

# Methods of Geometric Control in Hamiltonian Dynamics

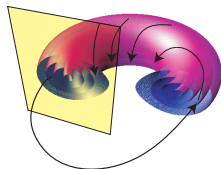
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# Objectives

- ▶ General problem of dynamics (Poincaré): understand the effect of small perturbations on *integrable* Hamiltonian systems
- ▶ Hamiltonian system:  
 $H_0 : (p, q) \in \mathbb{R}^{2n} \mapsto H_0(p, q) \in \mathbb{R}$   
$$\begin{cases} \dot{p} = -\frac{\partial H_0}{\partial q}(p, q) \\ \dot{q} = \frac{\partial H_0}{\partial p}(p, q) \end{cases}$$
- ▶ The total energy  $H_0$  is a conserved quantity
- ▶ A Hamiltonian is *integrable* if there exists  $n$  'independent', conserved quantities  $\Leftrightarrow$  there exists a smooth foliation of the phase space by invariant tori



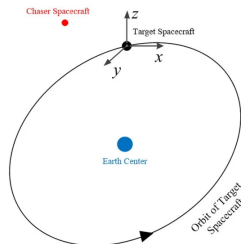
# Objectives

- ▶ Perturbed Hamiltonian system

$$H_\varepsilon = H_0 + \varepsilon H_1$$

where  $H_0$  = integrable Hamiltonian,  
 $H_1$  = Hamiltonian perturbation,  
 $\varepsilon$  = small parameter

- ▶ Given two points  $p, q$ , show that there exists a solution of  $H_\varepsilon$  that goes from  $p$  to  $q$
- ▶ **Motivation:** in problems from celestial mechanics and space mission design, the Hamiltonians  $H_0, H_1$  are explicit; e.g.,
  - ▶  $H_0$  describes motion of a spacecraft relative to the Earth
  - ▶  $H_1$  describes the perturbation by the Moon, Sun, etc.
  - ▶ Steer the trajectory of a chaser spacecraft to reach a target spacecraft



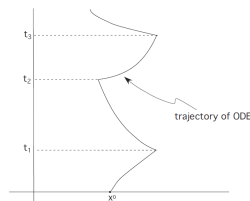
# Control problem

- ▶ Control system

$$\dot{x} = f(t, x(t), u(t))$$

where  $x \in \mathbb{R}^n$  is the state and  $u(t) \in \mathbb{R}^m$  is a control

- ▶ For any pair of points, does there exist a control  $u(\cdot) \in L^1([0, T], \mathbb{R}^m)$  such that the trajectory  $x(t)$  joins one point to the other?



# Control problem

- ▶ Non-holonomic system:

$$\dot{x} = \sum_{i=1}^m u_i(t) X_i(x)$$

$x \in M$  smooth manifold of dimension  $n$

$u \in L^1([0, T], \mathbb{R}^m)$

$X_1, \dots, X_m$  smooth vector fields

- ▶ A point  $q$  is accessible from  $p$  if there exists a control  $u(t)$  and a solution  $x(t)$  such that  $x(0) = p$  and  $x(T) = q$
- ▶ Remarks:
  - ▶ The problem is non-trivial when  $m < n$ , so  $\text{Span}(\{X_i\}) \neq TM$
  - ▶ In control theory one typically chooses the control
  - ▶ In our work, we want to use the 'natural perturbation' of the system as a control

# Geometric control

- ▶ Lie bracket of two smooth vector fields  $X, Y$  on a manifold  $M$ :

$$[X, Y]_x = \frac{1}{2} \lim_{t \rightarrow 0} \frac{\phi_Y^{-t} \circ \phi_X^{-t} \circ \phi_Y^t \circ \phi_X^t(x) - x}{t^2}$$

where  $\phi_X^t, \phi_Y^t$  are the flows of  $X$  and  $Y$

- ▶  $\phi_{[X, Y]}^{t^2} = \phi_Y^{-t} \circ \phi_X^{-t} \circ \phi_Y^t \circ \phi_X^t + o(t^2)$

- ▶ Lie algebra generated by

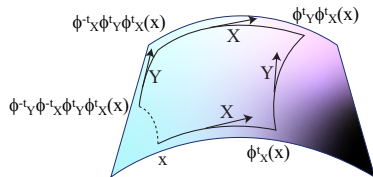
$$\mathcal{X} = \{X_1, X_2, \dots, X_m\}:$$

$$\text{Lie}(\mathcal{X}) =$$

$$\text{Span}(X_i, [X_i, X_j], [[X_i, X_j], X_k], \dots)$$

- ▶ Hörmander condition:

$$\text{Lie}(X_1, \dots, X_m) = TM$$



# Geometric control

**Theorem** (Chow,1940), (Rashevsky,1938)

Assume that the smooth vector fields  $X_1, \dots, X_m$  satisfy the **Hörmander condition** on a connected manifold  $M$ . Then for every  $p, q \in M$  there exists a piecewise smooth curve connecting  $p$  to  $q$ , where each piece of the curve is a segment of the local flow of one of the  $X_i$ 's, followed in **positive-** or in **negative-time**.

▶ **Remarks:**

- ▶ Chow-Rashevsky Theorem: every two points are accessible from one another, for some piecewise constant control  $u$
- ▶ The Hörmander condition is satisfied by generic, sufficiently smooth vector fields whenever  $m \geq 2$  (Gromov,1996)

# Hamiltonian setting

- ▶  $H_\varepsilon = H_0 + \varepsilon H_1$
- ▶ For  $H_0$ , there exists a normally hyperbolic invariant manifold (NHIM)  $\Lambda_0$ , with  $W^u(\Lambda_0) = W^s(\Lambda_0)$
- ▶ For  $H_\varepsilon$ ,  $\Lambda_0$  persists as  $\Lambda_\varepsilon$
- ▶ Under generic conditions on  $H_1$ , the stable and unstable manifolds of  $\Lambda_\varepsilon$  have transverse intersections
- ▶ There are two dynamics on  $\Lambda_\varepsilon$ 
  - ▶ Inner dynamics, by the restriction to  $\Lambda_\varepsilon$
  - ▶ Outer dynamics, along homoclinic orbits to  $\Lambda_\varepsilon$
- ▶ We can reduce to map dynamics  $f_\varepsilon$  via a Poincaré section

▶ **Example:**

$$H_\varepsilon(I, \theta, p, q) = h_0(I) + \sum_{j=1}^n \left( \frac{p_j^2}{2} + V_j(q_j) \right) + \varepsilon H_1(I, \theta, p, q)$$

- ▶ **Objective:** for any  $p, q \in \Lambda_\varepsilon$ , there is a trajectory of  $H_\varepsilon$ , obtained by intertwining the inner and the outer dynamics, that goes from near  $p$  to near  $q$



# Normally hyperbolic invariant manifold (NHIM)

- ▶  $f : M \rightarrow M$ ,  $C^r$ -diffeomorphism
- ▶  $\Lambda \subset M$  is a NHIM if
  - ▶  $TM = T\Lambda \oplus E^u \oplus E^s$  invariant under  $Df$
  - ▶ The expansion and contraction rates along  $T\Lambda$  are dominated by expansion and contraction rates along  $E^u$ ,  $E^s$ , respectively
- ▶  $\Lambda$  is  $C^\ell$ -manifold, where  $\ell$  depends on  $r$  and on the expansion/contraction rates; even if  $f$  is  $C^\infty$ ,  $\Lambda$  is only finitely differentiable
- ▶  $W^s(\Lambda)$ ,  $W^u(\Lambda)$  stable and unstable  $C^{\ell-1}$ -manifolds; they are foliated by stable and unstable  $C^r$ -leaves,

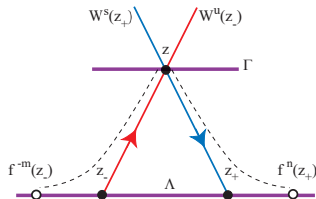
$$W^s(\Lambda) = \bigcup_{z \in \Lambda} W^s(z), \quad W^u(\Lambda) = \bigcup_{z \in \Lambda} W^u(z)$$

- ▶ Canonical projections along fibers

$$\Omega^\pm : W^{s,u}(\Lambda) \rightarrow \Lambda, \quad \Omega^\pm(z) = z^\pm \Leftrightarrow z \in W^{s,u}(z^\pm)$$

# Scattering map

- ▶ Assume  $W^u(\Lambda)$  intersects  $W^s(\Lambda)$  along a homoclinic manifold  $\Gamma$  satisfying strong transversality conditions
- ▶  $\Omega_{\Gamma}^{\pm}$  local diffeomorphism
- ▶ Restrict  $\Gamma$  to homoclinic channel:  
 $\Omega^{\pm}$  are diffeomorphisms from  $\Gamma$  to  $\Omega^{\pm}(\Gamma)$
- ▶ Scattering map:  
 $\sigma : \text{Dom}(\sigma) = \Omega^{-}(\Gamma) \rightarrow \text{Im}(\sigma) = \Omega^{+}(\Gamma)$   
 $\sigma = \Omega^{+} \circ (\Omega^{-})^{-1}$   
 $\sigma(z^{-}) = z^{+} \Rightarrow$   
 $d(f^{-m}(z), f^{-m}(z^{-})) \rightarrow 0,$   
 $d(f^n(z), f^n(z^{+})) \rightarrow 0, \text{ as } m, n \rightarrow \infty$
- ▶  $\sigma$  is **symplectic** if  $M, \Lambda, f$  are symplectic
- ▶ Systems of interest typically have many homoclinics, hence many scattering maps



# Scattering map for perturbed Hamiltonians

- ▶ Assume
  - ▶  $\Lambda_\varepsilon$  is a NHIM for  $f_\varepsilon$ , with  $\Lambda_\varepsilon = k_\varepsilon(\Lambda_0)$  for some smooth parametrization  $k_\varepsilon$
  - ▶  $\Gamma_\varepsilon$  is a homoclinic channel
  - ▶  $\sigma_\varepsilon$  is a scattering map associated to  $\Gamma_\varepsilon$
  - ▶ We identify  $\sigma_\varepsilon$  on  $\Lambda_\varepsilon$  with  $\sigma_\varepsilon \circ k_\varepsilon$  on  $\Lambda_0$
- ▶ Then there exists a Hamiltonian vector field  $X$  such that

$$\sigma_\varepsilon = \sigma_0 + \varepsilon X \circ \sigma_0 + O(\varepsilon^2)$$

where  $X = J\nabla S$ ,  $J = \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix}$ , and  $S$  given explicitly via Melnikov integrals

- ▶ If  $\sigma_0 = \text{Id}$ ,  $\sigma_\varepsilon$  is the one-step Euler method for  $X$
- ▶ Refs: (Delshams, de la Llave, Seara, 2008)

# Shadowing Lemmas (M.G., de la Llave, Seara, 2020)

## Lemma (Shadowing of scattering paths)

Let  $\gamma_\varepsilon \subseteq \Lambda_\varepsilon$  be an integral curve of  $J\nabla S$  (a scattering path)

Then, there exists an orbit  $\{x_i\}$  of  $\sigma_\varepsilon$  in  $\Lambda_\varepsilon$  s.t.

- ▶  $x_{i+1} = \sigma_\varepsilon(x_i)$  for some  $k_i > 0$ , and
- ▶  $d(x_i, \gamma_\varepsilon(t_i)) < c\varepsilon$

## Lemma (Shadowing of scattering orbits)

Assume:

- ▶  $\{x_i\}_{i=0, \dots, n}$  is a finite orbit of the scattering map  $\sigma_\varepsilon$  in  $\Lambda_\varepsilon$ , i.e.  $x_{i+1} = \sigma_\varepsilon(x_i)$  for all  $i = 0, \dots, n-1$
- ▶ The inner map  $(f_\varepsilon)|_{\Lambda_\varepsilon}$  satisfies **Poincaré recurrence** on  $\Lambda_\varepsilon$

Then, there exists an orbit  $\{z_i\}$  of  $f_\varepsilon$  in  $M$  s.t.

- ▶  $z_{i+1} = f_\varepsilon^{k_i}(z_i)$  for some  $k_i > 0$
- ▶  $d(z_i, x_i) < c\varepsilon$

## Shadowing Lemmas (M.G., de la Llave, Seara, 2020)

Lemma (Shadowing of orbits of the IFS given by the scattering map and the inner map)

For every  $\delta > 0$  and for every pseudo-orbit  $\{y_i\}_{i \geq 0}$  in  $\Lambda_\varepsilon$  of the form

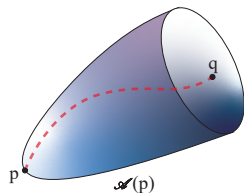
$$y_{i+1} = f_\varepsilon^{m_i} \circ \sigma_\varepsilon \circ f_\varepsilon^{n_i}(y_i),$$

with  $n_i$  and  $m_i$  sufficiently large (depending on previous ones), there exists an orbit  $\{z_i\}_{i \geq 0}$  of  $f_\varepsilon$  in  $M$  such that, for all  $i \geq 0$

$$z_{i+1} = f_\varepsilon^{m_i+n_i}(z_i), \text{ and } d(z_i, y_i) < \delta.$$

# Challenge

- ▶ The trajectories given by the Chow-Rashevsky Theorem are followed in **positive-** and **negative-time**
- ▶ The trajectories given by the scattering map can only be followed in **positive time**
- ▶ Remark:
  - ▶ (Krener,1974) describes the set that can be reached by following only **positive-time** trajectories



# Main Results

Assumptions:

- (A1)  $(\mathcal{M}, \omega)$  is symplectic manifold,  $f_\varepsilon : \mathcal{M} \rightarrow \mathcal{M}$  smooth, symplectic family of maps,  $\varepsilon \in (-\varepsilon_0, \varepsilon_0)$
- (A2)  $\Lambda_\varepsilon \subseteq \mathcal{M}$  NHIM for  $f_\varepsilon$ , s.t.  $\Lambda_\varepsilon = k_\varepsilon(\Lambda_0) \subseteq \Lambda_\varepsilon$
- (A3)  $\exists \mathcal{U}_0 \subset \Lambda_0$ , such that almost every point  $x \in \mathcal{U}_\varepsilon = k_\varepsilon(\mathcal{U}_0) \subseteq \Lambda_\varepsilon$  is **recurrent** for  $(f_\varepsilon)|_{\Lambda_\varepsilon}$
- (A4)  $W^u(\Lambda_\varepsilon)$  and  $W^s(\Lambda_\varepsilon)$  intersect transversally along homoclinic channels  $\Gamma_\varepsilon^j$ , for  $j = 1, \dots, m$
- (A5) Each unperturbed scattering map  $\sigma_0^j = \text{Id}$ , and
$$\sigma_\varepsilon^j = \text{Id} + \varepsilon X_j + O(\varepsilon^2)$$
where  $X_j = J\nabla S^j$
- (A6) The vector fields  $X_j$  satisfy the **Hörmander condition** on  $\mathcal{U}_0$
- (A7) Almost every point in  $\mathcal{U}_0$  is **recurrent** for each of the vector fields  $X_j$

# Main Results

## Theorem (Controllability-I)

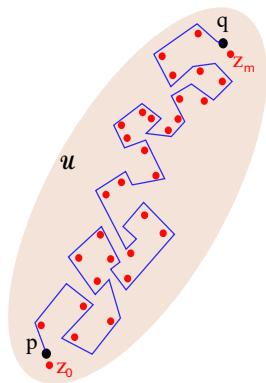
Assume **(A1)-(A7)** hold on  $\mathcal{U}_\varepsilon$ .

Then  $\exists \varepsilon_0 > 0$ ,  $c > 0$ ,  $\forall 0 < |\varepsilon| < \varepsilon_0$ ,

$\forall p, q \in \mathcal{U}_\varepsilon$ ,  $\exists (z_i)_{i=0, \dots, N}$  such that:

$$z_{i+1} = f_\varepsilon^{t_i}(z_i) \text{ for some } t_i > 0,$$

$$d(z_0, p) < c\varepsilon, \quad d(z_N, q) < c\varepsilon.$$





# Main Results

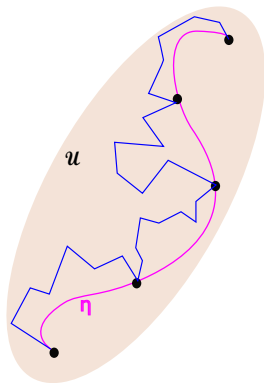
## Corollary (Path shadowing)

Assume the same conditions as before.

Then  $\exists \varepsilon_0 > 0$ ,  $c > 0$ ,  $\forall 0 < |\varepsilon| < \varepsilon_0$ , s.t. for the path  $\eta_\varepsilon : [0, 1] \rightarrow \mathcal{U}_\varepsilon$  given by  $\eta_\varepsilon = k_\varepsilon \circ \eta$ , there exists an orbit  $(z_i)_{i=0, \dots, N}$  of  $f_\varepsilon$  in  $\mathcal{M}$  s.t.:

$$z_{i+1} = f_\varepsilon^{t_i}(z_i) \text{ for some } t_i > 0,$$

$$d(z_i, \eta_\varepsilon(s_i)) < c\varepsilon.$$



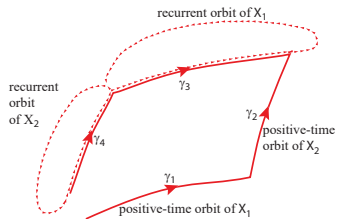
# Sketch of the proof of the theorem on controllability

Replace negative-time orbits by positive-time orbits via recurrence

- ▶ Assume **(A1)-(A7)**
- ▶ Follow the paths  $\gamma_i$ ,  $i = 1, \dots, 4$ , corresponding to one Lie bracket

- ▶  $\frac{d\gamma^1}{dt} = X_1(\gamma^1)$
- ▶  $\frac{d\gamma^2}{dt} = X_2(\gamma^2)$
- ▶  $\frac{d\gamma^3}{dt} = -X_1(\gamma^3)$
- ▶  $\frac{d\gamma^4}{dt} = -X_2(\gamma^4)$

- ▶ Follow
  - ▶  $\gamma_1$  by a positive orbit of  $X_1$
  - ▶  $\gamma_2$  by a positive orbit of  $X_2$
  - ▶  $\gamma_3$  by a positive orbit cut-out from a recurrent orbit of  $X_1$
  - ▶  $\gamma_4$  by a positive orbit cut-out from a recurrent orbit of  $X_2$



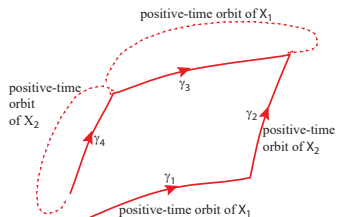
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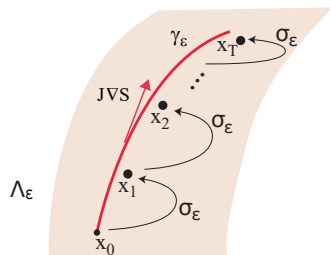
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- ▶ Follow
  - ▶  $\gamma_1$  by a positive orbit of  $X_1$
  - ▶  $\gamma_2$  by a positive orbit of  $X_2$
  - ▶  $\gamma_3$  by a positive orbit cut-out from a recurrent orbit of  $X_1$
  - ▶  $\gamma_4$  by a positive orbit cut-out from a recurrent orbit of  $X_2$



# Sketch of the proof of the theorem on controllability

- ▶ Apply the shadowing lemma for scattering paths to obtain a positive orbit in  $\Lambda_\varepsilon$  of the iterated function system (IFS) defined by  $\sigma_\varepsilon^1, \sigma_\varepsilon^2$
- ▶ Each scattering map is one step of the Euler method with step-size  $\varepsilon$  for the generating vector field  $X_j$
- ▶ Use the recurrence of  $(f_\varepsilon)|_{\Lambda_\varepsilon}$  on  $\Lambda_\varepsilon$
- ▶ Apply the shadowing lemmas to obtain a true orbit of  $f_\varepsilon$  in  $\mathcal{M}$



# Application to product systems

▶ Assume:

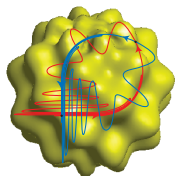
- ▶  $(\Lambda, \omega_\Lambda)$ ,  $(\Sigma, \omega_\Sigma)$  compact, symplectic manifolds of any (even) dimension
- ▶  $f : \Lambda \rightarrow \Lambda$ ,  $g : \Sigma \rightarrow \Sigma$  symplectic diffeomorphisms
- ▶  $\mathcal{M} = (\Lambda \times \Sigma, \omega_\Lambda \otimes \omega_\Sigma)$
- ▶  $f_0 : \mathcal{M} \rightarrow \mathcal{M}$  a symplectic diffeomorphism of the form  $f_0(x, y) = (f(x), g(y))$
- ▶  $f_\varepsilon : \mathcal{M} \rightarrow \mathcal{M}$ , for  $|\varepsilon| < \varepsilon_0$ , a family of symplectic diffeomorphisms depending smoothly on  $\varepsilon$

▶ Assume:

- (C1)**  $g$  has a hyperbolic fixed point  $O$  in  $\Sigma$
- (C2)** The Lyapunov exponents of  $g$  at  $O$  dominate those of  $f$  on  $\Lambda$
- (C3)**  $W_g^s(O)$  and  $W_g^u(O)$  intersect transversally at  $Q_1, \dots, Q_m$ ,  $m \geq 2$ , in  $\Sigma$ , that are geometrically distinct



$\mathbb{K}$



$\Sigma$

# Application to product systems

- ▶ For  $\varepsilon = 0$  we have:
  - ▶  $\Lambda_0 = \Lambda \times \{O\}$  is a NHIM for  $f_0$
  - ▶  $\Gamma_0^k := \Lambda \times \{Q_k\}$ ,  $k = 1, \dots, m$ , are homoclinic channels for  $f_0$
  - ▶ the associated scattering maps  $\sigma_0^k : \Lambda_0 \rightarrow \Lambda_0$  are globally defined, symplectic diffeomorphisms of  $\Lambda_0$
- ▶ For all  $\varepsilon \neq 0$  sufficiently small we have:
  - ▶  $\Lambda_\varepsilon$  is a NHIM for  $f_\varepsilon$
  - ▶ there exist homoclinic channels  $\Gamma_\varepsilon^k$  for  $f_\varepsilon$
  - ▶ there exist globally defined, symplectic scattering maps  $\sigma_\varepsilon^k : \Lambda_\varepsilon \rightarrow \Lambda_\varepsilon$  with associated vector fields  $X_k$
- ▶ Under these conditions, the system described above satisfies the assumptions **(A1) – (A5)**, and **(A7)**
- ▶ Assume that the vector fields  $X_k$ ,  $k = 1, \dots, m$ , satisfy the Hörmander condition **(A6)** – generic condition
- ▶ Then the controllability and path shadowing results apply

# Generalized Hörmander condition

Condition for accessibility by positive-time orbits

- ▶ The span of commutators  $\text{Lie}^k(\mathcal{X})$  up to order  $k$ , defines a distribution on  $\Lambda$
- ▶ Also,  $\text{Lie}^k(\mathcal{X})$  is determined by the distribution  $\text{Span}(\mathcal{X})$
- ▶ Define the (non-negative) cones
$$\mathcal{C}(\mathcal{X})(x) = \{a_1(x)X_1(x) + \cdots + a_m(x)X_m(x) \mid a_1(x), \dots, a_m(x) \geq 0\}$$
- ▶ Given a cone  $\mathcal{C}(\mathcal{X})(x)$ , there is a unique linear space of maximal dimension (possibly trivial) in  $\mathcal{C}(\mathcal{X})(x)$ 
$$\mathcal{V} := \mathcal{V}(\mathcal{X}) = \mathcal{C}(\mathcal{X}) \cap (-\mathcal{C}(\mathcal{X}))$$
- ▶  $\mathcal{V}$  determines a distribution
- ▶ Since  $\text{Lie}(\text{Lie}(\mathcal{V}(X))) = \text{Lie}(\mathcal{V}(X))$ , by Frobenius theorem the distribution  $\text{Lie}(\mathcal{V}(X))$  is integrable
- ▶ **Generalized Hörmander condition:**

$$\text{Lie}(\mathcal{V}(\mathcal{X})) = T\Lambda$$

# Generalized Hörmander condition

## Theorem (Extension of Chow-Rashevsky Theorem)

Assume that generalized Hörmander condition holds on  $\mathcal{U}_\varepsilon$ .

Then, given any points  $p, q \in \mathcal{U}_\varepsilon$  there is continuous curve, formed by segments of **positive orbits** of the  $X_j$ 's starting at  $p$  and ending arbitrarily close to  $q$

- ▶ **Remark:** The generalized Hörmander condition is not robust, unless  $\mathcal{V}(\mathcal{X}) = T\Lambda$



# Main Results

## Theorem (Controllability-II)

Assume **(A1)**-**(A5)** and

**(A6')** The vector fields  $X_j$  satisfy the **generalized Hörmander condition**.

Then  $\exists \varepsilon_0 > 0$ ,  $c > 0$ ,  $\forall 0 < |\varepsilon| < \varepsilon_0$ ,  $\forall p, q \in \mathcal{U}_\varepsilon$ ,  $\exists (z_i)_{i=0, \dots, N}$  such that:

$$z_{i+1} = f_\varepsilon^{t_i}(z_i) \text{ for some } t_i > 0,$$
$$d(z_0, p) < c\varepsilon, \quad d(z_N, q) < c\varepsilon.$$

### ► Remarks:

- This result does not require the vector fields  $X_j$  to be recurrent
- Systems with time-reversal symmetries yield vector fields  $X_j$  that satisfy **(A6')**

# Exponential map

- ▶ A vector field  $X$  can be interpreted as a derivation operator
- ▶  $\exp(X)$  is defined as the time-1 map of the evolution PDE

$$\partial_t \phi = X\phi$$

- ▶ Using the method of characteristics:  $\exp(X)\phi = \phi \circ A_X$  for  $A_X$  being the time-1 map of the ODE  $\dot{x} = X(x)$
- ▶ We identify

$$\exp(X) \equiv A_X$$

so  $\exp(X)$  can be viewed as a map/vector field/derivation

- ▶ Expansion

$$\exp(X)\phi = \sum_{n \geq 0} \frac{1}{n!} X^n \phi \quad \text{where } X^n = \underbrace{X \dots X}_{n \text{ times}}$$

- ▶ If  $\phi \in \mathcal{C}^r$  with  $r < \infty$ , we truncate the series at some order  $M$

# High-order expansions of scattering maps

- ▶ Consider higher-order expansions of the scattering maps

$$\sigma_\varepsilon^j = \exp(X_\varepsilon^j)$$

where

$$X_\varepsilon^j = \sum_{n \geq 1} \varepsilon^n X_n^j$$

is a formal power series

- ▶ Degenerate case: it is possible that

$$\text{Lie}(X_1^1, \dots, X_1^m) \neq TM$$

but

$$\text{Lie}(X_\varepsilon^1, \dots, X_\varepsilon^m) = TM \text{ for } 0 < \varepsilon < \varepsilon_0$$

# The Campbell-Hausdorff formula

- ▶ For  $X, Y$  vector fields

$$\exp(X) \exp(Y) = \exp(CH(X, Y))$$

where

$$\begin{aligned} CH(X, Y) &= \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n} \sum_{r_i+s_i>0} \frac{[X^{(r_1)}, Y^{(s_1)}, \dots, X^{(r_n)}, Y^{(s_n)}]}{(\sum_{i=1}^n (r_i + s_i)) \prod_{i=1}^n r_i! s_i!} \\ &= X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}([X, [X, Y]] - [Y, [X, Y]]) \\ &\quad - \frac{1}{48}([X, [X, [X, Y]]] + [Y, [X, [X, Y]]]) + \dots \end{aligned}$$

(Dynkin, 1947)

- ▶ If we are considering  $C^r$  vector fields instead, the formal power series stop being valid after a finite number of terms  $N$
- ▶ If  $r$  is sufficiently large, the number  $N$  can be taken arbitrarily large

## Degenerate Hörmander condition

- ▶ For every multi-index  $\alpha = (\pm j_1, \dots, \pm j_n)$ , with  $(j_1, \dots, j_n) \in \{1, \dots, m\}^n$ , we define the vector field  $X_\varepsilon^\alpha$  by

$$\sigma_\varepsilon^{\pm j_n} \circ \dots \circ \sigma_\varepsilon^{\pm j_1} = \exp(X_\varepsilon^\alpha) + O(\varepsilon^M)$$

- ▶  $X_\varepsilon^\alpha$  can be computed in terms of the the original  $X_\varepsilon^j$ , through repeated applications of the Campbell-Hausdorff formula
- ▶ Degenerate Hörmander condition:  
**(A6'')** For a point  $p \in T\Lambda_\varepsilon$  there exists  $N > 0$  and  $\varepsilon_0$  such that for all  $0 < \varepsilon < \varepsilon_0$  we have

$$\text{Span}(\{X_\varepsilon^\alpha\}_{|\alpha| \leq N})_p = (T\Lambda_\varepsilon)_p$$

# Main Results

## Theorem (Controllability-III)

Assume **(A1)**-**(A5)** and **(A6'')** hold on some relatively compact, open subset  $\mathcal{U}_\varepsilon$  of  $\Lambda_\varepsilon$  of size  $O(1)$ .

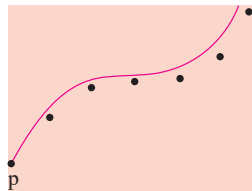
Then, for every pair of points  $p$  and  $q$  in  $\mathcal{U}_\varepsilon$ , we can move from  $p$  to  $q$ , up to an error of  $\mathcal{O}(\varepsilon^{K_{\min}})$ , for some  $K_{\min} \geq 1$ , by repeated applications of scattering maps and their inverses, i.e., by an orbit of the IFS

$$\{\sigma_\varepsilon^j, (\sigma_\varepsilon^j)^{-1}, j = 1, \dots, m\}.$$

If, additionally, the scattering maps satisfy the recurrence condition **(A7)**, we can move from  $p$  to  $q$ , up to an error of  $\mathcal{O}(\varepsilon^{K_{\min}})$ , by repeated applications of the scattering maps only.

## Sketch of the proof

- ▶ Note that if  $X_\varepsilon^1 = \mathcal{O}(\varepsilon^{k_1})$  and  $X_\varepsilon^2 = \mathcal{O}(\varepsilon^{k_2})$ , then  $[X_\varepsilon^1, X_\varepsilon^2]$  may have an order higher than  $\mathcal{O}(\varepsilon^{k_1+k_2})$
- ▶  $X_\varepsilon^\alpha = \varepsilon^{K_\alpha} \tilde{X}_\varepsilon^\alpha + \mathcal{O}(\varepsilon^M)$ , with  $\tilde{X}_\varepsilon^\alpha \neq 0$
- ▶  $\angle(X_\varepsilon^\alpha, X_\varepsilon^{\alpha'}) = \varepsilon^{K_{\alpha\alpha'}} \tilde{X}_\varepsilon^{\alpha\alpha'} + \mathcal{O}(\varepsilon^M)$ , with  $\tilde{X}_\varepsilon^{\alpha\alpha'} \neq 0$
- ▶ Starting from  $p$ , we can move  $\mathcal{O}(\varepsilon^{0.9})$  along the integral curve of  $\tilde{X}_\varepsilon^\alpha$ , by repeated applications of  $\exp(X_\varepsilon^\alpha)$  of step-size  $\mathcal{O}(\varepsilon^{K_\alpha})$ , with very small global error



## Sketch of the proof

- ▶ There exists a ball  $B$  of radius  $\mathcal{O}(\varepsilon^{0.9})$  around  $p$ , such that for every point  $r \in B$  we can move, from  $p$  to an  $\mathcal{O}(\varepsilon^{K_{\min}})$ -neighborhood of  $r$ , by repeated applications of different  $\exp(X_\varepsilon^\alpha)$ 's, with a small global error; here,  
$$K_{\min} = \min\{K_\alpha, K_{\alpha\alpha'}\}$$
- ▶ Choose a geodesic curve from  $p$  to  $q$ ; cover it with balls as above and move from one ball to another

