M[B, W]$  internal.

Clearly  $v \neq 0$ . Suppose  $v$  is a successor in  $<^*$ . We use any great wo which has all of these subsets of  $u$ , internally. Since  $v$  is a successor,  $\wp(u)$  exists internally in this great wo, and also the internal one-one correspondence with the analog of  $v$  in  $X$ .

Thus we now assume that  $v$  is a  $<^*$  limit point.

For each  $w <^* v$ , let  $h(w)$  be  $\text{CODE}(C, Y)$ , for the shortest great wo  $(C, Y)$  whose internal subsets of  $u$  are of length at least  $(<^*[w], <^*/)$  under  $<_{C, Y, \text{POS}}$ . Note how the use of these codes, with Lemma 7.14, avoids use of any form of choice which is unavailable in  $T_5$ .

From each  $h(w)$ , we can recover a copy of the corresponding great wo with code  $h(w)$ , using Lemma 7.14. We can then put these great wo's together to form a single long special wo,  $(B, W)$ .

It only remains to verify that  $(B, W)$  is a great wo. Obviously, if there is a longest  $h(w)$ ,  $w <^* v$ , then there is nothing to prove, since  $h(w)$  is already great. So we assume that there is no longest  $h(w)$ ,  $w <^* v$ .

To verify this, we shift the work along  $X$ , using  $v' \in X$  with the same position in  $X$  that  $v$  has in  $<^*[\gamma]$ . Internally in  $M[B, W]$ , define  $h'(w)$ ,  $w \in X$ ,  $w <^* v'$ , as  $\text{CODE}(C, Y)$ , for the shortest great wo  $(C, Y)$  whose internal subsets of  $u$  are of length at least the ordinal  $w$ .

Since each code is a subset of  $X$ , and these codes are indexed by  $\{w \in X: w <^* v'\}$ , we can join them together to form a subset of  $X$  that is definable over  $M[B, W]$ . But this join cannot be  $M[B, W]$  internal. If it is  $M[B, W]$  internal, then it is  $M[C, Y]$  internal, for some great  $(C, Y)$  that is a proper initial segment of  $(B, W)$ , and  $\text{CODE}(C, Y)$  is  $M[C, Y]$  internal. This contradicts Lemma 7.15.

So we have shown that  $M[B, W]$  is a great wo. But in order to get the desired contradiction, we need to properly end extend  $(B, W)$  to another great wo. Note that  $\text{DEF}(B, W)$ . Hence we can apply Lemma 7.17.

case 2.  $x$  is a  $<^*$  limit point in  $X$ . For each  $y <^* x$ ,  $y \in X$ , let  $f(y)$  be  $\text{CODE}(A, T)$ , where  $(A, T)$  is a great wo of least length such that

- i.  $M[A, T]$  satisfies " $\forall(y)$  exists and is in one-one correspondence with some  $u \in \theta$ ".
- ii. For any great wo  $(B, W)$  longer than  $(A, T)$ , the  $V(y)$  of  $M[B, W]$  is the same as the  $V(y)$  of  $M[A, T]$ .

We also let  $g(y)$  be the least  $u \in \theta$  in clause i. Clearly  $\text{DEF}(\{x\}, f, g)$ . By the regularity in Lemma 6.16, fix  $w$  to be the sup in  $X$  of the values of  $g$ . Note that  $\text{DEF}(\{w\})$ .

By Lemma 7.14, for each  $y <^* x$ ,  $y \in X$ , recover a copy of the corresponding great wo with code  $f(y)$ , and then piece these together to form a single long special wo,  $(B, W)$ ,  $\text{DEF}(B, W)$ . By redoing the construction of  $(B, W)$  within  $M[B, W]$  as in case 1, we see that  $(B, W)$  is a great wo.

By Lemma 7.17, let  $(C, Y)$  be a great wo which is a proper end extension of  $(B, W)$ . Then  $M[C, Y]$  satisfies that  $V(x)$  exists. Also, by Lemma 7.10, in  $M[C, Y]$ ,  $V(x)$  is in one-one correspondence with an ordinal. Using  $w$  and the regularity in Lemma 6.16, we see that in  $M[C, Y]$ ,  $V(x)$  is in one-one correspondence with an ordinal  $< \theta$ . Hence  $x$  is not a counterexample, and we have a contradiction.

Since both cases lead to contradictions,  $x$  is not a counterexample, and the Lemma is proved. QED

LEMMA 7.19. There is a great wo  $(A, T)$  such that the following holds.

- i.  $M[A, T]$  satisfies " $V(\theta)$  exists and is in one-one correspondence with  $\theta$ ".
- ii. For any great wo  $(B, W)$  longer than  $(A, T)$ , the  $V(\theta)$  of  $M[B, W]$  is the same as the  $V(\theta)$  of  $M[A, T]$ .
- iii.  $\text{DEF}(A, T)$ .
- iv.  $M[A, T]$  satisfies " $\theta$  is a strongly inaccessible cardinal".

Proof: First construct a great wo  $(A, T)$  with i, ii above, in a manner that is entirely analogous to how we argued case 2 in the proof of Lemma 7.18. I.e., for each  $x \in \theta$  there exists a least code of a great wo that stabilizes  $V(x)$  in the sense of Lemma 7.18, with internal one-one correspondence with some  $u \in \theta$ . Then piece these codes together to form a special wo  $(B, W)$  which stabilizes  $V(\theta)$ , and in light of Lemma 7.10, has  $V(\theta)$  is one-one correspondence with  $\theta$ . By redoing the construction inside  $(B, W)$ , we see as before that  $(B, W)$  is a great wo. We will also have  $\text{DEF}(B, W)$  because of Lemma 7.14.

For iv, use this  $M[B, W]$  with i-iii above, and Lemma 6.12 and 6.16. We can apply Lemmas 6.12, 6.16, since  $\text{DEF}[B, W]$ . QED

We now normalize POS.

DEFINITION 7.16. We fix the great wo  $(A, T)$ , built from  $\text{CODE}(A, T)$ , given by Lemma 7.19.

For the remainder of this section, the only great wo that we use is  $(A, T)$ . By "internal" we will always mean internal to  $M[A, T]$ .

We will be making a number of arguments internally in  $M[A, T]$ . We do not have to worry about using choice within  $M[A, T]$ , as all internal objects are given by points in  $A$ , and we have access to the well ordering  $T$  of  $A$ .

However, we have to be careful about the separation in  $M[A, T]$  that we use. We have  $V(\theta)$  as internal in  $M[A, T]$ . However, we have only  $\Delta_0$  separation in  $M[A, T]$ , and we have to be careful not to use separation in  $M[A, T]$  with unbounded quantifiers. Of course, we have access to POS internally.

We now normalize the ultrafilter POS in  $M[A, T]$ .

DEFINITION 7.17.  $f$  is internally adequate if and only if  $f: \theta \rightarrow \theta$ ,  $f$  is internal, and for all  $x \in \theta$ ,  $\neg \text{POS}(f^{-1}(x))$ .  $f < \# g$  if and only if  $f, g$  are adequate and  $\text{POS}(\{x: f(x) <^* g(x)\})$ . We say that  $f$  is  $< \#$  minimal if and only if  $f$  is internally adequate and there is no  $g < \# f$ .

LEMMA 7.20. There exists  $f$  such that  $f$  is  $< \#$  minimal and  $\text{DEF}(f)$ .

Proof: Suppose there is no  $< \#$  minimal  $f$ . Externally, we build internally adequate  $f_0, f_1, \dots$  as follows.  $f_0$  is the identity on  $\theta$ .  $f_{n+1}$  is the  $M[A, T]$  least  $f_{n+1} < \# f_n$ . Let  $F(n, x) = f_n(x)$ ,  $x \in \theta$ . We are not claiming that  $F$  is internal, but clearly  $\text{DEF}(F)$ .

For all  $x \in X$ , let  $h(x)$  be the least  $n$  such that  $f_n(x) \in \dots \in f_0(x)$  is false.  $h: X \rightarrow <^* [\omega]$  by well foundedness. Clearly  $\text{DEF}(h)$ . Since  $\omega <^* \gamma$ , by Lemma 6.10, some  $h^{-1}(n)$  is positive. I.e., fix  $n$  such that  $\{y \in \theta: f_n(y) \in \dots \in f_0(y) \text{ is false}\}$  is positive.

We claim that  $\{y \in \theta: f_n(y) \in \dots \in f_0(y)\}$  is positive. This is proved by straightforward induction up through  $n$ . This contradicts the choice of  $n$ . We have a contradiction.

So there is a  $<^{\#}$  minimal  $f$ . Choose  $f$  to be the  $M[A,T]$  least  $<^*$  minimal  $f$ . Then  $\text{DEF}(f)$ . QED

DEFINITION 7.18. Fix  $H$  to be the  $\#$  minimal  $f$  constructed in Lemma 7.20. Define  $\text{POS}\#(E)$  if and only if  $E \subseteq \theta$  is internal, and  $\text{POS}(H^{-1}[E])$ .

LEMMA 7.21.  $\text{POS}\#$  is a nontrivial  $\theta$  complete ultrafilter in the following sense.

- i. If  $x \in \theta$  then  $\neg \text{POS}\#(\{x\})$ .
- ii. If  $E \subseteq \theta$  is internal, then  $\text{POS}\#(E) \leftrightarrow \neg \text{POS}\#(\theta \setminus E)$ .
- iii. Let  $f: \theta \rightarrow x$ ,  $x \in \theta$ , be partially defined and internal, where  $\text{POS}\#(\text{dom}(f))$ . There exists  $y \in x$  such that  $\text{POS}\#(f^{-1}(y))$ .

Proof: i follows from the internal adequacy of  $H$ . ii follows from the same statement for  $\text{POS}$ .

Suppose iii is false, and let  $f$  be the  $M[A,T]$  least counterexample. Let  $x$  be least such that  $f: \theta \rightarrow x$ . Then  $\text{DEF}(f, \{x\})$ .

Define  $G: \theta \rightarrow x$  by  $G(y) = f(H(y))$ ,  $y \in \theta$ . Then  $H^{-1}[f^{-1}(y)] = G^{-1}(y)$ . Clearly  $\text{DEF}(G)$ . By Lemma 6.10, there exists  $y \in x$  such that  $\text{POS}(G^{-1}(y))$ ,  $\text{POS}(H^{-1}[f^{-1}(y)])$ ,  $\text{POS}\#(f^{-1}(y))$ . QED

LEMMA 7.22.  $\text{POS}\#$  is normal in the following sense. Let  $f: \theta \rightarrow \theta$  be internal and partially defined, where  $\text{POS}\#(\{x: f(x) \in x\})$ . There exists  $c < \theta$  such that  $\text{POS}\#(f^{-1}(c))$ .

Proof: Suppose this is false, and let  $f$  be the  $M[A,T]$  least counterexample. Note that  $\text{POS}\#(\text{dom}(f))$ . Extend  $f$  to  $f': \theta \rightarrow \theta$  by setting  $f'$  to be 0 on  $\theta \setminus \text{dom}(f)$ .

There is no  $c \in \theta$  such that  $\text{POS}\#(f^{-1}(c))$ . Hence there is no  $c \in \theta$  such that  $\text{POS}\#(f'^{-1}(c))$ , since  $f'^{-1}(c) = f^{-1}(c) \cup (\theta \setminus \text{dom}(f))$ .

Let  $G(x) = f'(H(x))$ ,  $x <^* \gamma$ . Then  $\text{DEF}(f', \{x\}, G)$ , and  $G$  is internal.

case 1.  $G$  is not internally adequate. Let  $\text{POS}(G^{-1}(c))$ . Now  $G^{-1}(c) = \{y: f'(H(y)) = c\} = \{y: H(y) \in f'^{-1}(c)\} = H^{-1}(f'^{-1}(c))$ . Hence  $\text{POS}(H^{-1}(f'^{-1}(c)))$ , and so  $\text{POS}\#(f^{-1}(c))$ .

case 2.  $G$  is internally adequate. Since  $\text{POS}\#(\{x: f(x) <^* x\})$ , we have  $\text{POS}(\{x: f(H(x)) <^* H(x)\})$ . Hence  $G <^* H$ . But this contradicts that  $H$  is  $<^* \#$  minimal.

Since both cases lead to contradictions, the Lemma has been proved. QED

LEMMA 7.23.  $\text{POS}\#$  diagonal intersection. Let  $R \subseteq \theta^2$  be internal, where for all  $x \in \theta$ ,  $\text{POS}\#(R_x)$ . Then  $\text{POS}\#(\{x \in \theta: (\forall y \in x)(x \in R_y)\})$ .

Proof: Let  $R$  be as given. Suppose  $\neg \text{POS}\#(\{x \in \theta: (\forall y \in x)(x \in R_y)\})$ . Then  $\text{POS}\#(\{x \in \theta: (\exists y \in x)(x \notin R_y)\})$ . Let  $f: \theta \rightarrow \theta$  be the partial function given by  $f(x) = (\mu y \in x)(x \notin R_y)$ . Then  $f$  is internal,  $\text{POS}\#(\{x: f(x) \in x\})$ . Let  $c \in \theta$  be such that  $\text{POS}\#(f^{-1}(c))$ . Now  $f^{-1}(c) \subseteq \theta \setminus R_c$ . Hence  $\text{POS}\#(\theta \setminus R_c)$ , contradicting that  $\text{POS}\#(R_c)$ . QED

DEFINITION 7.19. We make the following definitions in set theory.  $[E]^k$  is the set of all  $k$  element subsets of  $E$ .  $[E]^{<\omega}$  is the set of all nonempty finite subsets of  $E$ .  $\kappa$  is a Ramsey cardinal if and only if  $\kappa$  is a cardinal such that the following holds. Let  $f: [\kappa]^{<\omega} \rightarrow \alpha$ ,  $\alpha < \kappa$ . There exists unbounded  $E \subseteq \kappa$  such that for all  $n \geq 1$ ,  $f$  is constant on  $[E]^n$ . The strong Ramsey cardinals are defined the same way with "unbounded" replaced by "stationary". I.e., "meets every closed unbounded subset of  $\kappa$ ".

LEMMA 7.24. Let  $f: [E]^{k+1} \rightarrow x$  be internal,  $\text{POS}\#(E)$ ,  $x \in \theta$ . There exists internal  $E' \subseteq E$  with  $\text{POS}\#(E')$  such that the following holds. For each  $x \in [E]^k$ ,  $f$  is constant on the  $x \cup \{y\}$ ,  $\max(x) \in y \in E'$ .

Proof: Let  $f$  be as given. We define internal sets  $C_x \subseteq (x, \theta)$ , for  $x \in \theta$ . Fix  $x \in \theta$ .

For each  $y \in [E]^k$ , there exists unique  $u \in x$  such that  $\text{POS}\#(\{z: f(y \cup \{z\}) = u\})$ , by Lemma 7.21. Let  $B_y = \{z: \max(y) \in z \wedge f(y \cup \{z\}) = u\}$ . We have  $\text{POS}\#(B_y)$ .

By Lemma 7.23,  $\text{POS}\#(C_x)$ , where  $C_x = \{z \in \theta: (\forall y \in z)(z \in B_y)\}$ .

By Lemma 7.23,  $\text{POS}\#(E')$ , where  $E' = \{y \in E: (\forall x \in y)(y \in C_x)\}$ . Let  $z \in [E]^k$ ,  $\max(z) = x$ . Then  $f$  is constant on the  $z \cup \{y\}$ , where  $x \in y \in E'$ . QED

We need the following refinement of Lemma 7.24.

LEMMA 7.25. In Lemma 7.24, if the  $M[A,T]$  level of  $f$  is at most the limit point  $u \in A$ ,  $\theta \in u$ , then  $E'$  can be taken to be of  $M[A,T]$  level  $< u$ .

Proof: This is evident, as the constructions made in the proof of Lemma 7.24 are very explicit. QED

LEMMA 7.26. Let  $k$  be such that the following holds. For all limit points  $u \in A$ ,  $x \in \theta \in u$ , every  $f: [E]^k \rightarrow x$  of  $M[A,T]$  level  $< u$ ,  $\text{POS}\#(E)$ , is constant on some  $E' \subseteq E$ ,  $\text{POS}\#(E')$ , of  $M[A,T]$  level  $< u$ . Then this holds with  $k$  replaced by  $k+1$ .

Proof: Let  $k$  be as given. Let  $u \in A$  be a limit point,  $x \in \theta < u$ ,  $f: [E]^{k+1} \rightarrow x$  of  $M[A,T]$  level  $< u$ ,  $\text{POS}\#(E)$ . Let  $E'$  be as given by Lemma 7.24,  $E'$  of  $M[A,T]$  level  $< u$ . Let  $g: [E']^k \rightarrow x$  be given by  $g(y) = f(y \cup \{z\})$ , for  $\max(y) \in z \in E'$ . Then  $g$  is of  $M[A,T]$  level  $< u$ . By hypothesis, let  $E'' \subseteq E'$ ,  $\text{POS}\#(E'')$ ,  $g$  constant on  $[E'']^k$ , where  $E''$  is of  $M[A,T]$  level  $< u$ . Note that  $f$  is constant on  $[E'']^{k+1}$ . QED

LEMMA 7.27. Let  $k$  be a positive integer. Let  $u \in A$  be a limit point,  $x \in \theta \in u$ ,  $f: [E]^k \rightarrow x$  be internal of level  $< u$ ,  $\text{POS}\#(E)$ . There exists  $E' \subseteq E$ ,  $\text{POS}\#(E')$ , where  $f$  is constant on  $[E']^k$ , and of  $M[A,T]$  level  $< u$ .

Proof: By external induction on  $k$ . The basis case  $k = 1$  is obvious using Lemma 7.21. The induction step is from Lemma 7.26. We use external induction since the induction hypothesis has unbounded quantification over  $u \in A$ . QED

LEMMA 7.28. Let  $f: [E]^{<\omega} \rightarrow x$  be internal,  $x \in \theta$ ,  $E \subseteq \theta$ ,  $\text{POS}\#(E)$ . There exists internal  $E' \subseteq E$ ,  $\text{POS}\#(E')$ , where  $f$  is constant on every  $[E']^k$ .



Proof: Let  $f, E, x$  be as given, where  $E$  is of  $M[A, T]$  level  $< u$ ,  $\theta \in u$ ,  $u$  a limit point. For all  $k \geq 1$ , let  $E_n$  be the  $M[A, T]$  least subset of  $E$  such that  $f$  is constant on  $[E]^k$  and  $\text{POS}\#(E_n)$ . By Lemma 7.27, the  $E_n$  are of  $M[A, T]$  level  $< u$ , and so the sequence  $E_1, E_2, \dots$  is  $M[A, T]$  internal. By Lemma 7.21, we see that  $\bigcap_k E_k$  is internal, and  $\text{POS}\#(\bigcap_k E_k)$ . QED

LEMMA 7.29. Let  $E \subseteq \theta$  be internal and internally closed and unbounded in  $\theta$ . Then  $\text{POS}\#(E)$ . If  $E \subseteq \theta \wedge \text{POS}\#(E)$ , then  $E$  is internally stationary in  $\theta$ .

Proof: Let  $E$  be as given. Let  $f: \theta \setminus E \rightarrow \theta$  be given by  $f(x) =$  the greatest  $y \in x$  that lies in  $D$  if  $0 \in x \in \theta$ ;  $0$  otherwise. By Lemma 7.21, if  $\text{POS}\#(\theta \setminus E)$ , some  $f^{-1}(c)$  is unbounded in  $\theta$ . Hence  $\text{POS}\#(E)$ . The second claim follows immediately. QED

LEMMA 7.30. Let  $f: \theta \rightarrow V(\theta)$  be internal, where for all  $x \in \theta$ ,  $f(x) \subseteq V(x)$ . There exists internal  $E \subseteq \theta$ ,  $\text{POS}\#(E)$ , such that for all  $x \in y \in E$ ,  $f(x) = f(y) \cap V(x)$ .

Proof: Let  $f$  be as given. For each  $x \in V(\theta)$ , let  $g(x) = 1$  if  $\text{POS}\#(\{y \in \theta: x \in f(y)\})$ ;  $0$  otherwise, and let  $h(x) = \{y \in \theta: x \in f(y)\}$  if  $g(x) = 1$ ;  $\{y \in \theta: x \notin f(y)\}$  otherwise. For each  $y \in \theta$ , let  $B_y = \bigcap_{x \in V(y)} h(x)$ . By Lemma 7.21, for all  $y \in \theta$ ,  $\text{POS}\#(B_y)$ . By Lemma 7.23,  $\text{POS}\#(\{y \in \theta: y \in B_y\})$ . If  $y \in B_y \wedge z \in B_z \wedge y \in z$ , then  $f(y), f(z)$  have the same elements from  $V(y)$ . QED

LEMMA 7.31. Let  $E \subseteq \theta$  be internal,  $\text{POS}\#(E)$ .  $\text{POS}\#(\{x \in \theta: E \cap x$  is internally stationary in  $x\})$ .

Proof: Note that the set in question involves only quantifiers ranging over the  $V(\theta)$  of  $M[A, T]$ . Let  $E$  be as given. Suppose  $\text{POS}\#(\{x \in \theta: E \cap x$  is not internally stationary in  $x\})$ . Then  $\text{POS}\#(B)$ , where  $B = \{x \in \theta: x$  is an internal limit ordinal  $\wedge E \cap x$  is not internally stationary in  $x\}$ . (The set of limit ordinals  $\in \theta$ , both in the internal and external sense, must have  $\text{POS}\#$  by Lemma 7.22). For each internal limit ordinal  $x \in B$ , let  $C_x \subseteq x$  be the  $M[A, T]$  least internally closed and unbounded set in  $x$  that is disjoint from  $E$ . By Lemma 7.30, let  $B' \subseteq B$  be internal,  $\text{POS}\#(B')$ , where for all  $x \in y$  from  $B'$ ,  $C_x = C_y \cap V(x)$ . Then

the union of the  $C_x$ ,  $x \in E'$ , is internally closed unbounded in  $\theta$ , and disjoint from  $E$ . This contradicts that  $\text{POS}\#(E)$ , by Lemma 7.29. QED

LEMMA 7.32.  $\text{POS}\#(\{x \in \theta : x \text{ is an internal strong Ramsey cardinal}\})$ .

Proof: Suppose  $B = \{x \in \theta : x \text{ is not an internal strong Ramsey cardinal}\}$  has  $\text{POS}\#(E)$ . Let  $C = \{x \in \theta : x \text{ is an internal cardinal} \wedge x \text{ is not an internal strong Ramsey cardinal}\}$ . Then  $\text{POS}\#(C)$ . For each  $x \in C$ , let  $f_x$  be  $M[A, T]$  least such that  $f: [x]^{<\omega} \rightarrow x$ ,  $\text{sup}(\text{rng}(f)) \in x$ , and for no  $E \subseteq x$ ,  $E$  internally stationary in  $x$ , is it the case that for all  $k$ ,  $f$  is constant on  $[E]^k$ . Then each  $f_x \subseteq V(x)$ , and so we can apply Lemma 7.30. We obtain internal  $E' \subseteq \theta$ ,  $\text{POS}\#(E')$ , such that the  $f_x$ ,  $x \in E'$ , are comparable under  $\subseteq$ . Let  $F: [\theta]^{<\omega} \rightarrow \theta$  be the union of the  $f_x$ ,  $x \in E'$ . Then  $\text{sup}(\text{rng}(F)) = y \in \theta$ .

By Lemma 7.28, let  $D \subseteq \theta$ ,  $\text{POS}\#(D)$ , where  $F$  is constant on every  $[D]^k$ . By Lemma 7.31,  $\text{POS}\#(\{x \in \theta : D \cap x \text{ is internally stationary in } x\})$ . Let  $x \in \theta$ ,  $x$  an internal cardinal,  $D \cap x$  is internally stationary in  $x$ . Clearly  $f_x$  is constant on every  $[D \cap x]^k$ . This contradicts the choice of  $f_x$ . QED

LEMMA 7.33. The  $V(\theta)$  of  $M[A, T]$  under  $E(A, T, \text{POS})$  satisfies ZFC + "there are arbitrarily large strong Ramsey cardinals".

Proof: By Lemma 7.19, the  $V(\theta)$  of  $M[A, T]$  under  $E(A, T, \text{POS})$  satisfies ZFC. Now apply Lemma 7.32. QED

THEOREM 7.34. ZFC + "there are arbitrarily large strong Ramsey cardinals" is interpretable in  $T_5$ .  $T_5$  proves the consistency of ZFC + "there are arbitrarily large strong Ramsey cardinals", when formulated with standard formalized syntax. EFA proves  $\text{Con}(T_5) \rightarrow \text{Con}(\text{ZFC} \text{ "there are arbitrarily large strong Ramsey cardinals"})$ .  $T_5$  is interpretable in ZFM. ZFM proves the consistency of  $T_5$ . EFA proves  $\text{Con}(\text{ZFM}) \rightarrow \text{Con}(T_5)$ .

Proof: By Theorem 5.1 and Lemma 7.33. Since the theories involved are not finitely axiomatizable, the EFA results do not immediately come from the existence of the

interpretations. The simplest route is to verify that EFA is sufficient to prove that the interpretations work. QED

## 8. Without Extensionality.

In [Go95], positivity is used as an attribute on properties of objects, not on classes of objects. As discussed in section 3, Gödel assumed that positivity behaves as an ultrafilter, and this leads to an easy derivation that positivity depends only on the extension of properties. I.e., if two properties hold of the same objects, then one is positive if and only if the other is positive. Adams in [Go95] also discusses the Leibniz view of positivity, in some detail, citing parts of [Le23], [Le56] [Le69].

This would suggest that we might be able to simply drop Extensionality from  $T_5$ , and be able to retain Theorem 7.34, or at least Theorem 5.1. However, we doubt this, as a difficulty arises in connection with Choice Operator.

We now present the system  $T_5(\text{prop})$ , where "prop" abbreviates "properties". For ease of comparison with  $T_5$ , we take the language  $L_5(\text{prop})$  of  $T_5[\text{prop}]$  to be the same as the language  $L_5$  of  $T_5$ , except we remove equality between class variables. Here  $x \in A$  read "the property A holds of the object x". Extensionality is dropped, and the axioms that are modified are asterisked. The only substantial modification is to Choice Operator, where a second line is added.

We show that  $T_5(\text{prop})$  proves the strongest form of extensionality that can be formulated without equality between classes. From this result, it is easy to conclude that Theorem 7.34 holds for  $T_5(\text{prop})$ .

### NONLOGICAL AXIOMS FOR $T_5(\text{prop})$

PAIRING

$$P(v_1, v_2) = P(v_3, v_4) \rightarrow v_1 = v_3 \wedge v_2 = v_4.$$

$L_5(\text{prop})$  COMPREHENSION

$(\exists A_1) (\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi)$ , where  $\varphi$  is a formula of  $L_5(\text{prop})$  in which  $A_1$  is not free.

AUGMENTED CHOICE OPERATOR

$v_1 \in A_1 \rightarrow \text{CHO}(A_1) \in A_1.$   
 $(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow \text{CHO}(A_1) = \text{CHO}(A_2).$

#### POSITIVE CLASSES

$(\forall v_1) (v_1 \in A_1 \vee v_1 \in A_2) \rightarrow \text{POS}(A_1) \vee \text{POS}(A_2).$   
 $\text{POS}(A_1) \wedge \text{POS}(A_2) \rightarrow (\exists v_1 \neq v_2) (v_1, v_2 \in A_1 \wedge v_1, v_2 \in A_2).$

#### 0-DEFINABLE CLASSES (prop)

$(\forall v_1) (v_1 \in A_1 \leftrightarrow \varphi) \wedge \text{DEF}(A_2) \wedge \dots \wedge \text{DEF}(A_n) \rightarrow \text{DEF}(A_1),$   
 where  $\varphi$  is a formula of  $L_5(\text{prop})$  without  $\text{DEF}$ , with at most the free variables  $v_1, A_2, \dots, A_n, n \geq 1.$

#### DIVINE OBJECT

$(\exists v_1) (\forall A_1) (\text{DEF}(A_1) \wedge \text{POS}(A_1) \rightarrow v_1 \in A_1).$

Here is the strongest form of extensionality in the language of  $L_5(\text{prop})$ , where we have dropped equality between classes.

#### EXTENSIONLTIY WITHOUT CLASS EQUALITY

$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow (\varphi \leftrightarrow \psi),$  where  $\varphi, \psi$  are formulas of  $L_5(\text{prop})$ , and  $\psi$  is the result of replacing zero or more free occurrences of  $A_1$  by  $A_2.$

**THEOREM 8.1.**  $T_5(\text{prop})$  proves Extensionality Without Class Equality.

**Proof:** By standard predicate calculus manipulations, it suffices to prove this for atomic formulas  $\varphi, \psi.$  Working in  $T_5(\text{prop}),$  assume  $(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2).$

case 1.  $s = t,$  where  $s, t$  are object terms. The relevant substitutions are of the form  $s' = t',$  where  $s', t'$  are obtained from  $s, t$  by replacing some  $A_1$ 's by  $A_2,$  respectively. Now the  $A_1$ 's appear only as part of subterms  $\text{CHO}(A_1),$  and Augmented Choice Operator proves  $\text{CHO}(A_1) = \text{CHO}(A_2).$  Therefore we obtain  $s = s', t = t'$  by the axioms of equality (for the equality that we do have, namely between objects). Hence we have  $s = t \leftrightarrow s' = t'.$

case 2.  $t \in A_i,$  where  $t$  is an object term. The relevant substitutions are of the form  $t' \in A_j,$  where the reasoning

in case 1 yields  $t = t'$ . Now if  $i = j$  then we are done. Otherwise,  $i = 1$  and  $j = 2$ . But  $t \in A_1 \leftrightarrow t' \in A_2$  is provable, using  $t = t'$ .

case 3.  $\text{DEF}(A_i)$ . We have only to prove  $\text{DEF}(A_1) \leftrightarrow \text{DEF}(A_2)$ . By 0-Definable Classes (prop), we have the universal closure of

$$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \wedge \text{DEF}(A_2) \rightarrow \text{DEF}(A_1)$$

and therefore

$$(\forall v_1) (v_1 \in A_2 \leftrightarrow v_1 \in A_1) \wedge \text{DEF}(A_1) \rightarrow \text{DEF}(A_2).$$

Hence we have  $\text{DEF}(A_1) \leftrightarrow \text{DEF}(A_2)$ .

case 4.  $\text{POS}(A_i)$ . We have only to prove  $\text{POS}(A_1) \leftrightarrow \text{POS}(A_2)$ .

Assume  $\text{POS}(A_1)$ . By  $L_5$ (prop) Comprehension, let  $A_3$  be such that  $(\forall v_1) (v_1 \in A_3 \leftrightarrow v_1 \notin A_1)$ . By  $(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2)$ , we have  $(\forall v_1) (v_1 \in A_2 \vee v_1 \in A_3)$ . By Positivity,  $\text{POS}(A_2) \vee \text{POS}(A_3)$ .

We claim that  $\neg \text{POS}(A_3)$ . Assume  $\text{POS}(A_3)$ . By Positivity,  $(\exists v_1 \neq v_2) (v_1, v_2 \in A_1 \wedge v_1, v_2 \in A_3)$ . Let  $v_1$  be such that  $v_1 \in A_1, v_1 \in A_3$ . Then  $v_1 \notin A_1$ . Contradiction.

By  $\text{POS}(A_2) \vee \text{POS}(A_3)$ ,  $\neg \text{POS}(A_3)$ , we have  $\text{POS}(A_2)$ .

We have established the universal closure of

$$(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2) \rightarrow (\text{POS}(A_1) \rightarrow \text{POS}(A_2))$$

and therefore

$$(\forall v_1) (v_1 \in A_2 \leftrightarrow v_1 \in A_1) \rightarrow (\text{POS}(A_2) \rightarrow \text{POS}(A_1)).$$

Hence we have established  $\text{POS}(A_1) \leftrightarrow \text{POS}(A_2)$ .

QED

**THEOREM 8.2.**  $T_5$ (prop) and  $T_5$  are mutually interpretable. Theorem 7.34 holds for  $T_5$ (prop).

**Proof:** As in the proof of Theorem 7.34, we want to use that EFA proves that a particular interpretation of  $T_5$  in

$T_5(\text{prop})$  works. This will be clear below. Note that Theorem 8.1 is provable in EFA. This will be enough to establish Theorem 7.34 for  $T_5(\text{prop})$  based on Theorem 7.34 for  $T_5$ .

In an interpretation that does not treat equality as equality, we have to be particularly careful. Here we do interpret equality between objects as equality between objects, but we obviously don't interpret equality between classes as equality between classes, since we don't have equality between classes in the language.

The simplest approach to interpretations is to use the associated theories with only relation symbols and no equality. Here all equality relations on sorts are viewed simply as binary relation symbols, all constant symbols are replaced by unary predicates, and all function symbols are replaced by relation symbols of one higher arity. In the associated theory, we include not only the modified form of the nonlogical axioms, but also the axioms for equality, now formulated in the modified language, and now viewed as nonlogical axioms.

Thus an interpretation of  $T$  in  $T'$  is an interpretation of modified  $T$  in modified  $T'$ . We take this approach now in our interpretation of  $T_5$  in  $T_5(\text{prop})$ .

The axioms for equality can always be formulated in terms of equivalences between atomic formulas. With these caveats in mind, we now give the interpretation of  $T_5$  in  $T_5(\text{prop})$ .

We interpret the objects of  $T_5$  as the objects of  $T_5(\text{prop})$ , the classes of  $T_5$  as the classes of  $T_5(\text{prop})$ ,  $=$  between objects of  $T_5$  as  $=$  between objects of  $T_5(\text{prop})$ , the ternary relation  $P$  of  $T_5$  as the ternary relation  $P$  of  $T_5(\text{prop})$ ,  $\in$  of  $T_5$  as  $\in$  of  $T_5(\text{prop})$ ,  $\text{DEF}$  of  $T_5$  as  $\text{DEF}$  of  $T_5(\text{prop})$ ,  $\text{POS}$  of  $T_5$  as  $\text{POS}$  of  $T_5(\text{prop})$ .

We interpret  $A_1 = A_2$  in  $T_5$  as  $(\forall v_1) (v_1 \in A_1 \leftrightarrow v_1 \in A_2)$  in  $T_5(\text{prop})$ .

We interpret the binary relation  $\text{CHO}$  of  $T_5$  as the binary relation  $\text{CHO}$  of  $T_5(\text{prop})$ .

We now have to show that the interpretation of all of the axioms of modified  $T_5$ , including all of the equality axioms in its language, are provable in modified  $T_5(\text{prop})$ .

1. Equality axioms. The proof of Theorem 8.1 establishes this.

2. Nonlogical axioms. We have only to consider the nonlogical axioms of modified  $T_5$  where  $=$  between classes occurs. The only such axiom is modified  $L_5$  Comprehension. But its interpretation is clearly follows from modified  $L_5(\text{prop})$  Comprehension.

QED

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