

The Heisenberg group

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THE OHIO STATE
UNIVERSITY

MARION

A scenario

Imagine that you are a drone pilot in training.

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How can you tell if the flight plan is feasible?

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The classical Whitney Extension Theorem

Theorem (Whitney 1934)

Suppose $K \subset \mathbb{R}^k$ is compact and $f_j \in C^m$ are continuous real valued functions on K . If

$$f(x) = \sum_{j=0}^m \frac{f_j(x)}{j!} + R(x; y)$$

for any $x, y \in K$ and any $j \leq m$ where $\lim_{x, y \in K} \frac{R(x; y)}{j!} = 0$; then we can construct $F \in C^m(K)$ such that $F_j = f_j$ and $F = f$.

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for any $x, y \in K$ and any $j \leq m$ where $\lim_{x, y \in K} \frac{R(x; y)}{j!} = 0$; then we can construct $F \in C^m(K)$ such that $F|_K = f_0$ and $F^{(j)}|_K = f_j$.

Translation: If the data lines up "nicely", then we can construct a smooth interpolation.

A different scenario

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What is different?

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How can you tell if the flight plan is feasible?

What is different? **Your motion is restricted based on the data.**

Sub-Riemannian manifolds

How do you drive to the roof of a parking garage?

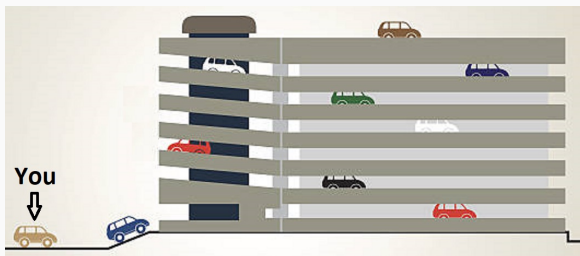


Photo credit: iStock by Getty Images

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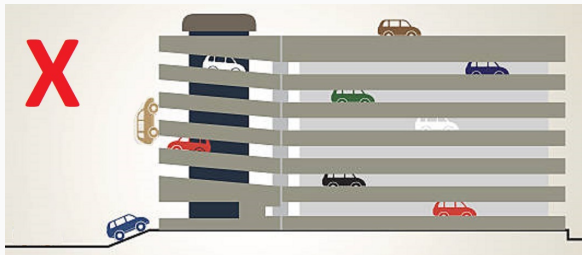


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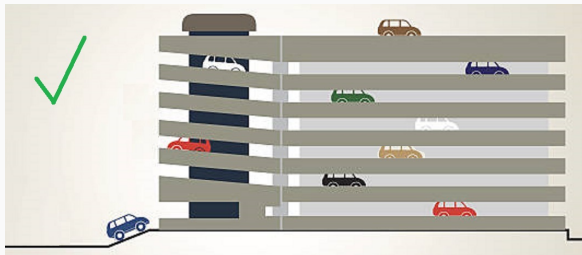


Photo credit: iStock by Getty Images

In a **Riemannian manifold**, motion is allowed in any direction.

In a Riemannian manifold, motion is allowed in any direction.

In a sub-Riemannian manifold, motion is restricted to a subspace of directions at each point.

What is
the Heisenberg group

The Heisenberg group

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At each point $(x; y; z)$ in \mathbb{R}^3 , consider the vectors

$$X_{(x;y;z)} = h(1; 0; -2y) \quad Y_{(x;y;z)} = h(0; 1; 2x) :$$

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These vectors span a plane centered at $(x; y; z)$ (which we'll call the horizontal plane at $(x; y; z)$).

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A smooth curve $\gamma(t) = (x(t); y(t); z(t))$ is horizontal if and only if its velocity vectors always lie in a horizontal plane.

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$$\begin{aligned}\dot{\gamma}(t) &= \dot{x}(t)\mathbf{i} + \dot{y}(t)\mathbf{j} + \dot{z}(t)\mathbf{k} = A\dot{x}(t)\mathbf{i} + B\dot{y}(t)\mathbf{j} \\ &= A\langle \dot{x}(t); 0; 2y(t) \rangle + B\langle \dot{x}(t); 0; 2x(t) \rangle \\ &= \langle A\dot{x}(t); 0; 2A\dot{y}(t) \rangle + \langle B\dot{x}(t); 0; 2B\dot{x}(t) \rangle \\ &= \langle A\dot{x}(t); B\dot{x}(t); 2(A\dot{y}(t) + B\dot{x}(t)) \rangle\end{aligned}$$

Proposition

A curve $\gamma = (x; y; z)$ in H is horizontal if and only if

$$z' = 2(x'y' - xy'')$$

The length of a
horizontal curve

Understanding the Heisenberg group

Question: What is the length of a horizontal curve?

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Recall: The length of a Euclidean curve $\alpha(t) = (x(t); y(t); z(t))$ in \mathbb{R}^3 over a time interval $[a; b]$ is

$$\int_a^b \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2} dt$$

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$| \alpha'(t) |$ is the magnitude (or length) of the vector $\alpha'(t)$.

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$$\int_a^b \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2} dt = \int_a^b |\alpha'(t)| dt:$$

$|\alpha'(t)|$ is the magnitude (or length) of the vector $\alpha'(t)$. It has the important property that

$$|\hat{x}| = 1 \quad |\hat{y}| = 1 \quad |\hat{k}| = 1;$$

and \hat{x} , \hat{y} , and \hat{k} are the building blocks of \mathbb{R}^3 .

The building blocks of \mathbb{H} are the vectors we called X and Y .

Horizontal magnitude

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Let's define a new magnitude for **horizontal** vectors so that

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For any horizontal vector $V = \langle a; b; c \rangle$, define $|V|_{\mathbb{H}} = a^2 + b^2$. Then

$$|X|_{\mathbb{H}} = |\langle 1; 0; 2 \rangle|_{\mathbb{H}} = 1^2 + 0^2 = 1; \text{ and}$$

$$|Y|_{\mathbb{H}} = |\langle 0; 1; 2 \rangle|_{\mathbb{H}} = 0^2 + 1^2 = 1:$$

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The length of a **horizontal** curve $(t) = (x(t); y(t); z(t))$ in H over a time interval $[a; b]$ is

$$\int_a^b \|\dot{\gamma}(t)\|_H dt = \int_a^b \sqrt{\dot{x}^2(t) + \dot{y}^2(t)} dt:$$

Geodesics

Question: What is the shortest horizontal path between two points h ?

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What is the shortest path from the origin to p ?

In \mathbb{R}^3 , it's a vertical line.

Question: What is the shortest horizontal path between two points h and h' ?

Fix a point $p = (0; 0; z)$ on the z-axis.

What is the shortest path from the origin to p ?

In \mathbb{R}^3 , it's a vertical line. Why?

Question: What is the shortest horizontal path between two points p and q ?

Fix a point $p = (0; 0; z)$ on the z -axis.

What is the shortest path from the origin to q ?

In \mathbb{R}^3 , it's a vertical line. Why?

Suppose $\gamma(t) = (x(t); y(t); z(t))$ is an arbitrary curve starting at the origin and ending at $q = (0; 0; z)$ defined on the time interval $[a; b]$. Its length is

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This is as small as possible when $x'(t)$ and $y'(t)$ are always 0.

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Remember: we can't move straight up!

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Recall: the length of γ is

$$\int_a^b \|\dot{\gamma}(t)\|_H = \int_a^b \sqrt{x'(t)^2 + y'(t)^2} dt$$

What is the shortest horizontal path from the origin $\mathbf{p} = (0; 0; 0)$ to $\mathbf{q} = (x; y; z)$?

Suppose $\gamma(t) = (x(t); y(t); z(t))$ is an arbitrary horizontal curve starting at the origin and ending at $\mathbf{q} = (x; y; z)$ defined on the interval $[a; b]$.

Recall: the length of γ is

$$\int_a^b \|\dot{\gamma}(t)\|_H dt = \int_a^b \sqrt{x'(t)^2 + y'(t)^2} dt = \text{length in the } xy\text{-plane}$$

Let's make another observation. Since the curve is horizontal, we have

$$2(x^0 y^1 - x^1 y^0) = z^0.$$

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Therefore,

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Therefore,

$$\text{signed area in the } xy\text{-plane} = \frac{z}{4}:$$

This must be true for any horizontal curve from the origin to p !

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Theorem

A horizontal curve from the origin to a point on the z -axis is a geodesic if and only if its projection to the xy -plane is a circle.

Whitney's Extension Theorem

Recall: In 1934, Whitney described how to construct smooth interpolations of real valued data.

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Question:

How do we fill in the gaps of a horizontal curve in \mathbb{H} ?

Thank you!

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