

# III-Nitride Tunnel Junctions for Visible and UV LEDs

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Past group members: Yuwei Zhang, Zane Jamal-Eddine, Fatih Akyol, Sriram Krishnamoorthy

**Siddharth Rajan**

Electrical and Computer Engineering

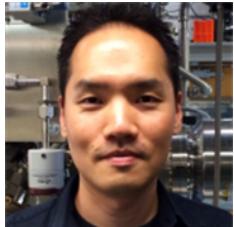
Materials Science and Engineering

The Ohio State University

Columbus Ohio USA

## Collaborators

**Ohio State University:** Jinwoo Hwang's  
group



**Sandia National Laboratories:** Brendan  
Gunning, Andrew Allerman, Andrew  
Armstrong



Funding: US Department of Energy, NSF, AFOSR

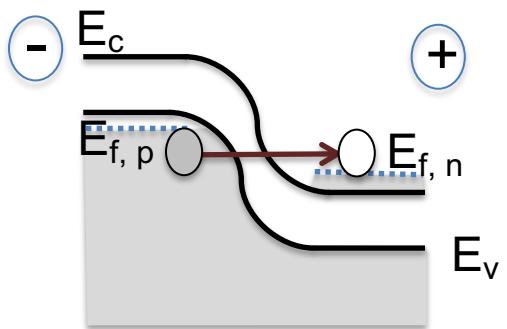
# Outline

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- Motivation for Tunneling-based III-Nitride LEDs
- Tunneling Injected UV LEDs
- Tunneling-based Visible LEDs

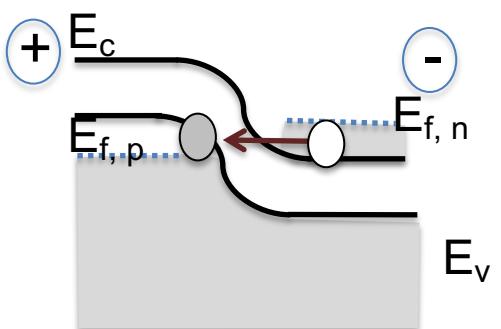
# Interband Tunnel Junctions

TJ in reverse bias



Electron  $\longleftrightarrow$  hole carrier conversion

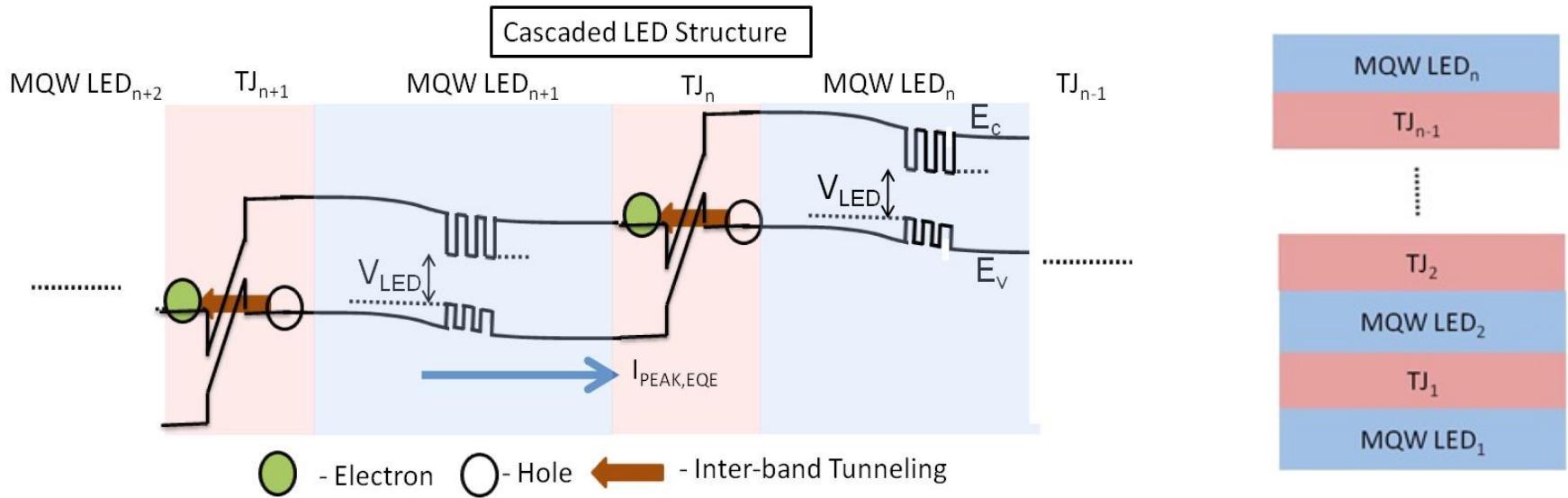
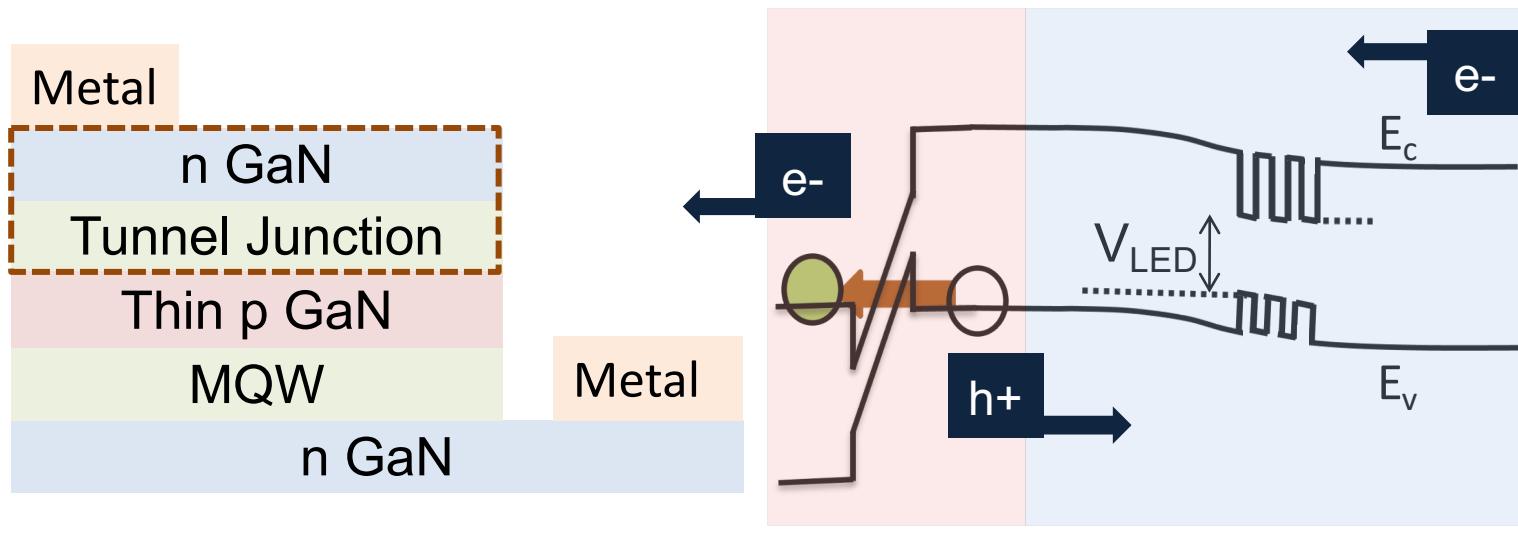
TJ in forward bias



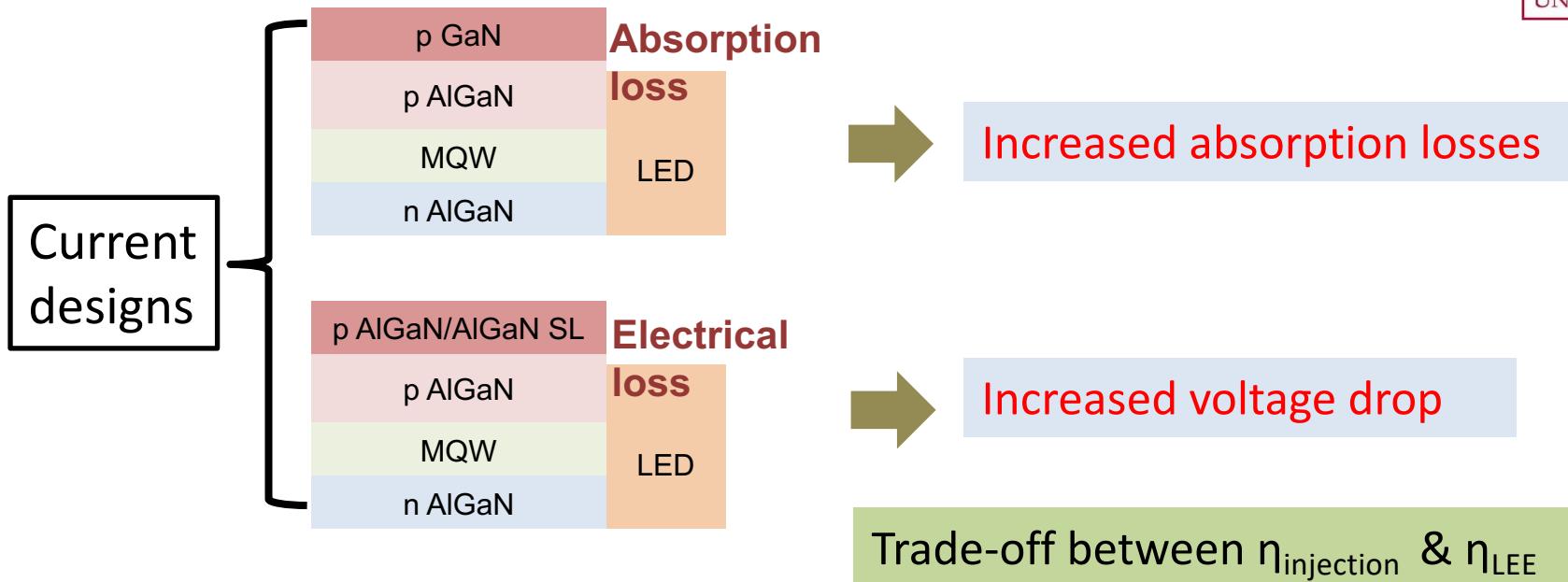
- injection of holes into p- type material
- Applications: **LEDs, Lasers**

- Recombination of electrons and holes by tunneling
- Applications: **Solar cells**

# LEDs with tunnel junctions



# P-contact and light extraction



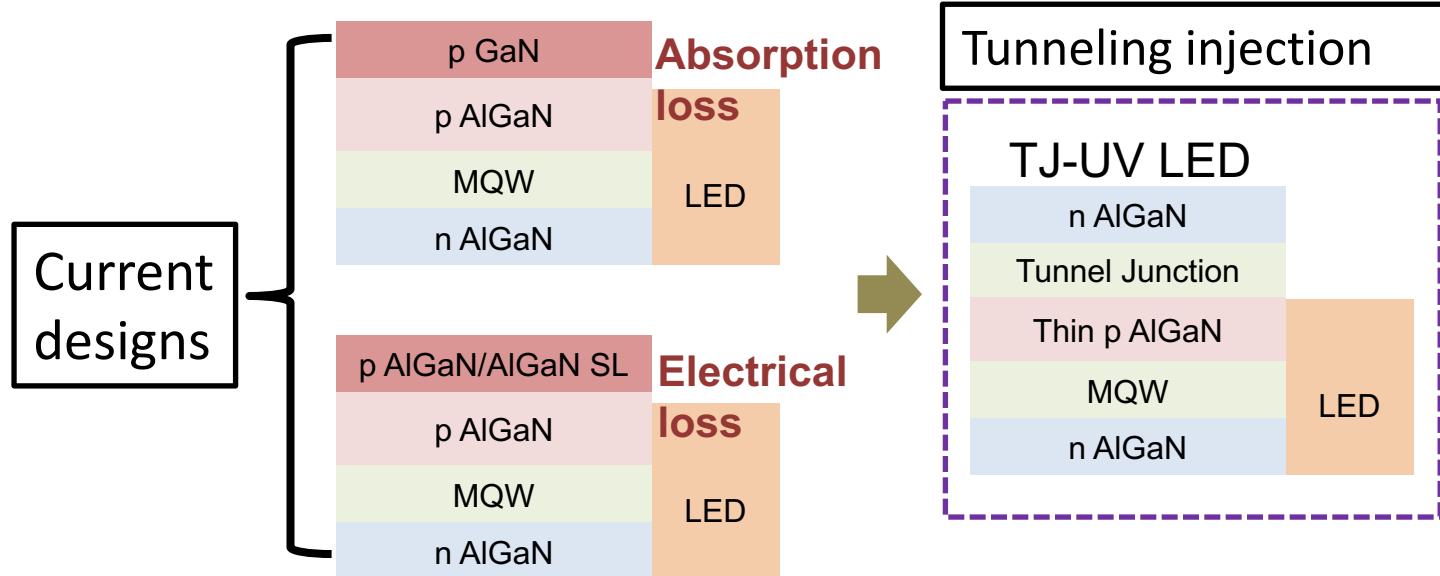
	GaN	SiC	$\text{Ga}_2\text{O}_3$	AlN	Diamond	ZnO
<b>Bandgap (eV)</b>	3.4	3.3	4.9	6.1	5.5	3.4
<b><math>E_A</math> (eV) Holes</b>	0.16	0.20	None	0.5	0.370	0.180
<b><math>E_D</math> (eV) electrons</b>	0.015	0.085	Low	0.063	1.7	0.04

Acceptor activation limits large band gap materials

Low hole density and large p-type resistance leads to poor UV LEDs

Can we use NON-EQUILIBRIUM INJECTION instead of doping?

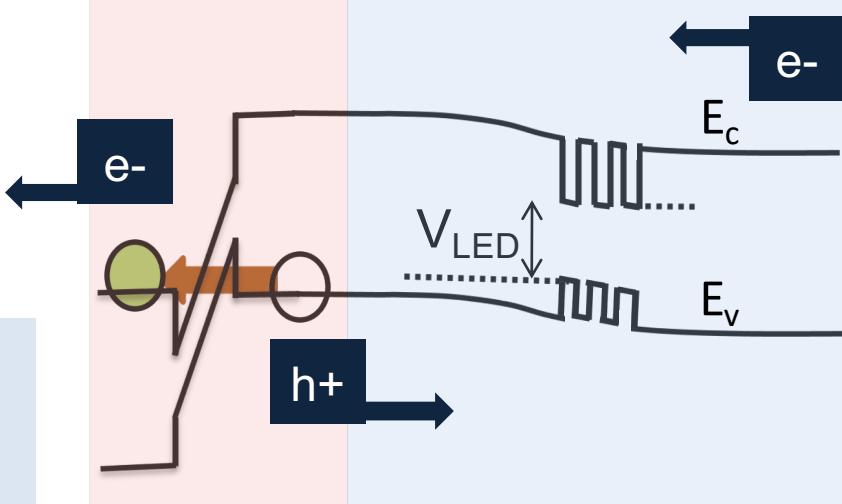
# P-contact and light extraction



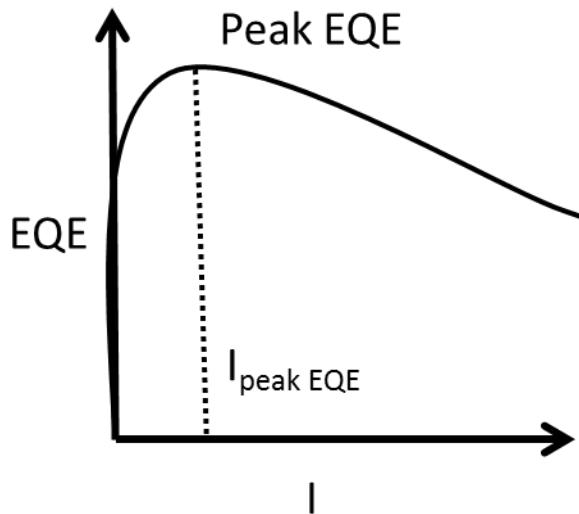
- Replace p-type contact using tunneling contact.
- Non-equilibrium injection.



- Reduced light absorption loss
- Enhanced injection efficiency
- Enables new LED/laser designs by making p-AlGaN **thin**



# Tunneling-Based GaN Optoelectronics



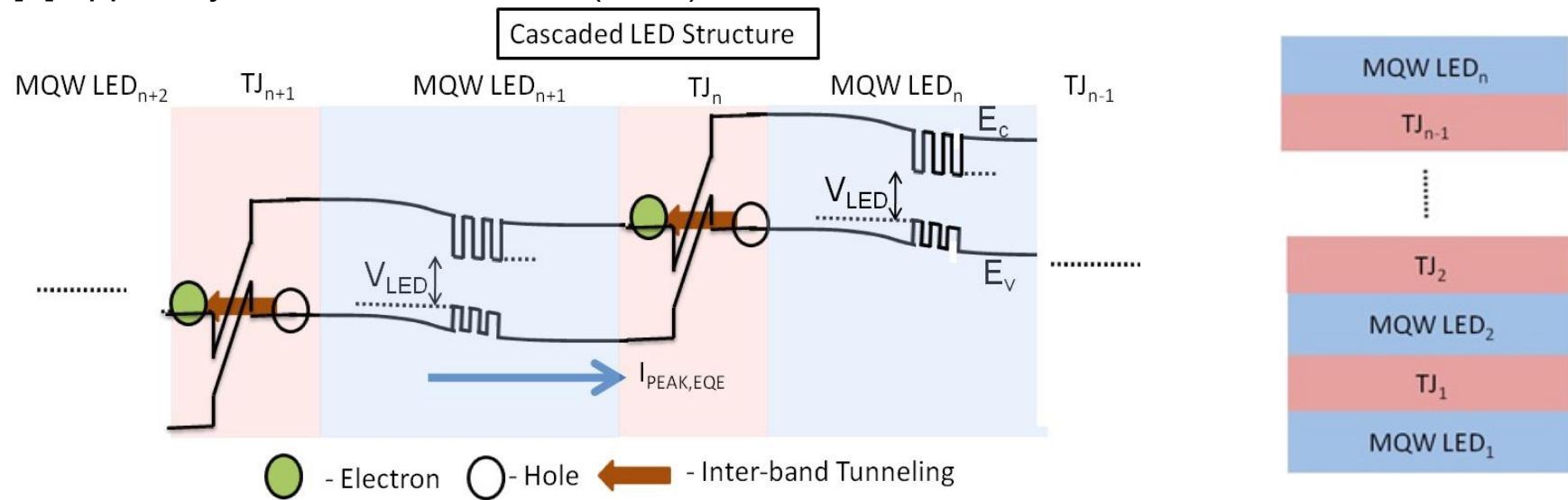
Efficiency droop: Main issue in LED lighting today

- Low efficiency at higher injection current

Cascaded multiple active region LEDs

- Low current density with multiple active regions
- Each e-h pair injected creates multiple photons
- Enables **higher power density point sources**
- Also important for **longer wavelengths**
- Prerequisite for a true RGB white LED

[1] Appl. Phys. Lett. 103 , 081107 (2013)



# Tunnel Junctions for Cascaded LEDs

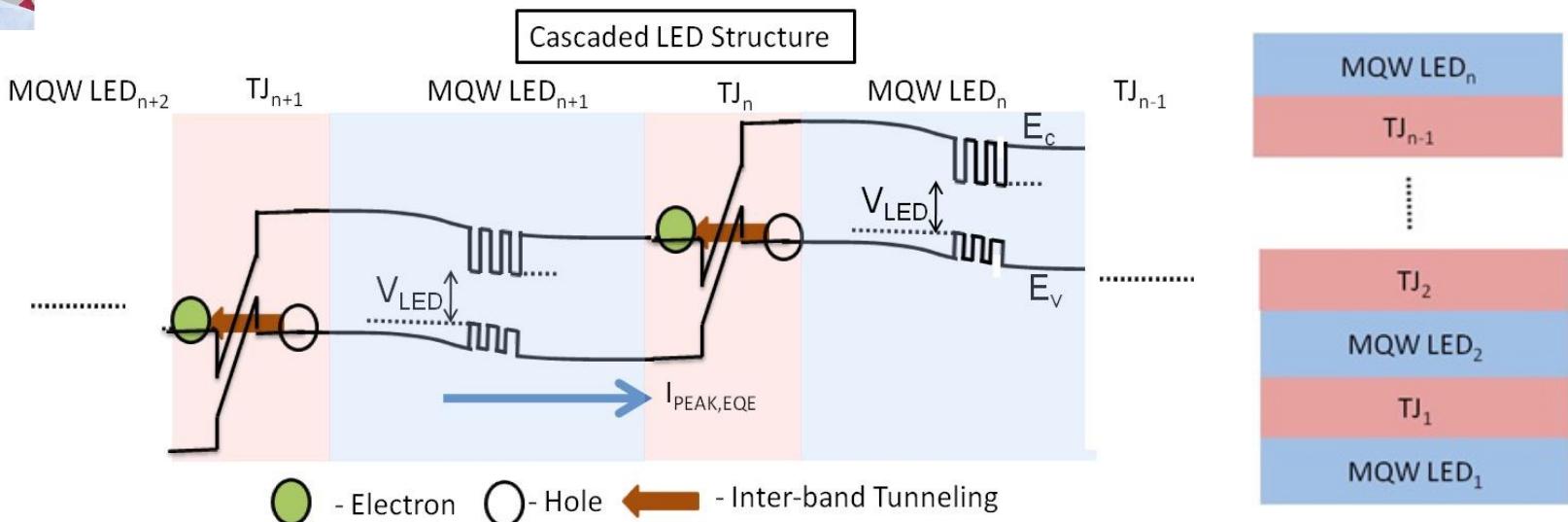
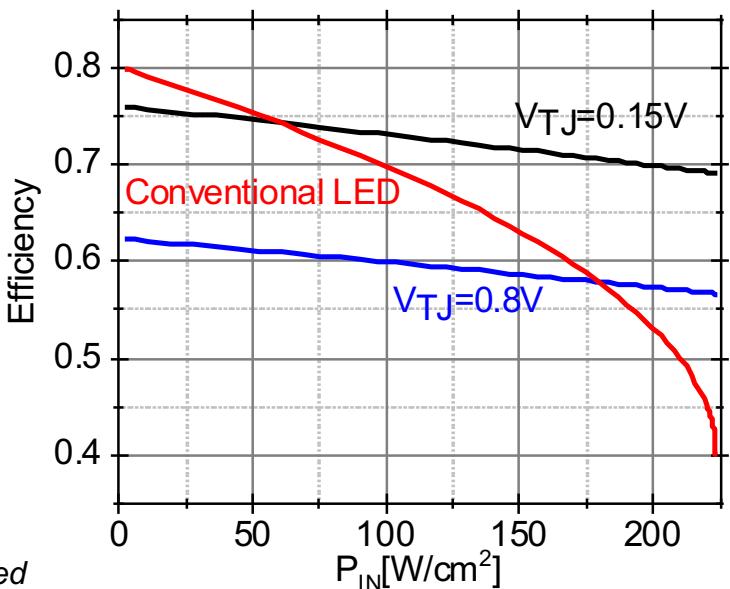
## Efficient design of cascaded LEDs

- High output power operation where efficiency droop is significant
- Excess forward voltage due to tunnel junction must be minimized



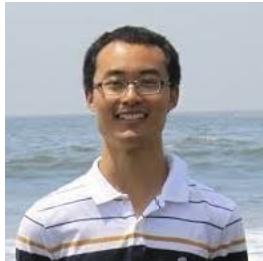
Fatih Akyol  
Now at Yildiz Univ.

Akyol, F., Krishnamoorthy, S. and Rajan, S., 2013,. *Applied Physics Letters*, 103(8), p.081107.



# Outline

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- **Tunneling Injected UV LEDs**
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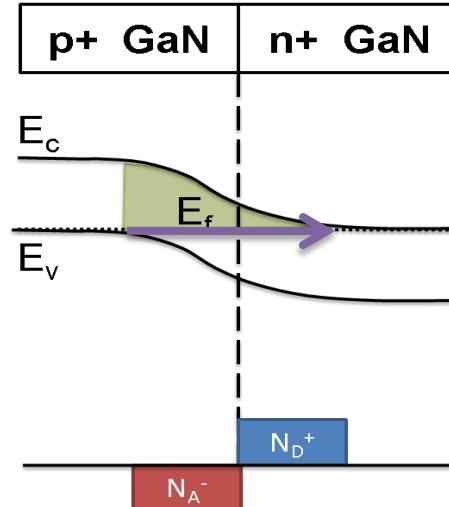
Y. Zhang,  
OSU  
(now Apple)

# Polarization engineering for tunnel junctions

## Standard p+/n+ TJ

- Large  $E_g \rightarrow$  wide depletion region
- Doping limitations
- Large energy barrier for tunneling

## Low tunneling current density

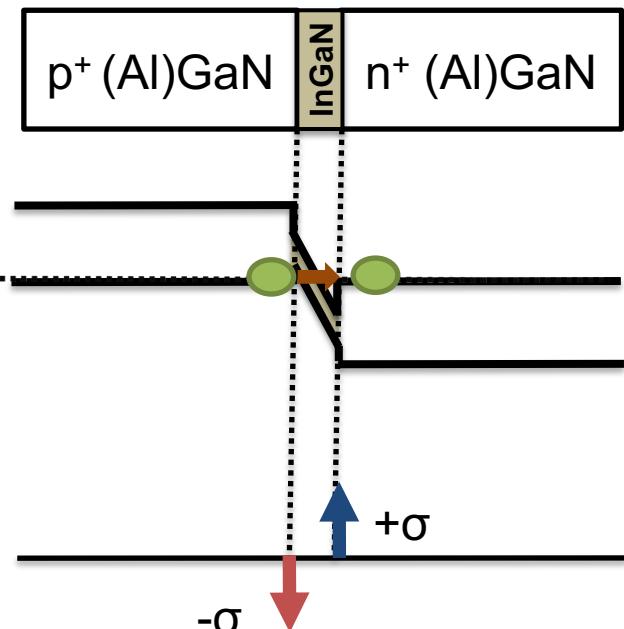


## Polarization-engineered TJ

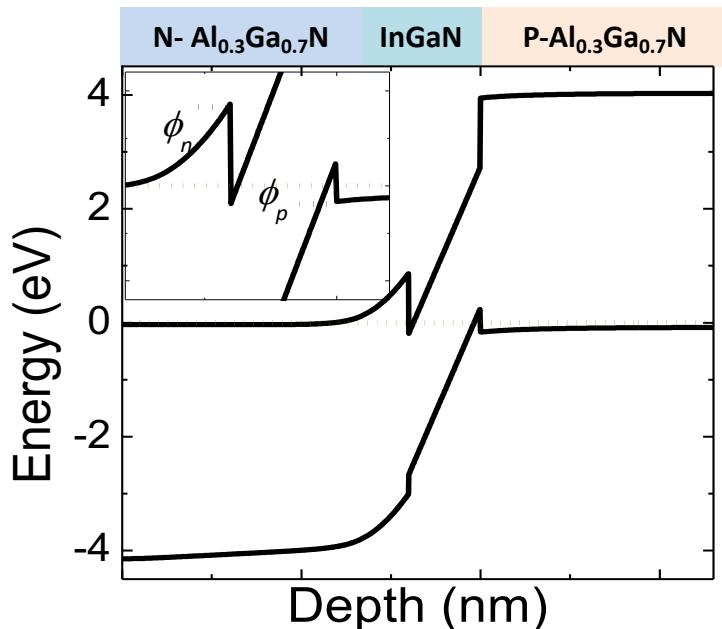
- High density polarization sheet charge  
 $\rightarrow$  depletion width greatly reduced.
- Tunnel barrier reduced due to InGaN.



S. Krishnamoorthy  
(Now UCSB)



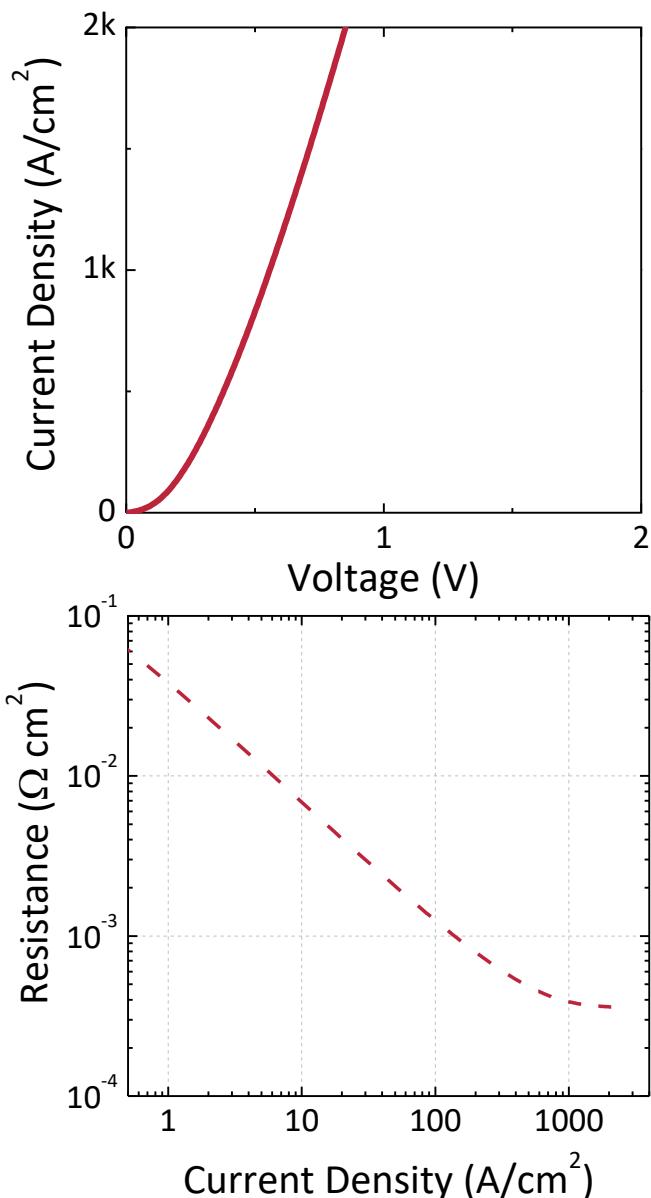
# Modeling: tunneling current in AlGaN



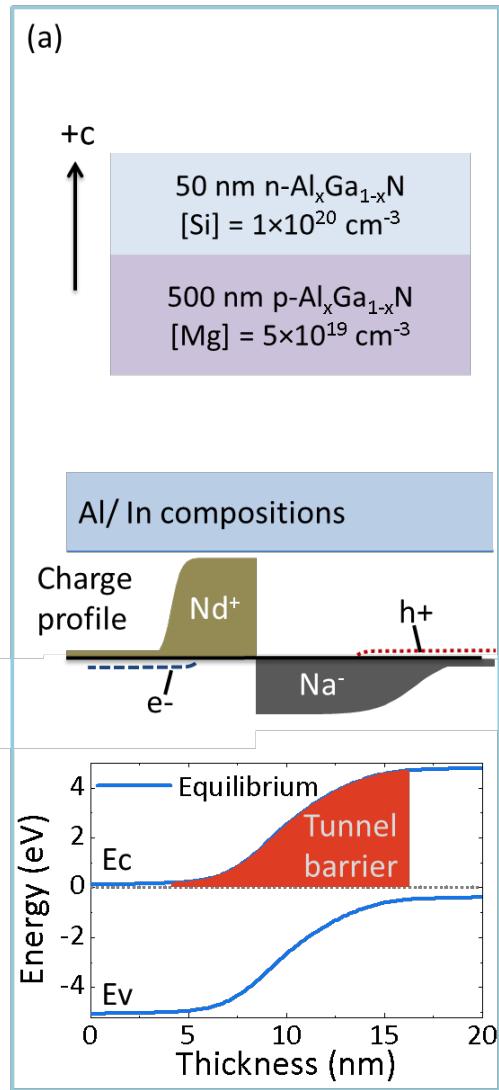
- Self-consistent Schrodinger Poisson solution
- WKB approximation for tunneling probability calculation.

$$J_T = q \iint (f_p^n \rho^p - f_n^n \rho^n) v_z T_{wkb} dE$$

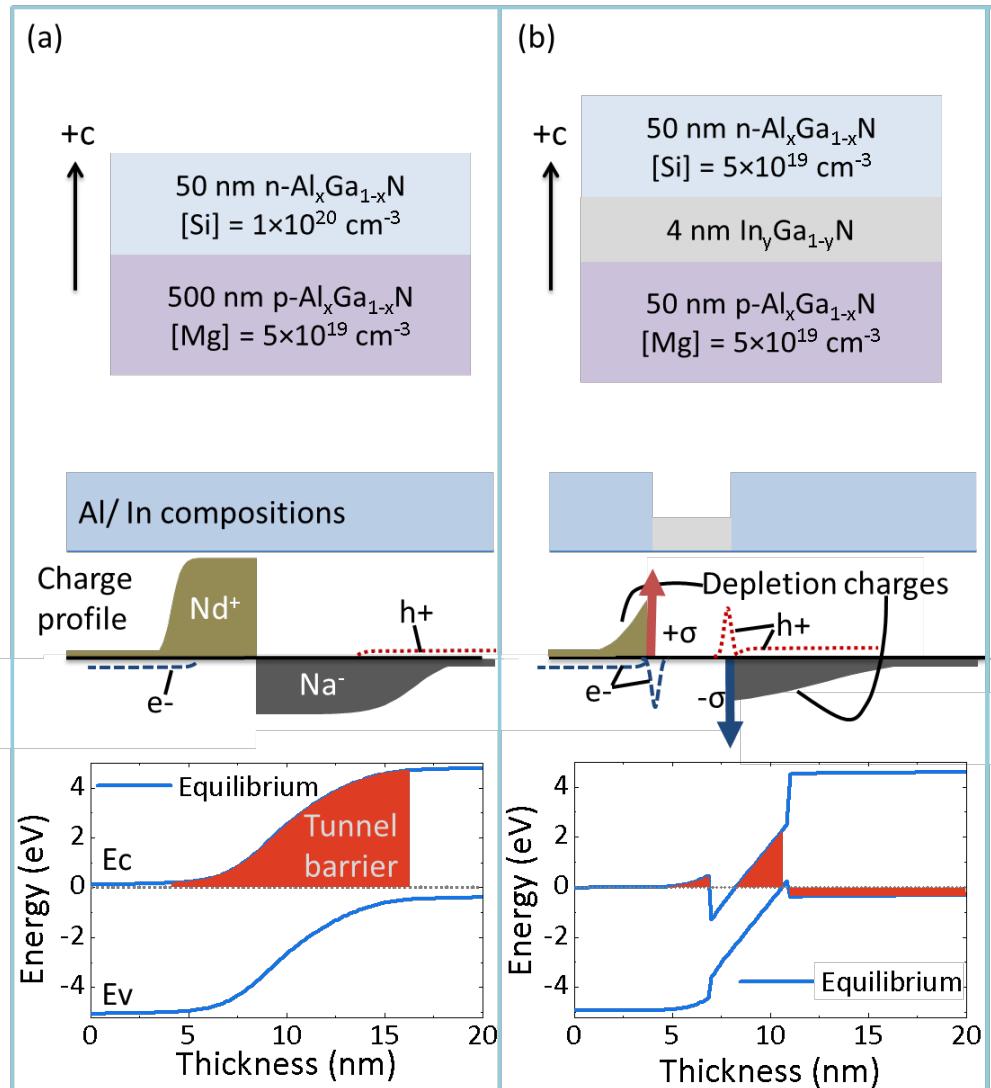
- Resistance reaches 3E-4 Ohm cm<sup>2</sup>.
- High current density could be achieved with low voltage drop.



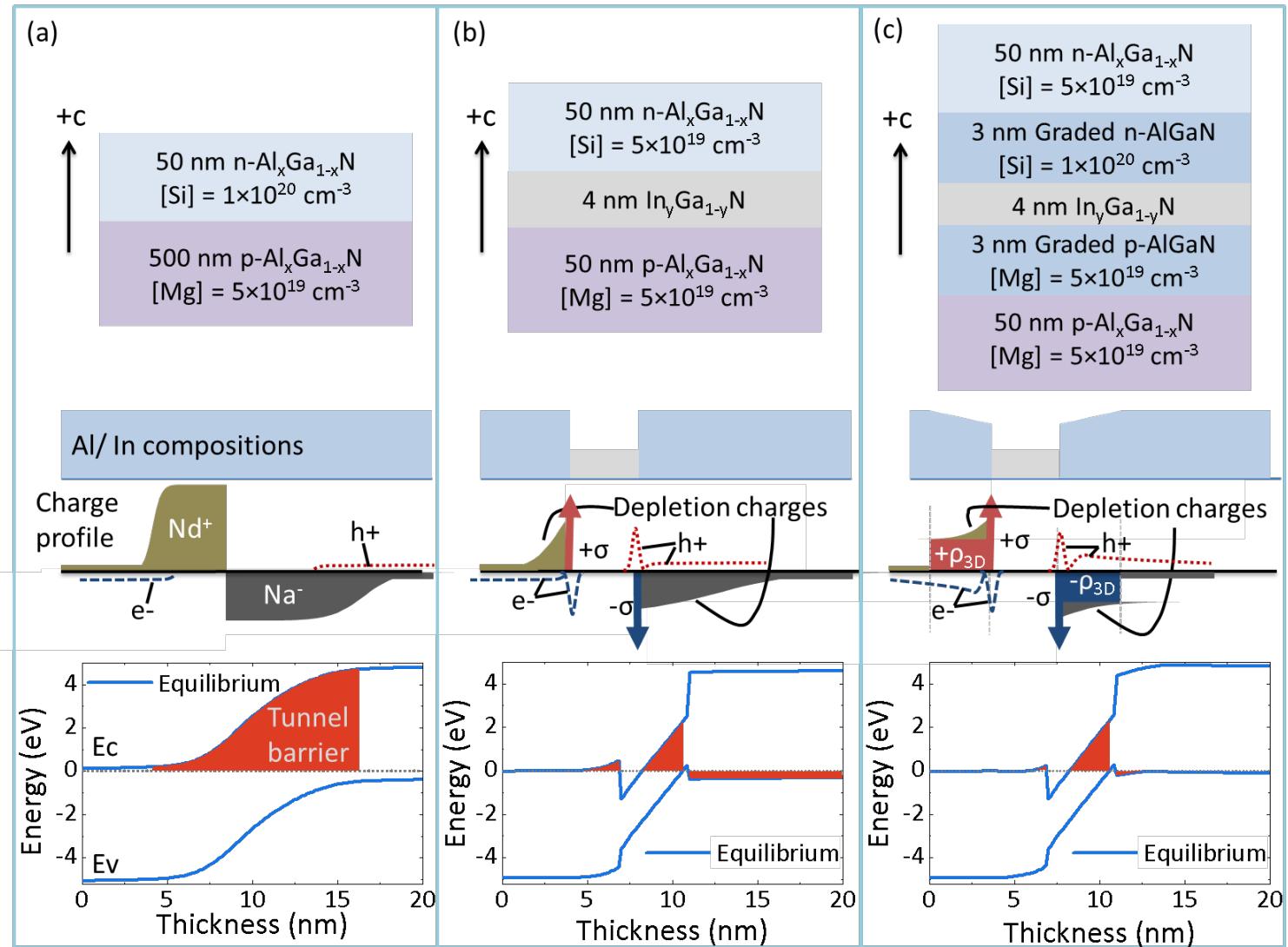
# Design of tunnel junction structures



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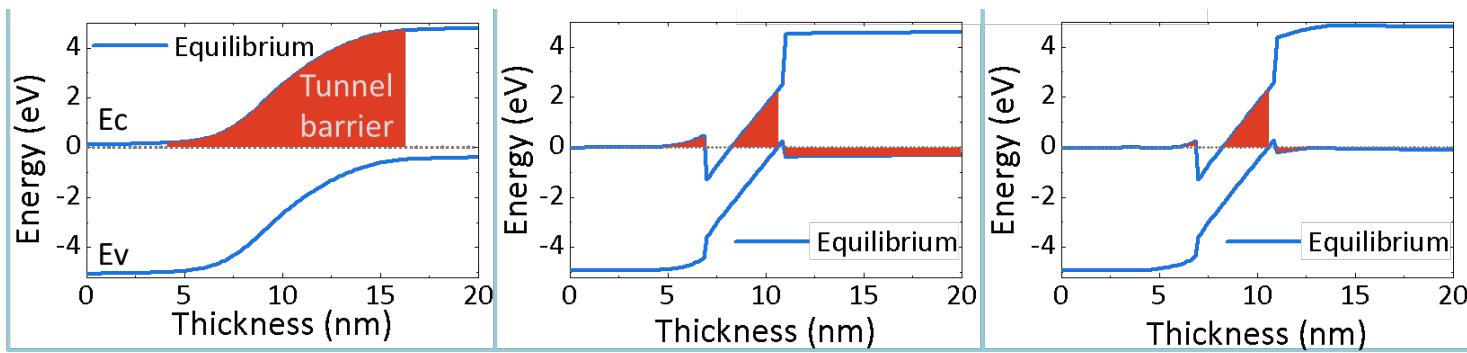
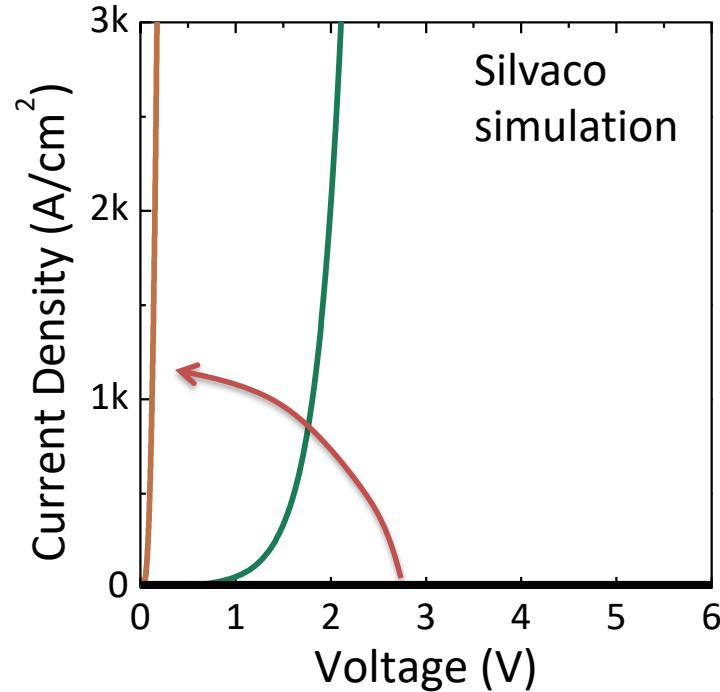


# Design of tunnel junction structures

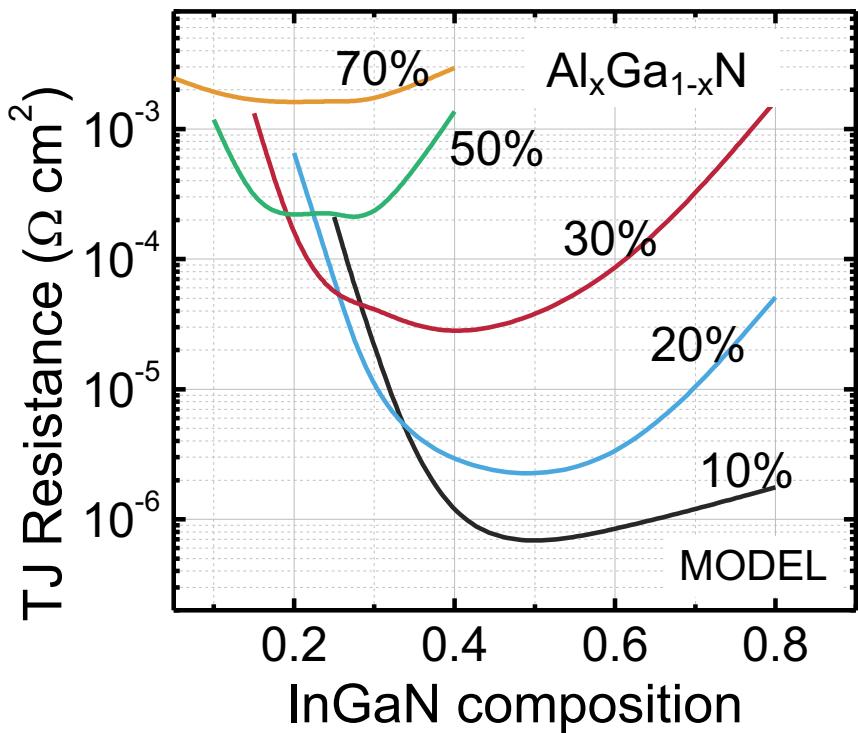
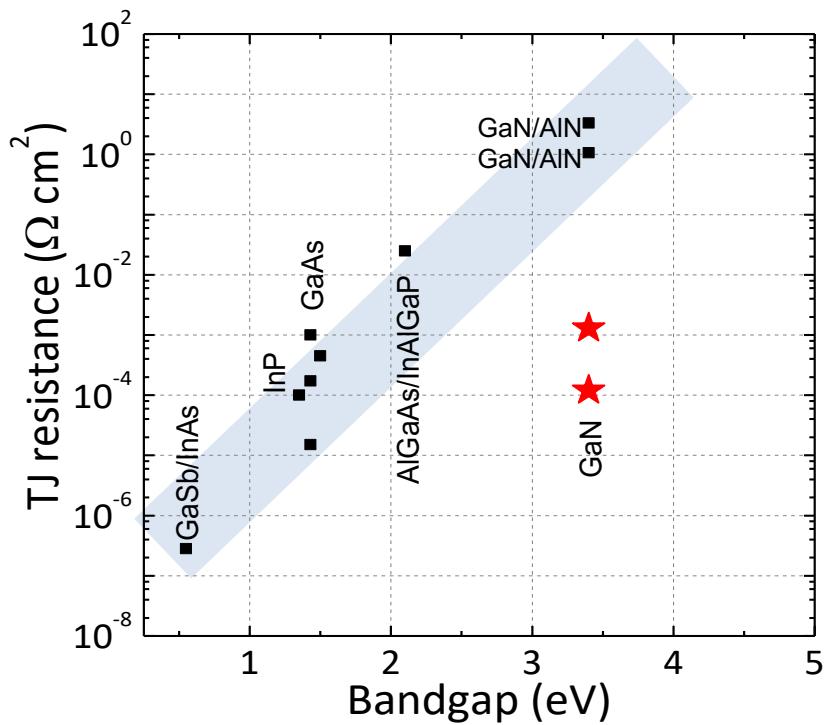


# Design of tunnel junction structures

- Polarization engineering enables low tunneling resistance and voltage drop.

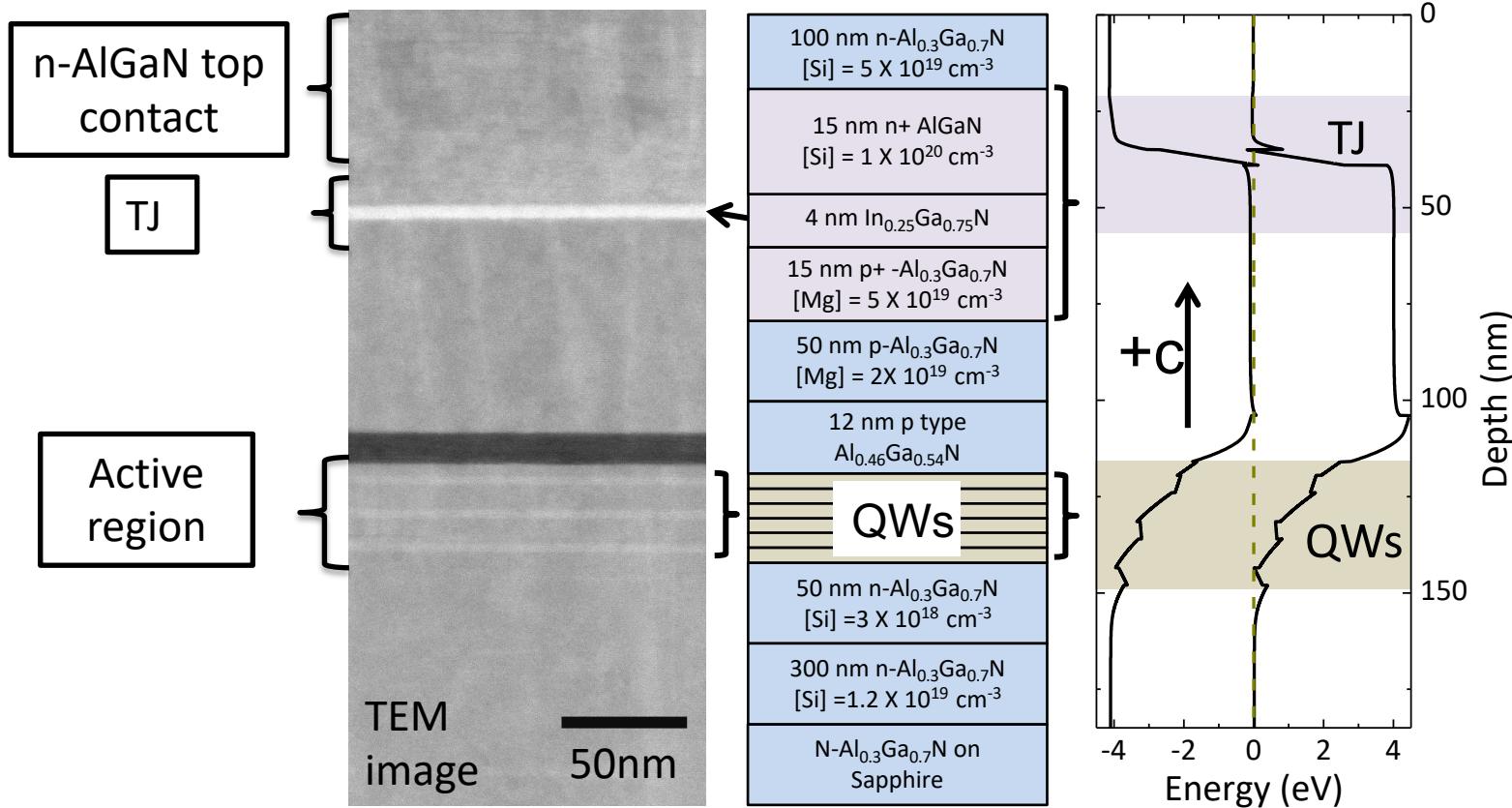


# Beyond the GaN bandgap: Design of AlGaN TJs



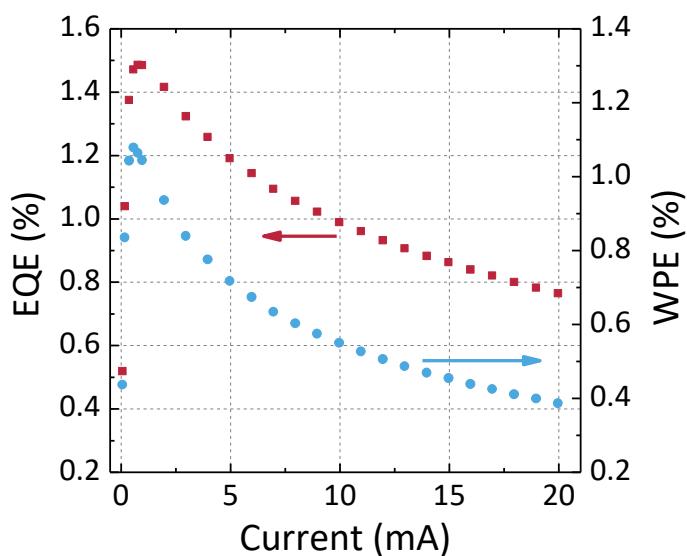
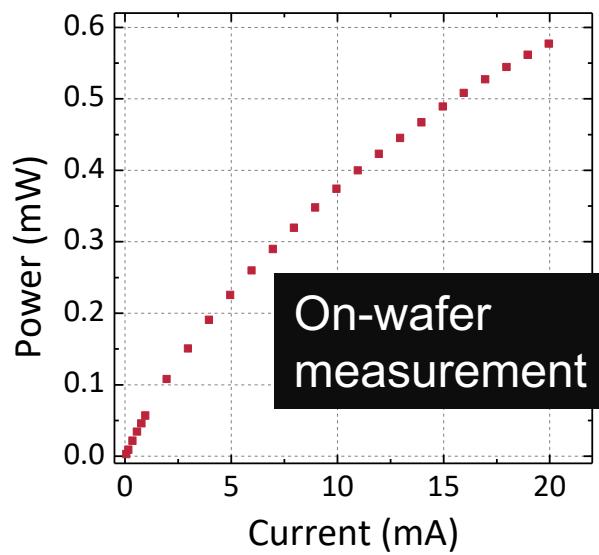
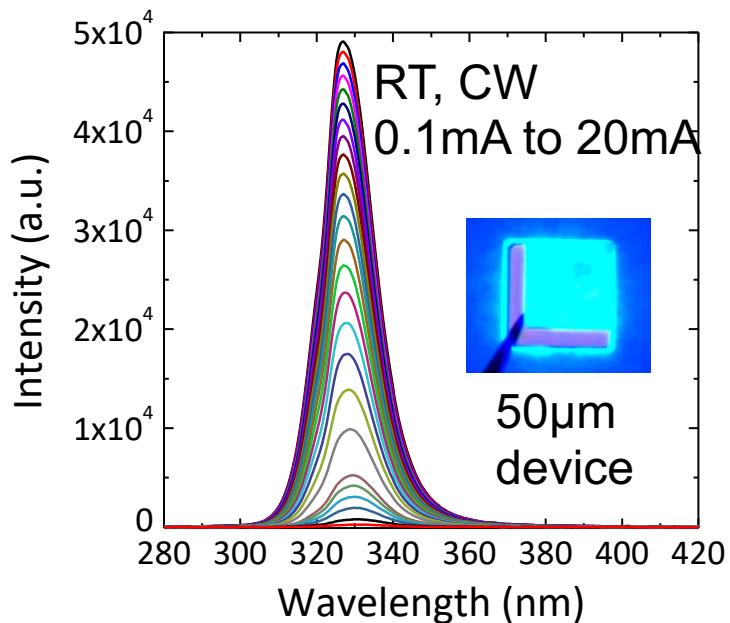
- Low resistance TJ could be created for high composition AlGaN.
- Hole injection could be achieved through high bandgap AlGaN TJs.

# MBE-grown TJ-UV LED



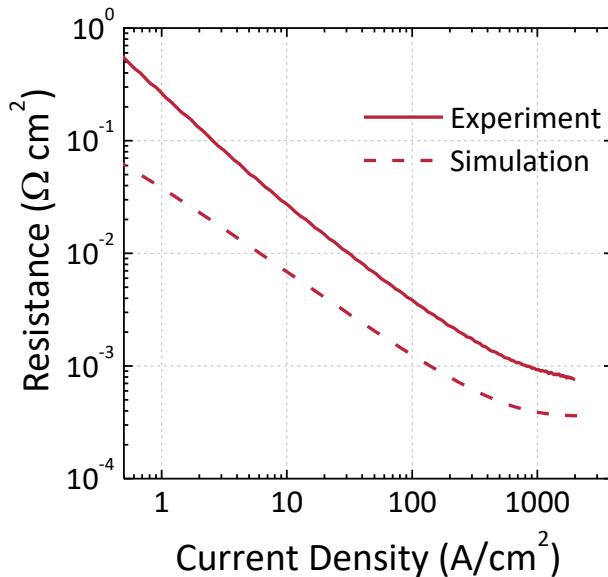
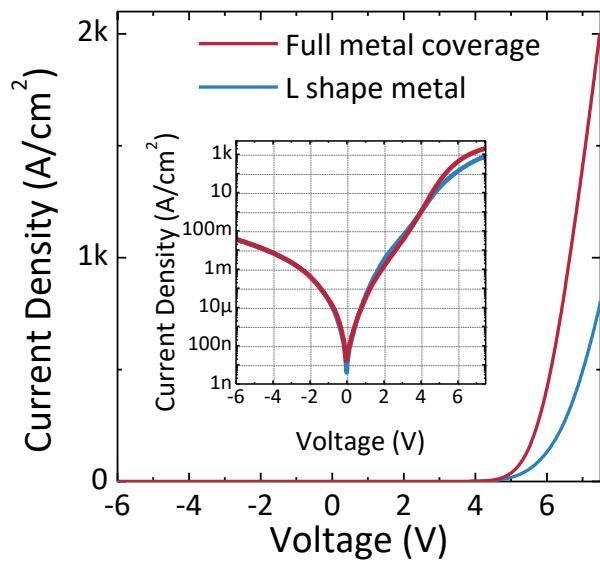
- TJ as a tunneling contact to p-AlGaN
- Enables extraction from top surface → no need for flip chip bonding
- Low spreading resistance in n-AlGaN → reduced metal coverage

# TJ-UV LED – optical characteristics



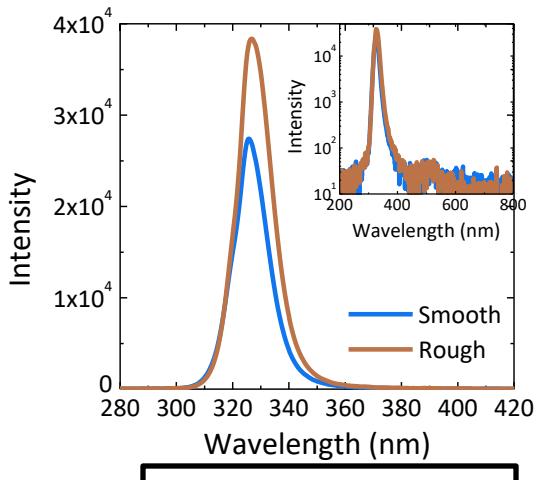
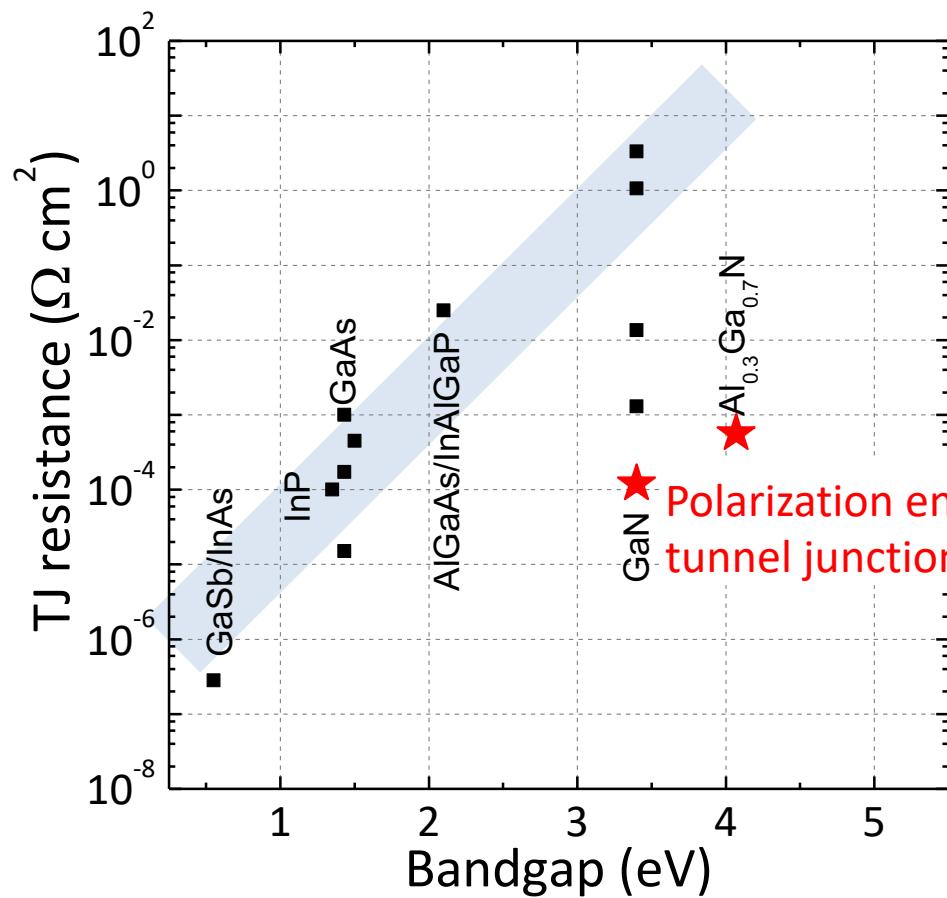
- Single peak emission at 327 nm
- Peak EQE and WPE are 1.5% and 1.08%, respectively.
- At 120 A/cm<sup>2</sup>, voltage is 5.9 V, power is 6 W/cm<sup>2</sup>.
- Proof of efficient hole injection through tunneling.

# TJ-UV LED – electrical characteristics



- Lowest TJ resistance of  $5.6 \times 10^{-4}$  Ohm  $\text{cm}^2$  is obtained for  $\text{Al}_{0.3}\text{Ga}_{0.7}\text{N}$  TJ
- Polarization engineered TJ enables orders of magnitude lower resistance.

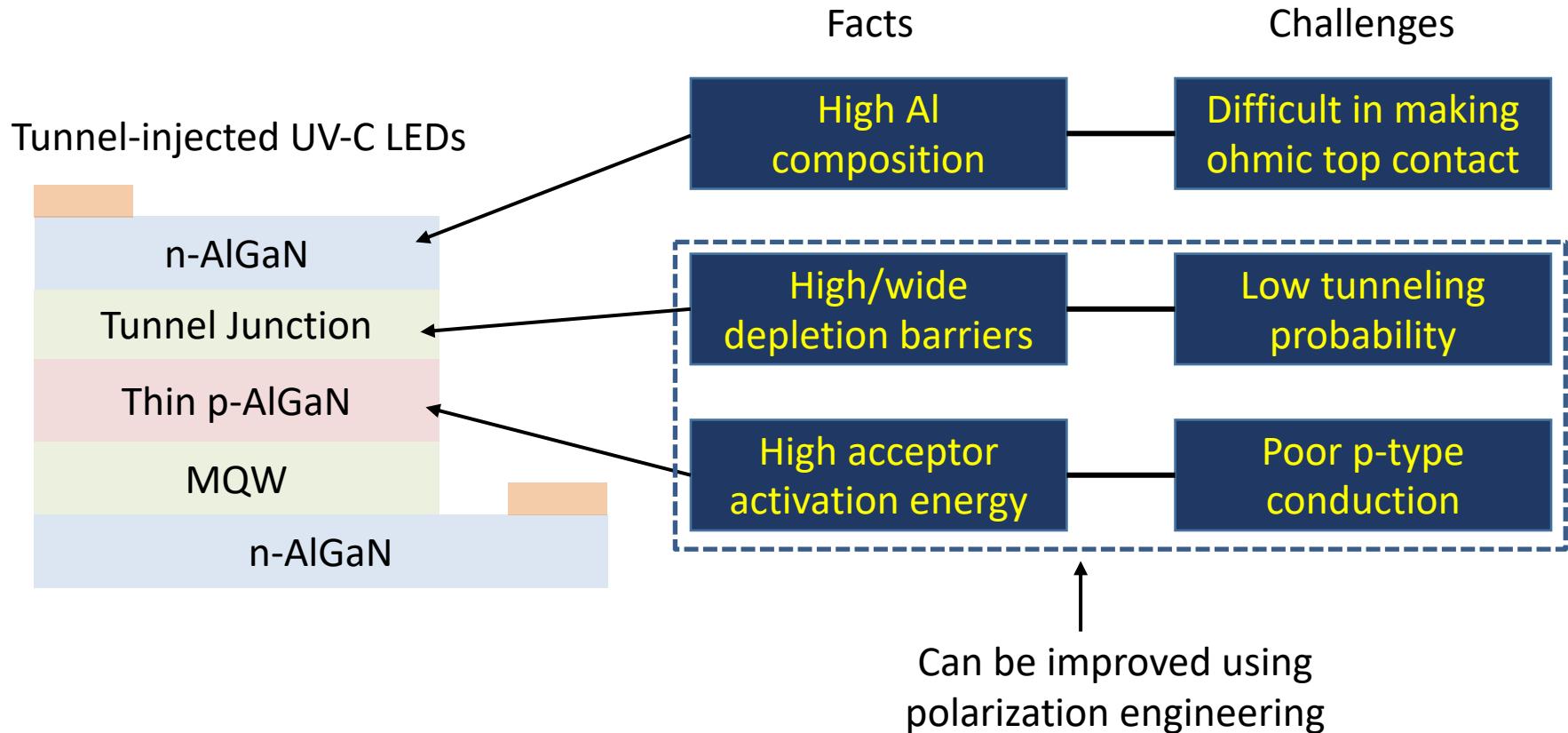
# Overview of the tunnel junction technology



325 nm emission

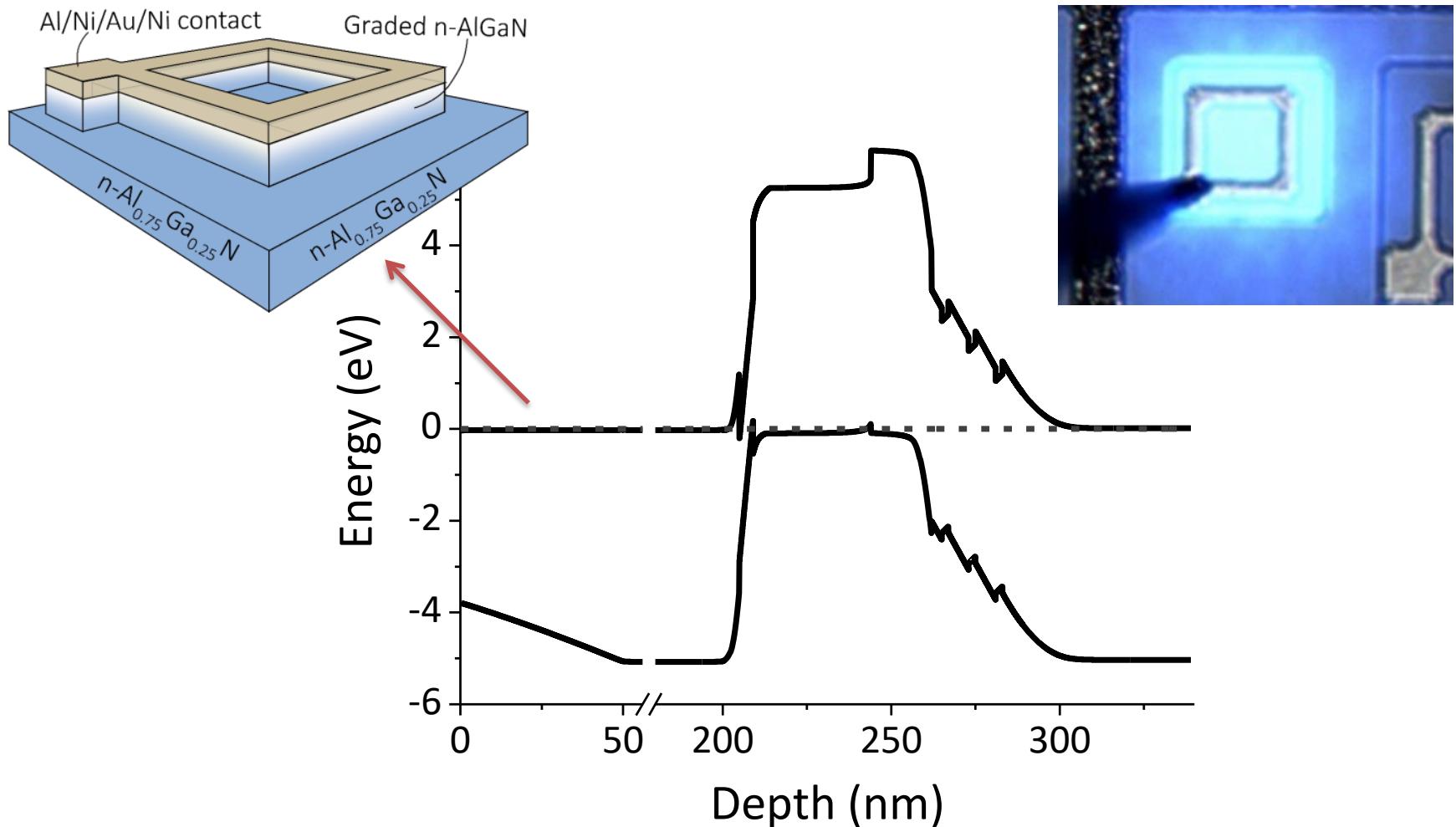
First tunnel-injected UV LED

# Tunnel-injected UV-C LEDs



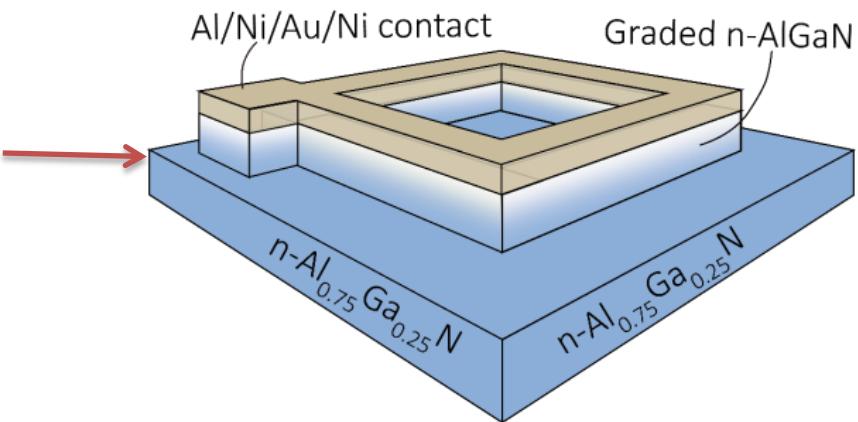
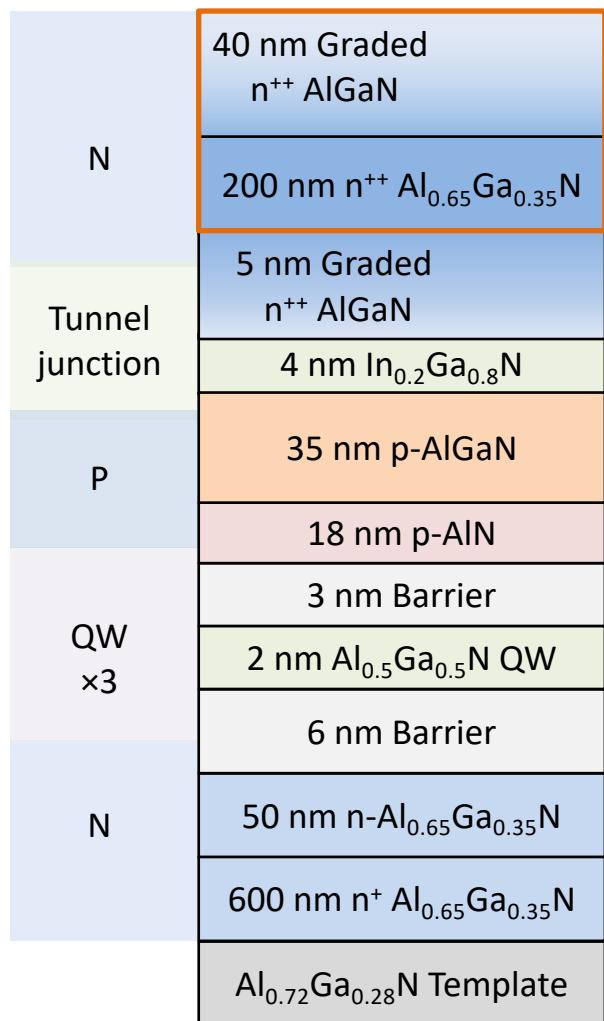
Making ohmic contact to ultra-wide bandgap AlGaN top contact layer is challenging.

# Tunnel-injected deep UV LED structure



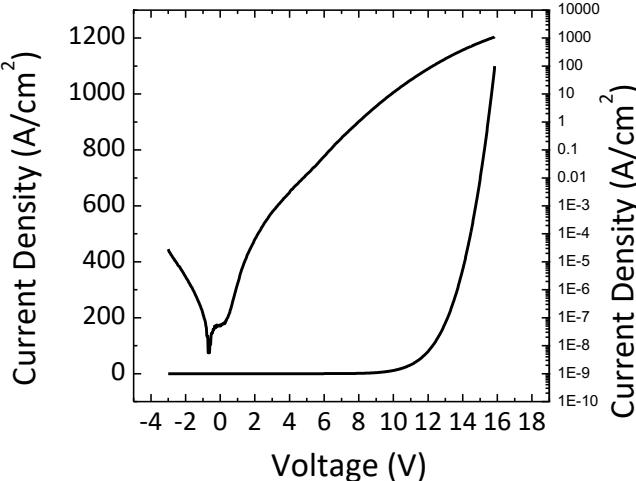
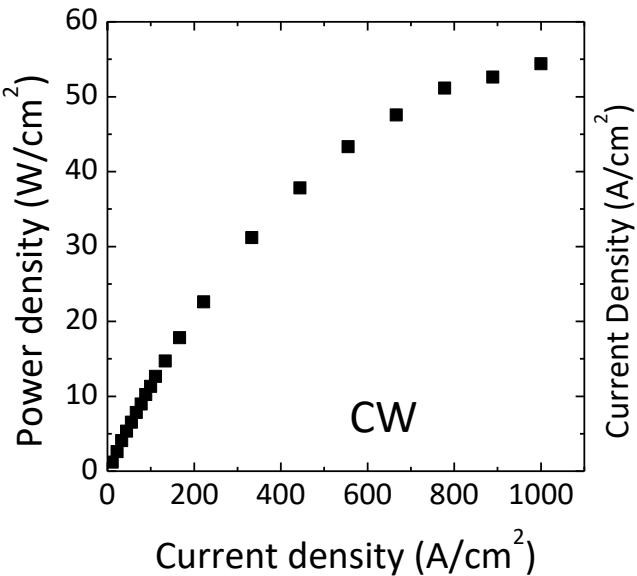
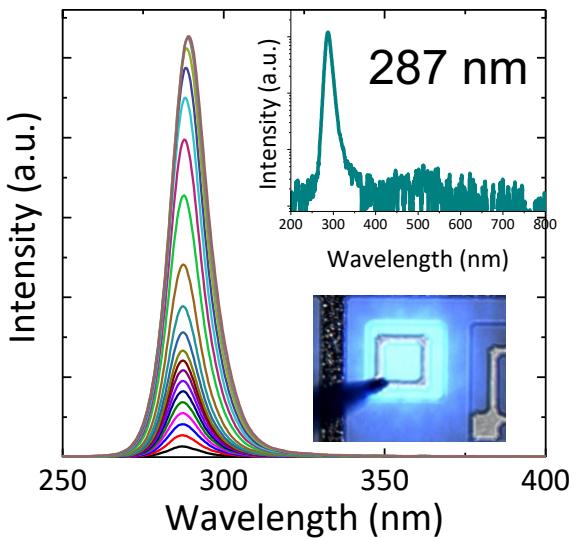
- Graded contact can be applied for deep UV LEDs

# Tunnel-injected deep UV LED structure



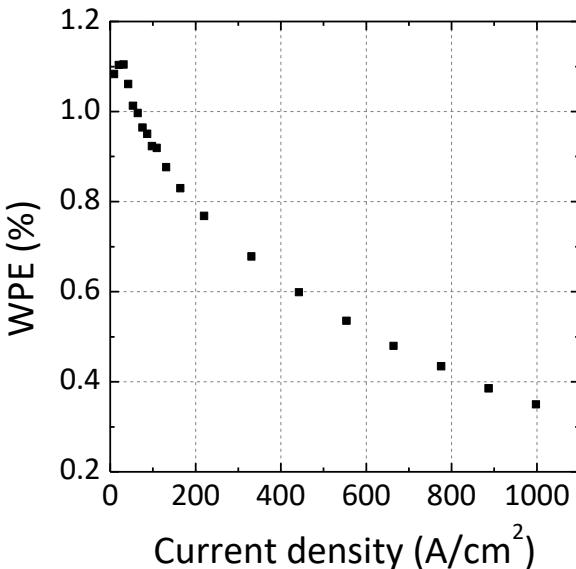
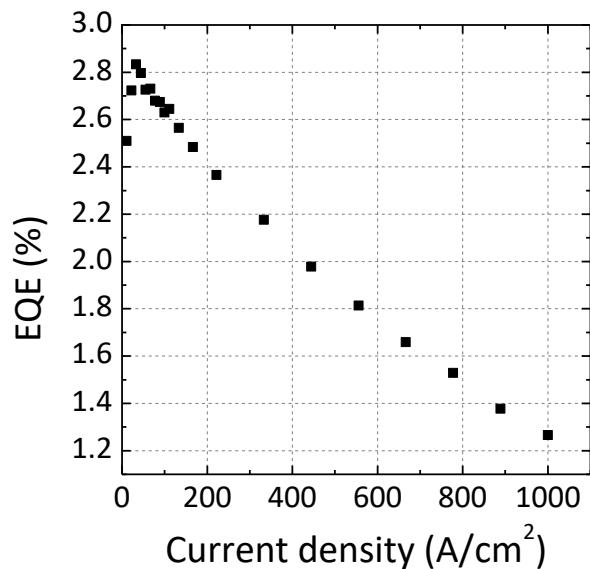
- Partial top metal coverage to minimize light absorption.
- Contact through graded n++ AlGaN.
- The n+ AlGaN top layer provides sufficient current spreading.

# Optical characteristics – sub 290nm TJ-LED



- Achieved uniform single peak emission at 287 nm
- High power density of  $\sim 55 \text{ W/cm}^2$  achieved  $1 \text{ kA/cm}^2$
- Low on-resistance --  $1.7 \times 10^{-3} \text{ Ohm cm}^2$  – more than one order lower compared to conventional UV LEDs

# Sub 290nm TJ-LED - Efficiency

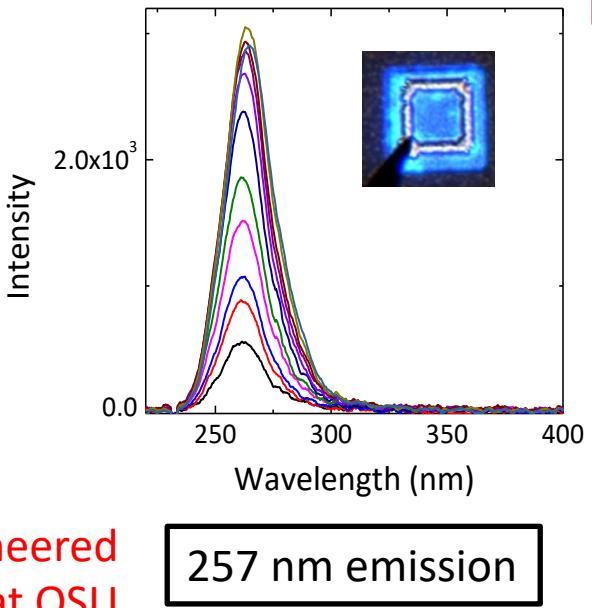
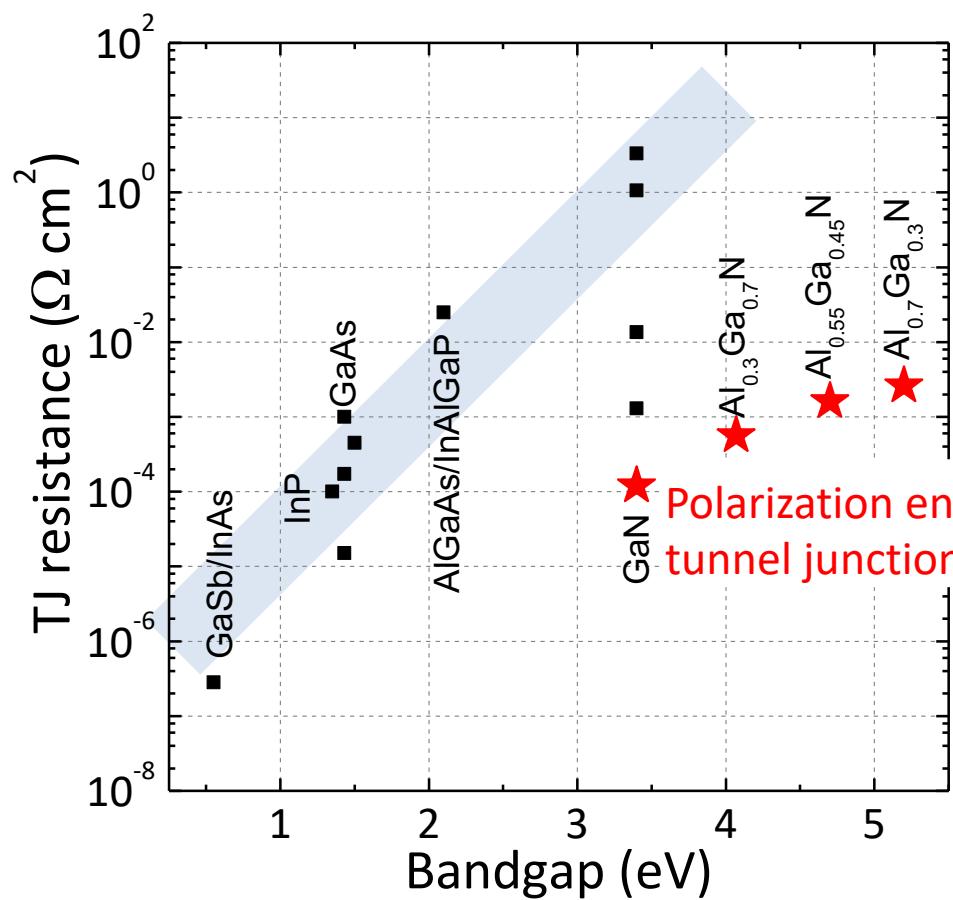


$$\text{WPE} = \text{EQE} * h\nu / qV$$

- Peak EQE is 2.8%, peaks at 30 A/cm<sup>2</sup>
  - indication of high carrier injection efficiency.
- Peak WPE is 1.1% -- high voltage drop harms WPE
- Direct demonstration of efficient hole injection into UWBG AlGaN

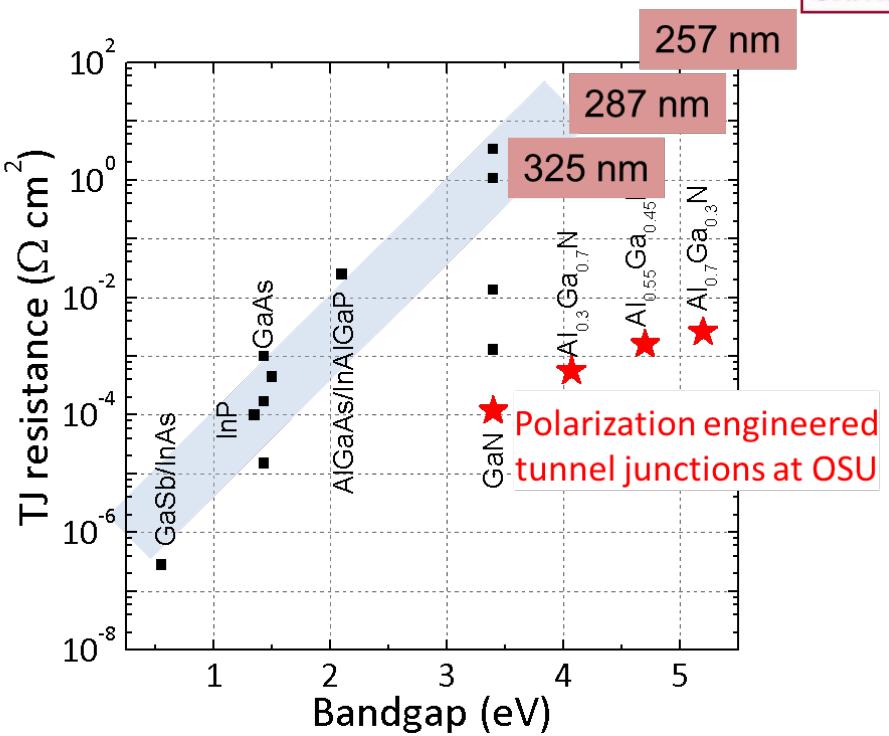
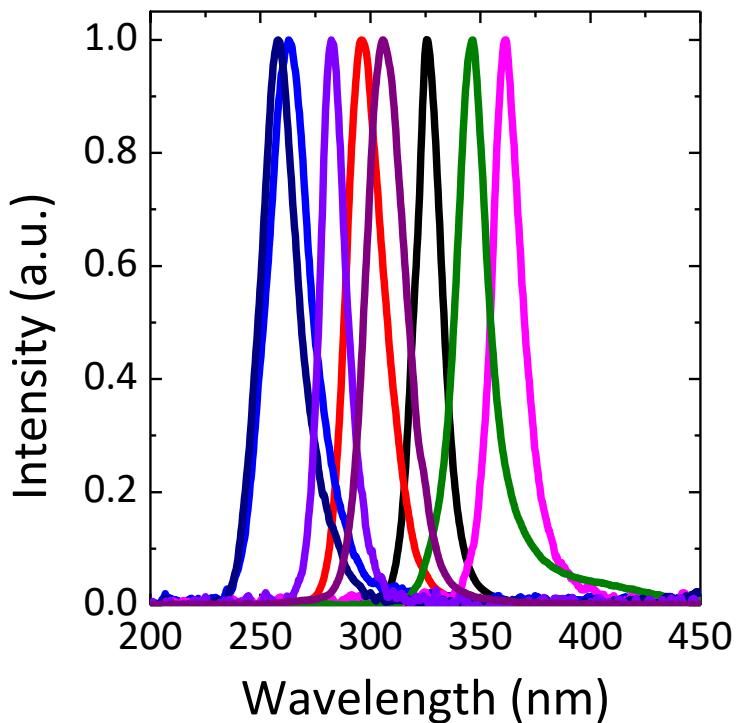
Power/ efficiency values are underestimated because of on-wafer measurement

# Overview of the tunnel junction technology



**Widest band gap interband tunnel junctions for *any* semiconductor**

# Overview of the tunnel junction technology



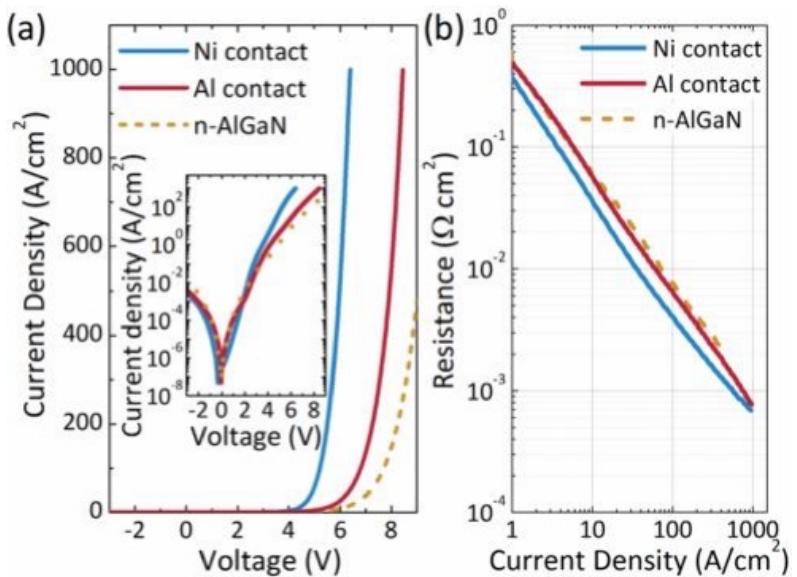
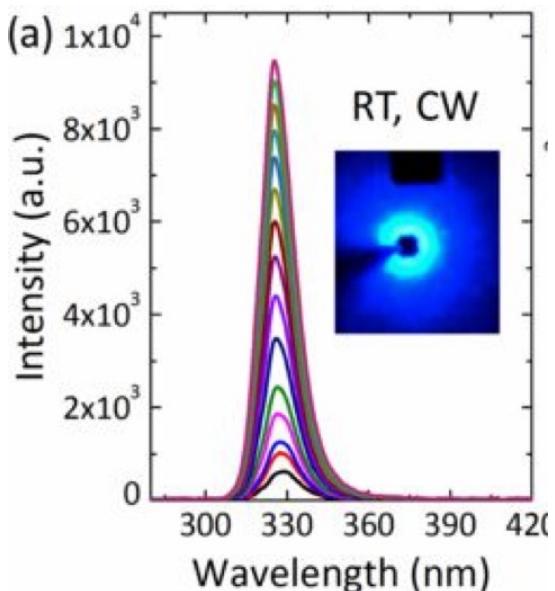
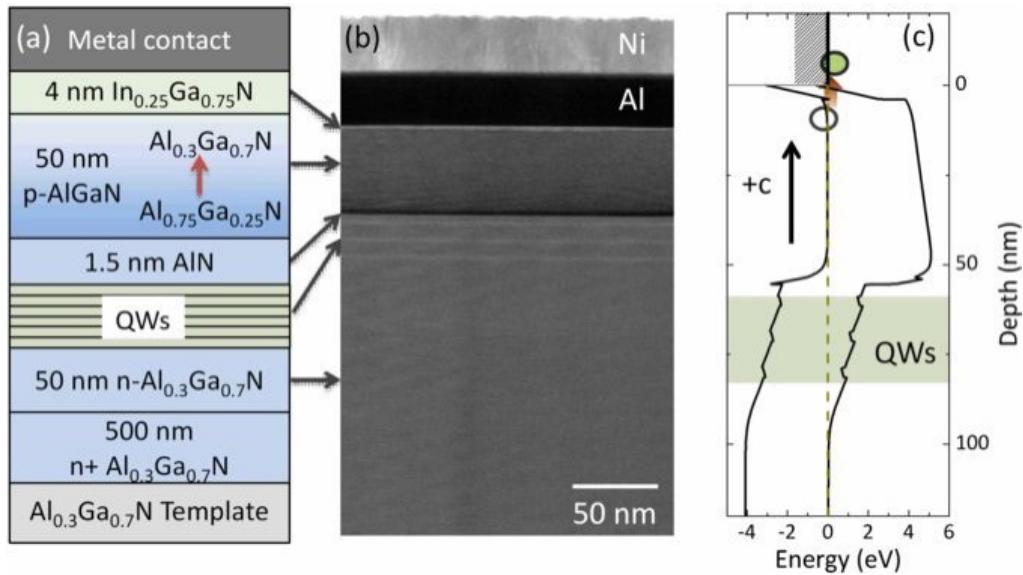
## GaN TJs:

- UCSB, JT Leonard, APL **107** (9), 091105 (2015)
- Meijo/ Nagoya, M Kaga, JJAP **52** (8S), 08JH06 (2013)
- EPFL, M Malinverni, APL **107**, 051107, (2015).
- OSU, S. Krishnamoorthy, *Nano Lett.*, **13**, 2570 (2013)
- OSU, S. Krishnamoorthy, APL **102**, 113503 (2013)
- OSU, F. Akyol, APL **108** (13), 131103 (2016).

## UV TJs

- Y. Zhang, APL, **106** (14), 141103 (2015).
- Y. Zhang, APEX **9** (5), 052102 (2016).
- Y. Zhang, APL **109** (12), 121102 (2016).
- Y. Zhang, APL **109** (19), 191105 (2016).
- Y. Zhang, APL **110** (20), 201102 (2017).
- S. M. Sadaf, *Nano Lett.*, **17** (2), 1212 (2017).

# Reflective Metal Tunneling UV LEDs

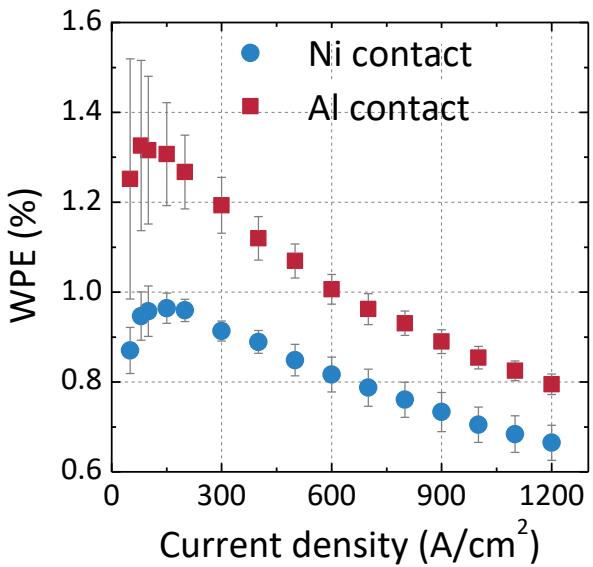
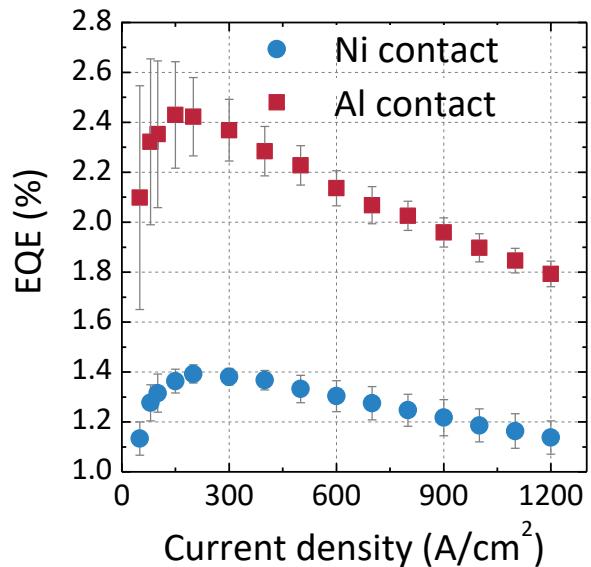


## Metal based tunnel junctions

- Al provides reflective contact
- Low resistance (better than AlGaN TJs)
- Reflective contact *reduces field* intensity near the tunnel junction

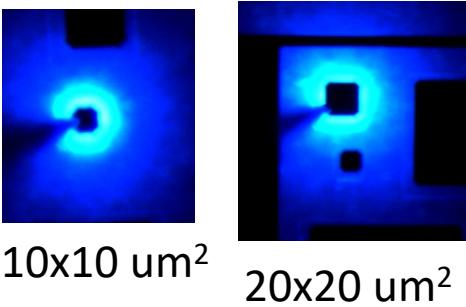
Could enable new device topology with reflective Al contacts

# Electroluminescence results



First demonstration of Al/InGaN/AlGaN tunnel junction

- Devices with Al contact showed 75% increase in EQE, and 39% increase in WPE
  - higher reflectivity
- EQE of 2.43%, WPE of 1.33% obtained
- The samples with Al contact showed higher droop, possibly due to higher operation voltage



Zhang et al, APL 111, 051104 (2017)

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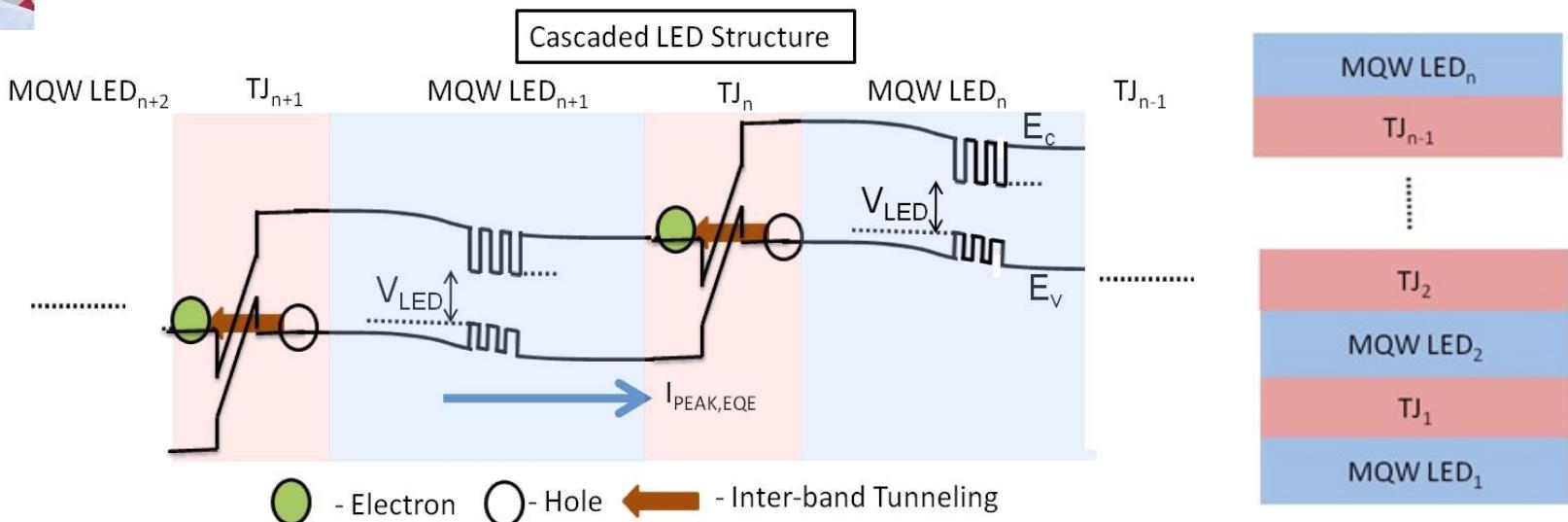
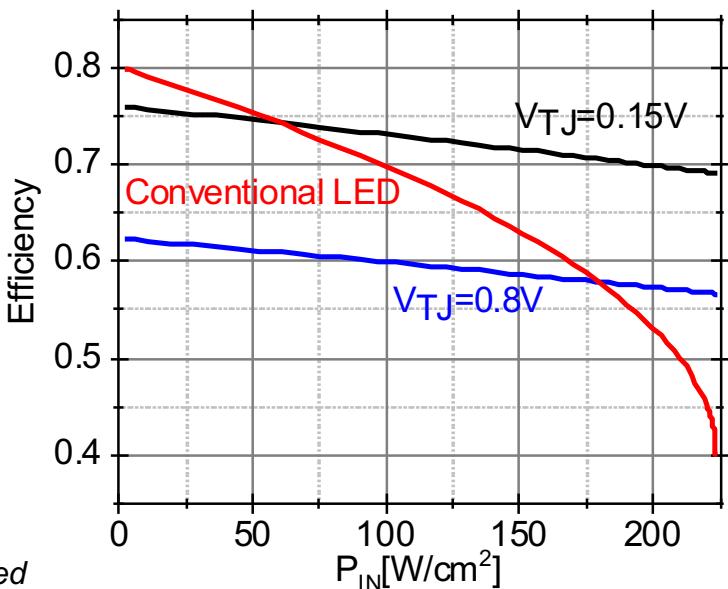
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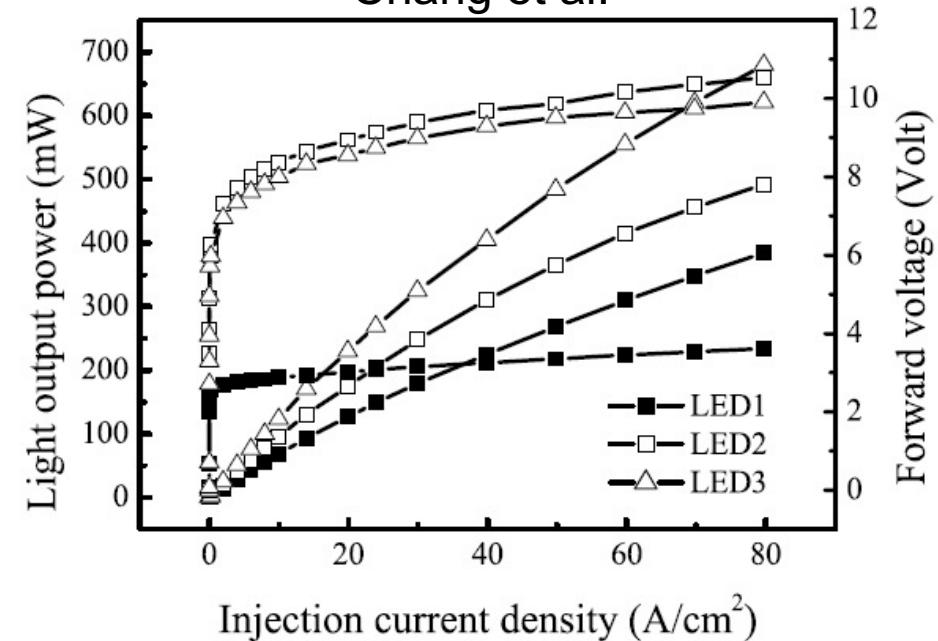
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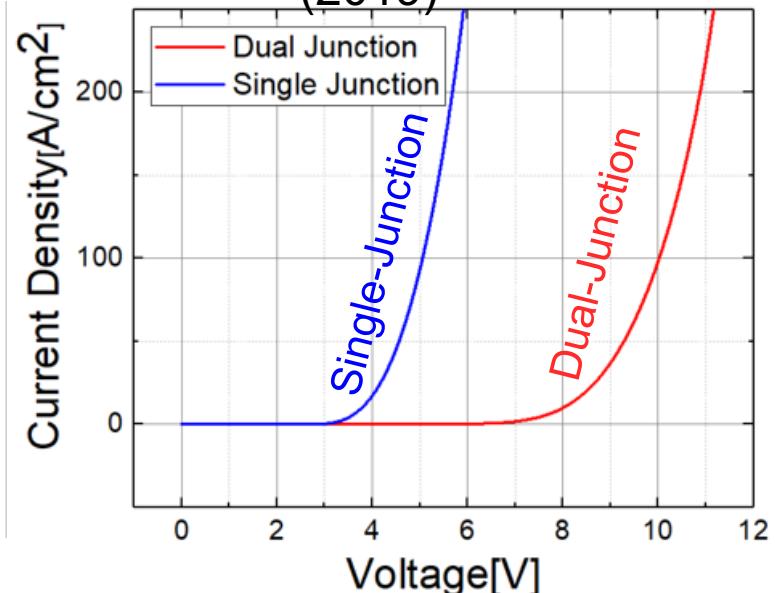


# Previous MOCVD Grown Cascaded LEDs

Chang et al.



OSU/Sandia  
(2019)



Previous demonstration of MOCVD grown cascaded dual-junction LEDs

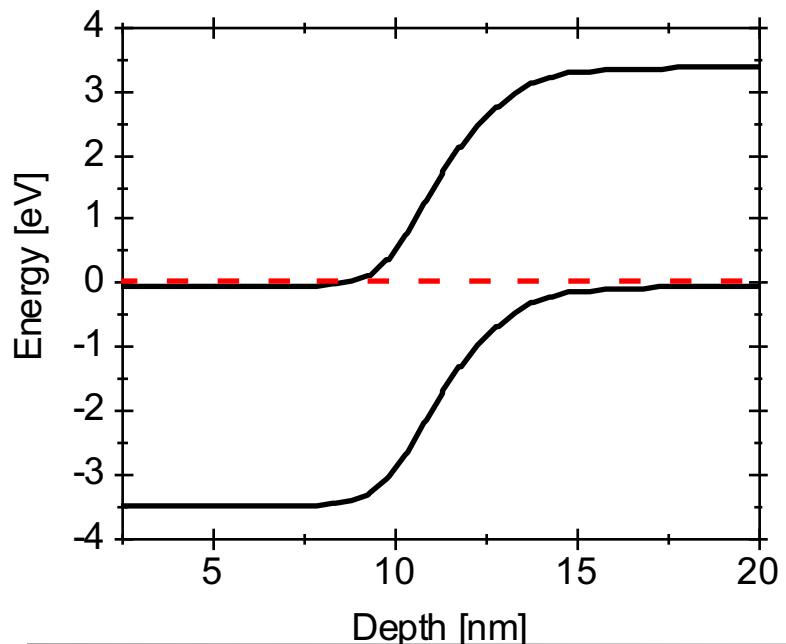
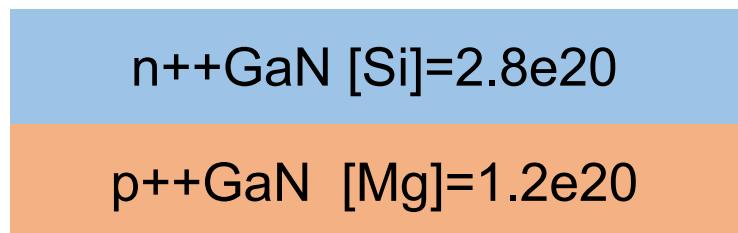
- High forward voltage was observed due to large excess voltage penalty associated with tunnel junctions
- Large forward voltage prevents WPE improvement
- Excess forward voltage due to tunnel junction must be minimized

# MOCVD-Based Tunnel Junction Structures

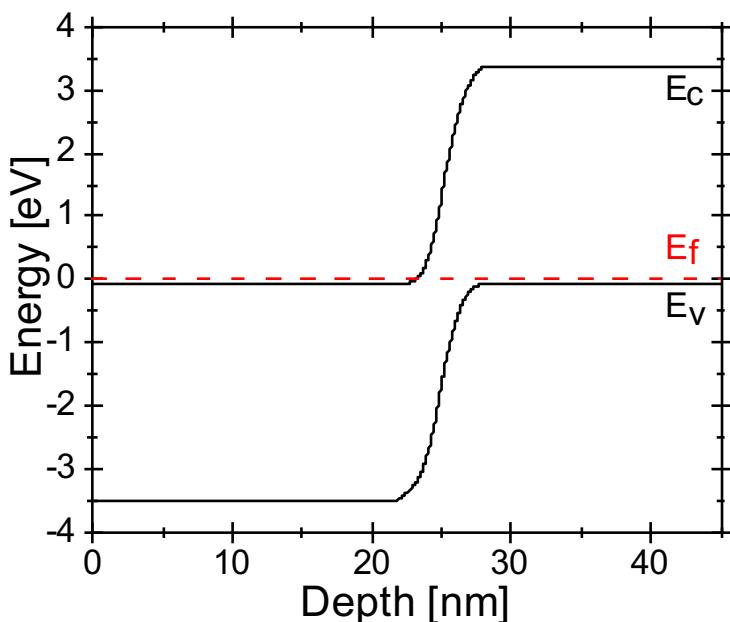
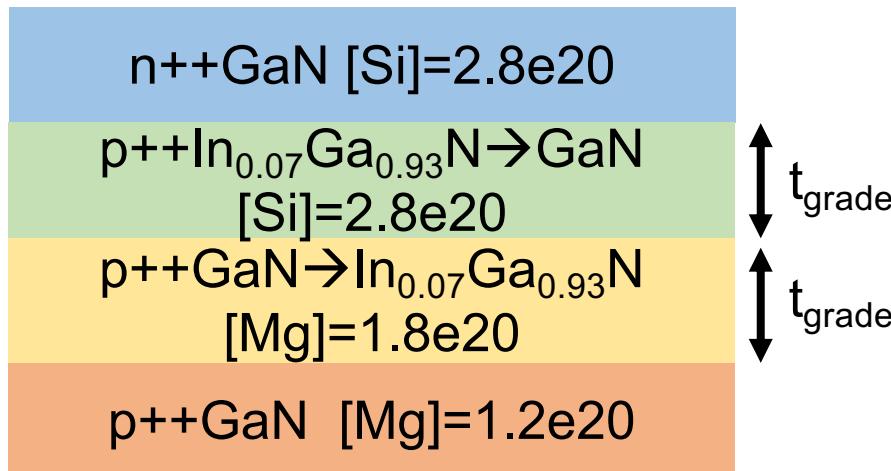


Zane J-Eddine  
Now at Lumileds

## GaN Homojunction

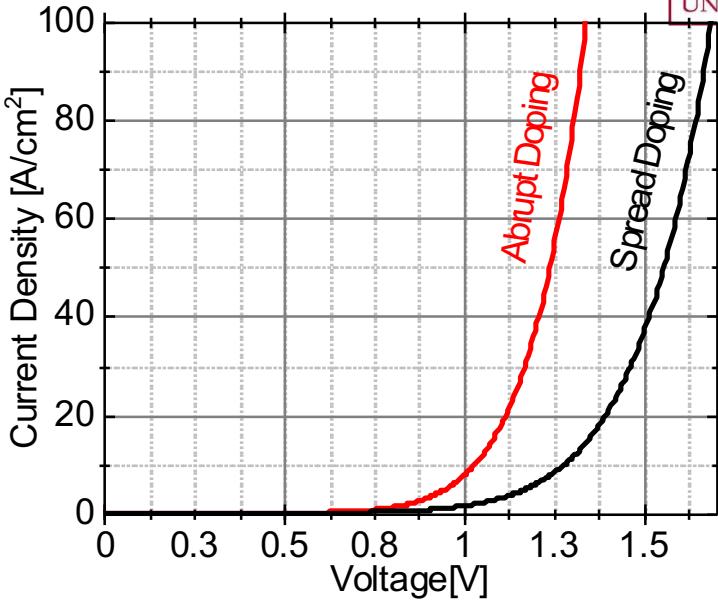
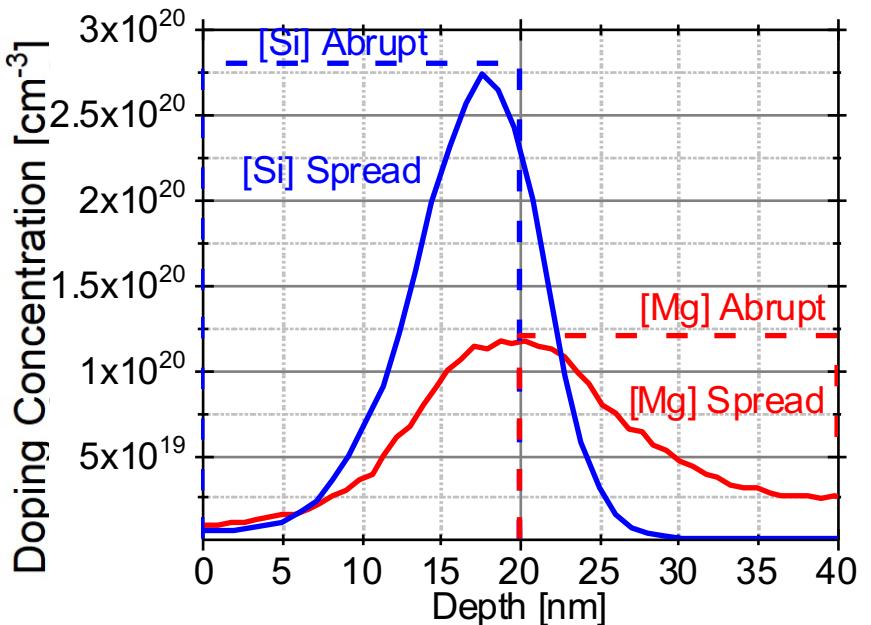


## Graded InGaN Tunnel Junction



# Simulation of TJ: Non-Ideal MOCVD Doping Profiles

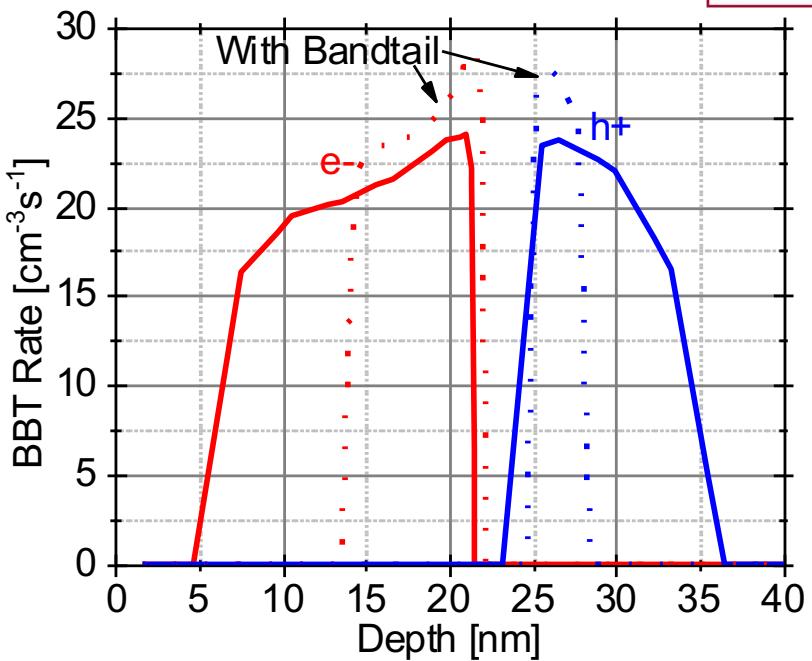
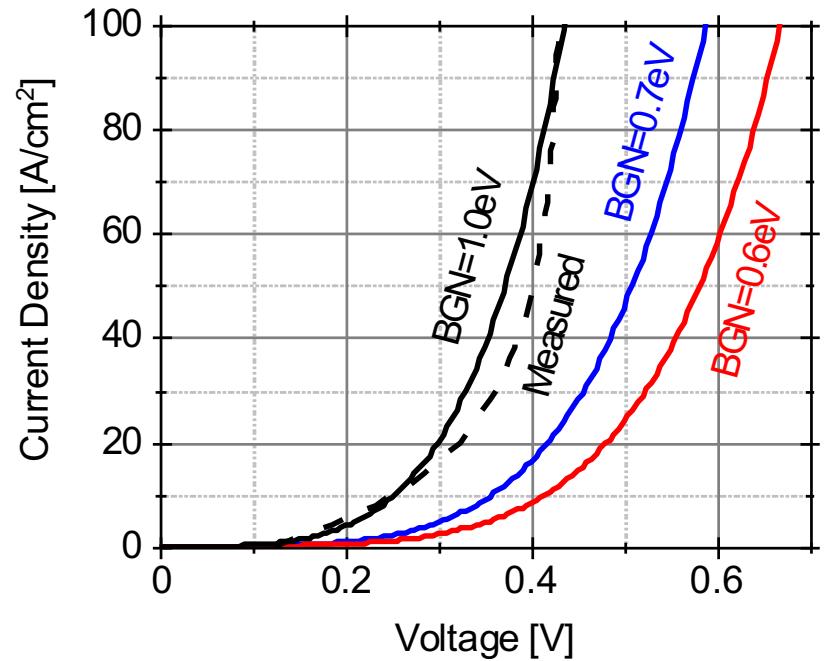
- Non-ideal doping profiles due to memory effect
- Non-local tunneling effects
- Activation energy of Mg dopants
- Bandgap narrowing/band-tail effects



- Mg memory effect - Mg tail from p-side of the tunnel junction into n-side
- Non-abrupt profiles were measured directly using SIMS measurements on test epitaxial structures
- Significant increase in  $V_{\text{TJ}}$  as compared to the ideal (abrupt) doping profiles

# Band Tailing Effects in p++(In)GaN

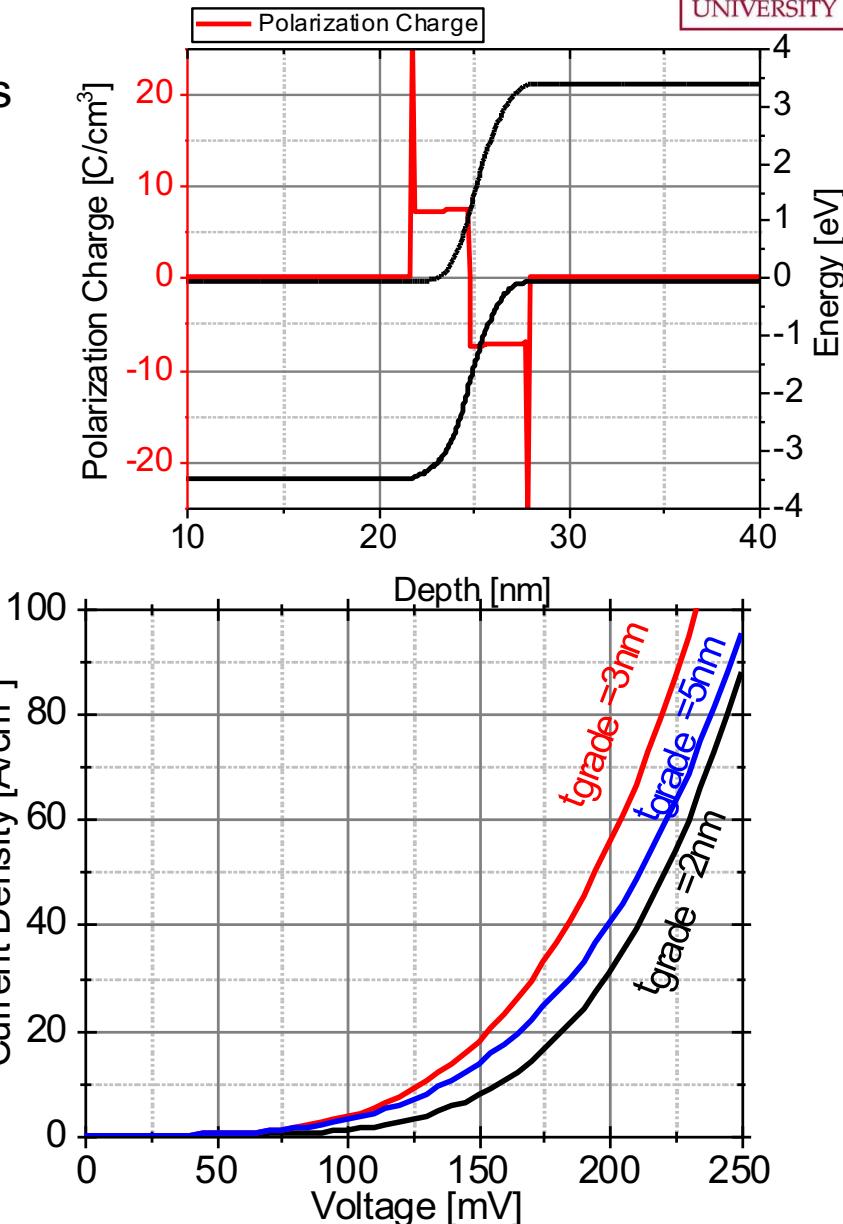
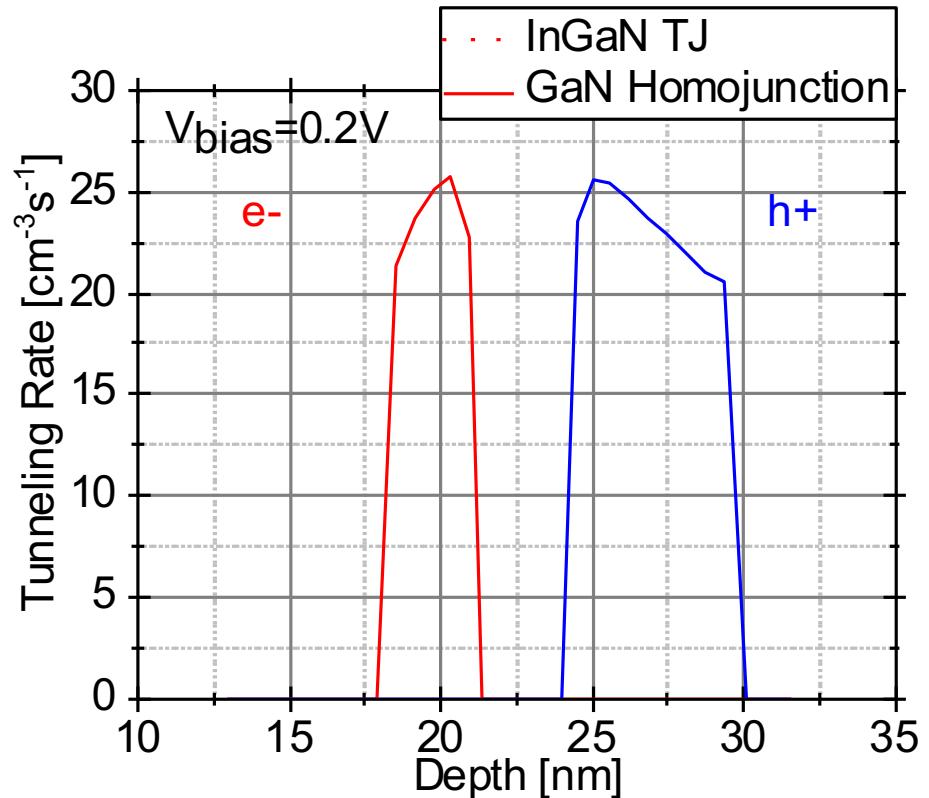
- Non-ideal doping profiles due to memory effect
- Non-local tunneling effects
- Activation energy of Mg dopants
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- Band tailing effects simulated by lowering the Mg acceptor energy ( $E_A$ ) and bandgap narrowing in the p++ layers (Kane Model)
- Band-to-Band Tunneling (BBT) rates increase as band tail effects are included in the simulation

# Benefit of Graded InGaN Tunnel Junctions

- Electrostatic ionization of graded InGaN leads to higher fields for tunneling
- Polarization charge + increased Mg doping efficiency in the InGaN leads to higher band-to-band tunneling rates



# Experimental Tunnel Junction Structures



Zane J-Eddine  
Now at Lumileds



Brendan Gunning  
Sandia

## Reference pn-diode

90nm p+GaN [Mg]=3e19
200nm n-GaN [Si]=2e16
500nm n-GaN [Si]=5e18
6μm n-GaN (Template)

## GaN Homojunction

450nm n-GaN [Si]=5e18
6nm n++GaN [Si]=2.8e20
12nm p++GaN [Mg]=1.2e20
90nm p+GaN [Mg]=3e19
200nm n-GaN [Si]=2e16
500nm n-GaN [Si]=5e18
6μm n-GaN (Template)

Mg δ-dose

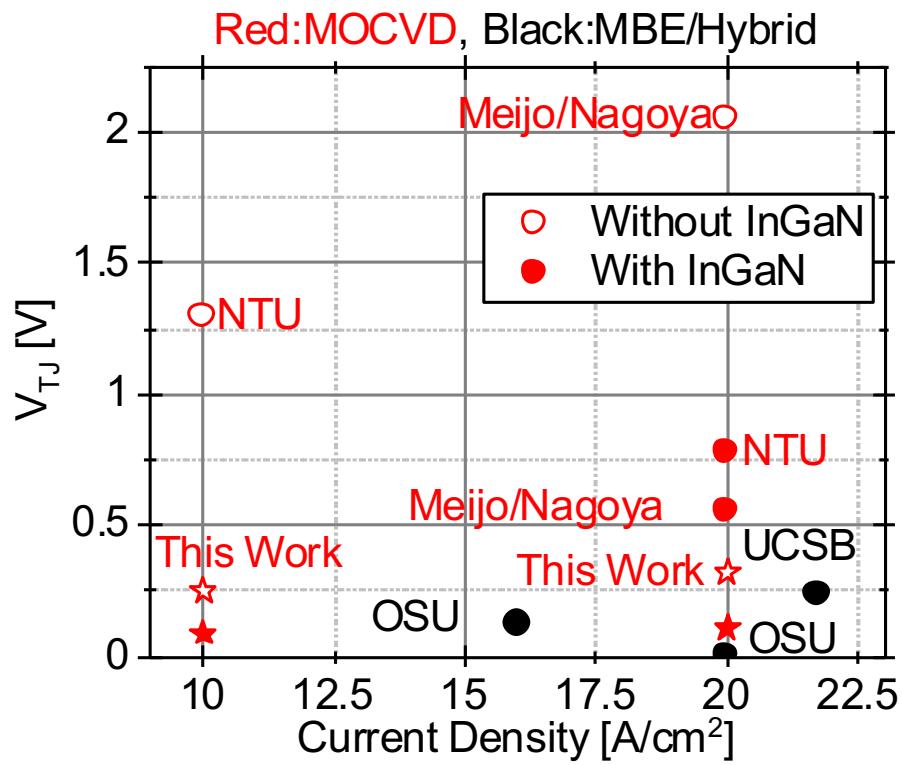
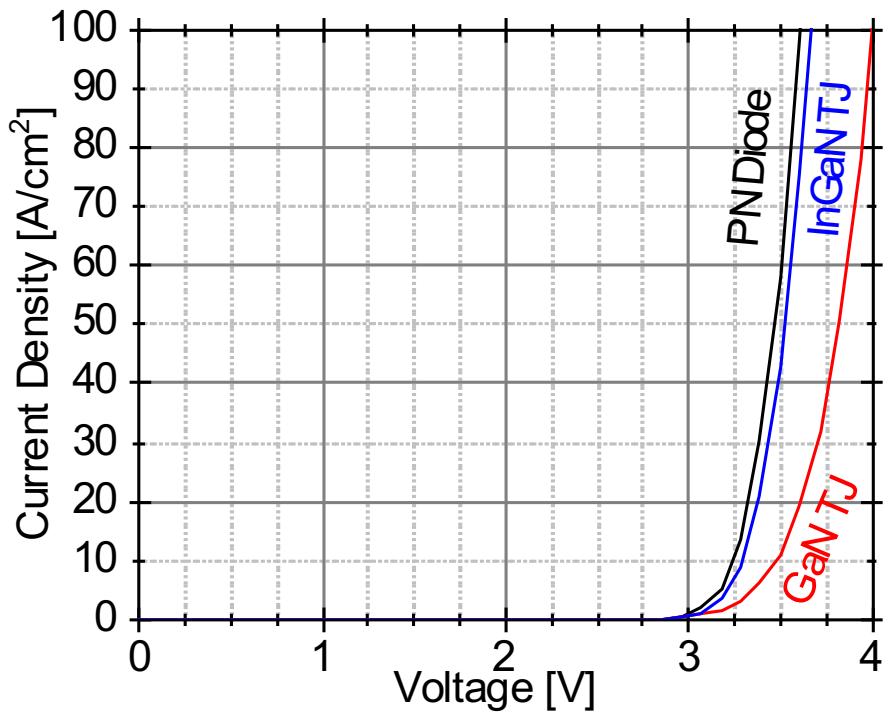
## InGaN Heterojunction

250nm n-GaN [Si]=5e18
6nm n++GaN [Si]=2.8e20
6nm [Si]=2.8e20 $p^{++}\text{In}_{0.07}\text{Ga}_{0.93}\text{N} \rightarrow \text{GaN}$
6nm [Mg]=1.8e20 $p^{++}\text{GaN} \rightarrow \text{In}_{0.07}\text{Ga}_{0.94}\text{N}$
6nm p++GaN [Mg]=1.2e20
90nm p+GaN [Mg]=3e19
200nm n-GaN [Si]=2e16
500nm n-GaN [Si]=5e18
6μm n-GaN (Template)

# Experimental Results: Record Low MOCVD $V_{TJ}$

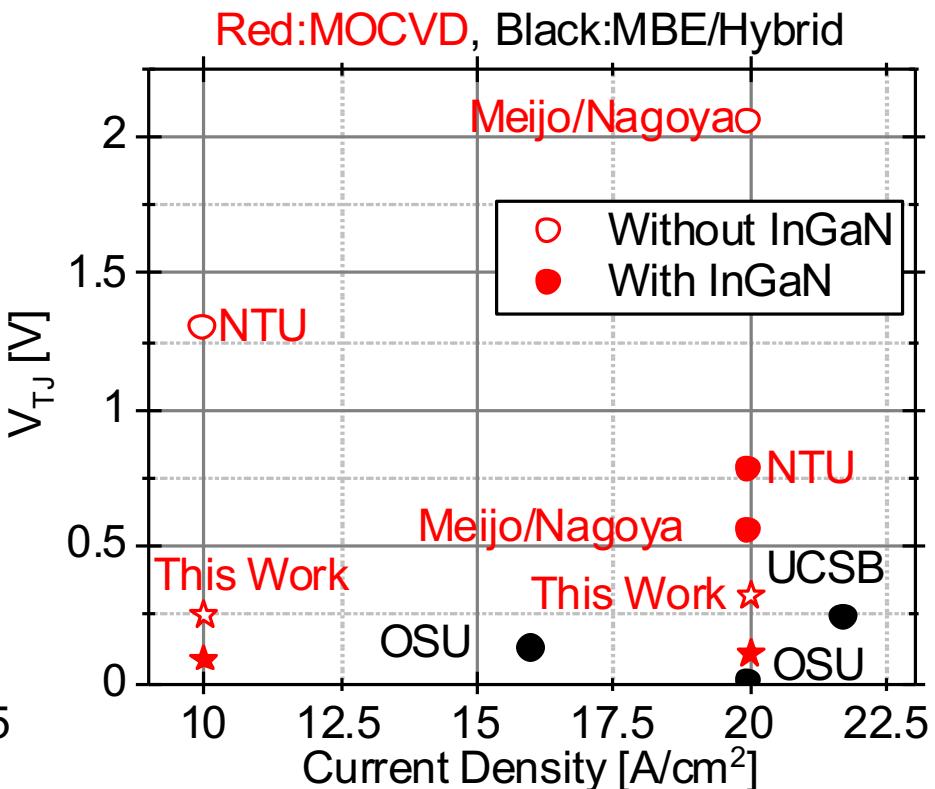
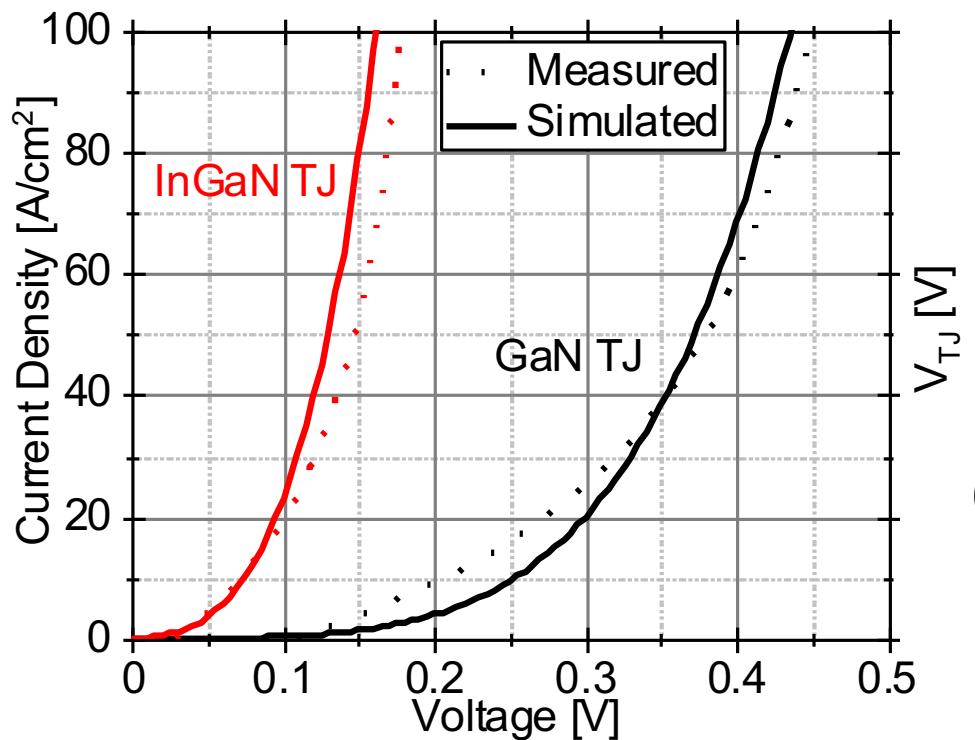
- PN diode shows expected characteristics – turn-on voltage = 3.1V
- TJ resistance was de-embeded using reference PN-diode characteristics
- State-of-art low  $V_{TJ}$  demonstrated at 100 A/cm<sup>2</sup>  
**0.18 V for InGaN interlayer junction**  
**0.45 V for GaN homojunction**

Collaboration with Sandia (B. Gunning/A. Armstrong)



# Simulation and Experiment: Comparison

- Low  $V_{TJ}$  @  $100A/cm^2$  of **0.17V** (InGaN TJ) and **0.45V** (GaN TJ) was achieved experimentally – lowest reported values for all-MOCVD tunnel junctions
- Voltage losses are low enough to give significant benefits to current LED technology
- Excellent agreement between simulation and experiment



# Multi-Junction LED Device Structures

## Single-Junction

### LED/TJ Stack 1

175nm  $\text{In}_{0.04}\text{Ga}_{0.96}\text{N}$   
Under Layer  
[Si]= $2.8 \times 10^{20}$   
500nm n-GaN  
[Si]= $5 \times 10^{18}$   
6μm n-GaN/Sapphire  
(Template)

## Dual-Junction

### LED/TJ Stack 2

### LED/TJ Stack 1

175nm  $\text{In}_{0.04}\text{Ga}_{0.96}\text{N}$   
Under Layer  
[Si]= $2.8 \times 10^{20}$   
500nm n-GaN  
[Si]= $5 \times 10^{18}$   
6μm n-GaN/Sapphire  
(Template)

## Triple-Junction

### LED/TJ Stack 3

### LED/TJ Stack 2

### LED/TJ Stack 1

175nm  $\text{In}_{0.04}\text{Ga}_{0.96}\text{N}$   
Under Layer  
[Si]= $2.8 \times 10^{20}$   
500nm n-GaN  
[Si]= $5 \times 10^{18}$

6μm n-GaN/Sapphire  
(Template)

## LED/TJ Stack

TJ - {  
 400nm n-GaN  
[Si]= $5 \times 10^{18}$   
 6nm n++GaN  
[Si]= $2.8 \times 10^{20}$   
 3nm [Si]= $2.8 \times 10^{20}$   
 n++ $\text{In}_{0.06}\text{Ga}_{0.94}\text{N} \rightarrow \text{GaN}$   
 6nm [Mg]= $1.8 \times 10^{20}$   
 p++GaN $\rightarrow \text{In}_{0.06}\text{Ga}_{0.94}\text{N}$   
 6nm p++GaN  
[Mg]= $1.2 \times 10^{20}$   
 Mg δ-dose  
 $4.5 \times 10^{13} \text{ cm}^{-2}$   
 100nm p+GaN  
[Mg]= $3 \times 10^{19}$   
 12nm n-GaN  
[Si]= $1 \times 10^{18}$   
 3x 2.5nm  $\text{In}_{0.15}\text{Ga}_{0.85}\text{N}/$   
 7.5nm GaN MQW
 }

n-type

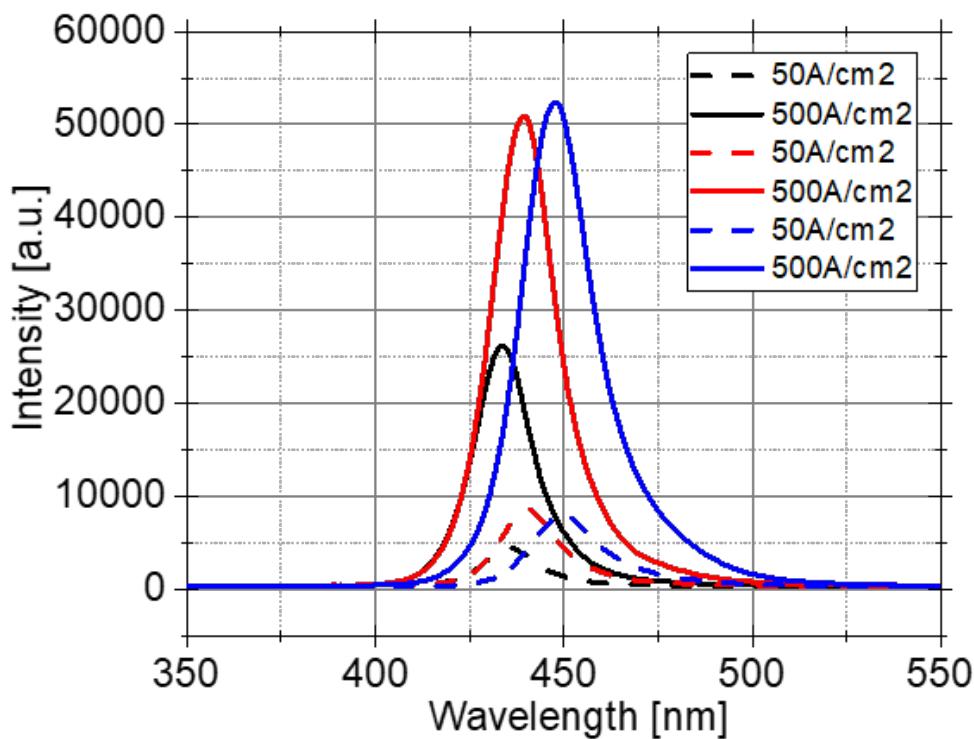
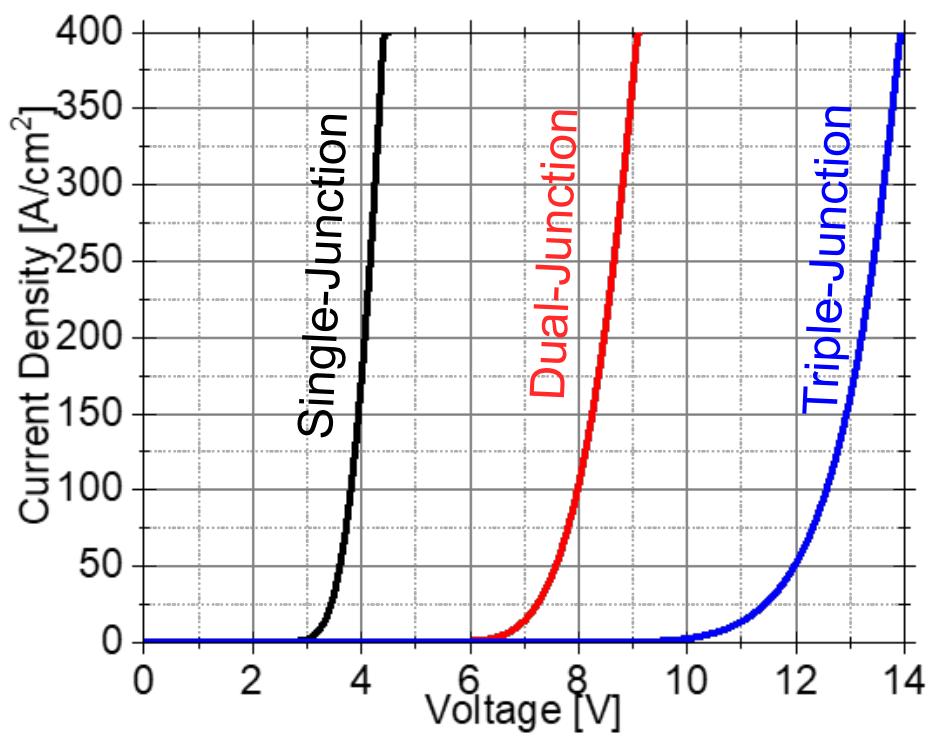
p-type

MQW

# Experimental Results: Multi-Junction LEDs

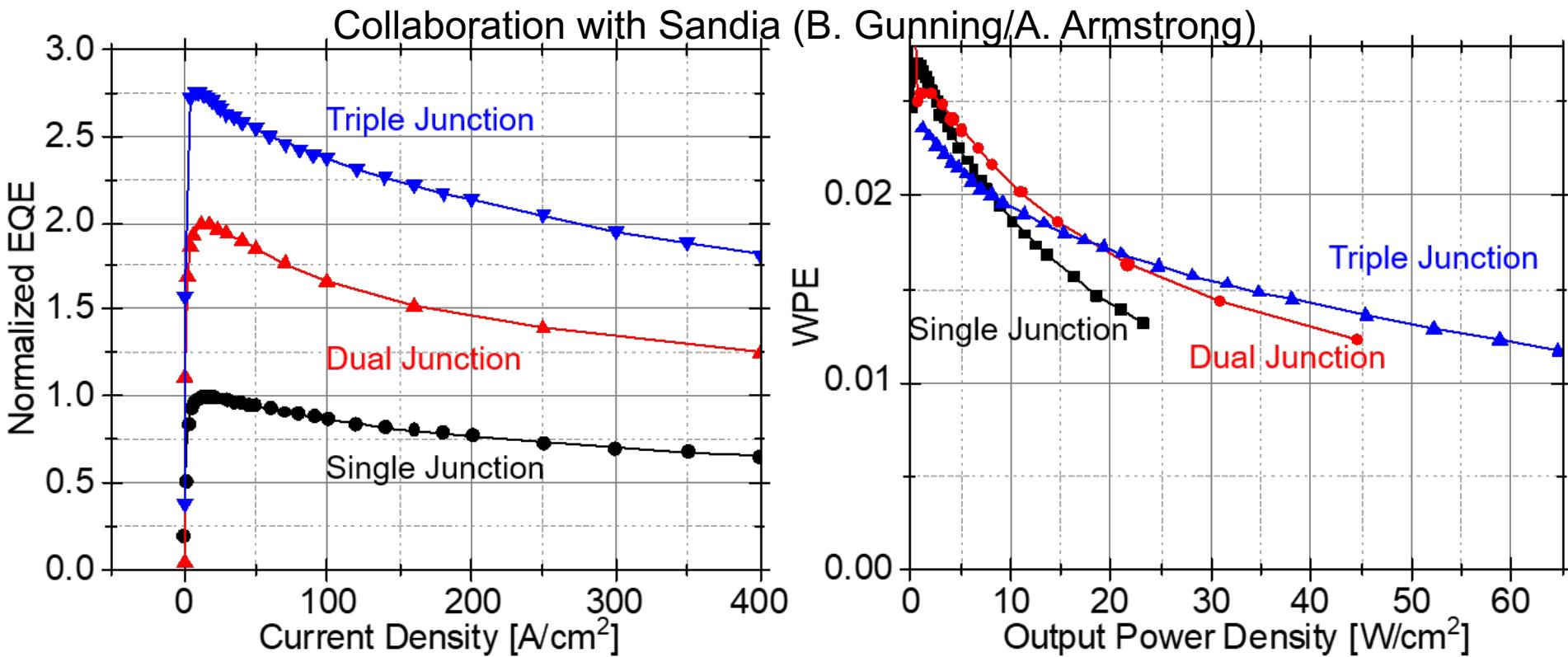
- Excellent scaling of the forward turn-on voltage
- At  $10 \text{ A/cm}^2$  the  $V_F$  for the 1/2/3 junction LEDs were 3.3 V/6.9 V/10.8 V
- The EL peak shifts by  $\sim 6 \text{ nm}$  for each additional junction

Collaboration with Sandia (B. Gunning/A. Armstrong)



# Experimental Results: Multi-Junction LEDs

- Peak EQE scaled 200% for the 2-junction device, and 275% for the 3-junction
- WPE for the dual junction device was found to be greater than in the single junction device above a power density of  $5 \text{ W/cm}^2$
- WPE is greater in the triple junction device than in the dual or single junction



Jamal-Eddine, Zane, et al. "Improved forward voltage and external quantum efficiency scaling in multi-active region III-nitride LEDs." *Applied Physics Express* 14.9 (2021):

# Experimental Results: Multi-Junction LEDs

- State-of-the-art tunnel junctions enable voltage scaling in MOCVD grown multi-junctions approaching that achieved by MBE grown multi-junctions
- First demonstration of EQE scaling of 200% in a 2-junction device
- First demonstration of WPE improvement in III-Nitride multi-junction LEDs

Number of Junctions	Voltage Scaling	EQE Scaling	Reference
2	210%	200%	<b>This Work (MOCVD)</b>
3	330%	275%	<b>This Work (MOCVD)</b>
2	270%	173%	Chang et al. (MOCVD)
2	306%	133%	Chang et al. (MOCVD)
3	312%	N/A	Akyol et al. (MBE)

Jamal-Eddine Z. et al. "Improved forward voltage and external quantum efficiency scaling in multi-active region III-nitride LEDs." *Applied Physics Express* (2021).

# Summary

- Efficient tunnel junctions possible for high Al-content UV LEDs
- Accurate modeling of tunnel junctions using industry-standard Silvaco software
- Visible MOCVD-based LED tunnel junctions with voltage drop < 0.2 V demonstrated
- Near-ideal 3-junction MOCVD-based cascaded LEDs

**Tunnel junction-based multi-junction LED devices are promising for next-generation high power LEDs**

