

Potential dependence of the density of states for Schrödinger operators

Analysis and Operator Theory Seminar
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based on joint work w/

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I. Motivation -

Density of states (outer) measure

Density of states

... characterizes averaged spectral properties
of quantum systems in
macroscopic limit

crystal in \mathbb{Z}^d (square lattice)
Hamiltonian (energy operator):

$$H_V: \ell^2(\mathbb{Z}^d) \rightarrow \ell^2(\mathbb{Z}^d)$$

discrete model
pts of $\mathbb{Z}^d \hat{=}$ lattice sites
(vertices)

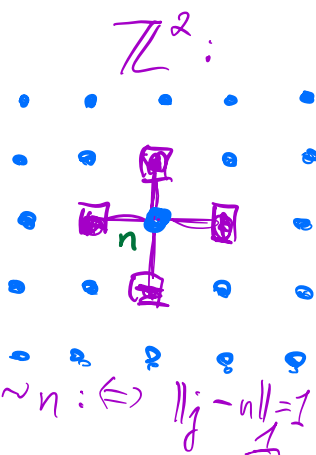
$$H_V = \Delta + V$$

... discrete Schrödinger operator

$$(\Delta \psi)(n) = \sum_{j \sim n} \psi(j)$$

discrete
Laplacian
on \mathbb{Z}^d

2d shifts to
nearest neighbors
of site n



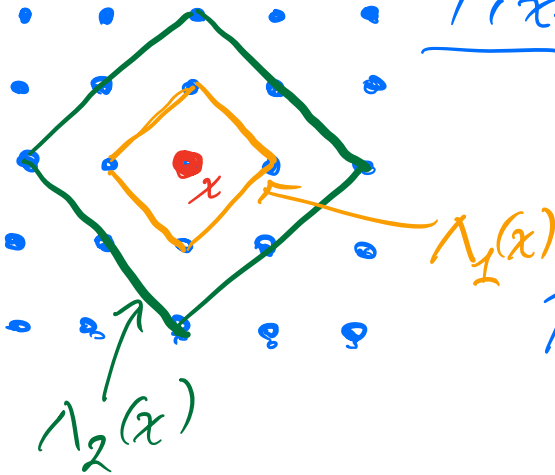
$$(V \psi)(n) = V(n) \cdot \psi(n)$$

$$V: \mathbb{Z}^d \rightarrow \mathbb{R}; \ell^\infty \text{ potential}$$

$\Rightarrow H_V$ is bdd. self adjoint op.

measurement of energy-dependent
observable $f: \mathbb{R} \rightarrow \mathbb{C}$, \mathcal{B}_b ^{bdd, Borel}

\mathbb{Z}^d :



Fixed $x \in \mathbb{Z}^d$, $L \in \mathbb{N}$:

$\Lambda_L(x)$... ball w/
radius L at x

$P_L(x)$... orthogonal
projection in $\ell^2(\mathbb{Z}^d)$
onto sites in $\Lambda_L(x)$

$$\text{tr}(P_L(x)) = |\Lambda_L(x)| \sim L^d$$

measure f in finite-volume $\Lambda_L(x)$:

$$\frac{1}{|\Lambda_L(x)|} \text{tr}\{P_L(x) f(H_V) P_L(x)\} \dots \text{finite volume spectral average}$$

macroscopic "limit":

$$n_{V;x}^*(f) := \limsup_{L \rightarrow \infty} \frac{1}{|\Lambda_L(x)|} \text{tr}\{P_L(x) f(H_V) P_L(x)\}$$

\uparrow local quantity (at x !)

$$n_{V;x}^*(f) := \limsup_{L \rightarrow \infty} \frac{1}{| \Lambda_L(x) |} \text{tr} \{ P_L(x) f(H_V) P_L(x) \}$$

remove locality ("bulk" quantity):

$$n_V^*(f) := \limsup_{L \rightarrow \infty} \left\{ \sup_{x \in \mathbb{Z}^d} \frac{1}{| \Lambda_L(x) |} \text{tr} \{ P_L(x) f(H_V) P_L(x) \} \right\}$$

... can do this for each observable
 $f \in \mathcal{B}_b(\mathbb{R})$

\Rightarrow obtain outer ^{subadditive} Borel measures
 (on \mathbb{R})

... density of states
 outer measure(s) (DOSoM)

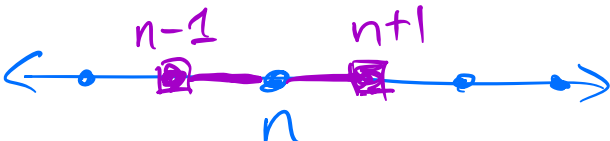
$f \mapsto n_{V;x}^*(f)$... <u>local</u> DOSoM (at x)
$f \mapsto n_V^*(f)$... DOSoM (uniform/non-local)

NOTE: IF $\limsup_{L \rightarrow \infty}$ is a LIMIT (e.g. for random or periodic potentials)

local DOSoM becomes a MEASURE,
 called the density of states measure (DSM).

Example - free Laplacian:

for \mathbb{Z}^1 ($d=1$):



$$(H_0 \psi)(n) = (\Delta \psi)(n) = \psi(n-1) + \psi(n+1)$$

\nwarrow no potential on $\ell^2(\mathbb{Z})$

Spectral resolution provided by
Fourier series:

$$\begin{cases} (\mathcal{F} \psi)(\theta) = \sum_{n \in \mathbb{Z}} \hat{\psi}_n e^{2\pi i n \theta} \\ \mathcal{F}: \ell^2(\mathbb{Z}) \rightarrow L^2(\mathbb{R}/2\pi\mathbb{Z}) \end{cases}$$

$$\begin{aligned} \Rightarrow (\mathcal{F} H_0 \mathcal{F}^{-1}) \hat{\psi}(\theta) &= (e^{2\pi i \theta} + e^{-2\pi i \theta}) \hat{\psi}(\theta) \\ &= 2 \cos(2\pi \theta) \cdot \hat{\psi}(\theta) \end{aligned}$$

thus for observable $f \in \mathcal{B}_b(\mathbb{R})$:

$$f(H_0) \psi = \mathcal{F}^{-1} \left(f(2 \cos(2\pi \theta)) \cdot \hat{\psi}(\theta) \right)$$

Compute (local) DOS₀M at $x \in \mathbb{Z}$:

Given $L \in \mathbb{N}$: $\frac{1}{|A_L(x)|} \text{tr}\{P_L(x) f(H_0) P_L(x)\} =$

$$= \frac{1}{(2L+1)} \sum_{j=x-L}^{x+L} \underbrace{\langle \delta_j, f(H_0) \delta_j \rangle}_{\substack{\text{j-th std.} \\ \text{basis} \\ \text{vector in} \\ \ell^2(\mathbb{Z})}}$$

$$= \int_0^1 e^{2\pi i j \theta} f(2 \cos(2\pi \theta)) e^{-2\pi i j \theta} d\theta$$

$$= \frac{1}{(2L+1)} \cancel{(2L+1)} \cdot \int_0^1 f(2 \cos(2\pi \theta)) d\theta$$

\Rightarrow limit $L \rightarrow \infty$ exists (yields a MEASURE)
and is x -independent \circ

$$n_0(f) = \int_0^1 f(2 \cos(2\pi \theta)) d\theta \quad \underline{\underline{E = 2 \cos(2\pi \theta)}}$$

$$= \int_{\mathbb{R}} f(E) \underbrace{\frac{1}{2\pi} \frac{1}{\sqrt{1 - (\frac{E}{2})^2}} \chi_{(-2,2)}^{(E)}}_{\text{DOS Measure}} dE$$

$$=: \rho_{d=1}^{(0)}(E) dE \dots \text{DOS Measure}$$

of the free Laplacian on \mathbb{Z}^1

Remark: For $d \geq 2$, obtain DOSM through convolution:

$$dn_0(E) = \underbrace{\left(\rho_{d=1}^{(0)} * \dots * \rho_{d=1}^{(0)} \right)}_{d\text{-fold}}(E) dE$$

Question: What happens when potential is turned on?

• Expect: Continuous behavior in V

• in particular, as " $V \rightarrow 0$:"
special case: for fixed $\varphi \in \ell^\infty$

$$V = \lambda \cdot \varphi, \lambda \in \mathbb{R}$$

expect that: $n_{\lambda \cdot \varphi}^* \xrightarrow{\lambda \rightarrow 0^+} n_0$ (in some sense)
 (weak-coupling limit)

Main result: Quantify (general) potential-dependence of DOSM
 (ℓ^∞ -norm) (weak-top.)

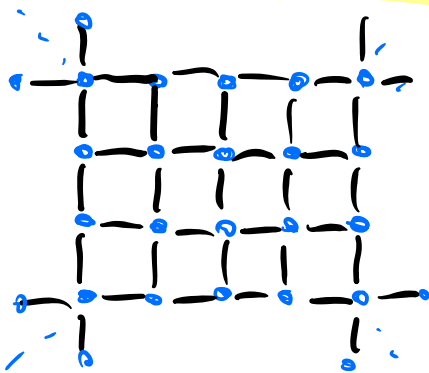
II. Main result -

Quantitative continuity of
the DOSM in the potential

Set-up: Discrete Schrödinger operators on graphs

Connected graph $(\mathbb{G} = (\mathcal{V}, \mathcal{E}))$
(infinite) vertices edges

Examples: 1) \mathbb{Z}^d (no fan)

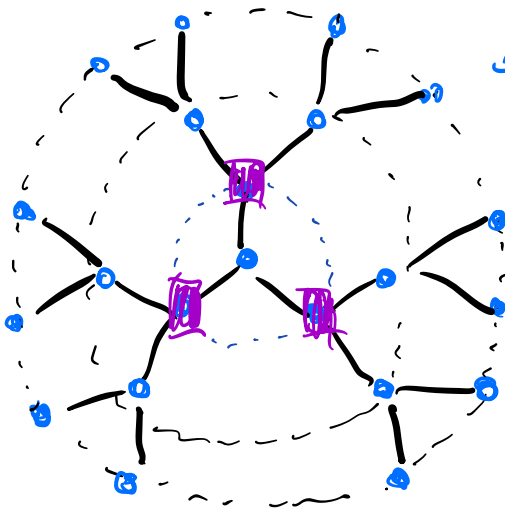


(d=2: square lattice)

$$\forall x \in \mathbb{Z}^d, \text{ LEM: } |\Lambda_L(x)| \sim L^d \quad (\text{large } L)$$

2) other \mathbb{Z}^2 lattices eg: triangular/hexagonal

3) Bethe lattice, B_k ($k \geq 3$):



Bethe lattice B_3
w/

coordination number $k=3$

$$\forall x \in B_k, \text{ LEM: } |\Lambda_L(x)| \sim (k-1)^L \quad (\text{large } L)$$

Characterize growth of balls in $(\mathbb{G}=(V,E))$
by a uniform growth fcn.:

$\gamma_{\mathbb{G}} : [1, \infty) \rightarrow \mathbb{R}$, strictly \nearrow , such that $\forall n \in \mathbb{N}$

$$\limsup_{L \rightarrow \infty} \left\{ \sup_{x \in V} \frac{|\Lambda_{L+n}(x)|}{|\Lambda_L(x)|} \right\} \leq \gamma_{\mathbb{G}}(n) \quad (*)$$

Examples:

① \mathbb{Z}^d :

$$\forall x \in \mathbb{Z}^d, \text{ LEN: } |\Lambda_L(x)| \sim L^d \quad (\text{large } L)$$

polyn.
growth
of balls

\Rightarrow LHS of $(*) = 1$, thus

any $\gamma_{\mathbb{G}}$ works!

② Bethe lattice B_k :

$$\forall x \in B_k, \text{ LEN: } |\Lambda_L(x)| \sim (k-1)^L \quad (\text{large } L)$$

\Rightarrow LHS of $(*) = (k-1)^n =: \gamma_{B_k}(n)$

... reflects exponential growth
of balls

Goal: Obtain modulus of continuity for

$$\mathcal{C}^\infty(G; \mathbb{R}) \ni V \mapsto \mu_V^* \leftarrow \begin{array}{l} \text{outer measure,} \\ \text{compactly supp} \\ \text{on } \mathbb{R} \end{array}$$

Topology for codomain: Generalization of weak topology to outer measures

For outer measures μ^*, ν^* on $[-M, M] \subseteq \mathbb{R}$,
define (pseudo)metric:

$$d_w(\mu^*, \nu^*) := \sup \{ |\mu^*(f) - \nu^*(f)| : f \in \text{Lip}([-M, M]) \text{ w/ } \|f\|_{\text{Lip}} \leq 1 \}$$

NOTE that: For measures, d_w is known to be equivalent to the weak topology (Fortet-Mourier metric)

i.e. for $(\mu_n), \mu$ measures on $[-M, M]$

$$d_w(\mu_n, \mu) \xrightarrow{n \rightarrow \infty} 0 \Leftrightarrow \forall f \in \mathcal{C}([-M, M]), \mu_n(f) \xrightarrow{n \rightarrow \infty} \mu(f)$$

Main theorem: Given $G = (V, E)$, a connected infinite graph, admitting a uniform growth fcn. χ_G .

Then, \exists constants $c_3, \beta_G > 0$ s.t.
for every $C > 0$ the map

$\ell^\infty(G; [-C, C]) \ni V \mapsto n_V^* \dots$ outer measure
supp on $[-\beta_G - C, \beta_G + C]$
is continuous w/ modulus:

For all $0 < \xi < 1$, one has

$$d_\omega(n_V^*, n_W^*) \leq 4 (\beta_G + C) c_3 \cdot \frac{1}{\chi_G^{-1}\left(\left(\frac{1}{\|V - W\|_\infty}\right)^\xi\right)} + (\|V - W\|_\infty)^{1-\xi}$$

, for all $V, W \in \ell^\infty(G; [-C, C])$,
 $\|V - W\|_\infty < 1$.

Remarks:

- ① Modulus of continuity captures the geometry of \mathbb{G} through the uniform growth fcn.

$$\gamma_{\mathbb{G}}: [1, +\infty) \rightarrow \mathbb{R}, \text{ strictly } \nearrow$$

$$\text{s.t. } \limsup_{L \rightarrow \infty} \left\{ \sup_{x \in V} \frac{|\lambda_{L+\eta}^{(x)}|}{|\lambda_L(x)|} \right\} \leq \gamma_{\mathbb{G}}^{(\eta)}, \quad \forall \eta \in \mathbb{N}$$

- ② Parameter $0 < \xi < 1$ in thm. allows to optimize.
- ③ An analogous result holds for the local DDSM at all vertex points

1. Application to \mathbb{Z}^d :

$$\text{polyn. growth of balls} \Rightarrow \limsup_{L \rightarrow \infty} \left\{ \sup_{x \in \mathbb{Z}^d} \frac{|\Lambda_{L+n}^{(x)}|}{|\Lambda_L(x)|} \right\} = \underline{\underline{1}}$$

\Rightarrow Freedom to use ANY growth function

For $0 < \xi, \alpha$, take $\boxed{\mu_{\mathbb{Z}^d}(n) = n^{\xi \cdot \alpha}}$.

Then, given potentials $V, W \in \ell^\infty(\mathbb{Z}^d; \mathbb{R}, \mathbb{C})$
w/ $0 < \varepsilon := \|V - W\|_\infty < 1$,
the theorem yields:

$$d_w(n_v^*, n_w^*) \leq 4(2d+C)\zeta_\beta \cdot \varepsilon^{1/\alpha} + \varepsilon^{1-\xi}$$

Optimize first taking $\alpha \rightarrow 0^+$ (^{1st term} drops)
and then letting $\xi \rightarrow 0^+$:

$$\boxed{d_w(n_v^*, n_w^*) \leq \varepsilon} \quad \text{Lipschitz continuity!}$$

thm 1 (potential dependence of DOSM for $\mathbb{Z}^d, d \in \mathbb{N}$):

For each fixed $C > 0$ the map

$\mathcal{C}^\infty(\mathbb{Z}^d; [-C, C]) \ni V \longmapsto n_V^*$ (weak topology)
is Lipschitz continuous.

In particular, for $V = \lambda \cdot \varphi$, $\lambda \in \mathbb{R}$,
this implies: ↑
"coupling parameter"

thm 2 (weak coupling limit for $\mathbb{Z}^d, d \in \mathbb{N}$):

let $C > 0$ and $\varphi \in \mathcal{C}^\infty(\mathbb{Z}^d; [-C, C])$, then:

$$\left| d_w(n_{\lambda \cdot \varphi}^*, n_0) \leq \lambda \cdot \|\varphi\|_\infty, 0 \leq |\lambda| < 1 \right|.$$

Here, $n_0 \dots$ DOSM of free Laplacian on \mathbb{Z}^d .

As before, analogous results hold for the local DOSM.

Can formulate results in terms of
cumulative distribution of DOSM:

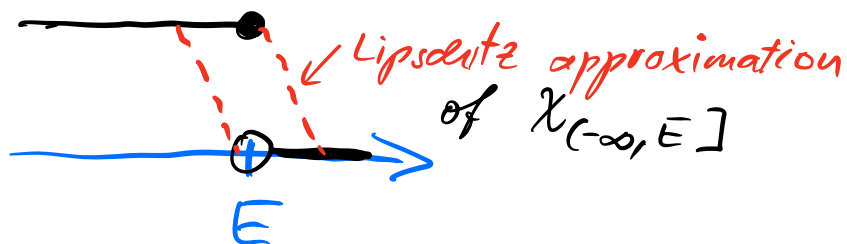
$$N_V^*(E) := n_V^*(\chi_{(-\infty, E]}) , E \in \mathbb{R}$$

... integrated outer density of states (IoDS)

Translating our results for potential dep.
of the DOSM (thm 1):

$$|n_V^*(f) - n_W^*(f)| \leq \|f\|_{\text{Lip}} \cdot \|V - W\|_\infty,$$

to the IoDS requires information
 about the E -dep. for fixed potential



Known (f. \mathbb{Z}^d): Log-Hölder continuous

in E , for fixed $V \in L^\infty(\mathbb{Z}^d; [-C, C])$.

(J. Bourgain, A. Klein - 2013)

Using this, our result in thm 1 implies

Log-Hölder behavior

in the POTENTIAL for fixed $E \in \mathbb{R}$:

thm: For \mathbb{Z}^d , $d \in \mathbb{N}$, and each $C > 0$,
 $\exists K_0 = K_0(C, d) : \forall V, W \in \ell^\infty(\mathbb{Z}^d; [-C, C])$
 w/ $\|V - W\|_\infty \leq 1$ one has

$$|N_V^*(E) - N_W^*(E)| \leq \frac{K_0}{\log\left(\frac{1}{\|V - W\|_\infty}\right)}, \quad \forall E \in \mathbb{R}.$$

For the weak coupling limit on \mathbb{Z}^d :

$$V = \lambda \cdot v, \quad \lambda \rightarrow 0,$$

the explicit formula for the DOSM of the free Laplacian implies

$$E \mapsto N_0(E) \begin{cases} \text{1/2-Hölder, } d=1, \\ \text{Lipschitz, } d \geq 2, \end{cases}$$

which improves the λ -dependence:

thm: For $v \in \ell^\infty(\mathbb{Z}^d; [-C, C])$, $C > 0$:

$$|N_{\lambda \cdot v}(E) - N_0(E)| \leq c_0 \cdot \lambda^r, \quad E \in \mathbb{R},$$

$$\text{w/ } r = \begin{cases} 1/3 & , d=1, \\ 1/2 & , d \geq 2. \end{cases}$$

Context for weak coupling limit, \mathbb{Z}^d :

so far available results were limited to the IDS of random potentials, most of which were for $d=1$:

- $d=1$: Bouier-Klein, Campanino-Klein, Speis (late 1980s, early 1990s)

- $d \geq 2$:

* Hislop-Klopp-Schenker (2005),
Schenker (2004) } certain
random potentials,
 $\sim \lambda^{1/8}$ -dep.

* Hislop-Marx (2018): ALL random potentials,
 $\sim \lambda^{\frac{1}{1+2d} \cdot \frac{1}{2}}$ -dep.

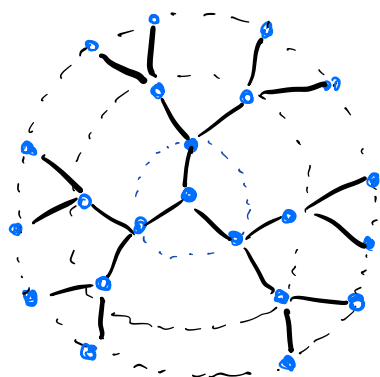
* M. Shamir (2019); I. Kachkovskiy (2019):
Lipschitz-dep., ALL random potentials

Our work improves these results to
deterministic potentials on
graphs (which admit a
uniform growth fac.).

2. Application to the Bethe lattice, B_k

$$\forall x \in B_k, L \in \mathbb{N}: \\ |\Lambda_L(x)| \sim (k-1)^L \\ (\text{large } L)$$

exponential
growth of
volume of
balls



B_k for $k=3$

$$\limsup_{L \rightarrow \infty} \left\{ \sup_{x \in B_k} \frac{|\Lambda_{L+n}^{(x)}|}{|\Lambda_L(x)|} \right\} = \\ = (k-1)^n =: \gamma_{B_k}^{(n)}$$

∴ Main theorem thus yields

log-Hölder continuity for the
potential dependence of the DDM:

thm: Given $B_k, k \geq 3$, and $C > 0$.

There exist $\alpha_k > 0$ s.t.

$$\forall V, W \in \mathcal{C}^\infty(B_k; [-C, C]), \|V - W\|_\infty \leq 1:$$

$$d_\omega(n_V^*, n_W^*) \leq \frac{\alpha_k}{\log\left(\frac{1}{\|V - W\|_\infty}\right)}.$$

III. Elements of the proof -

(of the Main theorem)

The proof of the main theorem characterizing the potential dependence of the DOSM relies on two key steps:

Step 1 - finite-range reduction:

$$\frac{1}{|A_L(x)|} \text{tr} \{ P_L(x) f(H_{\mathcal{V}}) P_L(x) \}$$

depends on the potential on infinitely many vertices!

Reduce variation of the potential at infinitely many vertices of the graph to a **FINITE** subgraph and quantify the resulting error term

Step 2 - Single site variations:

Quantify the effects of single-site variations of the potential on finite volume spectral averages

(Lipschitz property)

Step 1 - finite-range reduction

Given two potentials $V, W \in \ell^\infty(\mathbb{G}; [-C, C])$, an observable $f \in \text{Lip}([-C - \beta_\Delta, C + \beta_\Delta])$. Fix $x \in \mathbb{V}$.

Goal: Quantify variations of the potential in spectral averages:

$$\frac{1}{|\Lambda_2(x)|} \left| \text{tr} \{ P_L(x) f(H_V) P_L(x) \} - \text{tr} \{ P_L(x) f(H_W) P_L(x) \} \right|$$

Idea: Vary potential locally in a ball $\Lambda_R(x)$, for appropriate $R > 0$

→ A-priori estimate of error resulting from DROPPING contributions from $\mathbb{G} \setminus \Lambda_R(x)$

Consider: $V_W^{(R;x)} \in \ell^\infty(\mathbb{G})$,

the (R, W) -modification of V at x

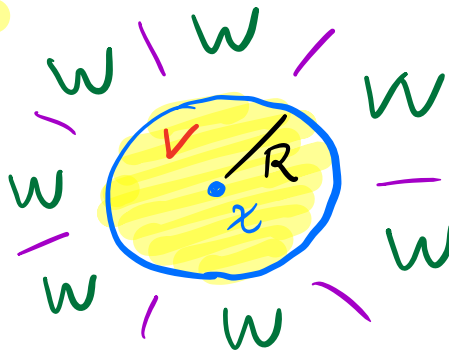
... the potential obtained by

changing V to W on $\mathbb{G} \setminus \Lambda_R(x)$

Thus: $V_W^{(R; x)}$ and W differ

only inside ball

$\Lambda_R(x)$
(FINITELY
many
vertices)



\Rightarrow Quantify LOSS of "throwing away"
contributions outside $\Lambda_R(x)$:

Key: The finite-difference structure of the
Laplacian

$$(\Delta \psi)(x) = \sum_{y \sim x} \psi(y)$$

\nwarrow nearest neighbors only

\Rightarrow For polynomial p ,

$$V \mapsto \text{tr}\{P_L(x) p(H_V) P_L(x)\}$$

depends only on potential at FINITELY
many vertices

inside ball $\Lambda_{L + \lfloor \frac{\text{deg } p}{2} \rfloor}(x)$ \nearrow enlarge radius by $\sim \text{deg } p$

Quantify loss of throwing away all but finitely many contributions to varying the potential through polynomial approximation:

Lemma (finite-range reduction):

Given error $\eta > 0$ and observable $f \in \mathcal{C}(\mathbb{R})$. Suppose p is a polynomial s.t.

$$\|f - p\|_{\infty; [-C-\beta_0, C+\beta_0]} < \frac{1}{2}\eta.$$

Then, letting $R := L + \lfloor \frac{\deg P}{2} \rfloor$, one has:

$$\frac{1}{|\Lambda_L(x)|} \left| \text{tr} \{ P_L(x) f(H_{\downarrow}) P_L(x) \} - \text{tr} \{ P_L(x) f(H_{\downarrow}^{(R;x)}) P_L(x) \} \right| \leq \eta.$$

Remark: For Lipschitz observables f ,

use approximation by Jackson polyn.

$$\left(\|J_n[f] - f\|_{\infty} \leq \frac{C_f}{n} \right)$$

\leftarrow nth-jackson poly

for which rate of convergence optimally inherits the modulus of contin. of f :

$$\|f - p\|_{\infty} < \frac{\eta}{2} \Leftrightarrow \deg p \sim \eta^{-1}$$

Step 2 - Single site variations

- Step 1 reduces varying the potential at the finitely many vertices inside

$$\Lambda_{L + \lfloor \frac{\deg}{2} \rfloor}(x)$$

- Variation at only one vertex $x \in V$ at a time, leads to one-parameter family:

$$\begin{cases} \lambda \mapsto H_\lambda := H_{V_x^c} + \lambda \cdot \pi_x, \lambda \in [-C, C] \\ \text{where } \pi_x(\cdot) = \langle \delta_x, \cdot \rangle \delta_x \quad \dots \text{orthogonal proj. onto} \\ \quad \text{std. basis vector } \delta_x \end{cases}$$

By the defn. of our "metric" for outer measures, it thus suffices to show that for given

$f \in \text{Lip}_C(\mathbb{R})$ and $z \in V$, the map

$$\lambda \mapsto \mathcal{F}_f(\lambda) := \langle \delta_z, f(H_\lambda) \delta_z \rangle \quad \text{satisfies:}$$

Prop. ("Lipschitz property"): The map

$\lambda \mapsto \mathcal{F}_f(\lambda)$ is Lipschitz and bdd. in λ w/

$$|\mathcal{F}_f(\lambda_1) - \mathcal{F}_f(\lambda_2)| \leq |\lambda_1 - \lambda_2| \cdot \|f\|_{\text{Lip}}, \text{ all } \lambda_1, \lambda_2 \in \mathbb{R}$$

Proof uses the almost analytic extensions &

Helffer - Sjöstrand functional calculus

Step 3 - Finishing up...

Given $V, W \in \mathcal{L}^\infty(\mathbb{G}; [-C, C])$, $\|V - W\|_\infty =: \varepsilon < 1$
and $f \in \text{Lip}([-C - \beta_\mathbb{G}, C + \beta_\mathbb{G}])$.

Let $\eta: (0, +\infty) \ni \text{TBD ("modulus fcn.")}$
s.t. $\eta(y) \searrow 0$ as $y \searrow 0^+$

1. For $L \in \mathbb{N}$, take the cut-off radius R
according to the finite range
reduction (step 1): $R \sim L + \left\lfloor \frac{1}{\eta(\varepsilon)} \right\rfloor$

2. Apply the Lipschitz property (step 2) to
each vertex inside $\Lambda_R(x)$:

$$\begin{aligned} & \frac{1}{|\Lambda_L(x)|} \left| \text{tr} \{ P_L(x) f(H_V) P_L(x) \} - \text{tr} \{ P_L(x) f(H_W) P_L(x) \} \right| \\ & \leq \frac{1}{|\Lambda_L(x)|} \left| \text{tr} \{ P_L(x) f(H_V) P_L(x) \} - \text{tr} \{ P_L(x) f(H_{V^{(R,x)}_W}) P_L(x) \} \right| \\ & \quad + \frac{1}{|\Lambda_L(x)|} \left| \text{tr} \{ P_L(x) f(H_{V^{(R,x)}_W}) P_L(x) \} - \text{tr} \{ P_L(x) f(H_W) P_L(x) \} \right| \\ & \leq \eta(\varepsilon) + \|f\|_{\text{Lip}} \cdot \frac{|\Lambda_R(x)|}{|\Lambda_L(x)|} \cdot \varepsilon \end{aligned}$$

$$\frac{1}{|\Lambda_L(x)|} \left| \text{tr} \{ P_L(x) f(H_V) P_L(x) \} - \text{tr} \{ P_L(x) f(H_W) P_L(x) \} \right|$$

$$\leq \eta(\varepsilon) + \|f\|_{\text{Lip}} \cdot \frac{|\Lambda_R(x)|}{|\Lambda_L(x)|} \cdot \varepsilon,$$

, where $R \sim L + \left\lfloor \frac{L}{\eta(\varepsilon)} \right\rfloor$

3. By the defn. of the uniform growth fn.

$$\limsup_{L \rightarrow \infty} \sup_{x \in V} \left\{ \frac{|\Lambda_R(x)|}{|\Lambda_L(x)|} \right\} \leq \gamma_G(R-L),$$

thus conclude:

$$|n_V^*(f) - n_W^*(f)| \leq$$

$$\eta(\varepsilon) + \|f\|_{\text{Lip}} \cdot \gamma_G\left(\left\lfloor \frac{L}{\eta(\varepsilon)} \right\rfloor\right) \cdot \varepsilon \quad (*)$$

Balancing two terms in (*) by choosing:

$$\eta(y) \sim \|f\|_{\text{Lip}} \cdot \frac{1}{\gamma_G^{-1}\left(\frac{1}{y^\delta}\right)}, \text{ for } \delta > 0$$

obtain final result for the modulus of continuity. □

Thank you!



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