

# $a = c$ and Emergent $\mathcal{N} = 4$ from $\mathcal{N} = 1$

Craig Lawrie (DESY)

Based on: 2106.12579 w/ M.J. Kang and J. Song  
2111.12092 w/ M.J. Kang, K-H. Lee, and J. Song  
2207.05764 w/ M.J. Kang, K-H. Lee, M. Sacchi, and J. Song  
2210.06497 w/ M.J. Kang, K-H. Lee, and J. Song  
2302.06622 w/ M.J. Kang, K-H. Lee, and J. Song

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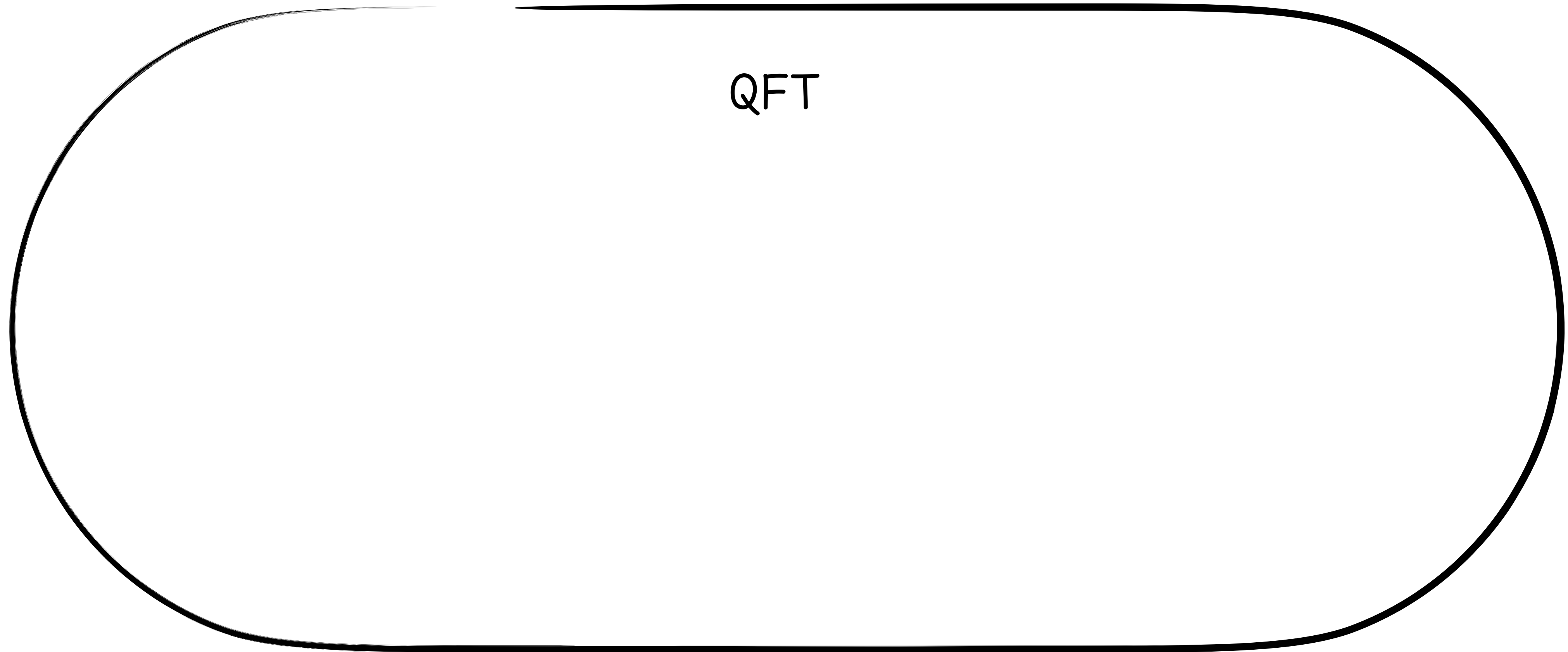
# Motivation



QFT

Quantum field theory is a widely applicable framework for answering diverse questions in physics

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Quantum field theory is a widely applicable framework for answering diverse questions in physics  
→ generally hard to study outside of the “perturbative regime”

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QFT

Quantum field theory is a widely applicable framework for answering diverse questions in physics



add new **symmetries** to make questions tractable

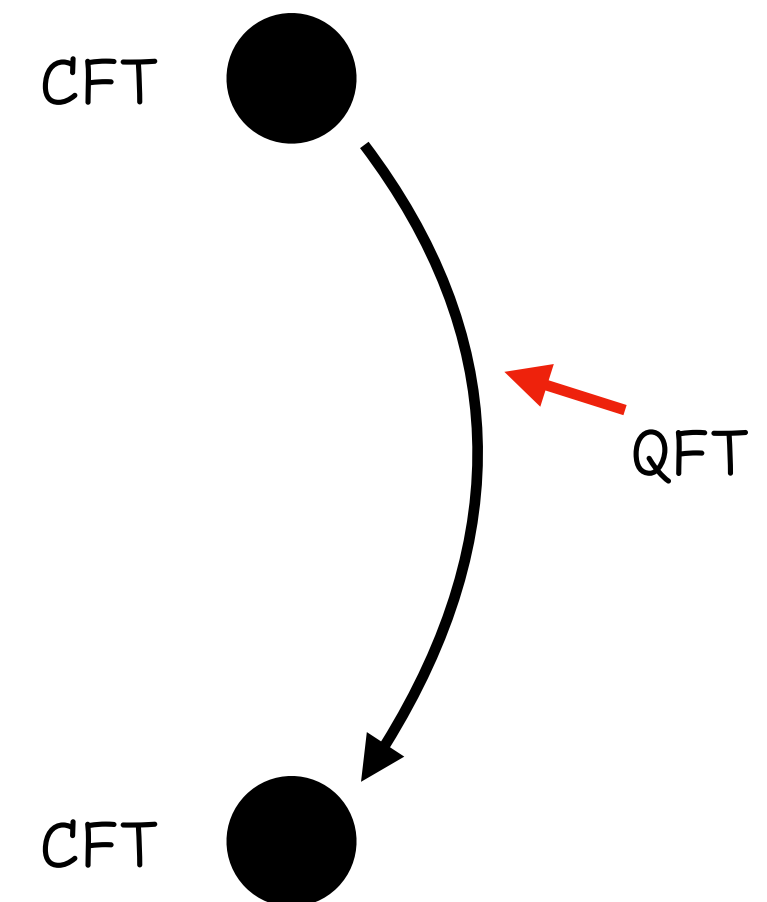
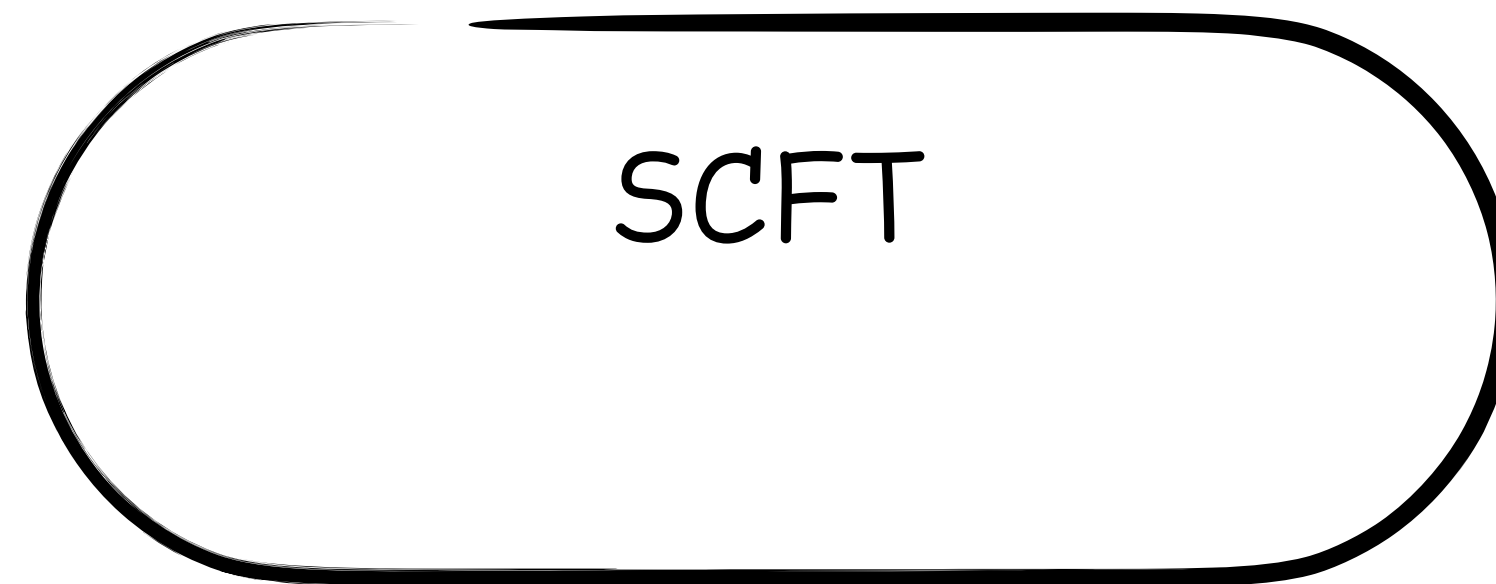
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Supersymmetry  $\Rightarrow$  certain quantities are protected from quantum corrections

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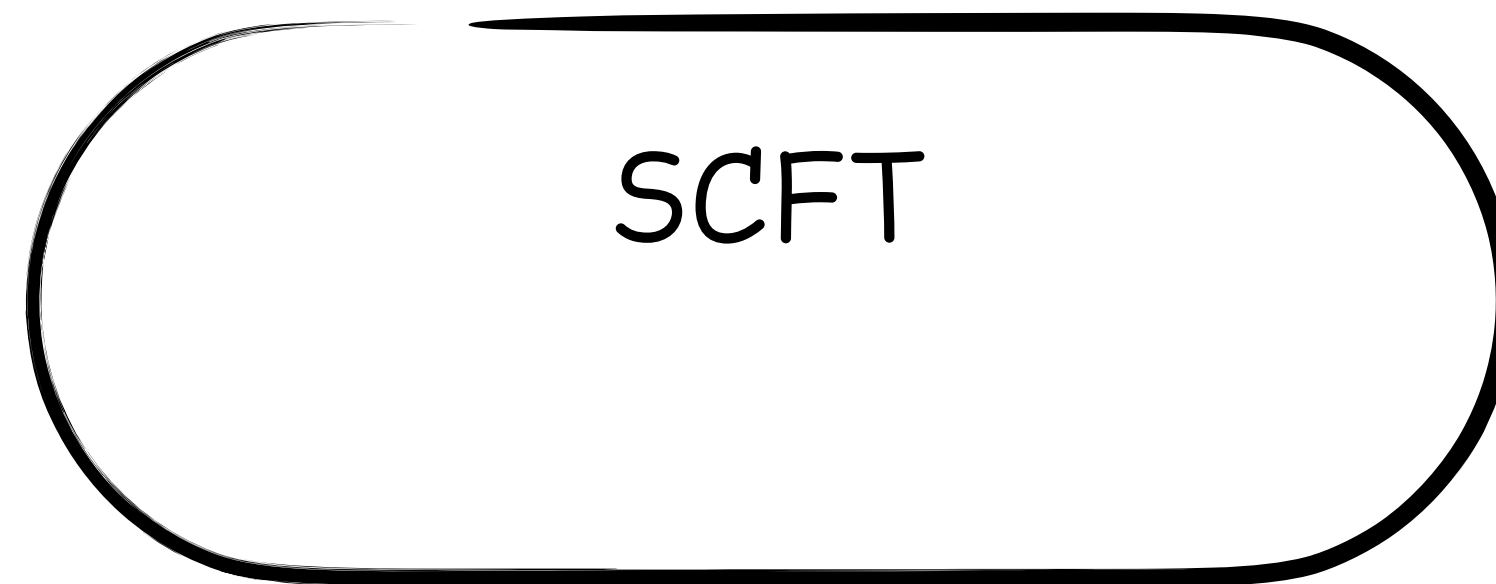


Conformal symmetry  $\Rightarrow$  exists at the fixed points of renormalization group flows between QFTs

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Quantum field theory is a widely applicable framework for answering diverse questions in physics  
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Conformal symmetry  $\Rightarrow$  exists at the fixed points of renormalization group flows between QFTs

Supersymmetry  $\Rightarrow$  certain quantities are protected from quantum corrections

We study this subspace of the space of all QFTs

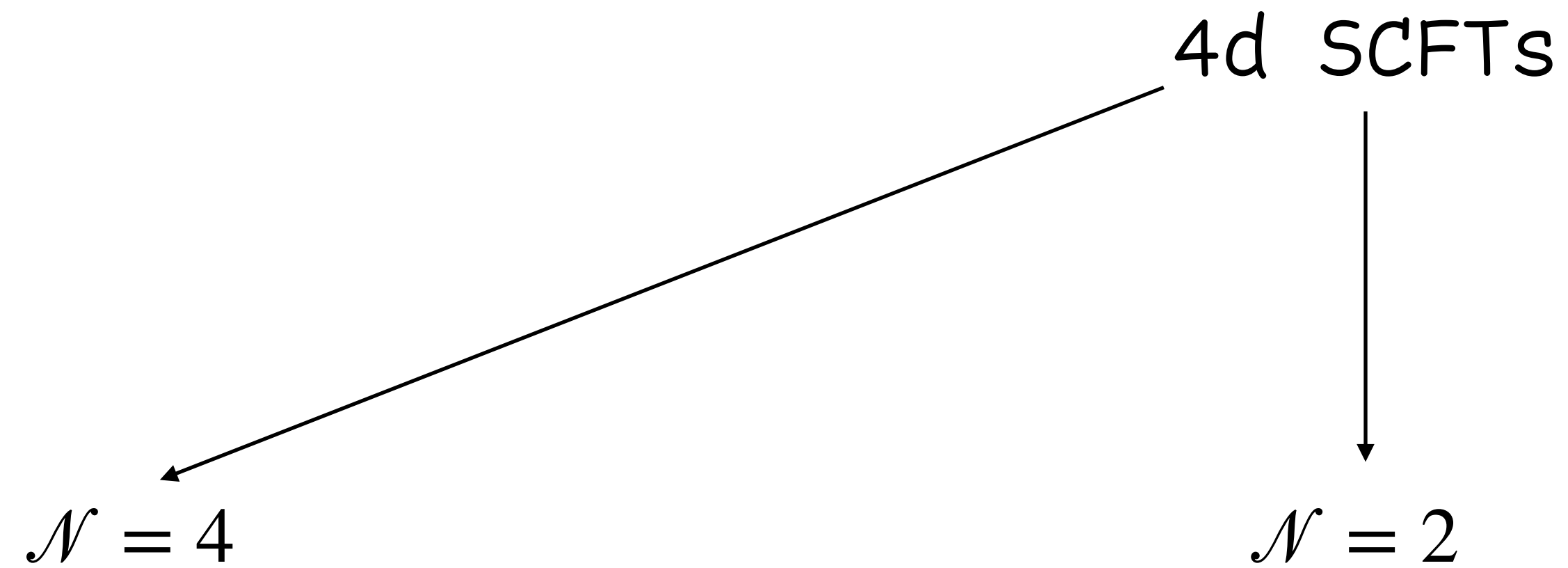
$\longrightarrow$  what can we understand via stringy/geometric techniques?



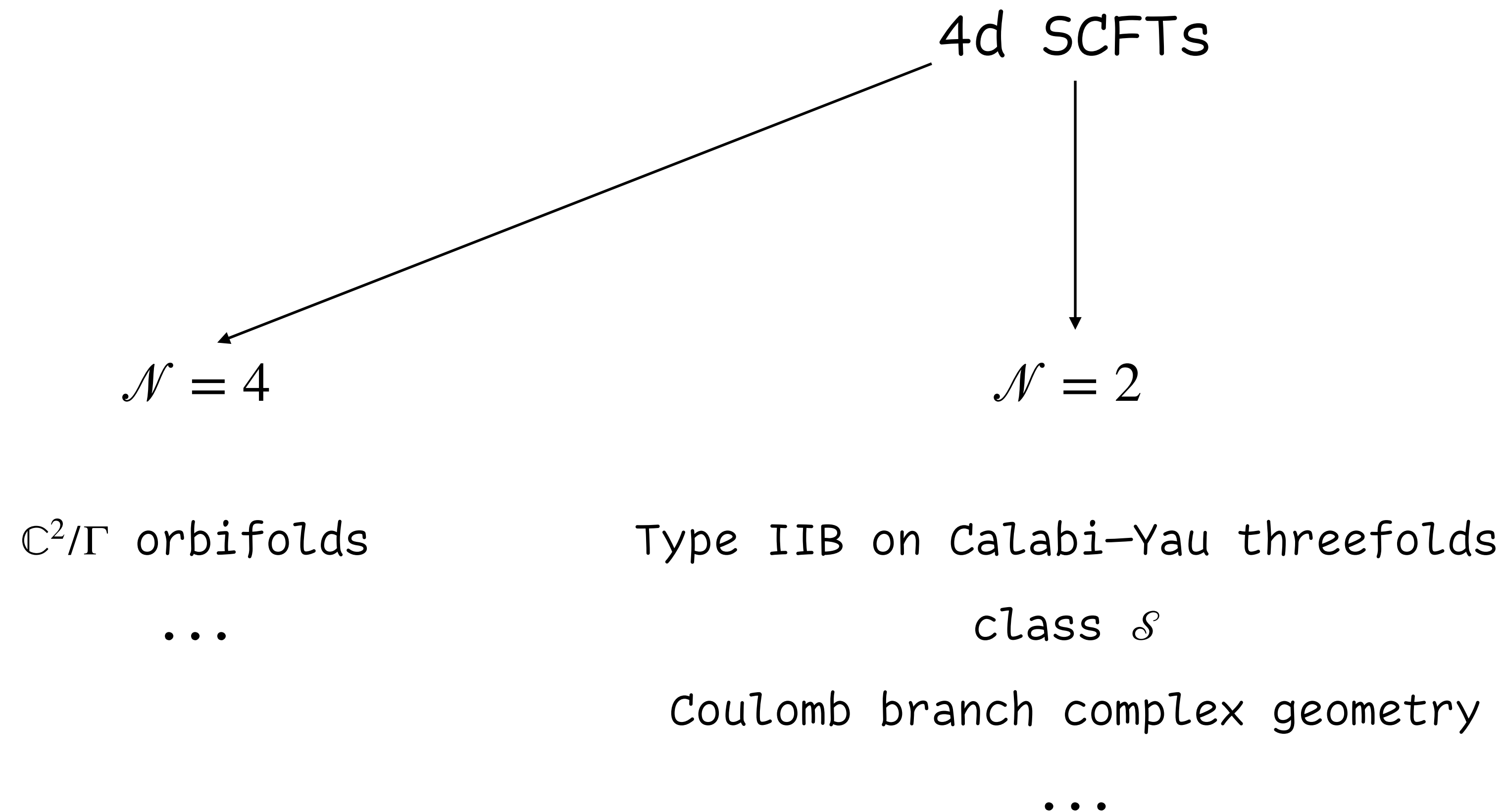
# Strings, Geometry, and the Landscape of 4d SCFTs

4d SCFTs

# Strings, Geometry, and the Landscape of 4d SCFTs



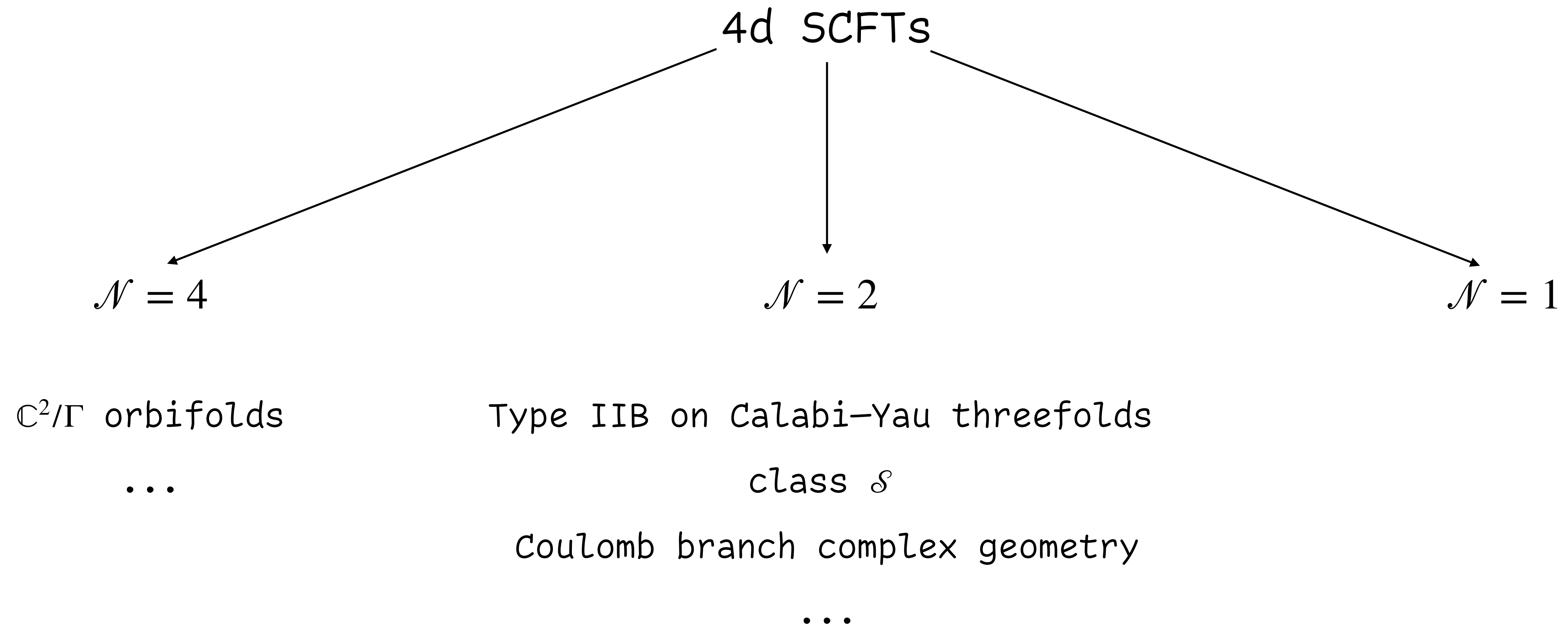
# Strings, Geometry, and the Landscape of 4d SCFTs



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many, many geometric approaches; see recent reviews [\[Akhond, Arias-Tamargo, Mininno, Sun, Sun, Wang, Xu\]](#)  
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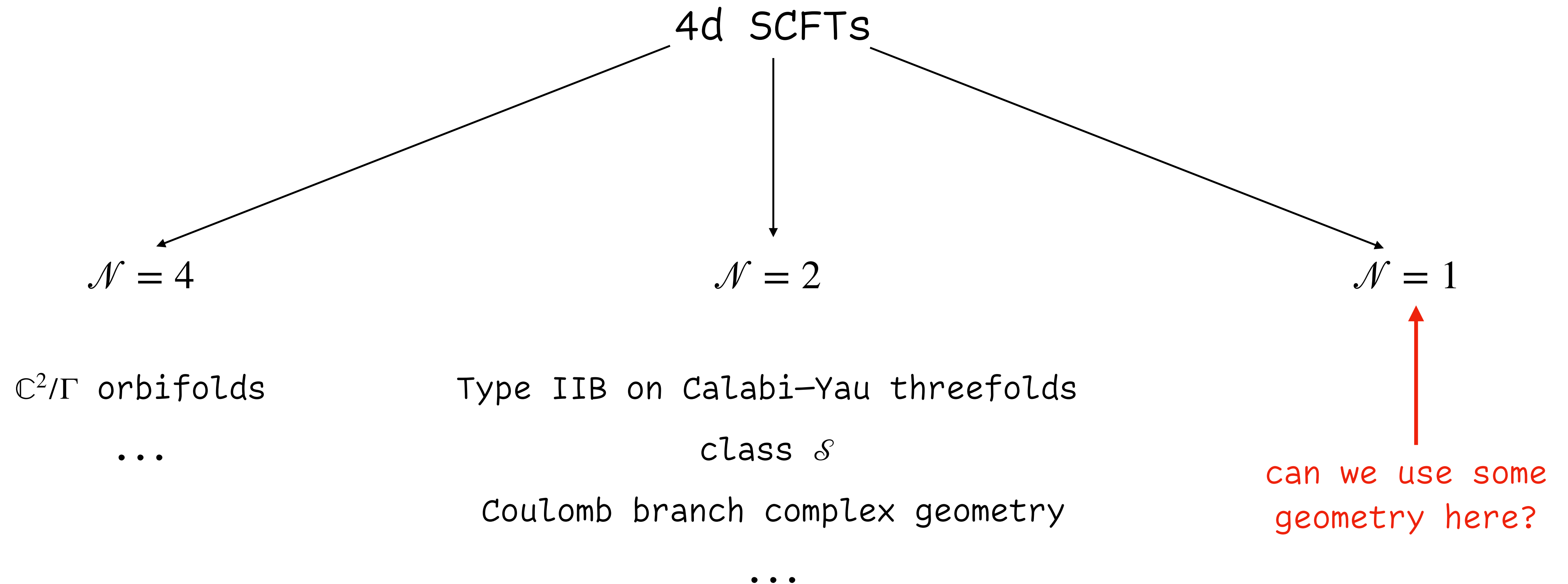
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# Central Charges

Conformal symmetry becomes anomalous when the CFT is placed in an arbitrary background

The diagram illustrates the equation for the stress-energy tensor in a curved background, highlighting the central charges  $c$  and  $a$ .

$$\langle T^\mu_\mu \rangle = \frac{c}{16\pi^2} W^2 - \frac{a}{16\pi^2} E$$

Labels and arrows:

- central charges** (red text) with arrows pointing to  $c$  and  $a$ .
- stress-energy tensor** (black text) with an arrow pointing to  $\langle T^\mu_\mu \rangle$ .
- Weyl tensor** (blue text) with an arrow pointing to  $W^2$ .
- Euler density** (blue text) with an arrow pointing to  $E$ .

# Central Charges

Conformal symmetry becomes anomalous when the CFT is placed in an arbitrary background

The diagram illustrates the equation for the stress-energy tensor in a curved background. The equation is  $\langle T^\mu_\mu \rangle = \frac{c}{16\pi^2} W^2 - \frac{a}{16\pi^2} E$ . The term  $\langle T^\mu_\mu \rangle$  is labeled "stress-energy tensor" with a black arrow. The coefficient  $c$  is labeled "central charges" with a red arrow. The term  $W^2$  is labeled "Weyl tensor" with a blue arrow. The coefficient  $a$  is also labeled "central charges" with a red arrow. The term  $E$  is labeled "Euler density" with a blue arrow.

$$\langle T^\mu_\mu \rangle = \frac{c}{16\pi^2} W^2 - \frac{a}{16\pi^2} E$$

stress-energy tensor

central charges

Weyl tensor

Euler density

the central charges are “conventional invariants” of the SCFT

see talks

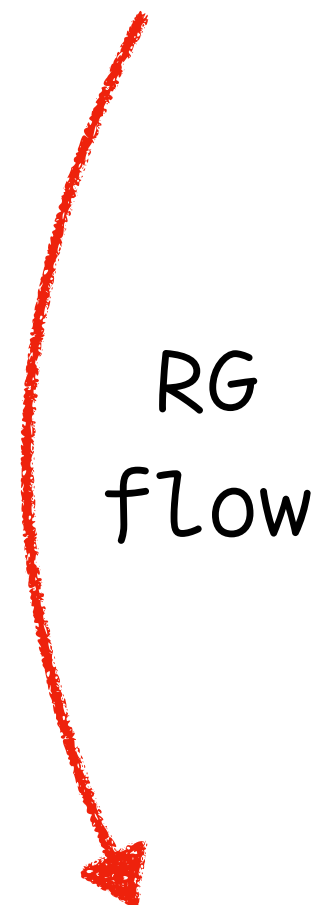
Kang, today  
Distler, Thursday

# The a-theorem

[Komargodski, Schwimmer]

UV Theory

$(a_{UV}, c_{UV})$



IR Theory

$(a_{IR}, c_{IR})$

$a$  monotonically decreases  
along any  
renormalization group flow

there is no equivalent statement for  $c$

in this sense,  $a$  measures  
the “degrees of freedom” of the theory



$\mathcal{N} = 1$  **from**  $\mathcal{N} = 2$ ?

can we use the (geometric?) constructions for  $\mathcal{N} = 2$  SCFTs  
to learn about (a subsector of) the  $\mathcal{N} = 1$  landscape?

# $\mathcal{N} = 1$ from $\mathcal{N} = 2$ ?

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one approach: consider  $\mathcal{N} = 2$  SCFT with flavor symmetry  $G$  and  $(\mathcal{N} = 1)$ -gauge  $G$

# $\mathcal{N} = 1$ from $\mathcal{N} = 2$ ?

focus on the class  $\mathcal{S}$  construction

can we use the (geometric?) constructions for  $\mathcal{N} = 2$  SCFTs  
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# Class $\mathcal{S}$

[Gaiotto], [Gaiotto, Moore, Nietzke]

$$\mathcal{S}_g \langle C_{g,n} \rangle \{ \cdots \}$$

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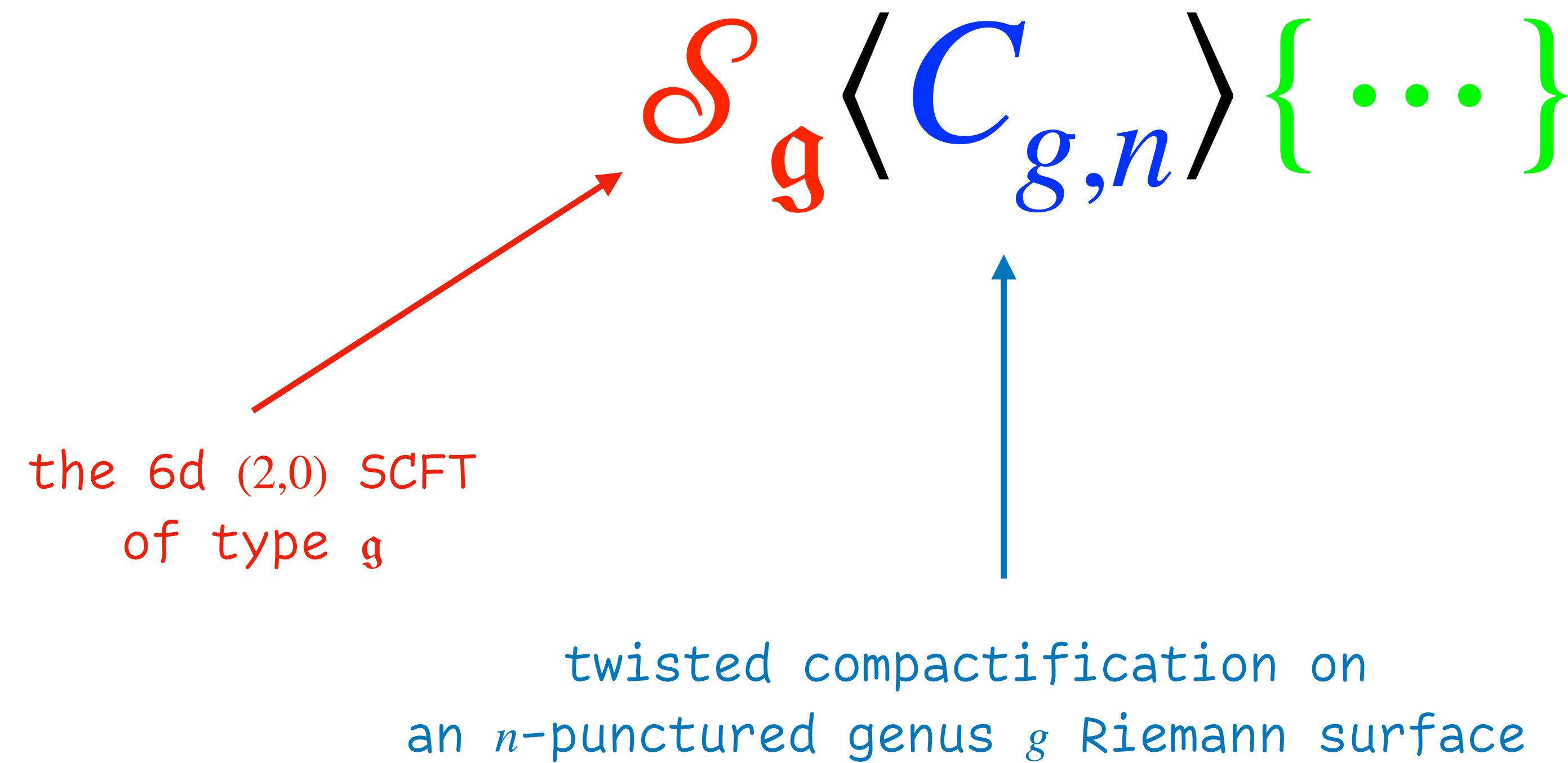
the 6d (2,0) SCFT  
of type  $g$

String theory: Type IIB on an orbifold  $\mathbb{C}^2/\Gamma_g$   
[Witten]

$g$  of type ADE:  
simple, simply-laced Lie algebra

# Class $\mathcal{S}$

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# Class $\mathcal{S}$

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data describing punctures =  
codimension two defects in the 6d SCFT

The diagram illustrates the construction of the class  $\mathcal{S}$  theory. A red arrow points from the text "the 6d (2,0) SCFT of type  $g$ " to the red  $\mathcal{S}_g$  in the expression  $\mathcal{S}_g \langle C_{g,n} \rangle \{ \dots \}$ . A blue arrow points from the text "twisted compactification on an  $n$ -punctured genus  $g$  Riemann surface" to the blue  $C_{g,n}$  in the same expression. A green arrow points from the text "data describing punctures = codimension two defects in the 6d SCFT" to the green  $\{ \dots \}$  in the expression.

$$\mathcal{S}_g \langle C_{g,n} \rangle \{ \dots \}$$

the 6d (2,0) SCFT  
of type  $g$

twisted compactification on  
an  $n$ -punctured genus  $g$  Riemann surface

# Class $\mathcal{S}$

[Gaiotto], [Gaiotto, Moore, Nietzke]

data describing punctures =  
codimension two defects in the 6d SCFT

$$\mathcal{S}_g \langle C_{g,n} \rangle \{ \cdots \} = 4d \mathcal{N} = 2 \text{ SCFT}$$

the 6d (2,0) SCFT  
of type  $g$

twisted compactification on  
an  $n$ -punctured genus  $g$  Riemann surface

Complicated physical features  
(e.g. S-dualities)  
captured by the **geometry** of  $C_{g,n}$



**Class  $\mathcal{S}$  Building Blocks for  $\mathcal{N} = 1$  SCFTs**

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Some known 4d  $\mathcal{N} = 2$  SCFTs:

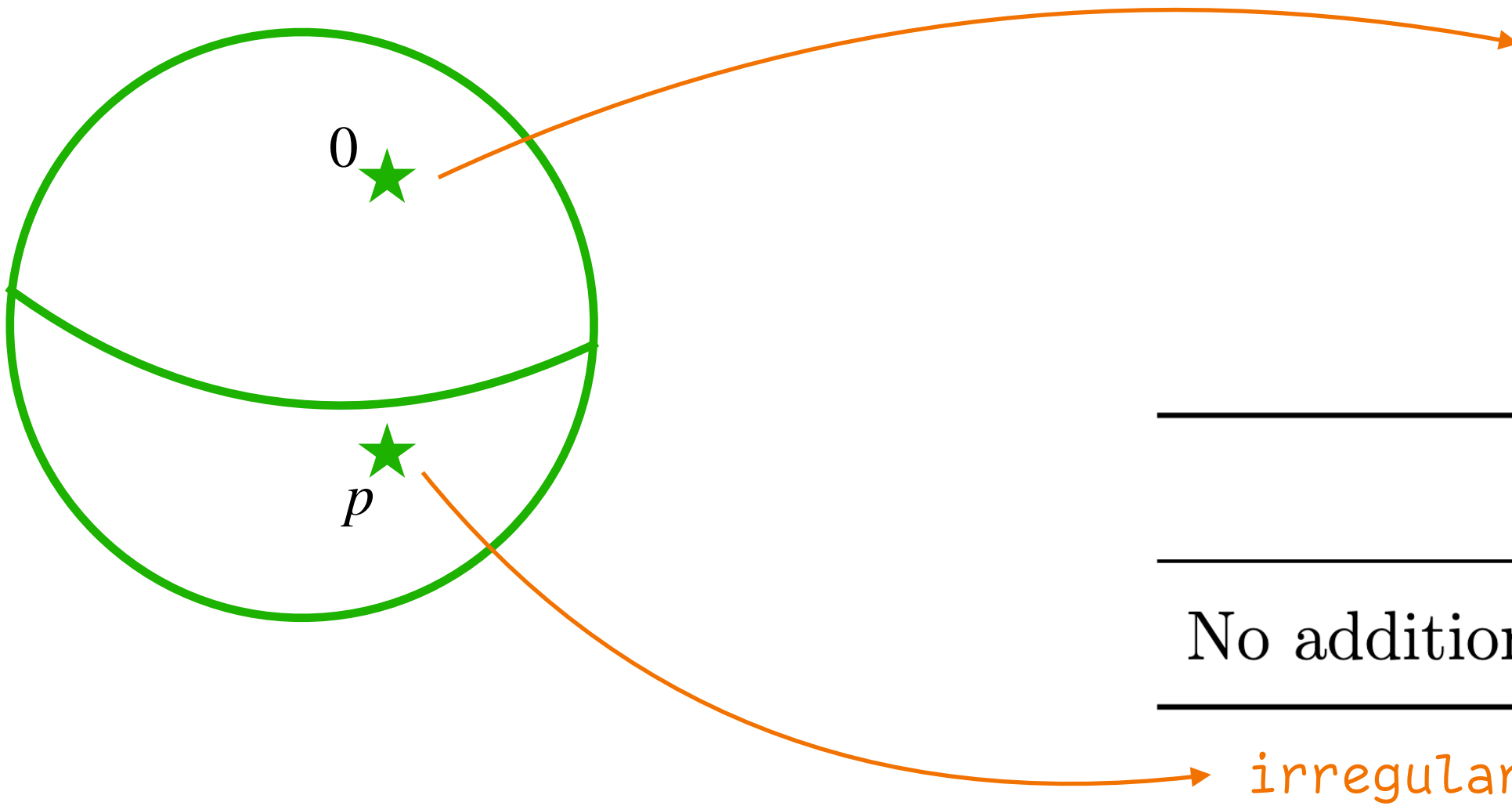
- 1)  $\mathcal{D}_p(G)$  – non-Lagrangian [Xie], [del Zotto, Cecotti]  
[del Zotto, Cecotti, Giacomelli], [Xie, Wang] fractional Coulomb branch scaling dimensions  
→ “Argyres–Douglas type”

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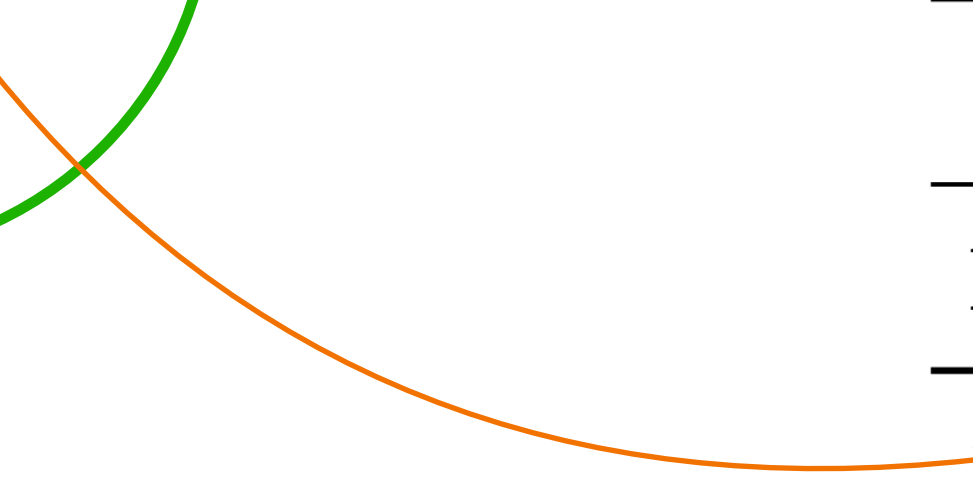
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[Xie], [del Zotto, Cecotti]  
[del Zotto, Cecotti, Giacomelli], [Xie, Wang]

Class  $\mathcal{S}$ :



regular maximal puncture with flavor symmetry  $G$

$G$	$SU(N)$	$SO(2N)$	$E_6$	$E_7$	$E_8$
No additional symmetry	$(p, N) = 1$	$p \notin 2\mathbb{Z}_{>0}$	$p \notin 3\mathbb{Z}_{>0}$	$p \notin 2\mathbb{Z}_{>0}$	$p \notin 30\mathbb{Z}_{>0}$




irregular puncture

# Class $\mathcal{S}$ Building Blocks for $\mathcal{N} = 1$ SCFTs

Some known 4d  $\mathcal{N} = 2$  SCFTs:

1)  $\mathcal{D}_p(G)$  – non-Lagrangian [Xie], [del Zotto, Cecotti]  
[del Zotto, Cecotti, Giacomelli], [Xie, Wang]

2)  $(G, G)$  conformal matter [del Zotto, Heckman, Tomasiello, Vafa]

 a strongly-coupled generalization of an  $SU(\ell) \times SU(\ell)$  bifundamental hypermultiplet

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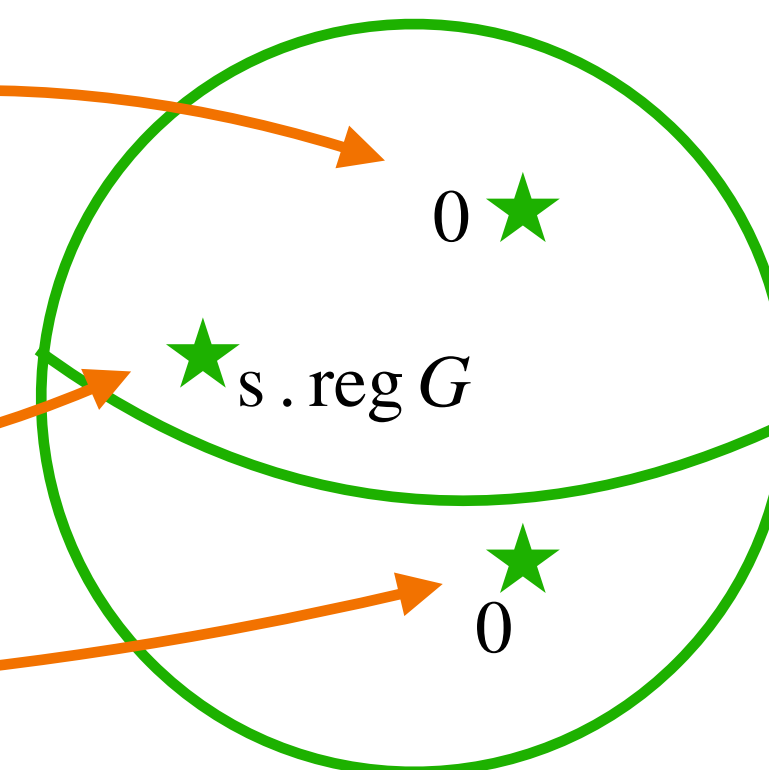
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← a strongly-coupled generalization of an  $SU(\ell) \times SU(\ell)$  bifundamental hypermultiplet

Class  $\mathcal{S}$ :

regular maximal punctures each with flavor symmetry  $G$

subregular puncture



[Ohmori, Shimizu, Tachikawa, Yonekura]  
[del Zotto, Vafa, Xie]  
[Baume, Kang, CL]

# Class $\mathcal{S}$ Building Blocks for $\mathcal{N} = 1$ SCFTs

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—————→ can we construct new 4d SCFTs using these strongly-coupled theories as building blocks?

consider  $\mathcal{N} = 2$  or  $\mathcal{N} = 1$  gauging of all  $G$  flavor symmetries

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consider  $\mathcal{N} = 2$  or  $\mathcal{N} = 1$  gauging of all  $G$  flavor symmetries

→ for  $\mathcal{N} = 2$  there is an ADE classification  $\widehat{\Gamma}(G)$  [Kang, CL, Song '20]

# An $\mathcal{N} = 1$ Classification Problem

how can we gauge together all  $G$  flavor symmetries  
of a collection of  $\mathcal{D}_p(G)$  such that the result  
flows in the infrared to an  $\mathcal{N} = 1$  SCFT?

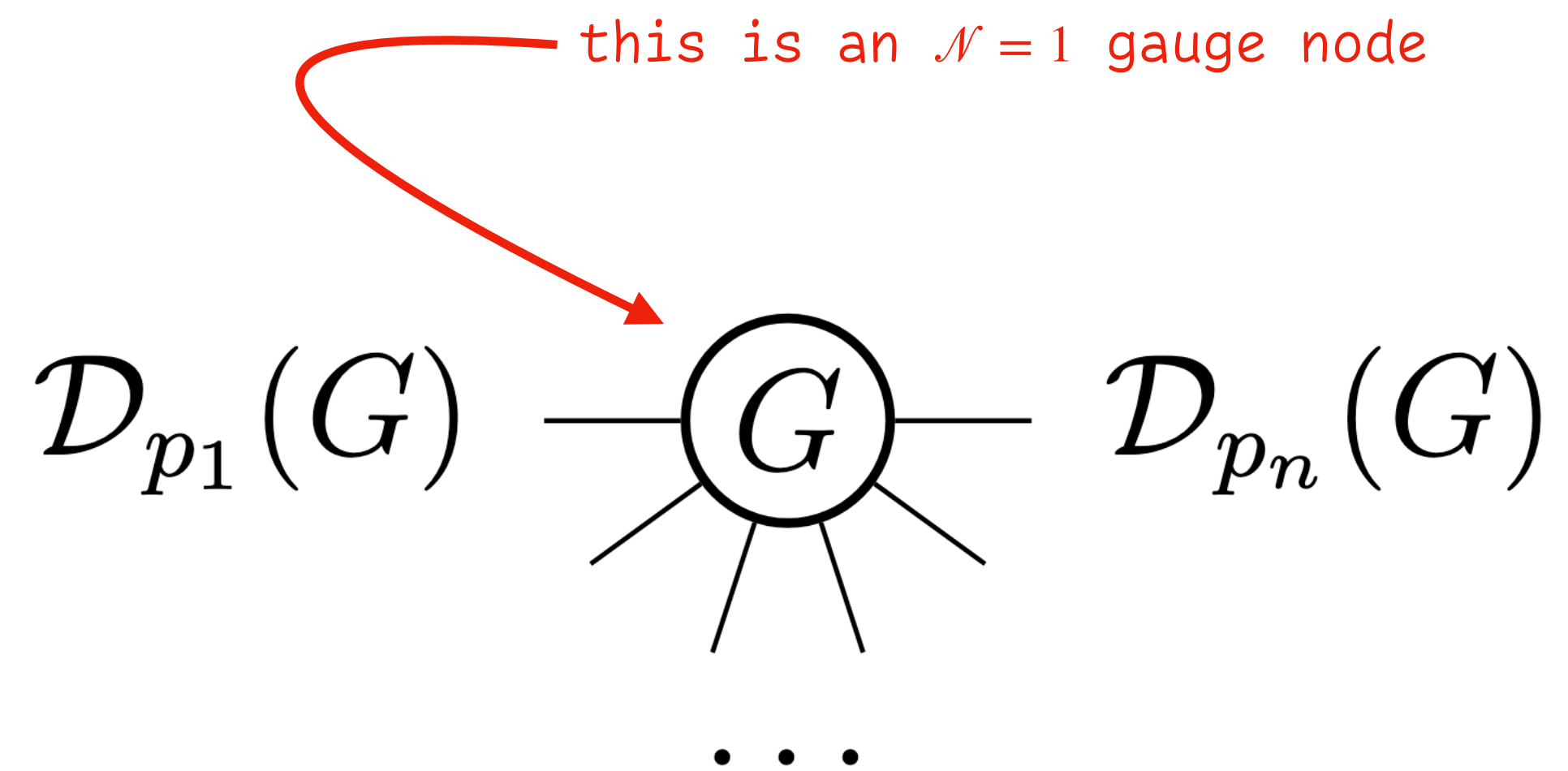
[Kang, CL, Lee, Song '21]



# An $\mathcal{N} = 1$ Classification Problem

[Kang, CL, Lee, Song '21]

First focus on cases without conformal matter



For an asymptotically-free gauge coupling

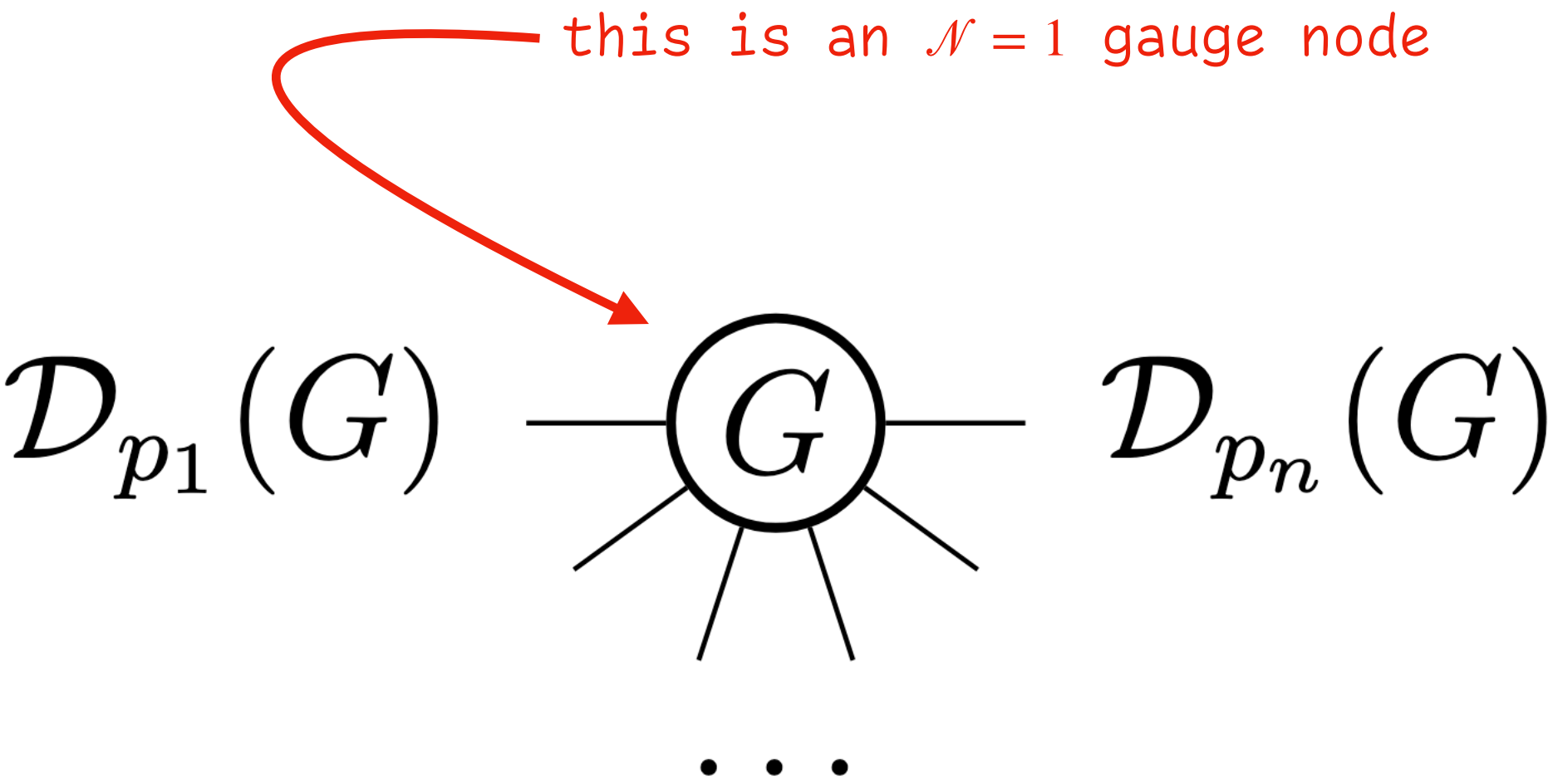
$$\sum_{i=1}^n \frac{1}{p_i} > n - 3$$

equality implies  
conformal gauging

# An $\mathcal{N} = 1$ Classification Problem

[Kang, CL, Lee, Song '21]

First focus on cases without conformal matter



For an asymptotically-free gauge coupling

all solutions

$$\sum_{i=1}^n \frac{1}{p_i} > n - 3$$

equality implies  
conformal gauging

$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$
1	1	1	1	$p_5$	1	2	3	10	$\leq 14$	1	3	3	3	$p_4$
1	1	1	$p_4$	$p_5$	1	2	3	11	$\leq 13$	1	3	3	4	$\leq 11$
1	1	$p_3$	$p_4$	$p_5$	1	2	4	4	$p_5$	1	3	3	5	$\leq 7$
1	2	2	$p_4$	$p_5$	1	2	4	5	$\leq 19$	1	3	4	4	$\leq 5$
1	2	3	$\leq 6$	$p_5$	1	2	4	6	$\leq 11$	2	2	2	2	$p_5$
1	2	3	7	$\leq 41$	1	2	4	7	$\leq 9$	2	2	2	3	3
1	2	3	8	$\leq 23$	1	2	5	5	$\leq 9$	2	2	2	3	4
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# An $\mathcal{N} = 1$ Classification Problem

[Kang, CL, Lee, Song '21]

Not all such gaugings flow to interacting infrared SCFTs

$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$
1	1	1	1	$p_5$	1	2	3	10	$\leq 14$	1	3	3	3	$p_4$
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1) Use a-maximization to determine the superconformal R-symmetry

[Intriligator, Wecht]

2) Check no operator crosses unitarity bound along the flow

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<del>1</del>	<del>1</del>	<del>1</del>	<del>1</del>	<del><math>p_5</math></del>		1	2	3	10	$\leq 14$		1	3	3	3	$p_4$
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[Intriligator, Wecht]

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Surprising fact:

if  $\gcd(p_i, h_G^\vee) = 1$  then IR fixed point has  $a = c$



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[Intriligator, Wecht]

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why? isn't  $a = c$  a feature of  $\mathcal{N} \geq 3$  SUSY?

# $\mathcal{N} = 1$ : Adding Matter

[Kang, CL, Lee, Song '21]

With  $\mathcal{N} = 1$  gauging we can also add one or two adjoint chiral multiplets while preserving  $a = c$

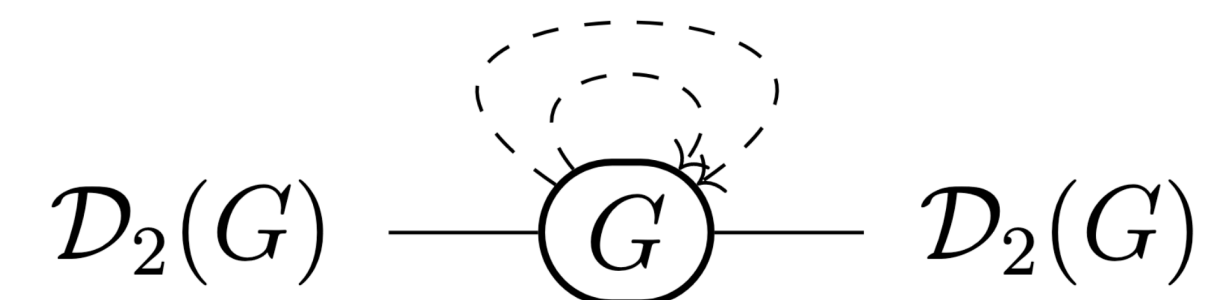
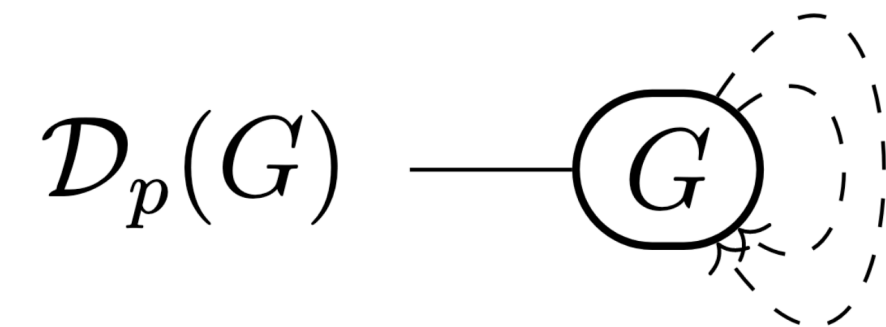
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With  $\mathcal{N} = 1$  gauging we can also add **one** or **two** adjoint chiral multiplets while preserving  $a = c$

only two options

SCFTs living on the  
conformal manifold  
of  $\mathcal{N} = 2$   $\widehat{\Gamma}(G)$  theories





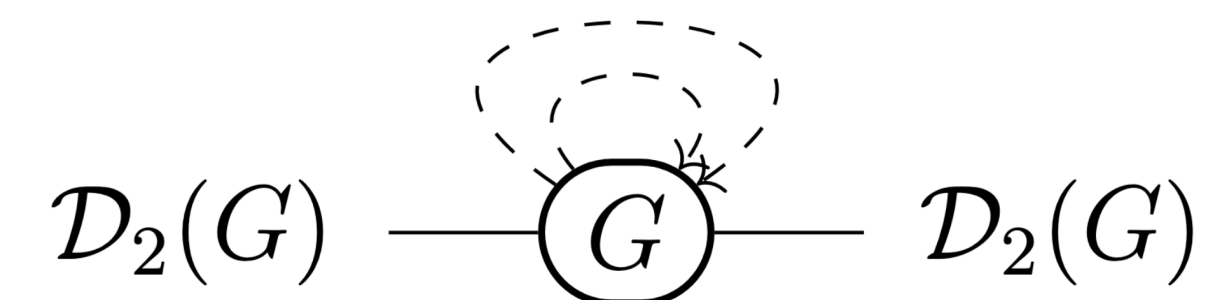
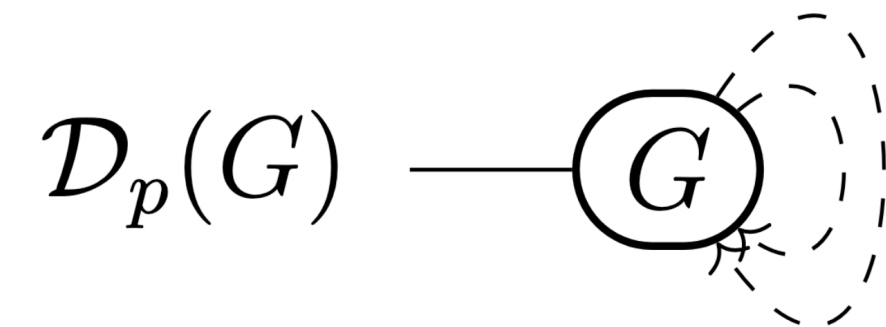
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also **three** adjoint chiral multiplet + zero  $\mathcal{D}_p(G)s \longrightarrow$  conformal manifold of  $\mathcal{N} = 4$  SYM

# SUSY and $a/c$

Surprising fact:

if  $\gcd(p_i, h_G^\vee) = 1$  then IR fixed point has  $a = c$

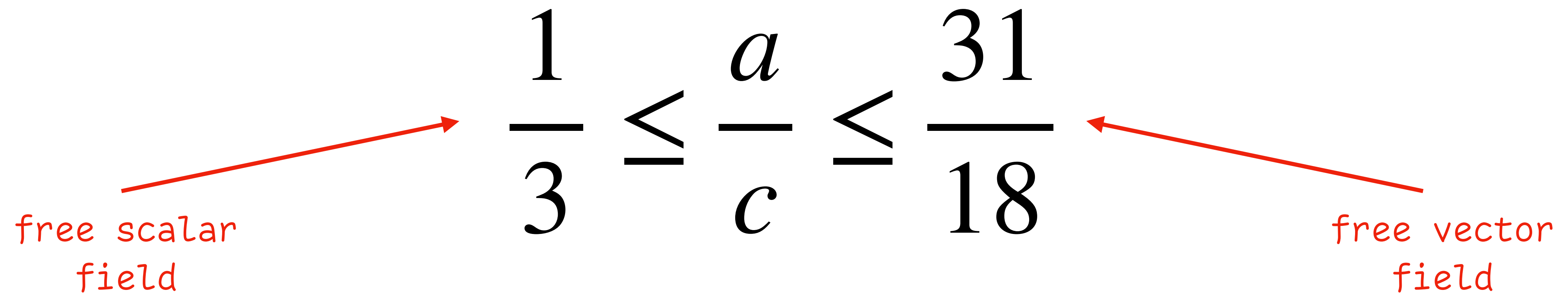
 why? isn't  $a = c$  a feature of  $\mathcal{N} \geq 3$  SUSY?

# SUSY and $a/c$

[Hofman, Maldacena]  
[Hofman, Li, Meltzer, Poland, Rejon-Barrera]

only unitarity, no supersymmetry

Unitarity fixes the ratio:


$$\frac{1}{3} \leq \frac{a}{c} \leq \frac{31}{18}$$

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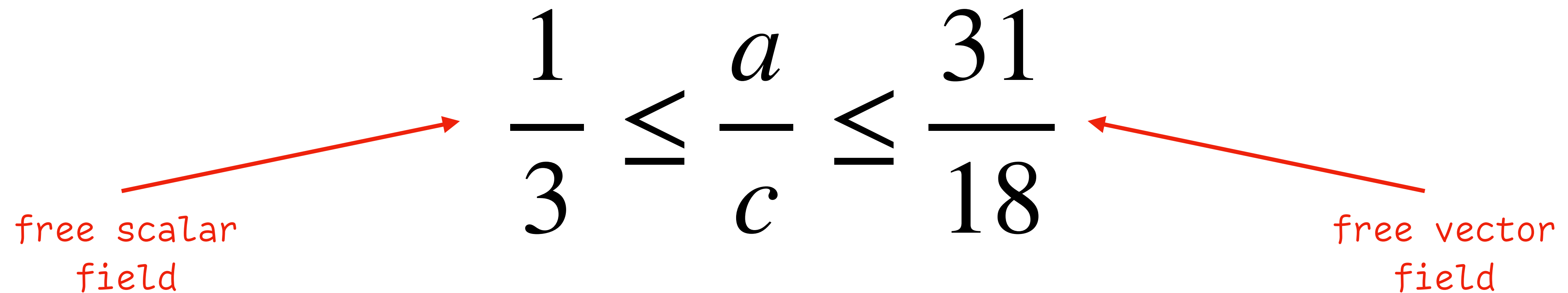
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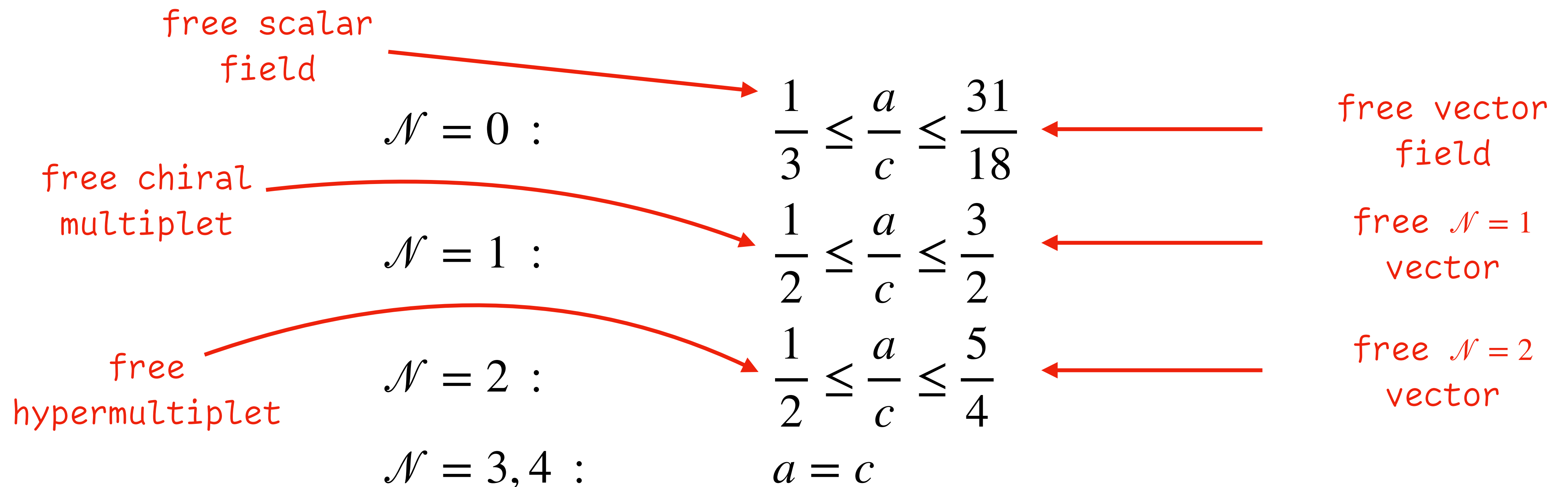
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# Why $a = c$ ?

Holography: if 4d SCFT has  $\text{AdS}_5 \times X_5$  dual then  $a = c \sim O(N^2)$  to leading order in a large  $N$  limit

The subleading terms are

$$c - a = \rho N + \sigma$$

open string contributions  
i.e. branes

closed string contributions

$$R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma}$$

if  $a = c$  at finite  $N$   
then  $\rho$  and  $\sigma$   
must conspire to cancel!

# Why $a = c$ ?

$c - a$  controls many interesting quantities in a CFT

● Cardy limit of superconformal index:  $I \rightarrow \exp\left(\frac{16\pi^2}{3\beta}(c - a)\right)$  [di Pietro, Komargodski]

● Entropy-viscosity ratio bound:  $\frac{\eta}{s} = \frac{1}{4\pi} \left(1 - \frac{c - a}{c} + \dots\right)$  [Kovtun, Son, Starinets]  
[Katz, Petrov]  
[Buchel, Myres, Sinha]

● Mixed current-gravitational anomaly [Anselmi, Freedman, Grisaru, Johansen]

● Single trace higher spin gap for large  $N$  [Edelstein, Maldacena, Zhiboedov]

# The Story Thus Far

We have constructed a broad collection of truly  $\mathcal{N}=1$  and  $\mathcal{N}=2$  SCFTs with **exactly**  $a=c$

[Kang, CL, Song '20]  
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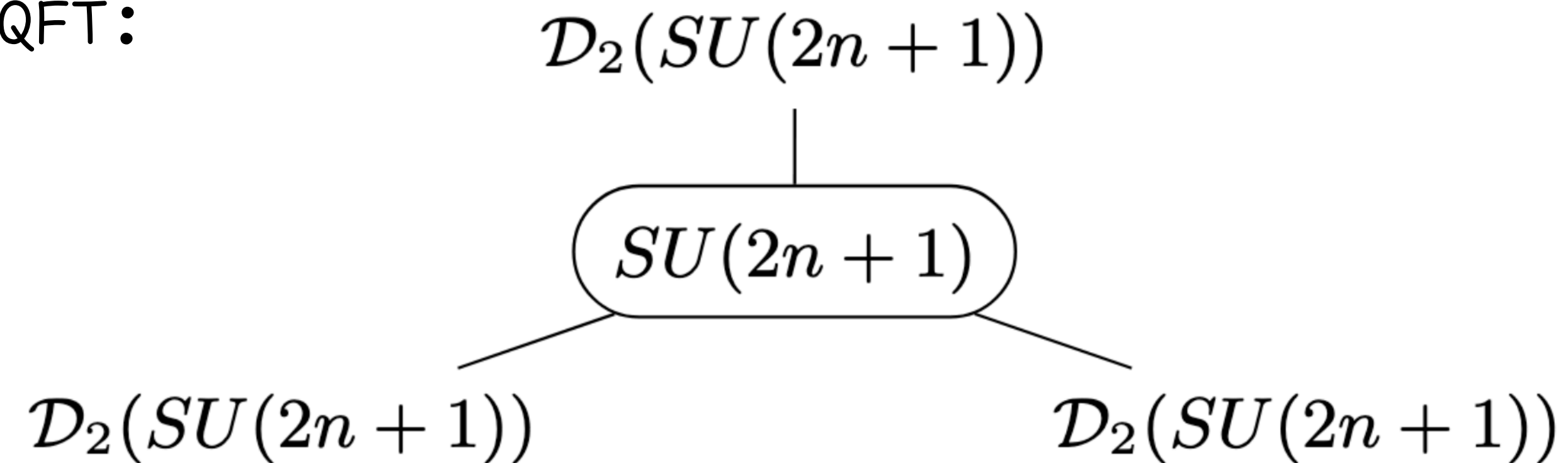
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can we push the connection to  $\mathcal{N}=4$  further?

# A non-Lagrangian $\mathcal{N} = 1$ dual to $\mathcal{N} = 4$ SYM

[Kang, CL, Lee, Song '23]

Consider the  $\mathcal{N} = 1$  QFT:



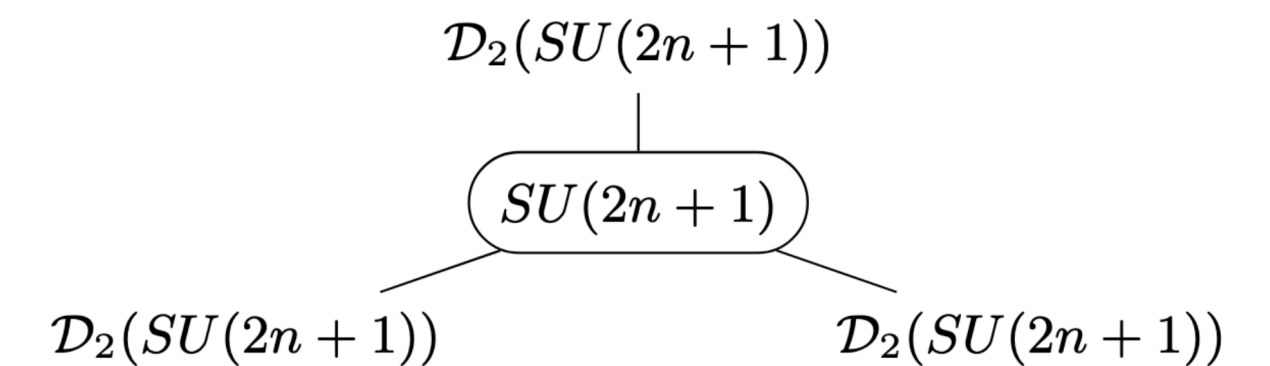
Flows to infrared CFT with  $a=c$  since  $\gcd(2, 2n+1) = 1$

let's work out the central charges!

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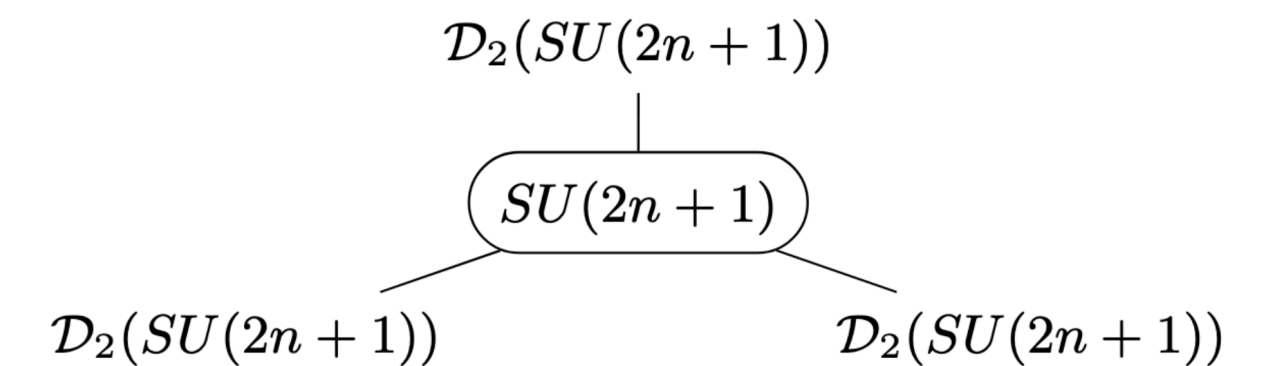
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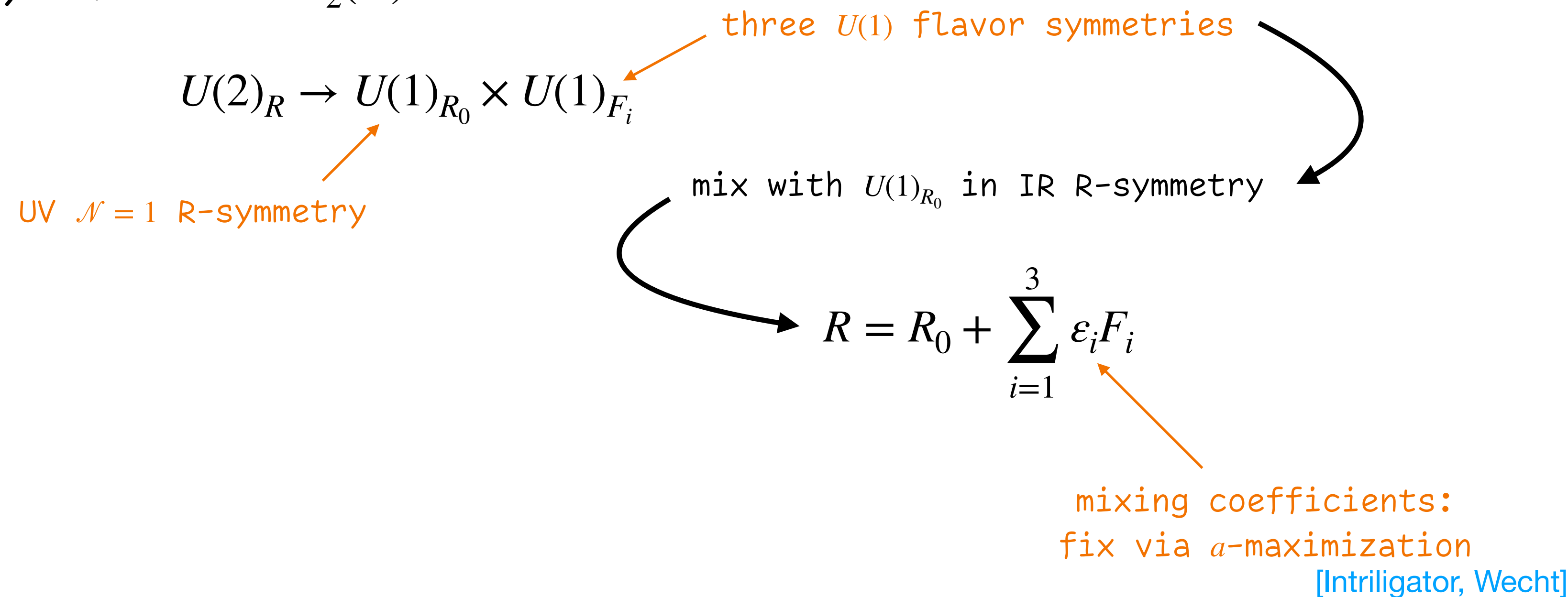
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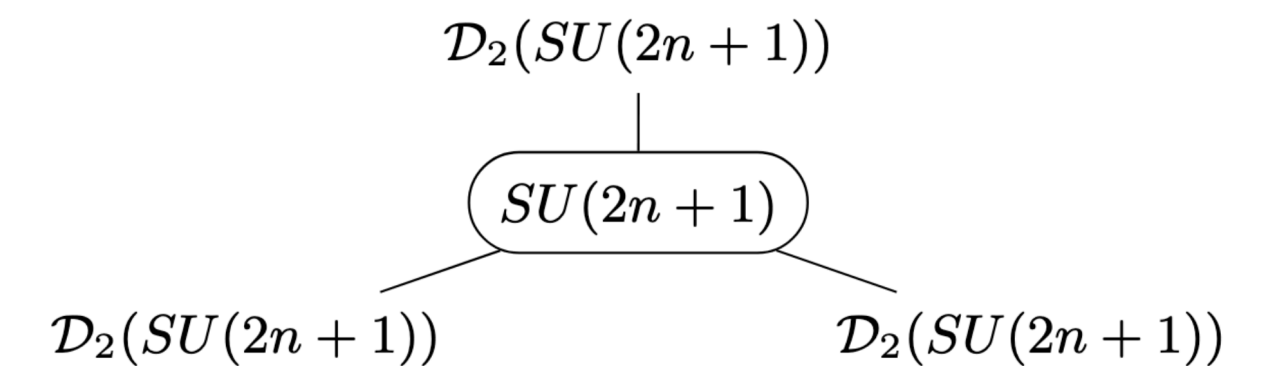
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fix via  $a$ -maximization

[Intriligator, Wecht]

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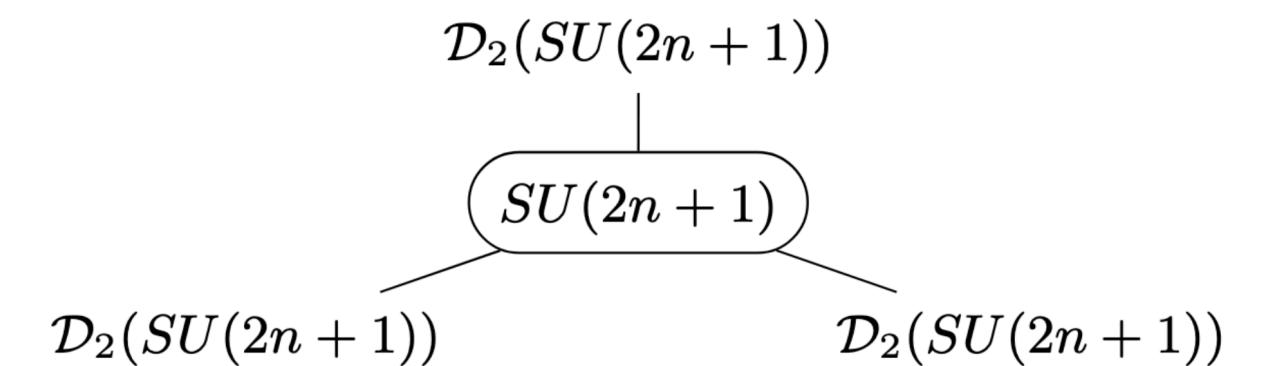
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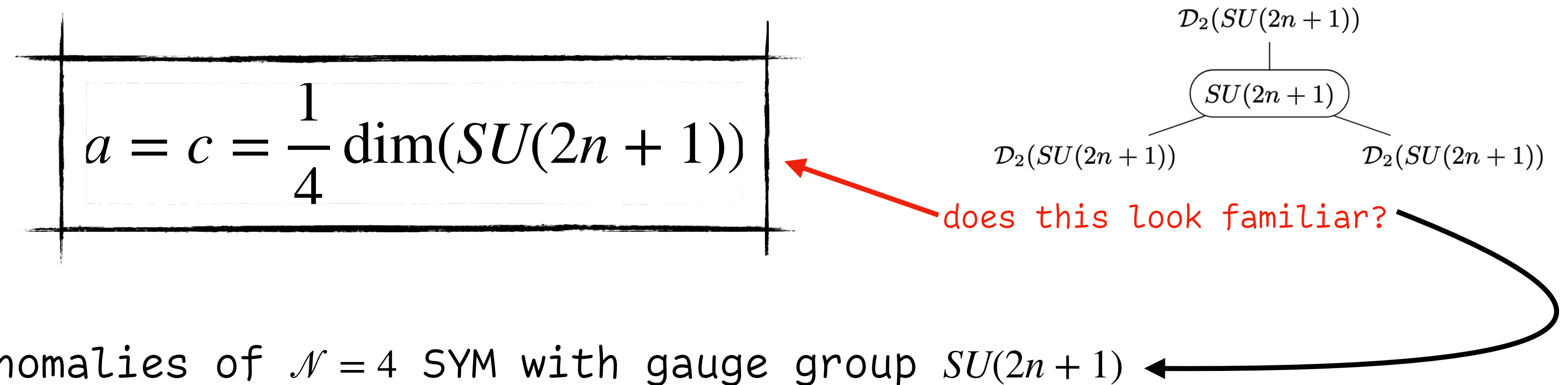
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$$\mathcal{N} = 4 \text{ Casimir operator} \longrightarrow \text{Tr } \phi_i^k \longrightarrow u_i, Q^2 u_i \longleftarrow \mathcal{D}_2(G) \text{ Coulomb branch operators + superdescendants}$$

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how else can we verify this proposed duality?

# Superconformal Index

The superconformal index counts certain short superconformal multiplets of an  $\mathcal{N}=1$  SCFT:

[Kinney, Maldacena, Minwalla, Raju]  
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$U(1)_R$  R-charge

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$$I = \text{Tr}(-1)^F t^{3(R+2j_2)} y^{2j_1} \prod_i v_i^{f_i}$$

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$\mathcal{D}_2(SU(3))$  itself has an  $\mathcal{N}=1$  Lagrangian description [Agarwal, Maruyoshi, Song]

→ superconformal index of  $\mathcal{D}_2(SU(3))$  can be determined

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# Future Directions

[Kang, CL, Lee, Song '23]

We constructed a non-Lagrangian  $\mathcal{N}=1$  gauge theory that flows to a point on the conformal manifold of  $\mathcal{N}=4$  SYM

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can we use the powerful techniques to study maximally-supersymmetric Lagrangian theories to learn about Argyres–Douglas SCFTs?

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do they trigger flows to new interacting SCFTs?

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[Kang, CL, Lee, Song *to appear*]

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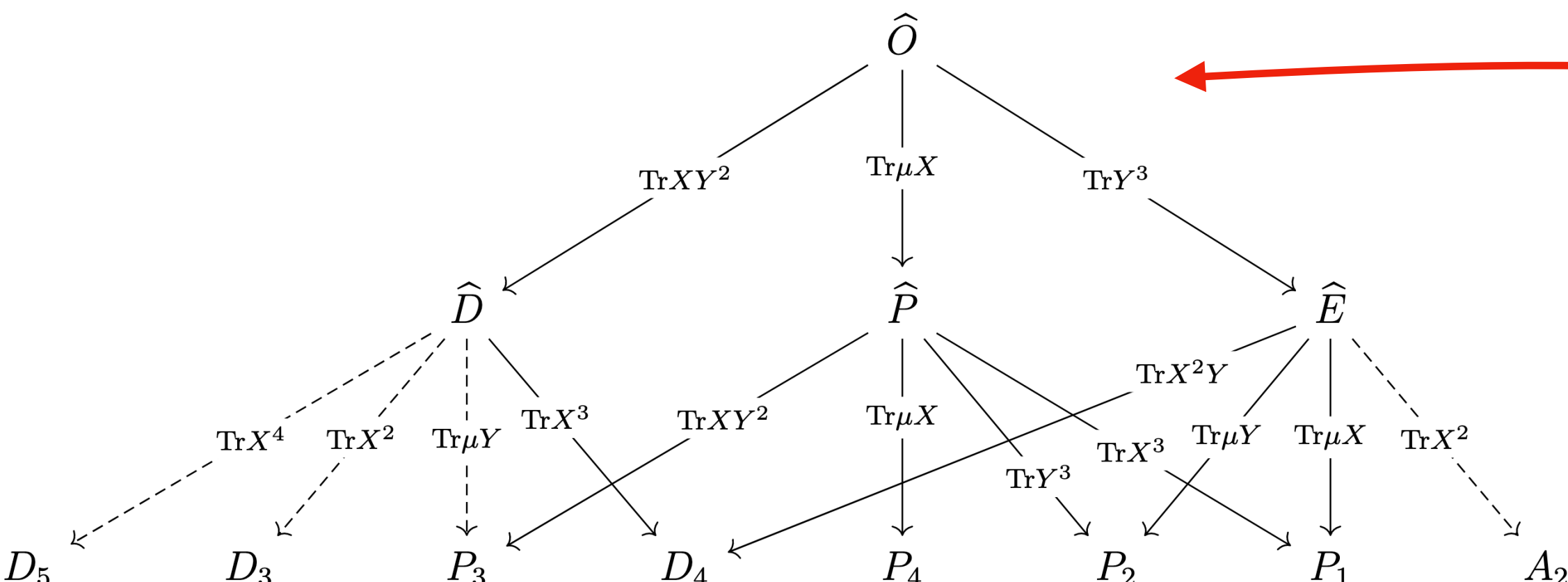
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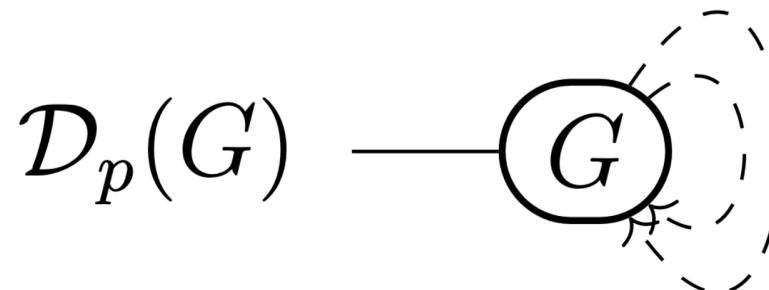
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new SUSY-enhancing infrared dualities?



landscape of superpotential deformations of



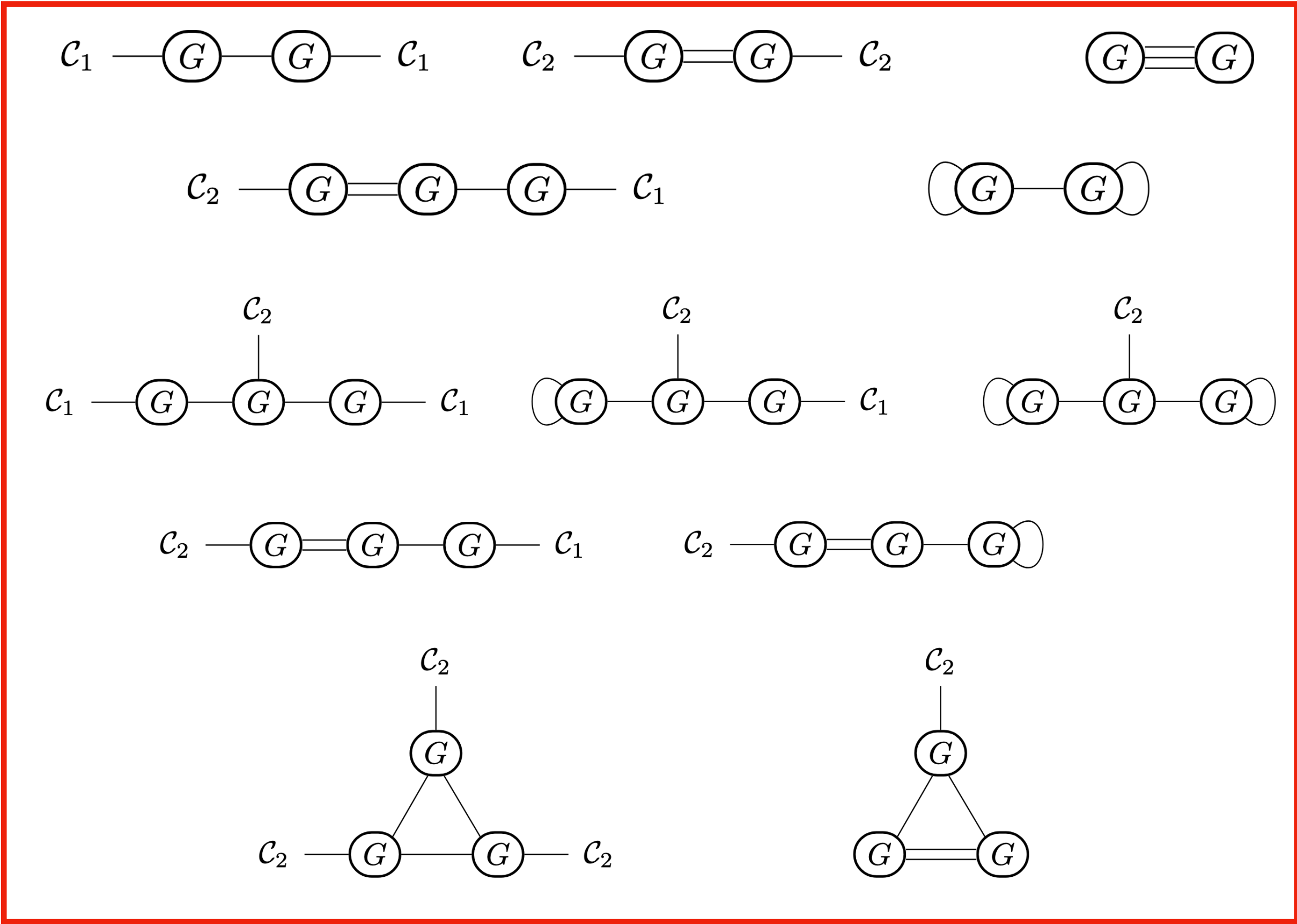
cf. adjoint SQCD [Intriligator, Wecht]

Thank you!

# An $\mathcal{N} = 1$ Classification Problem

[Kang, CL, Lee, Song]

To see the ADE classification for  $\mathcal{N} = 2$  we needed conformal matter



with 2 or 3  
gauge nodes

Does there exist a known  
classification problem  
for which this is the answer?

Name	$\{p_i\}$			
	Asymptotically-free gauging			Conformal gauging
$\mathcal{C}_1$	$\{p \geq 2\}$	$\{p_1 \geq 2, p_2 \geq 2\}$		$\{2, 2, 2, 2\}$ $\{3, 3, 3\}$
	$\{2, 2, p \geq 2\}$	$\{2, 3, 4\}$	$\{2, 3, 5\}$	$\{2, 4, 4\}$ $\{2, 3, 6\}$
$\mathcal{C}_2$	$\{p \geq 2\}$			$\{2, 2\}$